

1 **Sediment Entrainment Probability and Threshold of Sediment**
2 **Suspension: An Exponential Based Approach**

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4 **Abstract:** This study examines the probability for sediment entrainment to bed-load and the
5 probability for the threshold condition of sediment to be in suspension. The theoretical analysis
6 is based on a simple one-sided exponential distribution of probability function. The probability
7 distributions are derived from a truncated universal Gram-Charlier series expansion based on the
8 exponential or Laplace type distributions for turbulent velocity fluctuations, as established earlier
9 by the authors. The key criterion of sediment entrainment is the hydrodynamic lift acting on a
10 solitary particle to exceed submerged weight of the particle, as was considered by H. A. Einstein,
11 M. S. Yalin and others. In this way, a simple probability function for sediment entrainment to
12 bed-load in terms of Shields parameter containing the lift coefficient is obtained. It was found
13 that the value of lift coefficient as 0.15 satisfactorily fitted the probability function versus Shields
14 parameter curve with the experimental data. On the other hand, the key criterion of the threshold
15 of sediment suspension is the fluctuations of vertical velocity component to exceed terminal fall
16 velocity of the particle. The probability function for the threshold of a sediment particle to be in
17 suspension is obtained in terms of Shields parameter as a function of shear Reynolds number.
18 Curves for different values of probabilities are drawn in respect of Shields diagram. For the value
19 of probability 0.05, the threshold of sediment suspension is indicated. The prediction curves for
20 the threshold of sediment suspension are proposed in terms of Rouse number versus Shields
21 parameter and also Shields parameter versus shear Reynolds number.

22

23 **CE Database subject headings:** Bed loads; Fluvial hydraulics; Open channel flow;
24 Probability density functions; Sediment transport; Streamflow; Suspended loads; Turbulent flow

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30

31 **Introduction**

32 Probabilistic theories of sediment transport by flowing streams as bed-load and suspended-load
33 have been developed by different researchers. They are mainly based on the hypothesis that the
34 velocity fluctuations in turbulent flows contribute to sediment entrainment not only in the bed-
35 load motion but also to bring the sediment in suspension. In *bed-load transport*, the particles
36 may slide or roll or perform brief jumps, termed *saltation*, but remain close to the bed. On the
37 other hand, in *suspended-load transport*, the particles perform much higher jumps remaining
38 appreciable period of time in the main stream, but only occasionally return to the bed and again
39 go up. The processes of bed-load and suspended-load are highly intermittent in nature. Thus, the
40 analyses require the determination of the probabilities of bed particles to entrain as bed-load
41 and/or to be in suspension. Despite the initial attempt that was made during 1930s, a handful of
42 researches focus on these issues. However, there leaves a scope to explore the problems further,
43 because Bose and Dey (2010) [also see Dey et al. (2012)] showed that the strong prevalence of
44 turbulent bursting in the near-bed flows provoke the non-Gaussian type of distributions of

45 probability densities of the turbulence quantities. A brief state-of-the-art of the researches on the
 46 probabilities of bed-load and suspended-load transports is outlined below:

47 Lane and Kalinske (1939) and Einstein (1942) laid the foundation of the applicability of
 48 probabilistic concepts to study the bed-load transport. They introduced an entrainment
 49 probability function for the sediment entrainment to bed-load. Subsequent investigations by
 50 various researchers viewed the probability of sediment entrainment in different ways and put
 51 forward formulations for probability in terms of entrainment or pickup probability function. The
 52 entrainment probability function is a function of the nondimensional bed shear stress, termed
 53 *Shields parameter*. Previously, the most innovative contribution was due to Einstein (1950), who
 54 developed a formula for the entrainment function based on the Gaussian probability distribution
 55 of the fluctuating hydrodynamic lift acting on a particle to exceed its submerged weight. The
 56 entrainment probability function P is

$$57 \quad P = 1 - \frac{1}{\pi^{0.5}} \int_{-0.143\Theta^{-1-2}}^{0.143\Theta^{-1-2}} \exp(-t^2) dt \quad (1)$$

58 where $\Theta = \text{Shields parameter}, u_*^2 / (\Delta g d)$; u_* = shear velocity; $\Delta = \text{submerged relative density}, s -$
 59 1 ; $s = \text{relative density of sediment}, \text{that is } \rho_s / \rho$; $\rho_s = \text{mass density of sediment}$; $\rho = \text{mass density}$
 60 of water ; $g = \text{acceleration due to gravity}$; and $d = \text{representative sediment size}, \text{that is the median}$
 61 $\text{or weighted mean diameter}$. Engelund and Fredsøe (1976) gave an empirical formula for the
 62 entrainment probability function by using experimental data of Guy et al. (1966) and Luque
 63 (1974). The formula was subsequently modified by Fredsøe and Deigaard (1992) in the form

$$64 \quad P = \left[1 + \left(\frac{\mu_d \pi / 6}{\Theta - \Theta_c} \right)^4 \right]^{-0.25} \quad (2)$$

65 where $\Theta_c =$ threshold Shields parameter, $u_{*c}^2/(\Delta g d)$; $u_{*c} =$ threshold shear velocity; and $\mu_d =$
66 coefficient of dynamic friction. However, using the same methodology, Cheng and Chiew (1998)
67 obtained an approximate expression for the entrainment probability function based on the
68 assumption of a normal probability distribution for the streamwise velocity fluctuations. They
69 obtained the following expression for the entrainment probability:

$$70 \quad P = 1 - 0.5 \frac{0.21 - \sqrt{\Theta C_L}}{|0.21 - \sqrt{\Theta C_L}|} \sqrt{1 - \exp\left[-\left(\frac{0.46}{\sqrt{\Theta C_L}} - 2.2\right)^2\right]} - 0.5 \sqrt{1 - \exp\left[-\left(\frac{0.46}{\sqrt{\Theta C_L}} + 2.2\right)^2\right]} \quad (3)$$

71 where $C_L =$ lift coefficient. Later, Wu and Lin (2002) noted that since only positive fluctuations
72 of the streamwise velocity can cause an entrainment of bed particles, a log-normal distribution
73 could be better suited to derive an expression for the entrainment probability. They gave

$$74 \quad P = 0.5 - 0.5 \frac{\ln(0.044\Theta^{-1}C_L^{-1})}{|\ln(0.044\Theta^{-1}C_L^{-1})|} \sqrt{1 - \exp\left\{-\frac{2}{\pi} \left[\frac{\ln(0.044\Theta^{-1}C_L^{-1})}{0.724}\right]^2\right\}} \quad (4)$$

75 Wu and Chou (2003) further refined the theory by excluding the small fraction of the lower
76 portion of a solitary particle, that can rest on the top of two bed particles of equal size, lying in
77 the dead flow zone. They considered both the lifting and rolling modes of entrainment threshold.

