

## A generalization of the Enright-Varadarajan Modules

R. Parthasarathy

For a semisimple Lie group admitting discrete series Enright and Varadarajan have constructed a class of modules. Denoted  $D_{P,\lambda}$  (cf. [3]). Their infinitesimal description based on the theory of Verma modules parallels that of finite dimensional irreducible modules. The introduction of the modules  $D_{P,\lambda}$  in [3] was primarily to give an infinitesimal characterization of discrete series but we feel that [3] may well be a starting point for a fresh approach towards dealing with the problem of classification of irreducible representations of a general semisimple Lie algebra.

In order to give more momentum to such an approach we first construct modules which broadly generalize those in [3]. We briefly describe them now.

Let  $\mathfrak{g}_0$  be any real semisimple Lie algebra,  $\mathfrak{g}_0 = \mathfrak{k}_0 + \mathfrak{p}_0$  a Cartan decomposition and  $\theta$  the associated Cartan involution. Let  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  be the complexification. Let  $U(\mathfrak{g}), U(\mathfrak{k})$  be the enveloping algebras of  $\mathfrak{g}, \mathfrak{k}$  respectively and let  $U^\mathfrak{k}$  be the centralizer of  $\mathfrak{k}$  in  $U(\mathfrak{g})$ . For each  $\theta$  stable parabolic subalgebra  $\mathfrak{q}$  of  $\mathfrak{g}$  we associate in this paper a class of irreducible  $\mathfrak{k}$  finite  $U(\mathfrak{g})$  modules having the following property: Like finite dimensional irreducible modules and like the Enright-Varadarajan modules  $D_{P,\lambda}$ , any member of this class comes with a special irreducible  $\mathfrak{k}$ -type occurring in it with multiplicity one, with an explicit description of the action of  $U^\mathfrak{k}$  on the corresponding isotypical  $\mathfrak{k}$ -type. We obtain these modules by extending the techniques in [3].

To see in what way these modules are related to the  $\theta$  invariant parabolic subalgebra  $\mathfrak{q}$  we refer the reader to §2.

When our parabolic subalgebra  $\mathfrak{q}$  is minimal in  $\mathfrak{g}$  and when  $\text{rank of } \mathfrak{g} = \text{rank of } \mathfrak{k}$ , the class of  $U(\mathfrak{g})$  modules which we associate to this  $\mathfrak{q}$  coincides with the class of modules  $D_{P,\lambda}$  of [3] (with a slight difference

in parametrization). On the other hand when  $\mathfrak{q} = \mathfrak{g}$  is the maximal parabolic subalgebra, the class we obtain is just the class of all finite dimensional irreducible representations of  $\mathfrak{g}$ . If  $\mathfrak{k}$  has trivial center, the trivial one dimensional  $U(\mathfrak{g})$  module is not equivalent to any of the modules  $D_{P,\lambda}$  of [3]. This gap is bridged by the introduction of our class of  $U(\mathfrak{g})$  modules for every intermediate  $\theta$  invariant parabolic subalgebra  $\mathfrak{q}$  between  $\mathfrak{q} = \mathfrak{g}$  and  $\mathfrak{q} = \mathfrak{a}$   $\theta$  invariant Borel subalgebra of  $\mathfrak{g}$ .

We have to point out that the knowledge of [3] is a necessary prerequisite to read this paper. If an argument or construction needed at some stage of this paper is parallel to that in [3] then instead of repeating them, we simply refer to [3].

## §1. $\theta$ -stable parabolic subalgebras

As in the introduction,  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  is the complexified Cartan decomposition arising from a real one  $\mathfrak{g}_0 = \mathfrak{k}_0 + \mathfrak{p}_0$ . Let  $\theta$  be the Cartan involution. Let  $\mathfrak{b}$  the complexification of a fixed Cartan subalgebra  $\mathfrak{b}_0$  of  $\mathfrak{k}_0$ . Then the centralizer of  $\mathfrak{b}$  in  $\mathfrak{g}$  is a  $\theta$  stable Cartan subalgebra  $\mathfrak{h}$ , of  $\mathfrak{g}$ . We can write

$$(1.1.) \quad \mathfrak{h} = \mathfrak{b} + \mathfrak{a}$$

where  $\mathfrak{a} = \mathfrak{p} \cap \mathfrak{h}$ . Let  $\mathfrak{a}_0 = \mathfrak{a} \cap \mathfrak{g}_0$  and  $\mathfrak{h}_0 = \mathfrak{h} \cap \mathfrak{g}_0$ . Let  $\Delta$  be set of roots of  $(\mathfrak{g}, \mathfrak{h})$ . For  $\alpha$  in  $\Delta$ , denote by  $\mathfrak{g}^\alpha$  the corresponding root space.

**(1.2) Lemma** Let  $\mathfrak{r}_{\mathfrak{k}}$  be a Borel subalgebra of  $\mathfrak{k}$  containing  $\mathfrak{b}$ . Let  $\mathfrak{q}$  be a  $\theta$  stable parabolic subalgebra of  $\mathfrak{g}$  containing  $\mathfrak{h}$  and assume that  $\mathfrak{q}$  contains  $\mathfrak{r}_{\mathfrak{k}}$ . Then  $\mathfrak{q}$  contains a  $\theta$  stable Borel subalgebra  $\mathfrak{r}_{\mathfrak{g}}$  such that (i)  $\mathfrak{h} \subseteq \mathfrak{r}$  and (ii)  $\mathfrak{r}_{\mathfrak{k}} \subseteq \mathfrak{r}$ .

**Proof** Let  $\mathfrak{u}$  be the unipotent radical of  $\mathfrak{q}$ . Define a  $\theta$  invariant element  $\mu$  of  $\mathfrak{h}^X (= \text{Hom}_{\mathbb{C}}(\mathfrak{h}, \mathbb{C}))$  by  $\mu(H) = \text{trace}(\text{ad}(H)\mathfrak{u})$ . Let  $H'_\mu$  in  $\mathfrak{h}$  be defined by  $\lambda(H'_\mu) = (\lambda, \mu)$  for every  $\lambda$  in  $\mathfrak{h}^X$ . (Here and in the following the bilinear form is the nondegenerate one induced by the Killing form of  $\mathfrak{g}$ ). Then

$$(1.3) \quad \theta(H'_\mu) = H'_\mu \text{ so } H'_\mu \in \mathfrak{b}.$$

Let

$$(1.4) \quad \Delta(\mathfrak{q}) = \{\alpha \in \Delta \mid \alpha(H'_\mu) \geq 0\}.$$

Then one can see that

$$(1.5) \quad \mathfrak{q} = \mathfrak{h} + \sum_{\alpha \in \Delta(\mathfrak{q})} \mathfrak{g}^\alpha.$$

Let  $C_{\mathfrak{k}}$  be the open Weyl chamber in  $i\mathfrak{b}_0$  for  $(\mathfrak{k}, \mathfrak{b})$  defined by the Borel subalgebra  $r_{\mathfrak{k}}$ . Since we assumed that  $r_{\mathfrak{k}} \subseteq \mathfrak{q}$ , it follows from 1.5 that

$$(1.6) \quad H'_\mu \in \overline{C}_{\mathfrak{k}} = \text{the closure of } C_{\mathfrak{k}}.$$

Let  $\alpha$  be in  $\Delta$ . If  $\alpha$  is identically zero on  $\mathfrak{b}$ , it would follow that  $\mathfrak{b}$  is not maximal abelian in  $\mathfrak{k}$ . Hence  $\alpha$  is not identically zero on  $\mathfrak{b}$ . Let  $C'_{\mathfrak{k}}$  be the open subset of  $C_{\mathfrak{k}}$  got by deleting points of  $C_{\mathfrak{k}}$  where some  $\alpha$  belonging to  $\Delta$  vanishes. Then  $C'_{\mathfrak{k}}$  is the disjoint union

$$(1.7) \quad C'_{\mathfrak{k}} = U_{i=1 \dots N} C'_{\mathfrak{k},j}$$

of its connected components and one has

$$(1.8) \quad \overline{C}_{\mathfrak{k}} = U_{i=1 \dots N} \overline{C'}_{\mathfrak{k},j}.$$

Choose an index  $M$  between 1 and  $N$  such that

$$(1.9) \quad H'_\mu \in \overline{C'}_{\mathfrak{k},M}.$$

Now choose an element  $X_j$  in  $C'_{\mathfrak{k},j}$  and consider the weight space decomposition of  $\mathfrak{g}$  with respect to  $\mathfrak{ad}(X_j)$ . We now define a Borel subalgebra  $\mathfrak{r}$  of  $\mathfrak{g}$  by

$$(1.10) \quad \mathfrak{r}^j = \text{the sum of the eigen spaces for } \mathfrak{ad}(X_j)$$

with nonnegative eigenvalues.

If we define

$$(1.11) \quad P^j = \{\alpha \in \Delta \mid \alpha(X_j) > 0\}$$

then clearly  $P^j$  is a positive system of roots in  $\Delta$  and  $\mathfrak{r}^j = \mathfrak{h} + \sum_{\alpha \in P^j} \mathfrak{g}^\alpha$ . Since  $X_j$  belongs to  $\mathfrak{k}$  clearly both  $\mathfrak{r}^j$  and  $P^j$  are  $\theta$  stable. 1.9 implies that for every  $\alpha$  in  $P^M$ ,  $\alpha(H'_\mu)$  is nonnegative. Hence from 1.4 and 1.5

$$(1.12) \quad \mathfrak{r}^M \subseteq \mathfrak{q}.$$

Also since  $X_M$  belongs to  $C_{\mathfrak{k}}$ , (1.10) implies that

$$(1.13) \quad \mathfrak{r}_{\mathfrak{k}} \text{ is contained in } \mathfrak{r}^M.$$

(q.e.d.)

**(1.14) Corollary** *Let  $\mathfrak{r}_{\mathfrak{k}}$  be as in Lemma 1.2. Let  $\mathfrak{r}$  be a  $\theta$  stable Borel subalgebra of  $\mathfrak{g}$  containing  $\mathfrak{r}_{\mathfrak{k}}$ . Then  $\mathfrak{r}$  equals one of the  $N$  Borel subalgebras  $\mathfrak{r}^j$  of (1.10).*

**Proof** Since  $\mathfrak{r}$  contains  $\mathfrak{b}$ ,  $\mathfrak{r}$  contains a Cartan subalgebra of  $\mathfrak{g}$  containing  $\mathfrak{b}$ .  $\mathfrak{h}$  is the unique Cartan subalgebra of  $\mathfrak{g}$  containing  $\mathfrak{b}$ . Hence  $\mathfrak{r}$  contains  $\mathfrak{h}$ . In the proof of Lemma 1.2 take  $\mathfrak{q} = \mathfrak{r}$ . Then it is seen  $\mathfrak{r} = \mathfrak{r}^M$ . (q.e.d.)

Rather than starting with a Borel subalgebra  $\mathfrak{r}_{\mathfrak{k}}$  of  $\mathfrak{k}$  containing  $\mathfrak{b}$ , we want to start with an arbitrary  $\theta$  invariant parabolic subalgebra of  $\mathfrak{g}$  and recover the set up in Lemma 1.2. For this we prove the following lemma.

