## AUTOMORPHIC FORMS ON A SEMISIMPLE LIE GROUP\*

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Let G be a connected semisimple Lie group with finite center and K a maximal compact subgroup of G. We denote the corresponding Lie algebras by g and f respectively. Put  $B(X, Y) = tr(ad X ad Y) (X, Y \epsilon g)$  and let p denote the space of all  $Y \epsilon g$  which are orthogonal to f under the bilinear form B. Then g is the direct sum of f and p. Put  $\theta(X + Y) = X - Y (X \epsilon f, Y \epsilon p)$ . Then  $\theta$  is an automorphism of g and the quadratic form  $||Z||^2 = -B(Z, \theta(Z)) (Z \epsilon g)$  is positive definite. We re-

gard g as a (real) Hilbert space under this quadratic form and put  $||x||^2 = tr(Ad(x) Ad(x)^*)$  ( $x \in G$ ) where  $Ad(x)^*$  is the adjoint of the linear transformation Ad(x).

Let  $\mathfrak{Z}$  be the algebra of all differential operators on G which are invariant under both left- and right-translations of G. Fix a homomorphism  $\chi$  of  $\mathfrak{Z}$  into the field of complex numbers. Let  $\Gamma$  be a discrete subgroup of G and  $\sigma$  and  $\mu$  unitary representations of K and  $\Gamma$  respectively on a finite-dimensional complex Hilbert space U. We assume that  $\sigma$  is continuous and U is a left K-module (under  $\sigma$ ) and a right  $\Gamma$ module (under  $\mu$ ). We shall denote the norm of u by |u| ( $u \in U$ ). An automorphic form on G of type ( $\sigma, \mu, \chi$ ) is a  $C^{\infty}$  function f on G with values in U such that (1)  $f(kx\gamma) = \sigma(k)f(x)\mu(\gamma)$  ( $k \in K, x \in G, \gamma \in \Gamma$ ) and (2)  $zf = \chi(z)f$  ( $z \in \mathfrak{Z}$ ). It is not difficult to show that such a function is always analytic. Let  $\mathfrak{F} = \mathfrak{F}(\sigma, \mu, \chi)$  denote the space of all such automorphic forms. If  $G/\Gamma$  is compact one proves easily that dim  $\mathfrak{F} < \infty$ . The main problem here is to establish a similar result under some weaker hypothesis on  $G/\Gamma$ .

A form  $f \in \mathfrak{F}$  is called normal at infinity if there exists an integer  $m \geq 0$  and a real number c > 0 such that  $|f(x)| \leq c ||x||^m$  for all  $x \in G$ . Let  $\mathfrak{F}_0 = \mathfrak{F}_0(\sigma, \mu, \chi)$  be the space of all  $f \in \mathfrak{F}$  which are normal at infinity. Also let dx denote the Haar measure on G.

LEMMA 1. Let f be a function in  $\mathfrak{F}$  such that  $\int_{G/\Gamma} |f(x)| dx < \infty$ . Then  $f \in \mathfrak{F}_0$ .

Let a be a maximal abelian subspace of  $\mathfrak{p}$ . We introduce an arbitrary but fixed lexicographic order in the space a' of (real) linear functions on a. For any  $\alpha \in \mathfrak{a}'$ , let  $\mathfrak{n}_{\alpha}$  denote the space of all  $X \in \mathfrak{g}$  such that  $[H, X] = \alpha(H)X$  for every  $H \in \mathfrak{a}$ . Consider the set  $\Sigma$  of those  $\alpha > 0$  for which  $\mathfrak{n}_{\alpha} \neq \{0\}$ . Then  $\Sigma$  is a finite set. A subset  $\Sigma'$  of  $\Sigma$  is called closed if  $\alpha + \beta \in \Sigma'$  whenever  $\alpha, \beta \in \Sigma'$  and  $\alpha + \beta \in \Sigma$ . Let  $\Sigma' \supset \Sigma''$ be two subsets of  $\Sigma$  such that  $\Sigma'$  is closed. We say that  $\Sigma''$  is an ideal in  $\Sigma'$  if  $\alpha + \beta \in \Sigma''$  whenever  $\alpha \in \Sigma''$  and  $\beta, \alpha + \beta \in \Sigma'$ . For any closed  $\Sigma'$  put  $\mathfrak{n}(\Sigma') = \sum_{\alpha \in \Sigma'} \mathfrak{n}_{\alpha}$ . Then  $\mathfrak{n}(\Sigma')$  is a subalgebra of the nilpotent Lie algebra  $\mathfrak{n} = \mathfrak{n}(\Sigma)$ . Moreover if  $\Sigma'$ is an ideal in  $\Sigma, \mathfrak{n}(\Sigma')$  is an ideal in  $\mathfrak{n}$ . Let  $N(\Sigma'), N$  and A denote the analytic subgroups of G corresponding to  $\mathfrak{n}(\Sigma')$ ,  $\mathfrak{n}$  and a respectively.