78 The suspended-load transports above the bed-load zone, termed *bed-layer* [see Einstein et al.
79 (1940)]. The mechanism of the particle motion from the bed-layer to the suspension state is not
80 yet well understood. The reason is attributed to the intricacy of near-bed turbulence
81 characteristics along with intermittent particle exchange at the interface of the bed-layer. Based
82 on the experimental results, Bagnold (1966) and Xie (1981) simply set the upper limit for the
83 threshold of sediment suspension as $u_*/w_s = 0.8$ and $0.2\kappa^{-1}$, respectively, where $w_s =$ terminal fall

84 velocity of a particle; and $\kappa =$ von Kármán constant. Then, van Rijn (1984b) gave the upper limit
 85 in terms of the particle parameter $d_* [= (\Delta g/\nu^2)^{1/3}]$, where $\nu =$ kinematic viscosity of water]:

$$86 \quad \frac{u_*}{w_s} (1 < d_* \leq 10) = \frac{4}{d_*} \quad (5a)$$

$$87 \quad \frac{u_*}{w_s} (d_* > 10) = 0.4 \quad (5b)$$

88 Sumer (1986) and Celik and Rodi (1991), on the other hand, gave the threshold criterion for
 89 sediment suspension in terms of Shields parameter Θ as a function of shear Reynolds number R_*
 90 ($= u_*d/\nu$). They considered sediment particles to be in suspension from the sediment bed with no
 91 motion rather than from the top of the bed-layer. According to Sumer (1986),

$$92 \quad \Theta(R_* \leq 70) = \frac{17}{R_*} \quad (6a)$$

$$93 \quad \Theta(R_* > 70) = 0.27 \quad (6b)$$

94 On the other hand, Celik and Rodi (1991) gave it as

$$95 \quad \Theta(R_* \leq 0.6) = \frac{0.15}{R_*} \quad (7a)$$

$$96 \quad \Theta(R_* > 0.6) = 0.25 \quad (7b)$$

97 Note that the above expressions defining the threshold criterion for sediment suspension are
 98 empirical and devoid of probabilistic considerations. Cheng and Chiew (1999) however brought
 99 the probabilistic consideration for the first time by noting that a particle to bring in suspension,
 100 when the vertical velocity fluctuations v' in a turbulent flow exceed the terminal fall velocity w_s
 101 of the particle. It is an event at the top of the bed-layer. Again, by using the Gaussian distribution
 102 for the vertical velocity fluctuations v' , they determined the total probability P_s of a particle to be
 103 in suspension. Giving trials with suitable values of the total probability, they obtained the curves

104 of Θ versus R_* , in the form of the Shields diagram, for the probability criterion for the threshold
 105 of sediment suspension from the bed-layer. Their equation of total probability P_s is

$$106 \quad P_s = 0.5 - 0.5 \left[1 - \exp \left(-\frac{2}{\pi} \cdot \frac{w_s^2}{\sigma_2^2} \right) \right]^{0.5} \quad (8)$$

107 where σ_2 = root-mean square of v' , that is $(\overline{v'^2})^{0.5}$, which was obtained for hydraulically smooth
 108 flow regime as

$$109 \quad \frac{\sigma_2}{u_*} = 1 - \exp \left[-0.025 \left(-\frac{2.75 u_* d}{\nu} \right)^{1.3} \right] \quad (9)$$

110 For hydraulically rough flow regime, they considered $\sigma_2 = u_*$. They concluded that the
 111 probability of the threshold of sediment suspension is 0.01.

112 Previous studies were based on the normal and the log-normal distributions, which primarily
 113 occur in case of additive or multiplicative accumulation of errors. This however is not the case of
 114 turbulent velocity fluctuations. On the other hand, drawing a similarity with a random signal
 115 magnitude of velocity fluctuations $|u'|$ regarded analogous to the service arriving in a queue,
 116 Bose and Dey (2010) gave the Gram-Charlier series expansion of the probability densities based
 117 on the two-sided exponential or Laplace distribution. In this paper, retaining the principal terms
 118 of the series, simple one-sided exponential based series up to quadratic terms of positive values
 119 of the variates are considered. From these distributions, simple expressions for the entrainment
 120 probability function P for particles to bed-load and the total probability P_s for particles to be in
 121 suspension are obtained, in the line of Cheng and Chiew (1998, 1999). The results obtained from
 122 the developed theories are compared with those obtained from the earlier studies and the
 123 experimental data.

124

125 **Universal Probability Distribution of Turbulent Velocity Fluctuations**

126 When a unidirectional steady stream flows over a plane bed, which may be smooth or rough or
 127 even mobile, the two-dimensional instantaneous velocity components (u, v) at a point (x, y) in the
 128 flow can be split by the Reynolds decomposition into the time-averaged part (\bar{u}, \bar{v}) and the
 129 fluctuating part (u', v') in the traditional way:

130
$$u(x, y, t) = \bar{u}(x, y) + u'(x, y, t), \quad v(x, y, t) = \bar{v}(x, y) + v'(x, y, t) \quad (10)$$

131 Bose and Dey (2010) and Dey et al. (2012) showed that the velocity fluctuations (u', v') obey
 132 the Gram-Charlier based two-sided exponential or Laplace distribution. Letting $\hat{u} = u'/\sigma_1$ and \hat{v}
 133 $= v'/\sigma_2$, where $\sigma_1 =$ root-mean square of u' , Bose and Dey (2010) explained that the probability
 134 density function $p_{\hat{u}}(\hat{u})$ (henceforth pdf) for the streamwise velocity fluctuations can be given by

135
$$p_{\hat{u}}(\hat{u}) = \frac{1}{2} \left[1 + \frac{1}{2} C_{10} \hat{u} - \frac{1}{8} C_{20} (1 + |\hat{u}| - \hat{u}^2) - \frac{1}{48} C_{30} \hat{u} (3 + 3|\hat{u}| - \hat{u}^2) \right.$$

 136
$$\left. + \frac{1}{384} C_{40} (9 + 9|\hat{u}| - 3\hat{u}^2 - 6|\hat{u}|^3 + \hat{u}^4) + \dots \right] \exp(-|\hat{u}|) \quad (11)$$

137 where $C_{10} = m_{10}$; $C_{20} = -1 + (m_{20}/2)$; $C_{30} = -2m_{10} + (m_{30}/6)$; $C_{40} = 2 - (3m_{20}/2) + (m_{40}/24)$ and m_{jk}
 138 $= \overline{\hat{u}^j \hat{v}^k}$ (Bose and Dey 2010).