**(1.15) Lemma** *Let  $\mathfrak{q}$  be an arbitrary  $\theta$  stable parabolic subalgebra of  $\mathfrak{g}$ . Then  $\mathfrak{q}$  contains a Borel subalgebra of  $\mathfrak{k}$ .*

**Proof** Let  $Ad(\mathfrak{g})$  be the adjoint group of  $\mathfrak{g}$  and  $Q$  the parabolic subgroup with Lie algebra  $\mathfrak{q}$ . Let  $G^u$  be the compact form of  $Ad(\mathfrak{g})$  with Lie algebra  $\mathfrak{k}_0 + i\mathfrak{p}_0$ . Note that  $G^u$  is  $\theta$ -stable. It is well known that  $G^u \cap Q$  is a compact form of a reductive Levi factor of  $Q$  (cf.[8, § 1.2]). But  $G^u \cap Q$  is  $\theta$  stable since  $G^u$  and  $Q$  are  $\theta$  stable. Thus, going to the Lie algebra level,  $\mathfrak{q}$  has a reductive Levi supplement which is  $\theta$  stable. In this reductive Levi supplement we can surely find some  $\theta$  stable Cartan subalgebra  $\mathfrak{h}'$  of  $\mathfrak{g}$ . Then, as in the proof of Lemma 1.2, we can find an element  $H'_\mu$  in  $\mathfrak{h}'$  such that  $\theta(H'_\mu) = H'_\mu$  and such that  $\mathfrak{q}$  is the sum of the nonnegative eigenspaces of  $ad(H'_\mu)$ . Since  $H'_\mu$  lies in  $\mathfrak{h}' \cap \mathfrak{k}$ , clearly it follows that  $\mathfrak{q}$  contains a Borel subalgebra of  $\mathfrak{k}$ . (q.e.d.)

**(1.16) Corollary** *Let  $\mathfrak{r}$  be any  $\theta$  stable Borel subalgebra of  $\mathfrak{g}$ . Then  $\mathfrak{r} \cap \mathfrak{k}$  is a Borel subalgebra of  $\mathfrak{k}$ .*

## §2. The objects $\mathfrak{r}, \mathfrak{r}', PP'$ and the choice of $P''$ associated with a $\theta$ stable parabolic subalgebra $\mathfrak{q}$

Now let  $\mathfrak{q}$  be a  $\theta$  stable parabolic subalgebra of  $\mathfrak{g}$ . By (1.15) we can find a Borel subalgebra  $\mathfrak{r}_{\mathfrak{k}}$  of  $\mathfrak{k}$  contained in  $\mathfrak{q}$ . We fix a Cartan subalgebra  $\mathfrak{b}_0$  of  $\mathfrak{k}_0$  contained in  $\mathfrak{r}_{\mathfrak{k}}$ . Let  $\mathfrak{a}_0$  be the centralizer of  $\mathfrak{b}_0$  in  $\mathfrak{p}_0$ . Then  $\mathfrak{h}_0 = \mathfrak{b}_0 + \mathfrak{a}_0$  is a  $\theta$  stable Cartan subalgebra of  $\mathfrak{g}_0$ . Let  $\mathfrak{h} = \mathfrak{b} + \mathfrak{a}$  be its complexification. Note that  $\mathfrak{h} \subseteq \mathfrak{q}$ . By (1.12), we can find a  $\theta$  stable Borel subalgebra  $\mathfrak{r}$  of  $\mathfrak{g}$  such that  $\mathfrak{r}_{\mathfrak{k}} \subset \mathfrak{r}$  and  $\mathfrak{r} \subset \mathfrak{q}$ . One has then  $\mathfrak{h} \subset \mathfrak{r}$ . There is a unique Borel subalgebra  $\mathfrak{r}'$  of  $\mathfrak{g}$  contained in  $\mathfrak{q}$  such that

$$(2.1) \quad \mathfrak{r} \cap \mathfrak{r}' = \mathfrak{h} + \mathfrak{u} \text{ where } \mathfrak{u} \text{ is the unipotent radical of } \mathfrak{q}.$$

Since  $\theta(\mathfrak{r}')$  has the same property, we have  $\theta(\mathfrak{r}') = \mathfrak{r}'$ . Let  $\mathfrak{r}'_{\mathfrak{k}} = \mathfrak{r}' \cap \mathfrak{k}$ . Then by (1.16),  $\mathfrak{r}'_{\mathfrak{k}}$  is a Borel subalgebra of  $\mathfrak{k}$ . We observe that  $\mathfrak{r}'_{\mathfrak{k}}$  is the unique Borel subalgebra of  $\mathfrak{k}$  such that

$$(2.2) \quad \mathfrak{r}_{\mathfrak{k}} \cap \mathfrak{r}'_{\mathfrak{k}} = \mathfrak{b} + \mathfrak{u}_{\mathfrak{k}} \text{ where } \mathfrak{u}_{\mathfrak{k}} \text{ is the unipotent radical of } \mathfrak{q}_{\mathfrak{k}} (= \mathfrak{q} \cap \mathfrak{k}).$$

We denote by  $W_{\mathfrak{k}}$  the Weyl group of  $(\mathfrak{k}, \mathfrak{b})$  and by  $W_{\mathfrak{g}}$  the Weyl group of  $(\mathfrak{g}, \mathfrak{h})$ .  $W_{\mathfrak{k}}$  is naturally embedded in  $W_{\mathfrak{g}}$  as follows. If  $s$  belongs to  $W_{\mathfrak{k}}$  then  $s$  normalizes  $\mathfrak{b}$ , hence also normalizes the centralizer of  $\mathfrak{b}$  in  $\mathfrak{g}$  which is precisely  $\mathfrak{h}$ . Thus  $s$  belongs to  $W_{\mathfrak{g}}$ .

We will now define two distinguished elements of the Weyl group  $W_{\mathfrak{k}}$ . Let  $t$  be the unique element of  $W_{\mathfrak{k}}$  such that  $t(P_{\mathfrak{k}}) = -P_{\mathfrak{k}}$ . Next we denote by  $\tau$  the unique element of the Weyl group  $W_{\mathfrak{k}}$  such that  $\tau(P_{\mathfrak{k}}) = P'_{\mathfrak{k}}$ . The class of  $U(\mathfrak{g})$  modules associated to  $\mathfrak{q}$  will be parametrized by some subsets of  $h^X$ . We now prepare to describe these. Let  $\Delta_{\mathfrak{k}}$  be the set of roots for  $(\mathfrak{k}, \mathfrak{b})$ . Whenever possible we will denote elements of  $\Delta_{\mathfrak{k}}$  by  $\varphi$  while elements of  $\Delta (= \text{the roots of } (\mathfrak{g}, \mathfrak{h}))$  will be denoted by  $\alpha$ . For a root  $\varphi$  in  $\Delta_{\mathfrak{k}}$ , denote by  $X_{\varphi}$  a nonzero root vector in  $\mathfrak{k}$  of weight  $\varphi$ . For  $\alpha$  in  $\Delta$ , we denote by  $E_{\alpha}$  a nonzero root vector in  $\mathfrak{g}$  of weight  $\alpha$ . Let  $P$  and  $P'$  be the sets of positive roots in  $\Delta$  defined respectively by  $\mathfrak{r}$  and  $\mathfrak{r}'$ . Next let  $P_{\mathfrak{k}}$  and  $P'_{\mathfrak{k}}$  be the sets of positive roots in  $\Delta_{\mathfrak{k}}$  defined respectively by  $\mathfrak{r}_{\mathfrak{k}}$  and  $P'_{\mathfrak{k}}$ . Let  $\delta$  and  $\delta'$  denote half the sum of the roots in  $P$  and  $P'$  respectively and let  $\delta_{\mathfrak{k}}$  and  $\delta'_{\mathfrak{k}}$  denote half the sum of the roots in  $P_{\mathfrak{k}}$  and  $P'_{\mathfrak{k}}$  respectively.

Let  $P''$  be a  $\theta$  stable positive system of roots in  $\Delta$  such that if  $\mathfrak{r}''$  is the corresponding  $\theta$  stable Borel subalgebra of  $\mathfrak{g}$  then

$$(2.3) \quad \mathfrak{r}'' \supseteq \mathfrak{r}'_{\mathfrak{k}} \text{ and}$$

$$(2.4) \quad P'' \supseteq P' \cap -P.$$

**(2.5) Remark** If one takes  $P'' = P'$  then (2.3) and (2.4) are clearly satisfied. If  $\mathfrak{q}$  is a Borel subalgebra then  $P' = P$  and  $P''$  which satisfies (2.3) also satisfies (2.4). If  $\mathfrak{q} = \mathfrak{g}$ , then  $P' = -P$ ; the only candidate which satisfies (2.3) and (2.4) is  $P'' = P'$ .

We can now describe the modules that we want to construct. As usual for  $\alpha$  in  $P$  denote by  $H_\alpha$  the element of  $i\mathfrak{b}_0 + \mathfrak{a}_0$  such that  $\lambda(H_\alpha) = 2(\lambda, \alpha)/(\alpha, \alpha)$  for every  $\lambda$  in  $\mathfrak{h}^X$ . Similarly for  $\varphi$  in  $P_{\mathfrak{k}}$ , denote by  $H_\varphi^{\mathfrak{k}}$  the element of  $i\mathfrak{b}_0$  such that  $\lambda(H_\varphi^{\mathfrak{k}}) = 2(\lambda, \varphi)/(\varphi, \varphi)$  for every  $\lambda$  in  $\mathfrak{b}^X$ . (Note: The Killing form of  $\mathfrak{g}$  induces a nondegenerate bilinear form on  $\mathfrak{b}$  which in turn induces one on  $\mathfrak{b}^X$ ).

Let  $F(P'' : \mathfrak{q}, \mathfrak{r})$  be the set of all elements  $\mu$  in  $\mathfrak{h}^X$  with the following properties:

$$(2.6) \quad \mu(H_\alpha) \text{ is a nonnegative integer for every } \alpha \text{ in } P''.$$

$$(2.7) \quad \mu(H_\varphi^{\mathfrak{k}}) \text{ is nonzero for every } \varphi \text{ in } P_{\mathfrak{k}} \text{ and } \mu(H_\varphi) \text{ is nonzero for every } \alpha \text{ in } P \cap -P'.$$

**Example** Suppose  $\mu$  belonging to  $\mathfrak{h}^X$  is such that  $\mu(H_\alpha)$  is a positive integer for every  $\alpha$  in  $P''$ . Then one can show that  $\mu$  belongs to  $F(P'' : \mathfrak{q}, \mathfrak{r})$ . The method of showing that  $\mu(H_\varphi^{\mathfrak{k}})$  is nonzero for every  $\varphi$  in  $P_{\mathfrak{k}}$  can be found in the proof of (3.6).