Put  $l = \dim \mathfrak{a}$ . Then one can select l linearly independent elements  $\alpha_1, \ldots, \alpha_l$ in  $\Sigma$  such that every  $\alpha \in \Sigma$  is of the form  $\alpha = m_1\alpha_1 + \ldots + m_l\alpha_l$  where  $m_1, \ldots, m_l$ are nonnegative integers. Let  $\mathfrak{a}^+$  denote the set of all points  $H \in \mathfrak{a}$  where  $\alpha(H) > 0$ for every  $\alpha \in \Sigma$  and put  $A^+ = \exp \mathfrak{a}^+$ .

 $\Gamma$  being a discrete subgroup of G, we shall say that  $\Gamma$  is of the type I if  $\int_{G/\Gamma} dx < \infty$ . Moreover we say that  $\Gamma$  is of type II if the following conditions hold:

(1) For every ideal  $\Sigma'$  in  $\Sigma$ ,  $N(\Sigma')/N(\Sigma') \cap \Gamma$  is compact.

(2) There exists an element  $a_0 \epsilon A$  such that  $G = Ka_0(A^+)^{-1}N\Gamma$ .

It is easy to show that if  $\Gamma$  is of type II then it is also of type I.

Let S be the class of all subsets of the set  $(\alpha_1, \ldots, \alpha_l)$ . For every  $Q \in S$  let  $\Sigma_Q$  denote the smallest closed set in  $\Sigma$  containing Q and let  $\Sigma_Q'$  be the complement of  $\Sigma_Q$  in  $\Sigma$ . Then  $\Sigma_Q'$  is an ideal in  $\Sigma$ . Put  $N_Q = N(\Sigma_Q)$  and  $V_Q = N(\Sigma_Q')$ . Select an element  $H_0 \in \mathfrak{a}$  such that  $\alpha(H_0) = 0$  ( $\alpha \in \Sigma$ ) if and only if  $\alpha \in \Sigma_Q$ . This is always possible. Let  $\mathfrak{c}_Q$  denote the centralizer of  $H_0$  in  $\mathfrak{g}$ . Then  $\mathfrak{c}_Q$  (which depends only on Q and not on the choice of  $H_0$ ) is reductive in  $\mathfrak{g}$ . Put  $\mathfrak{g}_Q = [\mathfrak{c}_Q, \mathfrak{c}_Q]$  and  $\mathfrak{a}_Q = \mathfrak{a} \cap \mathfrak{g}_Q$ . Then  $\mathfrak{g}_Q$  and  $\mathfrak{a}_Q$  respectively. Put  $A_Q^+ = \exp \mathfrak{a}_Q^+$  where  $\mathfrak{a}_Q^+$  is the

set of those  $H \in \mathfrak{a}_Q$  where  $\alpha(H) > 0$  for every  $\alpha \in Q$ . Also let  $\Gamma_Q = G_Q \cap ((G_Q V_Q \cap \Gamma) V_Q)$ . Then  $\Gamma_Q$  is a discrete subgroup of  $G_Q$ . We shall say that  $\Gamma$  is of type III, if  $\Gamma_Q$  is of type II in  $G_Q$  for every  $Q \in S$ .

**THEOREM 1.** Let  $\Gamma_{\mu}$  denote the kernel of  $\mu$  in  $\Gamma$ . Suppose  $N \cap \Gamma/N \cap \Gamma_{\mu}$  is finite and  $\Gamma$  is of type III. Then dim  $\mathfrak{F}_0(\sigma, \mu, \chi) < \infty$ .

The proof, which proceeds by induction on l, depends on a lemma of Godement.<sup>1</sup> Put  $\langle H, H' \rangle = B(H, H')$   $(H, H' \epsilon \mathfrak{a})$  and, for any  $\lambda \epsilon \mathfrak{a}'$ , define the dual element  $H_{\lambda} \epsilon \mathfrak{a}$  by the condition that  $\langle H, H_{\lambda} \rangle = \lambda(H)$  for every  $H \epsilon \mathfrak{a}$ . For any  $\alpha \epsilon \Sigma$  we define the Weyl reflexion  $s_{\alpha}$  in  $\mathfrak{a}$  by  $s_{\alpha}H = H - 2\{\alpha(H)/\alpha(H_{\alpha})\}H_{\alpha}(H \epsilon \mathfrak{a})$ . Then  $s_{\alpha}$  can be "extended" to an automorphism  $a \to a^{s_{\alpha}}$  of A. Also  $s_{\alpha}$  operates on  $\mathfrak{a}'$  by duality. Select a base  $H_1, \ldots, H_l$  for  $\mathfrak{a}$  such that  $\alpha_i(H_j) = \delta_{ij} \ 1 \le i, j \le l$ . By a theorem of Iwasawa,<sup>2</sup> corresponding to any  $x \epsilon G$ , there exists a unique element  $H(x) \epsilon \mathfrak{a}$  such that  $x \epsilon K \exp H(x)N$ .