139 A similar expression holds for the pdf $p_{\hat{v}}(\hat{v})$ for the vertical velocity fluctuations, in which
 140 the coefficients C_{10}, C_{20}, C_{30} and C_{40} are to be replaced by another set of coefficients $C_{01}, C_{02},$
 141 C_{03} and C_{04} , respectively. Estimated from the experimental results, their values depend on the
 142 flow velocity, the location with respect to the bed and the sediment size forming the roughness if
 143 the bed is erodible. It is noteworthy in these data that the coefficients C_{10}, C_{01}, C_{30} and C_{03} are of
 144 the order of 0.001; while C_{20} and $C_{02} \approx -0.5$ and C_{40} and $C_{04} \approx 0.6$. Thus, in order to keep the
 145 study independent of the experimental data particularly that of sediment size, it is assumed that

146 C_{20} and $C_{02} \approx -0.5$ and the rest of the coefficients are effectively negligible due to their smallness
 147 or division by a large number, such as 384. Thus, Eq. (11) reduces to

$$148 \quad p_{\hat{u}}(\hat{u}) = \frac{1}{32}(17 + |\hat{u}| - \hat{u}^2)\exp(-|\hat{u}|) \quad (12)$$

149 Likewise, the pdf $p_{\hat{v}}(\hat{v})$ for the vertical velocity fluctuations can be expressed.

150

151 **Probability of Sediment Entrainment**

152 Fig. 1 shows a schematic of a solitary particle, whose submerged weight is F_G , resting on a
 153 horizontal bed formed by the sediment particles. The solitary particle is subjected to
 154 hydrodynamic drag F_D and lift F_L induced by the flow. Here, as we are concerned about the
 155 turbulent flow, F_D and F_L represent their instantaneous values. The instantaneous near-bed
 156 streamwise velocity u_b , which can be decomposed as $u_b = \bar{u}_b + u'$, is the main agent of an
 157 entrainment of similarly lying solitary particles on the bed surface. Wu and Lin (2002), following
 158 Nelson et al. (1995), appropriately argued that the streamwise entrainment is only possible when
 159 the streamwise velocity fluctuations $u' > 0$, for which the pdf follows Eq. (12), becomes the one-
 160 sided exponential based Gram-Charlier series. Therefore,

$$161 \quad \left. \begin{aligned} p_{u'}(u' \geq 0) &= \frac{1}{16\sigma_1}(17 + \hat{u} - \hat{u}^2)\exp(-\hat{u}) \\ p_{u'}(u' < 0) &= 0 \end{aligned} \right\} \quad (13)$$

162 It can be verified that $\int_{-\infty}^{\infty} p_{u'}(u')du' = \int_0^{\infty} p_{u'}(u')du' = 1$. The pdf given by Eq. (13) is plotted in Fig.

163 2 for different values of $\sigma_1 = 0.5, 1$ and 1.5 .

164 The probability for sediment entrainment was modeled in various ways by different
 165 researchers. Following Einstein (1950) and Yalin (1977), Cheng and Chiew (1998) considered an

166 unstable particle placed on the bed, such that it is likely to be lifted by the flow provided $F_L >$
 167 F_G . Importantly, the instantaneous lift force F_L acting on a particle fluctuates in accordance with
 168 the velocity fluctuations u' of the near-bed velocity u_b ; while the submerged weight F_G of a
 169 particle is a constant for a given particle size. Thus, one can consider the total entrainment
 170 probability P as F_L exceeds F_G . Now, in a turbulent flow, F_L can be expressed as

$$171 \quad F_L = \frac{1}{2} C_L \rho u_b^2 \frac{\pi d^2}{4} \quad (14)$$

172 The C_L in the near-bed flow region of a fully developed flow is approximately a constant. On
 173 the other hand, F_G is given by

$$174 \quad F_G = \Delta \rho g \frac{\pi d^3}{6} \quad (15)$$

175 Therefore, $F_L > F_G$ implies that $u_b > B$ or $u' > B - \bar{u}_b$, where

$$176 \quad B = \sqrt{\frac{4\Delta g d}{3C_L}} \quad (16)$$

177 Thus, using Eq. (13), we can write

$$178 \quad P = \int_{B-\bar{u}_b}^{\infty} p_{u'}(u') du' = \frac{1}{16} \int_a^{\infty} (17 + \hat{u} - \hat{u}^2) \exp(-\hat{u}) d\hat{u} = \frac{1}{16} (16 - a - a^2) \exp(-a) \quad (17)$$

179 where $a = (B - \bar{u}_b) / \sigma_1$.

180 Cheng and Chiew (1998) estimated the time-averaged near-bed velocity \bar{u}_b , using the
 181 logarithmic law and fixing zero-displacement level at $0.25d$ and zero-velocity level at $y_0 (= k_s/30$,
 182 where $k_s =$ Nikuredse equivalent roughness height considered as $2d$) below the top of the closely
 183 packed bed particles (Hinze 1975; van Rijn 1984a). They assumed that a particle placed in an
 184 interstice between two bed particles is about to move. In this way, they estimated $\bar{u}_b = 5.52u_*$
 185 acting on the particle in an initial position at $y = 0.6d$. Recently, Dey et al. (2012) found that

186 when the bed particles move, the von Kármán constant κ diminishes from its universal value
 187 0.41, and the zero-displacement level and the zero-velocity level move up as compared to their
 188 values in immobile beds [also see Dey and Raikar (2007), Gaudio et al. (2010), Dey et al. (2011)
 189 and Gaudio and Dey (2012)]. These modify the estimation of near-bed velocity from the
 190 logarithmic law as $\bar{u}_b = 6.4u_*$, which is used here. Quoting Kironoto and Graf (1994), Cheng and
 191 Chiew (1998) estimated $\sigma_1 = 2u_*$. Using these results, a can be expressed as

$$192 \quad a = \frac{B - \bar{u}_b}{\sigma_1} = \frac{1}{2\sqrt{\Delta g d \Theta}} \left(\sqrt{\frac{4\Delta g d}{3C_L}} - 6.4\sqrt{\Delta g d \Theta} \right) = \frac{1}{\sqrt{3C_L \Theta}} - 3.2 \quad (18)$$