We now use some definitions and notations from [3, §§ 2,5] (cf. also §§ 3,5 here). Let  $U^{\mathfrak{k}}$  be the centralizer of  $\mathfrak{k}$  in  $U(\mathfrak{g})$ . Let  $\mu \in F(P'' : \mathfrak{q}, \mathfrak{r})$ . Our aim is to construct a  $\mathfrak{k}$ -finite irreducible  $U(\mathfrak{g})$  module, denoted  $D_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu)$  in which the irreducible  $\mathfrak{k}$  type with highest weight  $-t(\tau\mu + \tau\delta - \tau\delta_{\mathfrak{k}} - \delta_{\mathfrak{k}})$  (cf. 3.7) occurs with multiplicity one and such that on the corresponding isotypical  $U(\mathfrak{k})$  submodule, elements of  $U^{\mathfrak{k}}$  act by scalars given by the homomorphism  $\chi_{P, -\mu - \delta}$  (cf. § 5).

**(2.8) Remark** Fix  $\mathfrak{q}$  and  $\mathfrak{r}$ . For any compatible choice of  $P''$  and for any element  $\mu$  in  $F(P'' : \mathfrak{q}, \mathfrak{r})$ , we will show (cf. 3.6) that (i)  $-\mu - \delta(H_\alpha)$  is a nonnegative integer for every  $\alpha$  in  $P \cap -P'$  and (ii)  $\tau\mu + \tau\delta - \tau\delta_{\mathfrak{k}} - \delta_{\mathfrak{k}}(H_\varphi^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $P_{\mathfrak{k}}$ . Now define  $\overline{F}(\mathfrak{q}, \mathfrak{r})$  to consist of all  $\mu$  in  $\mathfrak{h}^X$  satisfying (i) and (ii) above. In general  $\overline{F}(\mathfrak{q}, \mathfrak{r})$  properly contains  $\cup_{P''} F(P'' : \mathfrak{q} : \mathfrak{r})$ . Our constructions

and proofs in §§ 3,4,5 go through perfectly well for any  $\mu$  in  $\overline{F}(\mathfrak{q}, \mathfrak{r})$  and so we do have a  $\mathfrak{k}$ -finite irreducible  $U(\mathfrak{g})$  module in which the irreducible  $\mathfrak{k}$  type with highest weight  $-t(\tau\mu + \tau\delta - \tau\delta_{\mathfrak{k}})$  occurs with multiplicity one and such that on the corresponding isotypical  $U(\mathfrak{k})$  submodule elements of  $U^{\mathfrak{k}}$  act by scalars given by  $\chi_{P, -\mu-\delta}$ . We have restricted ourselves to the subsets  $F(P'' : \mathfrak{q}, \mathfrak{r})$  rather than all of  $\overline{F}(\mathfrak{q}, \mathfrak{r})$  only because condition (ii) is the definition of  $\overline{F}(\mathfrak{q}, \mathfrak{r})$  is quite incomprehensible.

### §3

Choose and fix an element  $\mu$  in  $F(P'' : \mathfrak{q}, \mathfrak{r})$  as in § 2 (cf. (2.6) and (2.7)). For facts about Verma modules that we will be using we refer to [1,2,5,6].

Let  $M$  be any  $U(\mathfrak{g})$  module. Let  $Q$  be a subset of  $\Delta_{\mathfrak{k}}$ . An element  $v$  of  $M$  is said to be  $Q$  extreme if  $X_{\varphi} \cdot v = 0$  for every  $\varphi$  in  $Q$ . For  $\lambda$  in  $\mathfrak{h}^X$ ,  $v$  is called a weight vector of weight  $\lambda$  with respect to  $\mathfrak{b}$  if  $H \cdot v = \lambda(H) \cdot v$  for all  $H$  in  $\mathfrak{b}$ . By  $J(M)$  we denote the set of all  $\lambda$  in  $\mathfrak{h}^X$  for which there exists a nonzero weight vector of weight  $\lambda$  in  $M$ , which is  $P_{\mathfrak{k}}$  extreme where  $P_{\mathfrak{k}}$  is the positive system of roots in  $\Delta_{\mathfrak{k}}$  defined in §2. For  $\varphi$  in  $\Delta_{\mathfrak{k}}$ ,  $M$  is said to be  $X_{\varphi}$  free if  $X_{\varphi} \cdot v = 0$  implies  $v = 0$ . For a subalgebra  $\mathfrak{s}$  of  $\mathfrak{g}$ ,  $M$  is said to be  $\mathfrak{s}$ -finite if every vector of  $M$  lies in a finite dimensional  $\mathfrak{s}$  submodule of  $M$ . For any  $\eta$  in  $\pi_{\mathfrak{k}}$  let  $m(\eta)$  denote the subalgebra of  $\mathfrak{g}$  spanned by the elements  $X_{\eta}, X_{-\eta}$  and  $H_{\eta}^k$ . For the notion of  $U(\mathfrak{k})$  module of ‘type  $P_{\mathfrak{k}}$ ’ we refer to [3, § 2].

Let  $P_0$  be a positive system of roots of  $\Delta$  and let  $\lambda \in \mathfrak{h}^X$ . The Verma module  $V_{\mathfrak{g}, P_0, \lambda}$  of  $U(\mathfrak{g})$  is defined as follows: It is the quotient of  $U(\mathfrak{g})$  by the left ideal generated by the elements  $H - \lambda(H), (H \in \mathfrak{h})$  and  $E_{\alpha} (\alpha \in P_0)$ . The Verma modules of  $U(\mathfrak{k})$  are defined similarly. We will suppress  $\mathfrak{g}$  and write  $V_{P_0, \lambda}$  for the Verma module  $V_{\mathfrak{g}, P_0, \lambda}$ .

We have the inclusions  $\mathfrak{h} \subseteq \mathfrak{r} \subseteq \mathfrak{q}$  (cf. § 2). Let  $\pi$  be the set of simple roots for  $P$ . The parabolic subalgebras of  $\mathfrak{g}$  containing  $\mathfrak{r}$  are in one to one correspondence with subsets of  $\pi$ . The subset of  $\pi$  corresponding to  $\mathfrak{q}$  is got as follows: Let  $\sigma$  in  $\mathfrak{h}^X$  be defined by  $\sigma(H) = \text{trace}(adH) | \mathfrak{u}$ . Then

$$\pi(\mathfrak{q}) = \{\alpha \in \pi \mid (\sigma, \alpha) = 0\}.$$

From standard facts about parabolic subalgebras (cf. [8, § 1.2]) we know that elements of  $P \cap -P'$  are of the form  $\sum m_i \alpha_i$  where  $m_i$  are nonnegative integers and  $\alpha_i$  are in  $\pi(\mathfrak{q})$ . For  $\alpha$  in  $\Delta$  the element  $s_{\alpha}$  of

$W_{\mathfrak{g}}$  is the reflection corresponding to  $\alpha$ . It is given by  $s_{\alpha}(\lambda) = \lambda - 2(\lambda, \alpha)/(\alpha, \alpha) \cdot \alpha$ . We now define a  $U(\mathfrak{g})$  module  $W_1$  by

$$(3.3) \quad W_1 = V_{P, -\mu - \delta}$$

considered as a  $U(\mathfrak{k})$  module it has some nice properties.

**(3.4) Lemma**  *$W_1$  considered as a module for  $U(\mathfrak{k})$  is a weight module with respect to  $\mathfrak{b}$ ; i.e.  $W_1$  is the sum of the weight spaces with respect to  $\mathfrak{b}$ . Denoting also  $-\mu - \delta$  the restriction of  $-\mu - \delta$  to  $\mathfrak{b}$ , all the weights are of the form  $-\mu - \delta - \sum n_i \varphi_i$  where  $\varphi_i$  are elements of  $P$  and  $n_i$  are positive integers. Finally the weight spaces are finite dimensional and the weight space corresponding to  $-\mu - \delta$  is one dimensional.*

**Proof** Since as a  $U(\mathfrak{g})$  module  $W_1$  is the sum of weight spaces with respect to  $\mathfrak{h} = \mathfrak{b} + \mathfrak{a}$ , the first statement is clear. Since no root  $\alpha$  in  $\Delta$  is identically zero on  $\mathfrak{b}$ , we can pick up an element  $H$  in  $\mathfrak{b}$  such that for every  $\alpha$  in  $P$ ,  $\alpha(H)$  is real and positive. As a  $U(\mathfrak{g})$  module, the weights of  $W_1$  with respect to  $\mathfrak{h}$  are of the form  $-\mu - \delta - \sum m_i \alpha_i$  ( $\alpha_i \in P$ ,  $m_i$  nonnegative integers). By considering the action of  $H$  it is clear that weight spaces of  $W_1$  with respect to  $\mathfrak{b}$  are finite dimensional and the weight space of  $\mathfrak{b}$  with weight  $-\mu - \delta$  is one dimensional. Finally since  $P$  is  $\theta$  stable the restriction to  $\mathfrak{b}$  of the weights with respect to  $\mathfrak{h}$  are of the form  $-\mu - \delta - \sum n_i \varphi_i$  where  $\varphi_i$  are in  $P$  and  $n_i$  nonnegative integers.

(q.e.d.)

**(3.5) Corollary** *The  $U(\mathfrak{k})$  submodule of  $W_1$  generated by the unique weight vector in  $W_1$  of weight  $-\mu - \delta$  is isomorphic to the  $U(\mathfrak{k})$  Verma module  $V_{\mathfrak{k}, P_{\mathfrak{k}}, -\mu - \delta}$ .  $W_1$  is  $X_{-\varphi}$  free for every  $\varphi$  in  $P_{\mathfrak{k}}$ .*

**Proof** Let  $v_1$  be the nonzero weight vector in  $W_1$  of weight  $-\mu - \delta$ .  $v_1$  is killed by every element of  $[\mathfrak{r}, \mathfrak{r}]$  hence in particular by every element of  $[\mathfrak{r}_{\mathfrak{k}}, \mathfrak{r}_{\mathfrak{k}}]$ . On the other hand let  $\bar{\mathfrak{r}}$  be the unique Borel subalgebra of  $\mathfrak{g}$  such that  $\bar{\mathfrak{r}} \cap \mathfrak{r} = \mathfrak{h}$  and let  $\mathfrak{n}(\bar{\mathfrak{r}})$  be the unipotent radical of  $\bar{\mathfrak{r}}$ . If  $\bar{\mathfrak{r}}_{\mathfrak{k}} = \bar{\mathfrak{r}} \cap \mathfrak{k}$ , then  $\bar{\mathfrak{r}}_{\mathfrak{k}}$  is the unique Borel subalgebra of  $\mathfrak{k}$  such that  $\bar{\mathfrak{r}}_{\mathfrak{k}} \cap \mathfrak{r}_{\mathfrak{k}} = \mathfrak{b}$ . Let  $U(\mathfrak{n}(\bar{\mathfrak{r}}))$  and  $U(\mathfrak{n}(\bar{\mathfrak{r}}_{\mathfrak{k}}))$  denote the corresponding enveloping algebras considered as subalgebra of  $U(\mathfrak{g})$ . One knows that  $W_1$  is  $U(\mathfrak{n}(\bar{\mathfrak{r}}))$  free, [2]. Hence in particular it is  $U(\mathfrak{n}(\bar{\mathfrak{r}}_{\mathfrak{k}}))$  free. The corollary now follows from [2, 7.1.8].