Let  $\pi$  be a representation of G on a finite-dimensional complex vector space  $U \neq \{0\}$ . We denote the corresponding representation of  $\mathfrak{g}$  also by  $\pi$ . One can always introduce a Hilbert-space structure in U in such a way that the adjoint of  $\pi(X)$  is  $-\pi(\theta(X))$  ( $X \in \mathfrak{g}$ ). We shall always tacitly assume that such a structure on U is given. For any  $\lambda \in \mathfrak{a}'$ , let  $U_{\lambda}$  denote the set of all  $u \in U$  such that  $\pi(H)u = \lambda(H)u$  for every  $H \in \mathfrak{a}$ .  $\lambda$  is called a weight of  $\pi$  if  $U_{\lambda} \neq \{0\}$ .  $\Lambda$  being the highest weight of  $\pi$ , we denote the orthogonal projection of U on  $U_{\Lambda}$  by  $E_{\Lambda}$ . A vector  $u \in U$  is said to belong to a weight  $\lambda$  if  $u \in U_{\lambda}$ .

Put  $G_i = G_Q$  where  $Q = \{\alpha_i\}$  and let  $s_i = s_{\alpha_i}$   $(1 \le i \le l)$ . We shall say that  $\Gamma$  is of type IV if the following conditions hold:

- (1)  $N(\Sigma')/N(\Sigma') \cap \Gamma$  is compact for every ideal  $\Sigma'$  in  $\Sigma$ .
- (2)  $\inf_{\gamma \in \Gamma} \langle H_i, H(\gamma) \rangle > -\infty$   $(1 \le i \le l).^3$

(3) We can choose a compact set  $N_0$  in N, elements  $\gamma_i \in \Gamma \cap G_i$   $(1 \leq i \leq l)$ , a representation  $\pi$  of G on a finite-dimensional complex vector space  $U \neq \{0\}$  and a unit vector  $\psi$  belonging to the highest weight  $\Lambda$  of  $\pi$  such that:

- (a)  $N_0(N \cap \Gamma) = N$ ,
- (b)  $\gamma_i a \gamma_i^{-1} = a^{s_i} (a \epsilon A, 1 \leq i \leq l),$
- (c)  $s_i \Lambda < \Lambda \ (1 \leq i \leq l),$

(d)  $|E_{\Lambda}\pi(n\gamma_i)\psi| < 1$  for  $n \in N_0$   $(1 \le i \le l)$ .

One can prove that if  $\Gamma$  is of type IV then it is also of type III.

Let R and C be the fields of real and complex numbers respectively, Z the ring of rational integers and  $Z' = Z[(-1)^{1/2}]$  the ring of Gaussian integers. Then it is easy to check that  $\Gamma$  is of type IV in G in the following cases: (1) G = SL(n, R),  $\Gamma = SL(n, Z)$ , (2) G = Sp(n, R),  $\Gamma = Sp(n, Z)$ , (3) G = SL(n, C),  $\Gamma = SL(n, Z')$ , (4) G = Sp(n, C),  $\Gamma = Sp(n, Z')$ . (Here n is any positive integer.) Hence our results are applicable to these four cases.

Now  $\Gamma'$  being any subgroup of  $\Gamma$ , the Haar measure dx on G defines an invariant measure on  $G/\Gamma'$ . Consider the Hilbert space  $\mathfrak{H} = L_2(G/\Gamma')$  corresponding to this measure. Since G operates on  $G/\Gamma'$  on the left in the obvious way, we get a unitary representation  $\lambda$  of G on  $\mathfrak{H}$ . Let  $\pi$  be an irreducible unitary representation of G on some Hilbert space. Given an integer  $m \geq 0$ , we say that  $\pi$  occurs as a discrete component of  $\lambda$  at least m times, if we can find m mutually orthogonal closed invariant subspaces  $\mathfrak{F}_i$   $(1 \leq i \leq m)$  of  $\mathfrak{F}$  such that the representation of G defined on  $\mathfrak{F}_i$ 

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under  $\lambda$  is equivalent to  $\pi$ . If  $\pi$  occurs at least once we say  $\pi$  is a discrete component of  $\lambda$ . Moreover we say that  $\pi$  occurs only a finite number of times if there exists an integer  $m \geq 1$  such that it is impossible to choose  $\mathfrak{H}_i$   $(1 \leq i \leq m)$  with the above properties.

The following result is an immediate consequence of Lemma 1 and Theorem 1.

THEOREM 2. Let  $\Gamma$  be a discrete subgroup of G of type III and  $\Gamma'$  a subgroup of finite index in  $\Gamma$ . Put  $\Gamma_0 = \bigcap_{\gamma \in \Gamma} \gamma \Gamma' \gamma^{-1}$  and suppose that  $N \cap \Gamma/N \cap \Gamma_0$  is finite.

Then every discrete irreducible component of the representation  $\lambda$  of G on  $L_2(G/\Gamma')$  occurs only a finite number of times in  $\lambda$ .

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<sup>1</sup> See Séminaire H. Cartan, 1957/58, Exposé 8, pp. 8-10.

<sup>2</sup> Ann. of Math., 50, 525 (1949)

<sup>3</sup> This condition was suggested by Godement.