193 Thus, using Eq. (18), Eq. (17) yields the entrainment probability function P in terms of Shields
 194 parameter Θ .

195 The lift coefficient C_L is an important parameter required to evaluate a . Unfortunately, there is
 196 no consensus, as widely varying values of C_L were reported in literature. Einstein and El-Samni
 197 (1949) measured the lift force directly as a static pressure difference between the top and the
 198 bottom points of hemispheres. They obtained lift coefficient C_L as 0.178. They also studied the
 199 effect of turbulent velocity fluctuations on lift. The experiments revealed a constant average lift
 200 force with superimposed random fluctuations that follow the normal-error law. Their results
 201 were used by the Task Committee (1966) of the Journal of Hydraulics Division to estimate the
 202 lift force per unit area equaling $2.5 \tau_{0c}$; where τ_{0c} is the threshold bed shear stress. It suggested
 203 that the lift force is an important mechanism towards the sediment entrainment. However, Chepil
 204 (1961) pointed out that once the particle moves, the lift tends to diminish; while the drag
 205 increases. Then, several attempts were made to estimate the lift relative to drag. Chepil (1961)
 206 measured the lift to drag ratio as about 0.85 for $47 < Ud/\nu < 5 \times 10^3$ in a wind stream U on
 207 hemispherical roughness having diameter d ; while Brayshaw et al. (1983) measured the ratio as

208 1.8 for the same type of roughness at $R_* = 5.2 \times 10^4$. Aksoy (1973) and Bagnold (1974) found the
209 lift to drag ratio on a sphere as about 0.1 and 0.5 at $R_* = 300$ and 800, respectively. Apperley
210 (1968) studied a sphere laid on gravels and found the lift to drag ratio as 0.5 at $R_* = 70$. Further,
211 Patnaik et al. (1994) estimated C_L ranging from 0.1 to 0.4, which is adopted here for testing the
212 probability model.

213 Fig. 3 depicts the theoretical curve Θ versus P for $C_L = 0.15$ obtained by solving Eq. (17)
214 using Eq. (18). The theoretical curve matches well with the experimental data of Guy et al.
215 (1966) and Luque (1974) for the trial value of $C_L = 0.15$, which is within the range of C_L
216 obtained in aforementioned studies. The data of Guy et al. (1966) that correspond to dunes have
217 less agreement, because the present analysis does not include the flow resistance due to
218 bedforms. According to Cheng and Chiew (1998), the curve Θ versus P for $C_L = 0.25$
219 corresponds to the experimental data and is also superimposed for the comparison with the
220 present curve. However, the present curve corresponds closely with the curves of Fredsøe and
221 Deigaard (1992) and Cheng and Chiew (1998) for $P < 0.2$, where the experimental data also
222 collapse satisfactorily on these curves. The Shields parameter Θ for rough flow regime ($R_* > 70$)
223 according to Yalin and Karahan's (1979) diagram is 0.046, for which the probability of
224 entrainment is 0.1% as obtained from Fig. 3. It implies that 0.1% of all the particles on a given
225 bed area are in motion under the threshold condition of sediment entrainment. In fact, the
226 concept of sediment threshold refers to a short range of bed shear stress (or the Shields
227 parameter) over which a transition takes place from an immobile bed to become a mobile bed
228 (surface particles in motion) (Mantz 1977; Dey and Raikar 2007). Fig. 4 shows the curves P
229 versus Θ for $C_L = 0.12$ and 0.2 forming an envelope of the experimental data. Note that Dey et

230 al. (1999) showed that C_L varies with shear Reynolds number R_* , which makes an understanding
 231 of the range of $C_L = 0.12$ to 0.2 as the entrainment threshold takes place within this range.

232

233 **Threshold of Sediment Suspension**

234 When the bed-load transport takes place, some of the particles may go in suspension in the entire
 235 fluid flow zone above the bed-layer. In suspended-load transport, the particles stay occasionally
 236 in contact with the bed and are displaced by making more or less large jumps to remain often
 237 surrounded by the fluid. The criterion for a particle to bring in suspension is that the vertical
 238 velocity fluctuations v' in the flow exceed the terminal fall velocity w_s of the particle, that is $v' >$
 239 w_s . Conversely, $w_s > v'$ signifies a termination of suspension unless v' again exceeds w_s at a later
 240 time. The vertical velocity fluctuations v' are however random to follow Eq. (11); and their pdf
 241 for positive values, as in Eq. (13), can be given by

$$242 \left. \begin{aligned} p_{v'}(v' \geq 0) &= \frac{1}{16\sigma_2} (17 + \hat{v} - \hat{v}^2) \exp(-\hat{v}) \\ p_{v'}(v' < 0) &= 0 \end{aligned} \right\} \quad (19)$$

243 It satisfies the condition $\int_{-\infty}^{\infty} p_{v'}(v') dv' = 1$. The plots of Eq. (19) for $\sigma_2 = 0.5, 1$ and 1.5 would be
 244 like those given in Fig. 2.

245 The total probability P_s of a particle to remain in suspension is thus given by an expression
 246 analogous to that of Eq. (17). It is

$$247 P_s = \int_{w_s}^{\infty} p_{v'}(v') dv' = \frac{1}{16} (16 - b - b^2) \exp(-b) \quad (20)$$

248 where $b = w_s/\sigma_2$. Given w_s and if σ_2 is estimated by the rms value $(\overline{v'^2})^{0.5}$, the total probability P_s
 249 depends on the value of σ_2 at a given point in the flow, as w_s is a constant for a given particle
 250 size.

251 Near the bed, if the bed-layer is very thin, the bed is regarded as a rough. According to the
 252 experimental studies by Grass (1971), Nezu (1977), Kironoto and Graff (1994) and Dey and
 253 Raikar (2007), the rms value $(\overline{v'^2})^{0.5}$ is approximately equal to the shear velocity u_* . Thus, in this
 254 case, one can take $\sigma_2 \approx u_*$; and the P_s is given by

$$255 \quad P_s = \frac{1}{16} \left(16 - \frac{w_s}{u_*} - \frac{w_s^2}{u_*^2} \right) \exp \left(-\frac{w_s}{u_*} \right) \quad (21)$$

256 For a comparatively thicker bed-layer, the bed is regarded as a smooth. The hydraulically
 257 smooth flow regime was thoroughly examined by Grass (1971), concluding to an empirical
 258 formula, that is

$$259 \quad \frac{\sigma_2}{u_*} = 1 - \exp(-0.093R_*^{1.3}) \quad (22)$$

260 In this case, the P_s is rewritten as

$$261 \quad P_s = \frac{1}{16} \left(16 - \frac{u_*}{\sigma_2} \cdot \frac{w_s}{u_*} - \frac{u_*^2}{\sigma_2^2} \cdot \frac{w_s^2}{u_*^2} \right) \exp \left(-\frac{u_*}{\sigma_2} \cdot \frac{w_s}{u_*} \right) \quad (23)$$