(q.e.d.)



There is an ascending chain of  $U(\mathfrak{k})$  Verma modules containing  $V_{\mathfrak{k}, P_{\mathfrak{k}}, -\mu-\delta}$ . This chain will give rise to a chain of  $U(\mathfrak{g})$  modules, which is fundamental in the work [3].

Recall the two distinguished elements  $t$  and  $\tau$  of  $W_{\mathfrak{k}}$  from § 2. The highest weight of the special irreducible representation of  $\mathfrak{k}$  which the  $U(\mathfrak{g})$  module  $D_{P'' : \mathfrak{q}, \tau}(\mu)$  will contain is described in the corollary to the lemma below.

**(3.6) Lemma** (i)  $-\mu - \delta(H_{\alpha})$  is a nonnegative integer for every  $\alpha$  in  $P \cap -P'$  and (ii)  $\tau\mu + \tau\delta - \tau\delta_{\mathfrak{k}}(H_{\varphi}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $P_{\mathfrak{k}}$ .

**Proof** By (2.4), (2.7) and (2.8), one sees that  $-\mu(H_{\alpha})$  is a positive integer for every  $\alpha$  in  $P \cap -P'$ . The elements of  $P \cap -P'$  are nonnegative integral linear combination of elements of  $\pi(\mathfrak{q})$ . Since  $\delta(H_{\alpha}) = 1$  for every  $\alpha$  in  $\pi(\mathfrak{q})$  it now follows that  $-\mu - \delta(H_{\alpha})$  is a nonnegative integer for every  $\alpha$  in  $P \cap -P'$ .

To prove (ii) first suppose  $\varphi$  lies in  $P'_{\mathfrak{k}} \cap P_{\mathfrak{k}}$ . We will show that  $\tau\mu - \delta_{\mathfrak{k}}(H_{\varphi}^{\mathfrak{k}})$  and  $\tau\delta - \tau\delta_{\mathfrak{k}}(H_{\varphi}^{\mathfrak{k}})$  are both nonnegative integers. For this it is enough to show that  $\tau\mu(H_{\varphi}^{\mathfrak{k}})$  is a positive integer for every  $\varphi$  in  $P_{\mathfrak{k}}$  and that  $\tau\delta(H_{\varphi}^{\mathfrak{k}})$  is a positive integer for every  $\varphi$  in  $\tau P_{\mathfrak{k}}$ . By (2.6) there exists a finite dimensional representation of  $\mathfrak{g}$  having a weight vector  $v$  of weight  $\mu$  with respect to the Cartan subalgebra  $\mathfrak{h}$  and such that  $v$  is annihilated by  $[\mathfrak{r}'', \mathfrak{r}'']$  (cf. (2.3)). Since  $\mathfrak{r}'_{\mathfrak{k}} \subseteq \mathfrak{r}''$ ,  $v$  is in particular annihilated by  $[\mathfrak{r}'_{\mathfrak{k}}, \mathfrak{r}'_{\mathfrak{k}}]$ . It is clear from this that  $\mu(H_{\varphi}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $P'_{\mathfrak{k}}$ . In view of (2.7),  $\mu(H_{\varphi}^{\mathfrak{k}})$  is then a positive integer for every  $\varphi$  in  $P'_{\mathfrak{k}}$ . Note that  $\tau P'_{\mathfrak{k}} = P_{\mathfrak{k}}$ . Hence  $\tau\mu(H_{\varphi}^{\mathfrak{k}})$  is a positive integer for every  $\varphi$  in  $P_{\mathfrak{k}}$ . It remains to show that  $\tau\delta(H_{\varphi}^{\mathfrak{k}})$  is a positive integer for every  $\varphi$  in  $\tau P_{\mathfrak{k}}$ . For this consider the representation  $\rho$  of  $\mathfrak{g}$  having a weight vector  $v$  of weight  $\delta$  with respect to the Cartan subalgebra  $\mathfrak{h}$  and annihilated by  $[\mathfrak{r}, \mathfrak{r}]$ . Clearly then  $v$  is annihilated by  $[\mathfrak{r}_{\mathfrak{k}}, \mathfrak{r}_{\mathfrak{k}}]$ , hence  $\delta(H_{\varphi}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $P_{\mathfrak{k}}$ . To show that  $\delta(H_{\varphi}^{\mathfrak{k}})$  is nonzero we give the following reason: one can easily see that the stabilizer of  $v$  in  $\mathfrak{g}$  is exactly  $\mathfrak{r}$ . If  $\delta(H_{\varphi}^{\mathfrak{k}})$  is zero for some  $\varphi$  in  $P_{\mathfrak{k}}$ , then  $X_{-\varphi}$  would stabilize  $v$ . But  $X_{-\varphi}$  does not belong to  $\mathfrak{r}$ . Hence  $\delta(H_{\varphi}^{\mathfrak{k}})$  is a positive integer for every  $\varphi$  in  $P_{\mathfrak{k}}$ , so that  $\tau\delta(H_{\varphi}^{\mathfrak{k}})$  is a positive integer for every  $\varphi$  in  $\tau P_{\mathfrak{k}}$ .

Now suppose  $\varphi$  lies in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$ . Let  $\mathfrak{r}(\mathfrak{q})$  be the maximal reductive subalgebra of  $\mathfrak{q}$  defined by  $\mathfrak{r}(\mathfrak{q}) = \mathfrak{h} + \sum_{\alpha \in P \cap -P'} (\mathfrak{g}^{\alpha} + \mathfrak{g}^{-\alpha})$ . By (ii)  $-\mu - \delta(H_{\alpha})$  is a nonnegative integer for every  $\alpha$  in  $P \cap -P'$ . Hence, if  $\mathfrak{n}_{\mathfrak{r}(\mathfrak{q})} = \sum_{\alpha \in P \cap -P'} \mathfrak{g}^{\alpha}$ , there exists a finite dimensional representation of  $\mathfrak{r}(\mathfrak{q})$  and a weight vector for  $\mathfrak{h}$  of weight  $-\mu - \delta$  annihilated by all of

$\mathfrak{n}_{\mathfrak{r}(\mathfrak{q})}$ , hence in particular by  $\mathfrak{k} \cap \mathfrak{n}_{\mathfrak{r}(\mathfrak{q})}$ . Observe that  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$  is precisely the set of roots in  $P_{\mathfrak{k}}$ , whose corresponding root spaces span  $\mathfrak{k} \cap \mathfrak{n}_{\mathfrak{r}(\mathfrak{q})}$ . Thus there exists a finite dimensional representation of  $\mathfrak{b} + \sum_{\varphi \in P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}} (\mathbb{C} \cdot X_{\varphi} + \mathbb{C} \cdot X_{\varphi})$  with a weight vector for  $\mathfrak{b}$  of weight  $-\mu - \delta$  annihilated by  $X_{\varphi}$  for every  $\varphi$  in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$ . Hence we conclude that  $-\mu - \delta(H_{\varphi}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$ . Since  $-\tau(P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}) = P_{\mathfrak{k}} \cap P'_{\mathfrak{k}}$ ,  $\tau(\mu + \delta)(H_{\varphi}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$ . On the other hand  $\tau\delta_{\mathfrak{k}} = \delta'_{\mathfrak{k}} =$  half the sum of the roots in  $P'_{\mathfrak{k}}$ , while  $\delta_{\mathfrak{k}} + \delta'_{\mathfrak{k}}(H_{\varphi}^{\mathfrak{k}}) = 0$  for every  $\varphi$  in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$ . Thus  $\tau\mu + \tau\delta - \tau\delta_{\mathfrak{k}} - \delta_{\mathfrak{k}}(H_{\varphi}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$ .

This completes the proof of (3.6). (q.e.d.)

**(3.7) Corollary**  $-t(\tau\mu + \tau\delta - \tau\delta_{\mathfrak{k}} - \delta_{\mathfrak{k}})(H_{\varphi}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $P_{\mathfrak{k}}$ .

**Proof** Clear since  $-tP_{\mathfrak{k}} = P_{\mathfrak{k}}$ . (q.e.d.)

Let  $\pi_{\mathfrak{k}}$  be the set of simple roots of  $P_{\mathfrak{k}}$ . For  $\varphi$  in  $P_{\mathfrak{k}}$ , let  $s_{\varphi}$  be the reflection  $s_{\varphi}(\lambda) = \lambda - \lambda(H_{\varphi})\varphi$  of  $\mathfrak{b}^X$ . If  $\varphi$  lies in  $\pi_{\mathfrak{k}}$ ,  $s_{\varphi}$  is called a simple reflection. For  $w$  in  $W_{\mathfrak{k}}$ , the length  $N(w)$  of  $w$  is the smallest integer  $N$  such that  $w$  is a product of  $N$  simple reflections. A reduced word for  $w$  is an expression of  $w$  as a product of  $N(w)$  simple reflections. Choose any reduced word for the element  $\tau t$  of  $W_{\mathfrak{k}}$ . Following the notation in [5, §4.15], we write it as

$$(3.8) \quad \tau t = s_1 s_2 \cdots s_m$$

where  $s_i = s_{\eta_i}$ ,  $\eta_i = \varphi_{j_i}$ ,  $\varphi_{j_i} \in \pi_{\mathfrak{k}}$ . For  $\lambda$  in  $\mathfrak{b}^X$  and  $w$  in  $W_{\mathfrak{k}}$  write  $w'(\lambda) = w(\lambda + \delta_{\mathfrak{k}}) - \delta_{\mathfrak{k}}$ . Having chosen the element  $\mu$  in  $F(P'' : \mathfrak{q}, \mathfrak{r})$  we now define elements  $\mu_i$  of  $\mathfrak{b}^X$  as follows:

$$\mu_{m+1} = -t(\tau\mu + \tau\delta - \tau\delta_{\mathfrak{k}} - \delta_{\mathfrak{k}}) \text{ and}$$

$$\mu_i = (s_i s_{i+1} \cdots s_m)' \mu_{m+1} \quad (i = 1, \dots, m)$$

**(3.9)** Note that  $\mu_1 = (\tau t)' \mu_{m+1} = -\mu - \delta$  and that  $\mu_1$  and  $\mu_{m+1}$  are independent of the reduced expression (3.8). We now define the positive integers  $e_i$  by

$$(3.10) \quad e_i = \mu_{i+1} + \delta_k(H_{\eta_i}^{\mathfrak{k}}) \cdot (i = 1, \dots, m).$$

With  $\mu_i$  defined as above, the following inclusion relations between Verma modules are well known [2.6]:

$$(3.11) \quad V_{\mathfrak{k}, P_{\mathfrak{k}}, \mu_1} \subseteq V_{\mathfrak{k}, P_{\mathfrak{k}}, \mu_2} \subseteq \cdots \subseteq V_{\mathfrak{k}, P_{\mathfrak{k}}, \mu_{m+1}}.$$

Define elements  $v_1, v_2, \dots, v_{m+1}$  of  $V_{\mathfrak{k}, P_{\mathfrak{k}}, \mu_{m+1}}$  as follows:  $\mu_{m+1}$  is the unique nonzero weight vector of  $V_{\mathfrak{k}, P_{\mathfrak{k}}, \mu_{m+1}}$  of weight  $\mu_{m+1}$ . For  $i = 1, 2, \dots, m$ ,  $v_i = X_{-\eta_i}^{e_i} \cdot v_{i+1}$ . Then one knows that  $v_i$  is of weight  $\mu_i$  and that  $V_{\mathfrak{k}, P_{\mathfrak{k}}, \mu_i} = U(\mathfrak{k})v_i$ . Associated to the reduced word (3.8) and  $\mu$  in  $F(P'' : \mathfrak{q}, \mathfrak{r})$  is a fundamental chain of  $U(\mathfrak{g})$  modules:  $W_1 \subseteq W_2 \subseteq \cdots \subseteq W_{m+1}$ . It will turn out that  $W_1$  and  $W_{m+1}$  are independent of the reduced expression (3.8). They are defined as follows:  $W_1$  is defined to be  $V_{P, -\mu-\delta}$  as in (3.3). Then  $W_{m+1}$  is given by the following lemma.

**(3.12) Lemma** *There exists a  $U(\mathfrak{g})$  module  $W_{m+1} = U(\mathfrak{g}) \cdot v_{m+1}$  such that (a)  $W_1$  is a  $U(\mathfrak{g})$  submodule of  $W_{m+1}$ , (b)  $v_1$  belongs to  $U(\mathfrak{k})v_{m+1}$ , (c)  $v_{m+1}$  is a  $P_{\mathfrak{k}}$  extreme weight vector (with respect to  $\mathfrak{b}$ ) of weight  $\mu_{m+1}$  (d)  $W_{m+1}$  is  $X_{-\varphi}$  free for all  $\varphi$  in  $P_{\mathfrak{k}}$  and (e)  $W_{m+1}$  is a sum of  $U(\mathfrak{k})$  submodules of type  $P_{\mathfrak{k}}$ .*

**Proof** Start with the conclusion of  $V_{\mathfrak{k}, P_{\mathfrak{k}}, \mu_1}$  in  $W_1$  given by Corollary 3.5 and the inclusion of  $V_{\mathfrak{k}, P_{\mathfrak{k}}, \mu_1}$  in  $V_{\mathfrak{k}, P_{\mathfrak{k}}, \mu_{m+1}}$  given by 3.11. By 3.5 we know that  $W_1$  is  $X_{-\varphi}$  free for every  $\varphi$  in  $P_{\mathfrak{k}}$ . Now [3, Lemma 4] gives us the module  $W_{m+1}$  with the properties required in the lemma. (One easily sees that the results of [3 § 2] do not depend on the assumption there that rank of  $\mathfrak{g} = \text{rank of } \mathfrak{k}$ ). (q.e.d)

**(3.13) Remark** If  $V$  and  $\bar{V}$  are Verma modules for, say,  $U(\mathfrak{k})$  then the space of  $U(\mathfrak{k})$  homomorphisms of  $V$  into  $\bar{V}$  has dimension equal to zero or one. Thus the inclusion of  $V_{\mathfrak{k}, P_{\mathfrak{k}}, \mu_{m+1}}$  given by (3.11) is independent of the reduced expression (3.8) for  $\tau t$ . Hence also the  $U(\mathfrak{g})$  module  $W_{m+1}$  and the inclusion of  $W_1$  in  $W_{m+1}$  with the properties listed in Lemma 3.12 can be chosen to be independent of the reduced expression (3.8).

Having defined  $W_1$  and  $W_{m+1}$  as above, now for any given reduced word for  $\tau t$  such as (3.8), we define submodules  $W_2, W_3, \dots, W_m$  of  $W_{m+1}$  by

$$(3.14) \quad W_i = U(\mathfrak{g})v_i$$

where  $v_i$  are the elements of  $W_{m+1}$  defined after (3.11). We have

$W_1 \subseteq W_2 \subseteq \cdots \subseteq W_{m+1}$  because  $v_i$  belongs to  $U(\mathfrak{k})v_{i+1}$ , ( $i = 1, \dots, m$ ). The properties of this chain of  $U(\mathfrak{g})$  modules are summarized below from the work of [3, § 3]:

- (3.15)  $W_1 = V_{P, -\mu-\delta}$  and each  $W_i$  is the sum of its weight spaces with respect to  $\mathfrak{b}$ . Moreover as a  $U(\mathfrak{k})$  module  $W_i$  is the sum of  $U(\mathfrak{k})$  submodules of type  $P_{\mathfrak{k}}$ .
- (3.16) Each  $W_i$  is a cyclic  $U(\mathfrak{g})$  module with a cyclic vector  $v_i$ , which is a  $P_{\mathfrak{k}}$  extreme weight vector of weight  $\mu_i$  with respect to  $\mathfrak{b}$ ,  $i = 1, \dots, m+1$ .
- (3.17) The  $P_{\mathfrak{k}}$  extreme vectors of weight  $\mu_i$  in  $W_i$  are scalar multiples of  $v_i$ ; for  $i = 1, \dots, m+1$ , the vector  $v_i$  does not belong to  $W_{i-1}$ .
- (3.18) Each  $W_i$  is  $X_{-\varphi}$  free for every  $\varphi$  in  $P_{\mathfrak{k}}$  and  $W_{i+1}/W_i$  is  $m(\eta_i)$  finite ( $i = 1, \dots, m$ ).
- (3.19)  $v_i = X_{-\eta_i}^{e_i} v_{i+1}$  ( $i = 1, \dots, m$ ).
- (3.20) Let  $w$  be in  $W_{\mathfrak{k}}$ . Let  $i = 1, \dots, m$ . Suppose  $w'(\mu_{m+1})$  belongs to  $J(W_i)$ . Then  $N(w)$  equals at least  $m+1-i$ .

We will not prove the properties (3.15) to (3.20) here since they are essentially proved in [3, Lemma 5]. Though (3.20) has the same form as [3, Lemma 5, vi] its proof is different in our case. It is important to first know the case  $i = 1$  of (3.20) to carry over the inductive arguments of [3, § 3] to our situation. To this end we prove the following lemma. Before that we make the following remark.

**(3.21) Remark** Let  $H'_{\mathfrak{q}}$  be the element of  $\mathfrak{h}$  defined by  $(H'_{\mathfrak{q}}, H) = \text{trace}(\text{ad } H \mid \mathfrak{u})$ , for every  $H$  belonging to  $\mathfrak{h}$ , where  $\mathfrak{u}$  is the unipotent radical of  $\mathfrak{q}$ . Since  $\mathfrak{q}$  and  $\mathfrak{h}$  are  $\theta$  invariant  $\theta(H'_{\mathfrak{q}}) = H'_{\mathfrak{q}}$ ; hence  $H'_{\mathfrak{q}}$  belongs to  $\mathfrak{b}$ . One can easily prove the following: For every  $\alpha$  in  $P \cap -P'$ ,  $\alpha(H'_{\mathfrak{q}})$  equals zero; for every  $\alpha$  in  $P \cap P'$ ,  $\alpha(H'_{\mathfrak{q}})$  is a positive real number; and for every  $\varphi$  in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$ ,  $\varphi(H'_{\mathfrak{q}})$  equals zero while for every  $\varphi$  in  $P_{\mathfrak{k}} \cap P'_{\mathfrak{k}}$ ,  $\varphi(H'_{\mathfrak{q}})$  is a positive real number. (Observe that any  $\varphi$  in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$  is the restriction to  $\mathfrak{b}$  of some  $\alpha$  in  $P \cap -P'$ ).

Now we come to the lemma which is basic to carry over the inductive arguments of [3, § 3].

**(3.22) Lemma** *Let  $w$  be in  $W_{\mathfrak{k}}$ . Suppose  $w'(\mu_{m+1})$  belongs to  $J(W_1)$ . Then  $N(w)$  is greater than or equal to  $m$ .*

**Proof** Since  $w'(\mu_{m+1})$  belongs to  $J(W_1)$  it is in particular a weight of  $W_1$  of for  $\mathfrak{b}$ . Hence by (3.4),  $w'(\mu_{m+1})$  is of the form  $\mu_1 - \sum n_i \alpha_i \mid \mathfrak{b}$ , where  $n_i$  are nonnegative integers and  $\alpha_i$  are in  $P$ . That is  $w(\mu_{m+1} + \delta_{\mathfrak{k}}) - \delta_{\mathfrak{k}} = \mu_1 - \sum n_i \alpha_i \mid \mathfrak{b} = \tau t(\mu_{m+1} + \delta_{\mathfrak{k}}) - \delta_{\mathfrak{k}} - \sum n_i \alpha_i \mathfrak{b}$ . Thus

$$\tau t(\mu_{m+1} + \delta_{\mathfrak{k}}) - w(\mu_{m+1} + \delta_{\mathfrak{k}}) = \sum n_i \alpha_i \mid \mathfrak{b}.$$

Write  $\mu'_{m+1} = -t\mu_{m+1}$ . Hence

$$(3.23) \quad -\tau(\mu'_{m+1} + \delta_{\mathfrak{k}}) + wt(\mu'_{m+1} + \delta_{\mathfrak{k}}) = \sum n_i \alpha_i \mid \mathfrak{b}$$

where  $n_i$  are nonnegative integers and  $\alpha_i$  are in  $P$ . The left side of the equality in (3.23) is the sum of  $wt(\mu'_{m+1} + \delta_{\mathfrak{k}}) - (\mu'_{m+1} + \delta_{\mathfrak{k}})$  and  $(\mu'_{m+1} + \delta_{\mathfrak{k}}) - \tau(\mu'_{m+1} + \delta_{\mathfrak{k}})$ . We claim that (3.23) implies

$$(3.24) \quad P_{\mathfrak{k}} \cap -wtP_{\mathfrak{k}} \text{ is contained in } P_{\mathfrak{k}} \cap -\tau P_{\mathfrak{k}}.$$