262 Since the threshold of sediment suspension is studied here, henceforth the notation u_* is
 263 replaced by u_{*c} , Θ by Θ_c and R_* by R_{*c} . As in Cheng and Chiew (1999), Eqs. (21) and (23) for
 264 hydraulically rough and smooth flow regimes, respectively, can be represented in terms of
 265 threshold Shields parameter Θ_c and R_{*c} with the introduction of a particle parameter d_* that gives

$$266 \quad \Theta_c = \frac{R_{*c}^2}{d_*^3} \quad (24)$$

267 where $d_* = d(\Delta g/\nu^2)^{1/3}$. Therefore, the expression of d_* proposed by Cheng (1997) can be related
 268 to w_s/u_{*c} as follows:

$$269 \quad d_* = \sqrt{\frac{1}{1.2} \left(\frac{R_{*c} w_s}{u_{*c}} \right)^{2/3} \left[\left(\frac{R_{*c} w_s}{u_{*c}} \right)^{2/3} + 10 \right]} \quad (25)$$

270 Using Eq. (21), w_s/u_{*c} was first computed from Eqs. (22) and (23) by Newton's method for a
 271 given value of P_s and a range of R_{*c} from 0.03 to 10^4 . Following this step, d_* was obtained from
 272 Eq. (25); and then Θ_c was computed from Eq. (24). The computational results in terms of $\Theta_c(R_{*c})$
 273 for different values of $P_s = 0.001, 0.01, 0.05$ and 0.1 are presented in Fig. 5. The entrainment
 274 threshold curve given by Yalin and Karahan (1979) is also superimposed for the comparison.
 275 Note that in this study, Yalin and Karahan's (1979) curve is often used for the comparison, as it
 276 is regarded as superior to well-known Shields diagram (Dey 1999; Dey et al. 1999). With an
 277 increase in value of the total probability P_s of suspension, the Shields parameter Θ_c for the
 278 threshold of sediment suspension is increasingly greater than that for the entrainment threshold
 279 obtained from Yalin and Karahan's curve for a given shear Reynolds number R_{*c} . It suggests that
 280 the total probability P_s of suspension increases with an increase in bed shear stress for a given
 281 sediment size, as the flow with enhanced bed shear stress can bring larger amount of sediment in
 282 suspension. As the value of probability P_s reduce further from 0.001, the resulting curve Θ_c-R_{*c}
 283 remains very close to that of $P_s = 0.001$, but never approaches to collapse on the entrainment
 284 threshold curve given by Yalin and Karahan. The reason is attributed to the fact that $\nu' > w_s$
 285 which is the criterion for threshold of suspension cannot be the criterion for an entrainment
 286 threshold. Thus, the entrainment threshold obtained by Cheng and Chiew (1999) from the
 287 criterion $\nu' > w_s$ with $P_s = 10^{-7}$ invites uncertainty; and moreover a value of probability 10^{-7} is
 288 somewhat ambiguous. Hence, to define the pure bed-load region bounded by the curves of

289 threshold of suspension and entrainment in a Θ_c-R_{*c} diagram, it is appropriate to define
290 entrainment threshold given by another standard curve, such as Yalin and Karahan's curve, that
291 justifies the inclusion of Yalin and Karahan's curve in Fig. 5.

292 The Rouse number $\zeta [= w_s/(\kappa u_*^*)]$ is an essential parameter that provides a measure of the
293 relative effect of the gravity and the turbulence on a sediment particle in suspension. It can
294 therefore be used to examine the condition of suspended sediment concentration. For instance,
295 smaller the values of ζ , more particles are likely to be in suspension. Regarding the computation
296 of Rouse number ζ , the related conversion are made by using Eqs. (24) and (25). In Fig. 6, Rouse
297 number ζ versus particle parameter d_* for probability of suspension $P_s = 0.05$ are plotted using
298 Eqs. (22) and (23). As $P_s = 0.05$ produces the curve ζ versus d_* that completely matches with
299 that proposed by Cheng and Chiew (1999), $P_s = 0.05$ is used as an index for the threshold of
300 sediment suspension in this study. It means that the sediment suspension begins with bringing
301 5% of particles in suspension from a given area at the top of bed-layer. Note that Cheng and
302 Chiew (1999) who used a Gaussian probability distribution obtained the curve for $P_s = 0.1$,
303 which is double the value of P_s obtained using the exponential distribution. It implies that the
304 exponential based probability distribution, which has a sharp pick as compared to Gaussian
305 distribution, yields the threshold criterion for suspension at a lower value of probability.
306 Importantly, Bose and Dey (2010) showed that the exponential based probability distributions
307 for the velocity fluctuations are universal, as discussed in introduction. Reverting to Fig. 6, it is
308 evident that ζ increases sharply with d_* up to $d_* = 15$ and then ζ becomes independent of d_* for d_*
309 > 15 . The curves ζ versus d_* drawn from the threshold criterion of suspension given by Bagnold
310 (1966), Xie (1981), van Rijn (1984b), Sumer (1986), Celik and Rodi (1991) and Cheng and
311 Chiew (1999) are superimposed for the comparison. The curves of various investigators yield

312 widely varying results for $d_* < 50$; while the threshold criterion lies in between $\zeta \approx 4.8$ and 6.1
313 for $d_* \geq 50$. However, Bagnold's (1966) curve provides a much reduced constant value of $\zeta =$
314 3.05.

315 Further, in Fig. 7, Rouse number ζ is plotted against Θ_c for $P_s = 0.05$. This curve clearly
316 illustrates that for the given values of ζ and Θ whether the sediment particles of a given size in a
317 flow can be in suspension. In fact, the curve can be used as a predication curve for the
318 determination of threshold criterion for sediment suspension in terms of ζ and Θ_c . For instance, a
319 particle can be in suspension if $\Theta(\zeta = 3.5) > 0.103$, as illustrated in Fig. 7. Fig. 8 illustrates the
320 divisions of suspended-load, bed-load and no motion according to the present study and the
321 entrainment threshold curve of Yalin and Karahan. Therefore, both the diagrams given in Figs. 7
322 and 8 can be used as for predicting the criterion for sediment suspension.

323

324 **Conclusions**

325 This study presents the theoretical development of the probability function for sediment
326 entrainment to bed-load and the probability function for the threshold of sediment suspension in
327 free surface flows. The functions are derived from the universal two-sided exponential or
328 Laplace distribution based Gram-Charlier series expansions (Bose and Dey 2010), that are
329 simple and easy to use. The probability for sediment entrainment as a function of Shields
330 parameter for the lift coefficient of 0.15 agrees well with the experimental data. However, the
331 range of lift coefficient 0.12 - 0.2 forms an envelope of all the experimental data of plane bed,
332 indicating that the sediment entrainment takes place within that range. On the other hand, for the
333 value of probability 0.05, the threshold of sediment suspension is indicated. The prediction
334 curves for the criterion of threshold of sediment suspension are proposed in terms of Rouse

335 number as a function of Shields parameter and also Shields parameter as a function of shear
336 Reynolds number.

337 The present study has couple of important implications. First, it provides a plausible
338 explanation about the probabilistic model on the bed-load transport, where an estimation of
339 entrainment probability plays a key role. Second, following the new universal non-Gaussian
340 probability of turbulence by Bose and Dey (2010), this study sheds some light on the non-
341 Gaussian behavior of entrainment probability and threshold of suspension. Therefore, the
342 findings of the study raise a number of issues that can address how to analyze the sediment
343 entrainment and suspension, as a future scope of research. The most important is how best to
344 include the non-Gaussian behavior of entrainment probability and threshold of suspension into a
345 model of the sediment transport process.

346

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349 Technology, Kharagpur for providing fellowship to visit the Institute during the course of this
350 study.

351

352 **Notation**

353 *The following symbols are used in this paper:*

354 C_L = lift coefficient;

355 d = median diameter of particle;

356 d^* = particle parameter;

357 F_D = drag force;

- 358 F_G = submerged weight of particle;
- 359 F_L = lift force;
- 360 g = acceleration due to gravity;
- 361 k_s = Nikuredse equivalent roughness height;
- 362 $M_{jk} = \overline{\hat{u}^j \hat{v}^k}$;
- 363 P = total probability function for entrainment;
- 364 P_s = total probability function for threshold of suspension;
- 365 $p_{\hat{u}}(\hat{u})$ = probability density function for \hat{u} ;
- 366 $p_{u'}(u')$ = probability density function for u' ;
- 367 $p_{\hat{v}}(\hat{v})$ = probability density function for \hat{v} ;
- 368 $p_{v'}(v')$ = probability density function for v' ;
- 369 R_* = shear Reynolds number;
- 370 R_{*c} = threshold shear Reynolds number;
- 371 s = relative density of sediment;
- 372 U = free stream velocity;
- 373 u = instantaneous flow velocity in streamwise direction;
- 374 \bar{u} = time-averaged u ;
- 375 $\hat{u} = u'/\sigma_1$;
- 376 u' = fluctuations of u ;
- 377 u_* = shear velocity;
- 378 u_{*c} = threshold shear velocity;
- 379 u_b = instantaneous near-bed velocity;
- 380 \bar{u}_b = time-averaged near-bed velocity;

381 v = instantaneous flow velocity in vertical direction;
382 \bar{v} = time-averaged v ;
383 \hat{v} = v'/σ_2 ;
384 v' = fluctuations of v ;
385 w_s = terminal fall velocity;
386 x = streamwise distance;
387 y = vertical distance;
388 y_0 = zero-velocity level;
389 Δ = submerged relative density of particle;
390 Θ = Shields parameter;
391 Θ_c = threshold Shields parameter;
392 κ = von Kármán constant;
393 μ_d = coefficient of dynamic friction;
394 ν = kinematic viscosity of fluid;
395 ρ = mass density of fluid;
396 ρ_s = mass density of sediment;
397 σ_1 = rms of u' ;
398 σ_2 = rms of v' ;
399 τ_{0c} = threshold bed shear stress; and
400 ζ = Rouse number.

401

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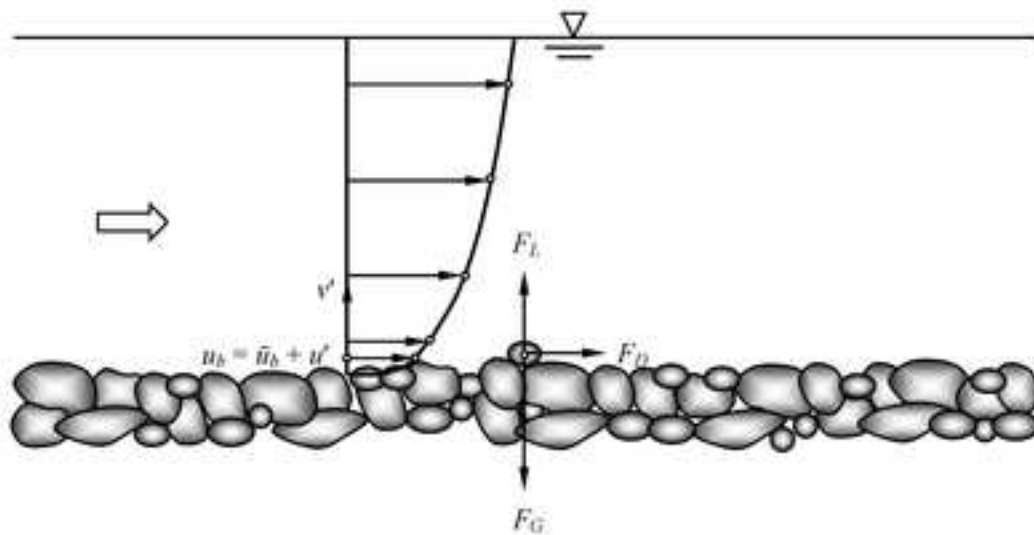


Fig. 1. Schematic of a particle subjected to instantaneous hydrodynamic forces due to near-bed fluctuating velocity components

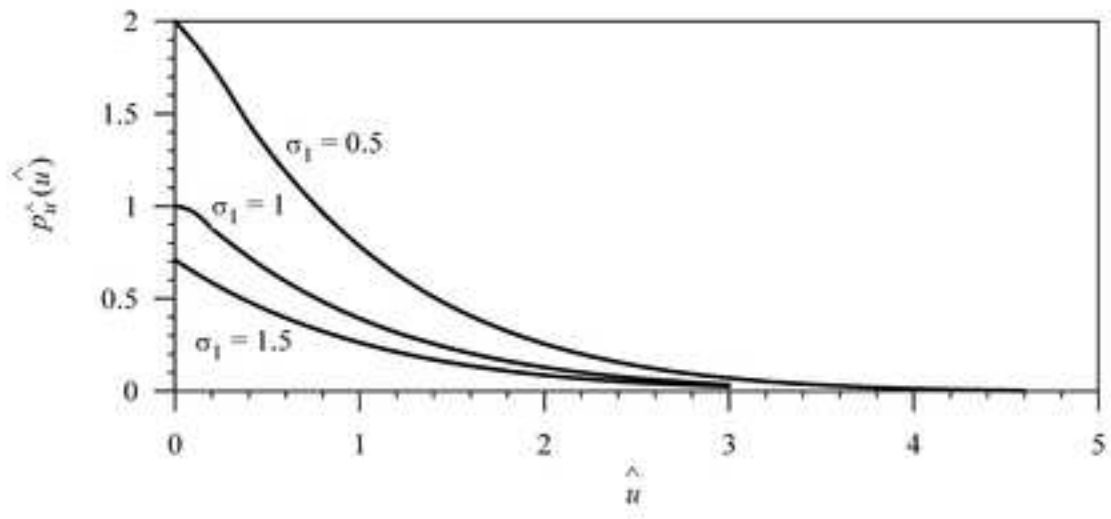


Fig. 2. Probability density $p_r(\hat{u})$ of normalized streamwise velocity fluctuations \hat{u} for different values of $\sigma_1 = 0.5, 1$ and 1.5 computed from Eq. (12)