To see this enumerate the elements of  $P_{\mathfrak{k}} \cap -wtP_{\mathfrak{k}}$  in a sequence  $(\epsilon_1, \epsilon_2, \dots, \epsilon_k)$  such that  $\epsilon_1$  is a simple root of  $P_{\mathfrak{k}}$  and  $\epsilon_{i+1}$  is a simple root of  $s_{\epsilon_i} \cdots s_{\epsilon_1} P_{\mathfrak{k}}$  ( $i = 1, \dots, k-1$ ). Then  $wt = s_{\epsilon_k} \cdots s_{\epsilon_1}$  (cf. [5, 4.15.10] and [7, 8.9.13]). By induction on  $i$  one can show that  $(\mu'_{m+1} + \delta_{\mathfrak{k}}) - s_{\epsilon_i} \cdots s_{\epsilon_1}(\mu'_{m+1} + \delta_{\mathfrak{k}})$  can be written as  $\sum_{j=1}^i d_{j,i} \epsilon_j$  where  $d_{j,i}$  are positive integers. Thus  $(\mu'_{m+1} + \delta_{\mathfrak{k}}) - wt(\mu'_{m+1} + \delta_{\mathfrak{k}})$  can be written as  $d_1 \epsilon_1 + d_2 \epsilon_2 + \cdots + d_k \epsilon_k$  where  $d_j$  are positive integers. Similarly  $(\mu'_{m+1} + \delta_{\mathfrak{k}}) - \tau(\mu'_{m+1} + \delta_{\mathfrak{k}})$  can be written as  $d'_1 \epsilon'_1 + d'_2 \epsilon'_2 + \cdots + d'_h \epsilon'_h$  where  $d'_i$  are positive integers and  $(\epsilon'_1, \dots, \epsilon'_h)$  is an enumeration of  $P_{\mathfrak{k}} \cap -\tau P_{\mathfrak{k}}$ . With these observations we can write

$$(3.25) \quad \begin{aligned} & -\tau(\mu'_{m+1} + \delta_{\mathfrak{k}}) + wt(\mu'_{m+1} + \delta_{\mathfrak{k}}) \\ & = (d'_1 \epsilon'_1 + \cdots + d'_h \epsilon'_h) - (d_1 \epsilon_1 + \cdots + d_k \epsilon_k) \end{aligned}$$

where  $d'_1, \dots, d'_h, d_1, \dots, d_k$  are positive integers. Let  $H'_{\mathfrak{q}}$  be the element of  $\mathfrak{h}$  defined by  $(H'_{\mathfrak{q}}, H) = \text{trace}(\text{ad } H \mid \mathfrak{u})$ , where  $\mathfrak{u}$  is the unipotent radical of  $\mathfrak{q}$ . Then  $H'_{\mathfrak{q}}$  belongs to  $\mathfrak{b}$ . We can apply remark (3.21) to (3.25) and conclude that  $[-\tau(\mu'_{m+1} + \delta_{\mathfrak{k}}) + wt(\mu'_{m+1} + \delta_{\mathfrak{k}})](H'_{\mathfrak{q}})$  is a strictly negative real number unless (3.24) holds. But by looking at the right hand side of (3.23) and applying remark (3.21), we see that  $[-\tau(\mu'_{m+1} + \delta_{\mathfrak{k}}) + wt(\mu'_{m+1} + \delta_{\mathfrak{k}})](H'_{\mathfrak{q}})$  is a nonnegative real number.

Thus we have proved the validity of (3.24). Now (3.24) implies that  $N(wt)$  is less than or equal to  $N(\tau)$ . But note that  $N(wt) = N(t) - N(w)$ , while  $N(\tau) = N(t) - N(\tau t) = N(t) - m$ . Hence  $N(w)$  is greater than or equal to  $m$ . (q.e.d.)

(3.22) Enables us to carry over the inductive arguments in [3, § 3] without any further change and obtain the properties (3.15) to (3.20).

## § 4. The $\mathfrak{k}$ -finite quotient $U(\mathfrak{g})$ module of $W_{m+1}$

The difference between the special situation in [3] and our more general situation becomes more apparent in this section which parallels [3, § 4].

Start with an arbitrary reduced word (3.8) for  $\tau t$  and let  $W_1 \subseteq W_2 \subseteq \cdots \subseteq W_{m+1}$  be a fundamental chain of  $U(\mathfrak{g})$  modules satisfying (3.15) through (3.20). Recall  $W_1 = V_{P-\mu-\delta}$ . Recall the subset  $\pi(\mathfrak{q}) \subseteq \pi$  corresponding to the parabolic subalgebra  $\mathfrak{q}$ . For  $\alpha$  in  $\pi$  and  $\lambda$  in  $\mathfrak{h}^X$  define  $s_\alpha^X(\lambda) = s_\alpha(\lambda + \delta) - \delta$ . By lemma 3.6,  $-\mu - \delta(H_\alpha)$  is a nonnegative integer for every  $\alpha$  in  $P \cap -P'$ , hence in particular for every  $\alpha$  in  $\pi(\mathfrak{q})$ . Thus one has the inclusion of the Verma modules  $V_{P, s_\alpha^X(-\mu-\delta)} \subseteq V_{P, -\mu-\delta}$  for every  $\alpha$  in  $\pi(\mathfrak{q})$ . We now define a  $U(\mathfrak{g})$  submodule.

$$(4.1) \quad W_0 = \sum_{\alpha \in \pi(\mathfrak{q})} V_{P, s_\alpha^X(-\mu-\delta)} \text{ of } W_1.$$

As is well known the Verma modules have unique proper maximal submodules. Let  $I$  be the proper maximal  $U(\mathfrak{g})$  submodule of  $V_{P, -\mu-\delta}$ .

Then each  $V_{P, s_\alpha^X(-\mu-\delta)}$ , ( $\alpha \in \pi(\mathfrak{q})$ ), is contained in  $I$ . Hence

$$(4.2) \quad v_1 \text{ does not belong to } W_0.$$

Now fix some  $i$ , ( $i = 1, \dots, m$ ). Define a  $U(\mathfrak{g})$  submodule (relative to some reduced word (3.8) for  $\tau t$ )  $\overline{W}_i$  of  $W_{m+1}$  as follows: Let  $W_{i,0}$  be the  $U(\mathfrak{g})$  submodule of all vectors in  $W_{m+1}$  that are  $m(\eta_i)$  finite mod  $W_{i-1}$ ; once  $W_{i,0}, \dots, W_{i,p-1}$  are defined,  $W_{i,p}$  is the  $U(\mathfrak{g})$  submodule of all vectors in  $W_{m+1}$  that are  $m(\eta_{i+p})$  finite mod  $W_{i,p-1}$ ,  $p = 1, 2, \dots, m-i$ . We have  $W_{i,0} \subseteq \cdots \subseteq W_{i,m-i}$ . We then define  $\overline{W}_i = W_{i,m-i}$ . Define

$$(4.3) \quad \overline{W} = W_m + \overline{W}_1 + \overline{W}_2 + \cdots + \overline{W}_m.$$

Thus for each reduced expression (3.8) for  $\tau t$ , we have defined a  $U(\mathfrak{g})$  submodule  $\overline{W}$  of  $W_{m+1}$ .

**(4.4) Proposition** *For any reduced word (3.8) for  $\tau t$  define the  $U(\mathfrak{g})$  submodule  $\overline{W}$  of  $W_{m+1}$  as above. Then  $v_{m+1}$  does not belong to  $\overline{W}$ . If  $\lambda \in \mathfrak{b}^X$  is such that  $W_{m+1}$  has a nonzero  $P_{\mathfrak{k}}$  extreme weight vector (with respect to  $\mathfrak{b}$ ) of weight  $\lambda$  which is nonzero mod  $\overline{W}$ , then  $(\tau t)'\lambda$  is a  $P_{\mathfrak{k}}$  extreme weight of  $W_1/W_0$ .*

**Proof** We refer to the proof of [3, Lemma 9].

Since we do not have a full chain of  $U(\mathfrak{g})$  modules corresponding to a reduced word for  $t$  as in [3] but only a shorter chain corresponding to a reduced word for  $\tau t$ , we have to work more to obtain a  $\mathfrak{k}$ -finite quotient  $U(\mathfrak{g})$  module of  $W_{m+1}$ . We now define

**(4.5)**  $W_X = \sum \overline{W}$ , the summation being over all reduced expressions (3.8) for  $\tau t$ .

**(4.6) Lemma**  *$v_{m+1}$  does not belong to  $W_X$ . Let  $\lambda \in \mathfrak{b}^X$  be such that there is a  $P_{\mathfrak{k}}$  extreme vector in  $W_{m+1}$  of weight  $\lambda$  which is nonzero mod  $W_X$ . Then  $(\tau t)'\lambda(H_{\varphi}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$ .*

**Proof**  $v_{m+1}$  is a  $P_{\mathfrak{k}}$  extreme weight vector in  $W_{m+1}$  of weight  $\mu_{m+1}$ . From (3.7) and the definition of  $\mu_{m+1}$ , we know that  $\mu_{m+1}(H_{\varphi}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $P_{\mathfrak{k}}$ . Now suppose  $v_{m+1}$  belongs to  $W_X$ . Since  $W_X = \sum \overline{W}$ ,  $W_X$  is a quotient of the abstract direct sum  $\oplus \overline{W}$ , the summation being over all reduced words (3.8) for  $\tau t$ . We can then apply [3, Lemma 7] and conclude that for some reduced word (3.8) for  $\tau t$ , the corresponding  $\overline{W}$  has a nonzero  $P_{\mathfrak{k}}$  extreme vector of weight  $\mu_{m+1}$ . This vector has to be a nonzero scalar multiple of  $v_{m+1}$  in view of (3.17). Hence  $v_{m+1}$  belongs to that  $\overline{W}$ . But this contradicts (4.4). This proves the first assertion in (4.6).

Next let  $\lambda$  be as in the lemma. Let  $c$  be the reductive component of  $\mathfrak{q}$  defined by  $c = \mathfrak{h} + \sum_{\alpha \in P \cap -P'} (\mathfrak{g}^{\alpha} + \mathfrak{g}^{-\alpha})$ . We claim that  $W_1/W_0$  is  $c$ -finite. For this it is enough to show that the image  $\bar{v}_1$  in  $W_1/W_0$  of  $v_1$  is  $c$ -finite. For any  $\alpha$  in  $\pi(\mathfrak{q})$  the submodule  $V_{\mathfrak{g}, P, s_{\alpha}^X(\mu_1)}$  of  $W_1$  coincides with  $U(\mathfrak{g})X_{-\alpha}^{\mu_1(H_{\alpha})+1} \cdot v_1$  (cf. [2, 7.1.15]). Thus we have  $W_0 = \sum_{\alpha \in \pi(\mathfrak{q})} U(\mathfrak{g})X_{-\alpha}^{\mu_1(H_{\alpha})+1} \cdot v_1$ . Hence the annihilator in  $U(\mathfrak{g})$  of  $\bar{v}_1$  contains  $U(\mathfrak{g})X_{-\alpha}^{\mu_1(H_{\alpha})+1}$  for every  $\alpha$  in  $\pi(\mathfrak{q})$ . This suffices in view of [2, 7.2.5] to conclude that  $\bar{v}_1$  is  $c$ -finite. Thus  $W_1/W_0$  is  $c$ -finite.

Let  $\mathfrak{c}_{\mathfrak{k}} = \mathfrak{c} \cap \mathfrak{k}$ . Then in particular  $W_1/W_0$  is  $\mathfrak{c}_{\mathfrak{k}}$ -finite. But note that  $\mathfrak{c}_{\mathfrak{k}} = \mathfrak{b} + \sum_{\varphi \in P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}} (\mathbb{C} \cdot X_{\varphi} + \mathbb{C} \cdot X_{-\varphi})$ .

Now choose some reduced word (3.8) for  $\tau t$  and relative to it define  $\overline{W}$  as in (4.3). Note that  $\overline{W} \subseteq W_X$ . For  $\lambda$  as in the lemma, choose a  $P_{\mathfrak{k}}$  extreme weight vector  $v$  in  $W_{m+1}$  which is nonzero mod  $W_X$  and is of weight  $\lambda$ . Then  $v$  is in particular nonzero mod  $\overline{W}$ . Hence from (4.4),  $(\tau t)' \lambda$  is a  $P_{\mathfrak{k}}$  extreme weight of  $W_1/W_0$ . Since  $W_1/W_0$  is  $\mathfrak{c}_{\mathfrak{k}}$ -finite, it now follows that  $(\tau t)' \lambda(H_{\varphi}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$ . (q.e.d)

For our proof of the  $\mathfrak{k}$ -finiteness of  $W_{m+1}/W_{\mathfrak{k}}$ , we need one more lemma.

**(4.7) Lemma** *Let  $\eta$  be in  $\mathfrak{b}^X$ . Suppose  $\eta(H_{\varphi}^{\mathfrak{k}})$  is nonnegative for every  $\varphi$  in  $P_{\mathfrak{k}}$ . Let  $s$  be in  $W_{\mathfrak{k}}$ . Suppose  $\tau ts)' \eta(H_{\varphi}^{\mathfrak{k}})$  is nonnegative for every  $\varphi$  in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$ . Then  $N(\tau t) = N(\tau ts) + N(s^{-1})$ .*

**Proof**  $(\tau ts)' \eta = \tau ts(\eta + \delta_{\mathfrak{k}}) - \delta_{\mathfrak{k}}$ . Since  $\eta(H_{\varphi}^{\mathfrak{k}})$  is nonnegative for every  $\varphi$  in  $P_{\mathfrak{k}}$ ,  $\tau ts(\eta + \delta_{\mathfrak{k}})(H_{\varphi}^{\mathfrak{k}})$  is negative for every  $\varphi$  in  $-\tau ts P_{\mathfrak{k}}$ . Also  $-\delta_{\mathfrak{k}}(H_{\varphi}^{\mathfrak{k}})$  is negative for every  $\varphi$  in  $P_{\mathfrak{k}}$ . Hence  $(\tau ts)' \eta(H_{\varphi}^{\mathfrak{k}})$  is negative for every  $\varphi$  in  $(-\tau ts P_{\mathfrak{k}}) \cap P_{\mathfrak{k}}$ . Hence the assumption implies

$$(4.8) \quad P_{\mathfrak{k}} \cap -\tau ts P_{\mathfrak{k}} \subseteq \text{complement of } P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}} \text{ in } P_{\mathfrak{k}}.$$

Note that  $t P_{\mathfrak{k}} = -P_{\mathfrak{k}}$  and  $\tau P_{\mathfrak{k}} = P'_{\mathfrak{k}}$ . So,  $-P'_{\mathfrak{k}} = \tau t P_{\mathfrak{k}}$ . So, the complement of  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$  in  $P_{\mathfrak{k}}$  is  $P_{\mathfrak{k}} \cap -\tau t P_{\mathfrak{k}}$ . Hence from (4.8) we have

$$(4.9) \quad P_{\mathfrak{k}} \cap (-\tau ts P_{\mathfrak{k}}) \subseteq P_{\mathfrak{k}} \cap (-\tau t P_{\mathfrak{k}}).$$

Let  $(\epsilon_1, \epsilon_2, \dots, \epsilon_j, \epsilon_{j+1}, \dots, \epsilon_m)$  be an enumeration of the elements of  $(-\tau t P_{\mathfrak{k}}) \cap P_{\mathfrak{k}}$  such that  $\epsilon_1$  is a simple root of  $P_{\mathfrak{k}}$ ,  $\epsilon_2$  is a simple root of  $s_{\epsilon_1} P_{\mathfrak{k}}$ ,  $\dots$ ,  $\epsilon_{i+1}$  is a simple root of  $s_{\epsilon_i} s_{\epsilon_{i-1}} \dots s_{\epsilon_1} P_{\mathfrak{k}}$  ( $i = 1, \dots, m-1$ ). Because of (4.9) we can further assume  $(\epsilon_1, \dots, \epsilon_j)$  is an enumeration of  $(-\tau ts P_{\mathfrak{k}}) \cap P_{\mathfrak{k}}$ . Let

$$\varphi'_i = s_{\epsilon_1} \dots s_{\epsilon_{i-1}}(\epsilon_i) (i = 1, \dots, m) (\varphi'_1 = \epsilon_1).$$

Then  $\varphi'_i$  belongs to  $\pi_{\mathfrak{k}}$ . One can show that  $\tau t = s_{\epsilon_m} \dots s_{\epsilon_1}$  and a reduced word for  $\tau t$  is

$$(4.10) \quad \tau t = s_{\varphi'_1} s_{\varphi'_2} \dots s_{\varphi'_m}$$



(cf. [5,4.15.10] and [7,8.9.13]). Similarly  $\tau ts = s_{\epsilon_j} \cdots s_{\epsilon_1}$  and a reduced word for  $\tau ts$  is

$$(4.11) \quad \tau ts = s_{\varphi'_1} \cdots s_{\varphi'_j}.$$

Note that  $N(\tau t) = m$  and  $N(\tau ts) = j$ . Now from (4.10) and (4.11) it is clear that  $s^{-1} = s_{\varphi'_{j+1}} \cdots s_{\varphi'_m}$  is a reduced word for  $s^{-1}$ . These observations substantially prove the lemma. (q.e.d.)

**(4.12) Remark** With the data assumed in Lemma 4.7 we have actually proved more than what is asserted in (4.7): There exists a reduced word  $\tau t = s_{\varphi'_1} \cdots s_{\varphi'_j} s_{\varphi'_{j+1}} \cdots s_{\varphi'_m}$  for  $\tau t$  such that  $s^{-1} = s_{\varphi'_{j+1}} \cdots s_{\varphi'_m}$ .

The following proposition gives the  $\mathfrak{k}$ -finite  $U(\mathfrak{g})$  module quotient of  $W_{m+1}$ .

**(4.13) Propostion** *The  $U(\mathfrak{g})$  module  $W_{m+1}/W_X$  is  $\mathfrak{k}$ -finite.*

**Proof** Let  $\bar{v}_{m+1}$  be the image of  $v_{m+1}$  in  $W_{m+1}/W_X$ . Since  $U(\mathfrak{g})\bar{v}_{m+1} = W_{m+1}/W_X$ , it suffices to prove that  $U(\mathfrak{k}) \cdot \bar{v}_{m+1}$  has finite dimension over  $\mathbb{C}$ . For this again, by well known facts [2, 7.2.5] it suffices to prove that the annihilator of  $\bar{v}_{m+1}$  in  $U(\mathfrak{k})$  contains  $X_{-\varphi}^{e(\varphi)}$  for every  $\varphi$  in  $\pi_{\mathfrak{k}}$ , where  $e(\varphi) = \mu_{m+1}(H_{\varphi}^{\mathfrak{k}}) + 1$  (observe that in view of (3.7),  $\mu_{m+1}(H_{\varphi}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi$  in  $\pi_{\mathfrak{k}}$ ). Thus it suffices to show that for every  $\varphi$  in  $\pi_{\mathfrak{k}}$ ,

$$(4.14) \quad X_{-\varphi}^{e(\varphi)} \cdot v_{m+1} \text{ belongs to } W_X.$$

Suppose (4.14) is not true. Choose a  $\varphi$  in  $\pi_{\mathfrak{k}}$ , such that  $X_{-\varphi}^{e(\varphi)} v_{m+1}$  does not belong to  $W_X$ . Then  $X_{-\varphi}^{e(\varphi)} v_{m+1}$  is a  $P_{\mathfrak{k}}$  extreme vector of weight  $s'_{\varphi}(\mu_{m+1})$  in  $W_{m+1}$  which is nonzero mod  $W_X$ . Hence by (4.6),  $(\tau ts_{\varphi})' \mu_{m+1}(H_{\varphi'}^{\mathfrak{k}})$  is a nonnegative integer for every  $\varphi'$  in  $P_{\mathfrak{k}} \cap -P'_{\mathfrak{k}}$ . We can now apply (4.7) and (4.12) and conclude that there exists a reduced word.

$$(4.15) \quad \tau t = s_{\varphi'_1} s_{\varphi'_2} \cdots s_{\varphi'_{m-1}} s_{\varphi'_m} (\varphi'_i \in \pi_{\mathfrak{k}})$$

for  $\tau t$  such that

$$(4.16) \quad \varphi'_m = \varphi.$$

Take the reduced word (4.15) for  $\tau t$  in (3.8) and consider the corresponding modules  $W_m$  and  $\overline{W}$ . By definition  $W_m \subseteq \overline{W}$ . But in the fundamental chain  $W_1 \subseteq \cdots \subseteq W_m \subseteq W_{m+1}$  associated to the reduced word (4.15) for  $\tau t$ , the module  $W_m$  is simply  $U(\mathfrak{g}) \cdot X_{-\varphi}^{e(\varphi)} v_{m+1}$ . This is clear from the definitions (cf.(3.14) and the definition of  $v_i$  after (3.11) and (4.16). Thus it follows that  $X_{-\varphi}^{e(\varphi)} v_{m+1} \in \overline{W} \subseteq W_X$ . But this is a contradiction to the hypothesis. Thus (4.14) is true and proved and with that also the  $\mathfrak{k}$ -finiteness of  $W_{m+1}/W_X$ . (q.e.d)

## § 5

Let  $\mathfrak{b}$  be a Cartan subalgebra of  $\mathfrak{k}$  and  $\mathfrak{h}$  its centralizer in  $\mathfrak{g}$ , so that  $\mathfrak{h}$  is a  $\theta$  stable Cartan subalgebra of  $\mathfrak{g}$ . Let  $P$  be a system of positive roots for  $(\mathfrak{g}, \mathfrak{h})$  such that  $\theta(P) = P$ . Let

$$\mathfrak{n}^+ = \sum_{\alpha \in P} \mathfrak{g}^\alpha$$

and

$$\mathfrak{n}^- = \sum_{\alpha \in P} \mathfrak{g}^{-\alpha}.$$

The following fact is standard if  $\mathfrak{b} = \mathfrak{h}$ , but it remains true in our general case.