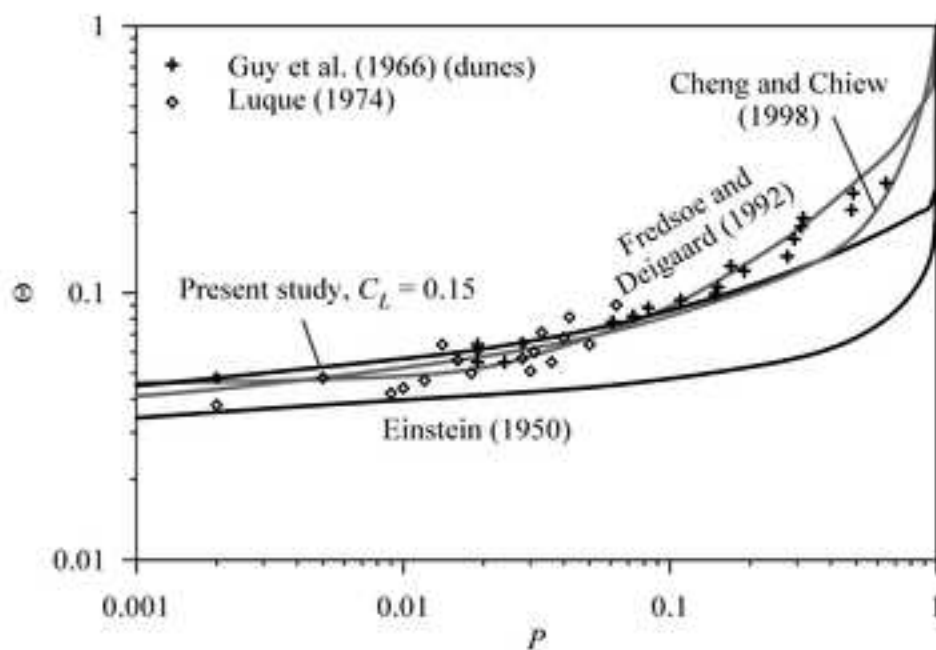


Fig. 3. Variation of Shields parameter Θ with probability P of sediment entrainment. The Θ versus P curves obtained from the entrainment criterion given by Einstein (1950), Fredsoe and Deigaard (1992) and Cheng and Chiew (1998) and the experimental data of Guy et al. (1966) and Luque (1974) are shown for the comparison purpose

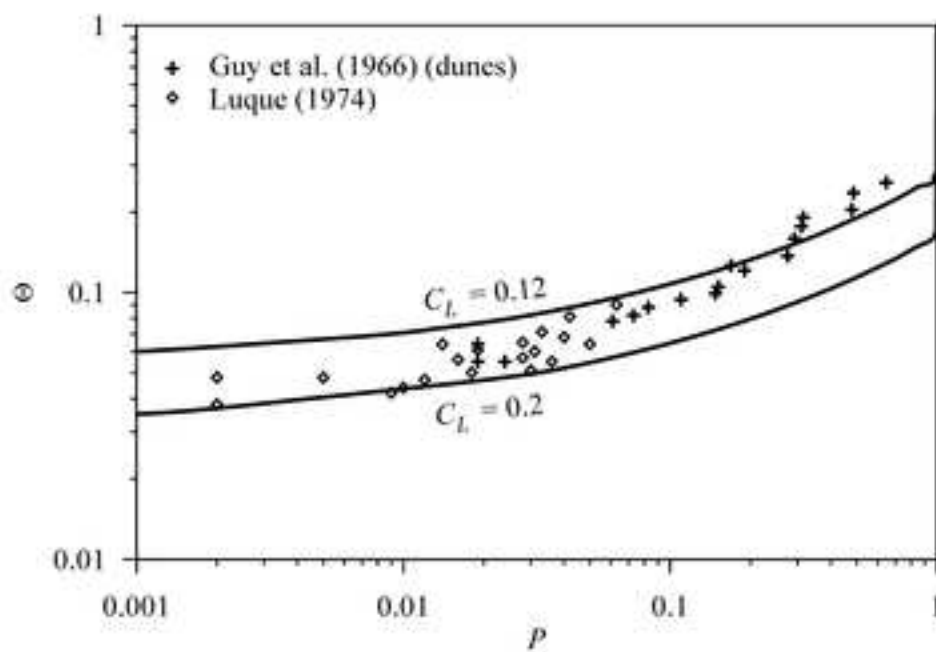


Fig. 4. Curves for Shields parameter Θ versus probability P of sediment entrainment for $C_L = 0.12$ and 0.2 forming an envelope of the experimental data