**(5.1) Lemma** *Let  $U^{\mathfrak{b}}$  be the centralizer of  $\mathfrak{b}$  in  $U(\mathfrak{g})$ . If the set  $P$  of positive roots satisfies  $\theta P = P$ , we have a unique homomorphism*

$$(5.2) \quad \beta_P : U^{\mathfrak{b}} \rightarrow U(\mathfrak{h})$$

*such that for any  $y$  in  $U^{\mathfrak{b}}$ .*

$$(5.3) \quad y \equiv \beta_P(y) \pmod{U(\mathfrak{g})\mathfrak{n}^+}.$$

**Proof** We have

$$(5.4) \quad U(\mathfrak{g}) = U(\mathfrak{n}^- + \mathfrak{h}) \oplus U(\mathfrak{g})\mathfrak{n}^+$$

and this decomposition is stable under  $adH$  for every  $H$  in  $\mathfrak{h}$  i.e.  $adH(U(\mathfrak{n}^- + \mathfrak{h})) \subseteq U(\mathfrak{n}^- + \mathfrak{h})$  and  $adH(U(\mathfrak{g})\mathfrak{n}^+) \subseteq U(\mathfrak{g})\mathfrak{n}^+$ . For  $y$  in  $U^{\mathfrak{b}}$ , let  $y = y_0 + y_1$  be its decomposition with respect to (5.4). Define

$\beta_P(y) = y_0$ . We claim  $\beta_P(y)$  belongs to the subalgebra  $U(\mathfrak{h})$  of  $U(\mathfrak{n}^- + \mathfrak{h})$ . Since  $y$  is in  $U^{\mathfrak{b}}$ ,  $y_0$  and  $y_1$  are also in  $U^{\mathfrak{b}}$ . Let  $S(\mathfrak{n}^- + \mathfrak{h})$  and  $S(\mathfrak{h})$  denote the symmetric algebras and  $\lambda$  the symmetrizer map of  $S(\mathfrak{n}^- + \mathfrak{h})$  onto  $U(\mathfrak{n}^- + \mathfrak{h})$ . Then for  $H$  in  $\mathfrak{b}$ ,  $\lambda^{-1}(y_0)$  is annihilated by  $adH$  (extended as a derivation to  $S(\mathfrak{n}^- + \mathfrak{h})$ ). It is enough to show that  $\lambda^{-1}(y_0)$  belongs to  $S(\mathfrak{h})$ . Using (1.14), one can show that there exists an element  $X_P$  in  $\mathfrak{b}$  such that  $\alpha(X_P)$  is a nonzero real number for every  $\alpha$  in  $\Delta$  ( $=$  the roots of  $(\mathfrak{g}, \mathfrak{h})$ ) and such that  $P$  consists of precisely those  $\alpha$  in  $\Delta$  such that  $\alpha(X_P)$  is positive. It is then clear that in  $S(\mathfrak{n}^- + \mathfrak{h})$ , the null space for  $adX_P$  is just  $S(\mathfrak{h})$ . Since  $adX(\lambda^{-1}(y_0)) = 0$  for every  $X$  in  $\mathfrak{b}$ , in particular  $adX_P(\lambda^{-1}(y_0)) = 0$ . Hence  $\lambda^{-1}(y_0)$  belongs to  $S(\mathfrak{h})$ , so that  $\beta_P(y)$  belongs to  $U(\mathfrak{h})$ .

Now suppose  $y$  and  $y'$  are in  $U^{\mathfrak{b}}$ . Let  $y = y_0 + y_1$  and  $y' = y'_0 + y'_1$  be their decomposition with respect to (5.4), so that  $\beta_P(y) = y_0$  and  $\beta_P(y') = y'_0$ . Then  $yy' = y_0y'_0 + y_0y'_1 + y_1y'_0 + y_1y'_1$ . Clearly  $y_0y'_0$  belongs to  $U(\mathfrak{h})$  and  $y_0y'_1 + y_1y'_0$  belongs to  $U(\mathfrak{g})\mathfrak{n}^+$ . Also  $y_1y'_0 \in U(\mathfrak{g})\mathfrak{n}^+ \cdot U(\mathfrak{h}) \subseteq U(\mathfrak{g})U(\mathfrak{h})\mathfrak{n}^+$ . Thus  $y_0y'_0$  is the component of  $yy'$  in  $U(\mathfrak{n}^- + \mathfrak{h})$  with respect to (5.4). We already know that this component is in  $U(\mathfrak{h})$ . Thus  $\beta_P$  is a homomorphism of algebras. (q.e.d)

The centralizer  $U^{\mathfrak{k}}$  of  $\mathfrak{k}$  in  $U(\mathfrak{g})$  is contained in  $U^{\mathfrak{b}}$ . As usual interpret elements of  $S(\mathfrak{h})$  as polynomials on  $\mathfrak{h}^X$ . For any  $\varphi$  in  $\mathfrak{h}^X$ , define a homomorphism  $\chi_{P,\varphi}$  of  $U^{\mathfrak{k}}$  into  $\mathbb{C}$  as follows:

$$(5.5) \quad \chi_{P,\varphi}(y) = \beta_P(y)(\varphi) \quad (y \in U^{\mathfrak{k}}).$$

The main results of the previous sections can now be formulated. Let  $\mathfrak{b}_0$  be a Cartan subalgebra of  $\mathfrak{k}_0$  and  $\mathfrak{b}$  its complexification. Let  $\mathfrak{q}$  be a  $\theta$  stable parabolic subalgebra of  $\mathfrak{g}$  containing  $\mathfrak{b}$ . The centralizer  $\mathfrak{h}$  of  $\mathfrak{b}$  in  $\mathfrak{g}$  is a Cartan subalgebra of  $\mathfrak{g}$  and  $\mathfrak{q}$  contains  $\mathfrak{h}$ . Let  $\mathfrak{r}$  be a  $\theta$  stable Borel subalgebra of  $\mathfrak{g}$  contained in  $\mathfrak{q}$  (cf. (1.15) and (1.2)). Let  $P$  be the set of positive roots for  $(\mathfrak{g}, \mathfrak{h})$  corresponding to  $\mathfrak{r}$ . Define the  $\theta$  stable Borel subalgebra  $\mathfrak{r}' \subseteq \mathfrak{q}$  by (2.1). Choose a  $\theta$  stable positive system  $P''$  of roots of  $(\mathfrak{g}, \mathfrak{h})$  having properties (2.3) and (2.4). Denote by  $F(P'' : \mathfrak{q}, \mathfrak{r})$  the set of all elements  $\mu$  in  $\mathfrak{h}^X$  having properties (2.6) and (2.7). Now choose a  $\mu$  in  $F(P'' : \mathfrak{q}, \mathfrak{r})$  and recall the objects associated to it in §§ 3,4.

We can now state

**(5.6) Theorem** *Let  $\mathfrak{q}$  be a  $\theta$  stable parabolic subalgebra. Let  $\mu \in F(P'' : \mathfrak{q}, \mathfrak{r})$ . Let  $W_{P'' : \mathfrak{q}, \mathfrak{r}} = W_{m+1}/W_X$  (cf. (3.12) and (4.5)). Then  $W_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu)$  is a  $\mathfrak{k}$  finite  $U(\mathfrak{g})$  module having the following properties:*

- (i)  $W_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu) = U(\mathfrak{g})\bar{v}_{m+1}$ , where  $\bar{v}_{m+1}$  is the image of the vector  $v_{m+1}$  of  $W_{m+1}$ . The irreducible finite dimensional representation of  $\mathfrak{k}$  with highest weight  $-t(\tau\mu + \tau\delta - \tau\delta_{\mathfrak{k}} - \delta_{\mathfrak{k}})$  occurs with multiplicity one in  $W_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu)$ . The corresponding isotypical  $U(\mathfrak{k})$  submodule of  $W_{P'' : \mathfrak{q}, \mathfrak{r}}$  is  $U(\mathfrak{k})\bar{v}_{m+1}$ ; on this space elements of  $U^{\mathfrak{k}}$  act by scalars given by the homomorphism  $\chi_{P, -\mu-\delta}$ .
- (ii) If  $\tau_{\lambda}$  is an irreducible finite dimensional representation of  $\mathfrak{k}$  with highest weight  $\lambda$  with respect to  $P_{\mathfrak{k}}$ , then the multiplicity of  $\tau_{\lambda}$  in  $W_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu)$  is finite; it is zero if  $\lambda$  is not of the form  $(t\tau)'(-\mu - \delta - \sum_{\varphi \in P} m_{\varphi} \varphi) \mid \mathfrak{b}$  where  $m_{\varphi}$  are nonnegative integers.

**Proof** By (4.13), we know that  $W_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu)$  is nonzero and  $\mathfrak{k}$ -finite. By (4.6) the vector  $v_{m+1}$  of  $W_{m+1}$  does not belong to  $W_X$ . The image of  $v_{m+1}$  in  $W_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu)$  is  $P_{\mathfrak{k}}$  extreme of weight  $(t\tau)'(-\mu - \delta) = -t(\tau\mu + \tau\delta - \tau\delta_{\mathfrak{k}} - \delta_{\mathfrak{k}})$  (which is dominant by (3.7)) and this image generates an irreducible  $\mathfrak{k}$ -module with highest weight  $-t(\tau\mu + \tau\delta + \tau\delta_{\mathfrak{k}} - \delta_{\mathfrak{k}})$  with respect to  $P_{\mathfrak{k}}$ .

Based on the preceding sections one can complete the proof of the theorem in the same way as [3, Theorem 1].

It is easy to conclude from (5.6) that  $W_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu)$  has a unique proper maximal  $U(\mathfrak{g})$  submodule and hence  $W_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu)$  has a unique nonzero quotient  $U(\mathfrak{g})$  module which is irreducible. We denote this  $U(\mathfrak{g})$  module by  $D_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu)$ . The following theorem is now immediate from (5.6).

**(5.7) Theorem** *Let  $\mu \in F(P'' : \mathfrak{q}, \mathfrak{r})$ . Up to equivalence there exists a unique  $\mathfrak{k}$ -finite irreducible  $U(\mathfrak{g})$  module  $D_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu)$  having the following property: The finite dimensional irreducible  $U(\mathfrak{k})$  module with highest weight  $-t(\tau\mu + \tau\delta - \tau\delta_{\mathfrak{k}} - \delta_{\mathfrak{k}})$  (with respect to  $P_{\mathfrak{k}}$ ) occurs with multiplicity one in  $D_{P'' : \mathfrak{q}, \mathfrak{r}}(\mu)$  and the action of  $U^{\mathfrak{k}}$  on the corresponding isotypical  $U(\mathfrak{k})$  submodule is given by the homomorphism  $\chi_{P, -\mu-\delta}$ .*

The uniqueness follows from the well known theorem of Harish Chandra [4]: An irreducible  $\mathfrak{k}$ -finite  $U(\mathfrak{g})$  module  $M$  is completely determined by a nonzero isotypical  $U(\mathfrak{k})$  submodule of  $M$  and the action of  $U^{\mathfrak{k}}$  on it.

## REFERENCES

- [1] I.N. BERNSTEIN, I.M. GELFAND and S.I. GELFAND: Structure of representations possessing a highest weight (in Russian). *Funct. Anal. and appl.* 5(1) (1971) 1-9.
- [2] J. DIXMIER: Algebres Enveloppantes, *Gauthier-Villars, Paris*, 1974.
- [3] T.J. ENRIGHT and V.S. VARADARAJAN: On an infinitesimal characterization of the discrete series. *Ann. of Math.* 102 (1975) 1-15.
- [4] HARISH-CHANDRA: Representations of a semisimple Lie group II, *Trans. A.M.S.* 76 (1954) 26-65.
- [5] V.S. VARADARAJAN: Lie groups, Lie algebras and their representations. *Prentice-Hall*, 1974.
- [6] D.N. VERMA: Structure of some induced representations of complex semisimple Lie algebras. *Bull A.M.S.* 74 (1968) 160-166.
- [7] N.R. WALLACH: Harmonic Analysis on Homogeneous Spaces. *Marcel Dekker, Inc., New York*, 1973.
- [8] G. WARNER: Harmonic Analysis on Semisimple Lie Groups I *Springer-Verlag, New York*. 1972.

(Oblatum 13-VII-1976)

Tata Inst. Fund. Research  
School of Math.  
Bombay, 400005 India