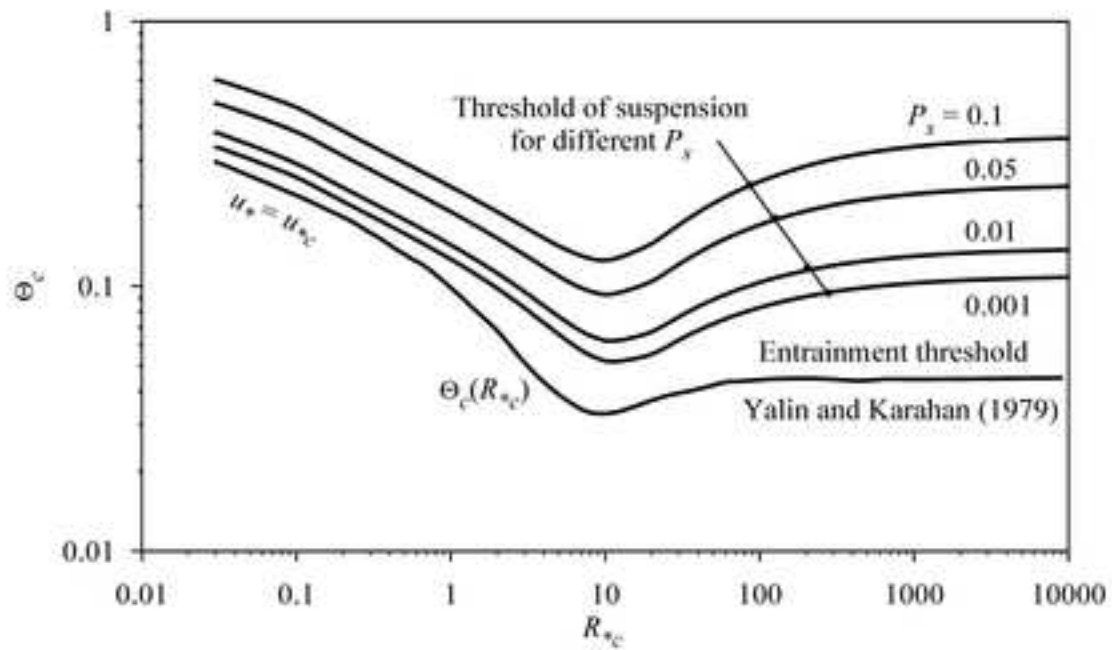


Fig. 5. Curves for the threshold of suspension in terms of $\Theta_c(R_{*c})$ for different values of $P_s = 0.001, 0.01, 0.05$ and 0.1 . Entrainment threshold curve given by Yalin and Karahan (1979) is superimposed for comparison

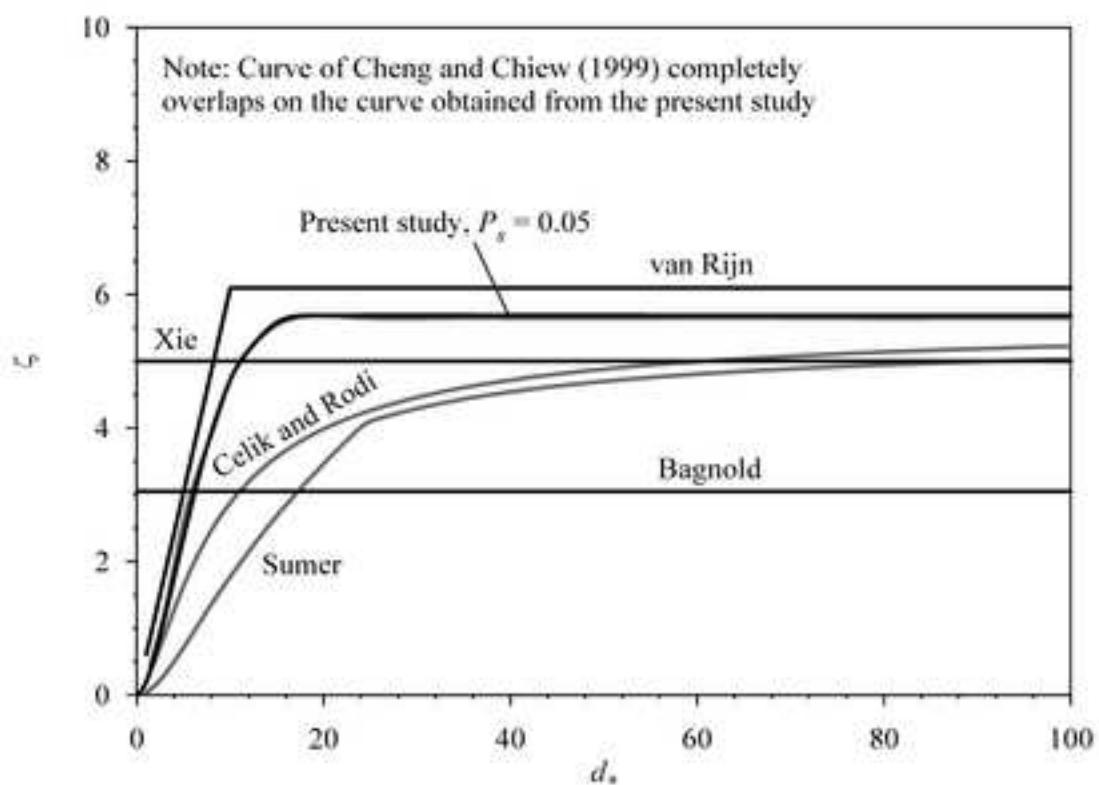


Fig. 6. Variations of Rouse number ζ with particle parameter d_* . The curves of ζ versus d_* drawn from the threshold criterion given by Bagnold (1966), Xie (1981), van Rijn (1984b), Sumer (1986), Celik and Rodi (1991) and Cheng and Chiew (1999) are superimposed for the comparison purpose

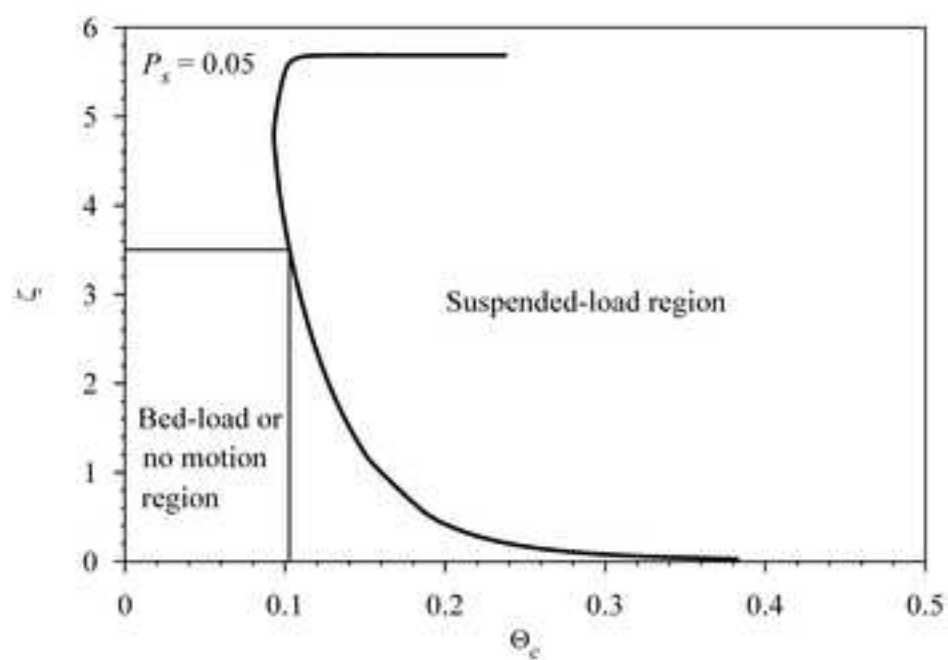


Fig. 7. Diagram for the prediction of threshold of suspended-load from bed-load in terms of Rouse number ζ as a function of Θ_c