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# Maritime Aerosol Network as a component of AERONET – first results and comparison with global aerosol models and satellite retrievals

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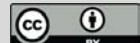
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## Abstract

The Maritime Aerosol Network (MAN) has been collecting data over the oceans since November 2006. Over 80 cruises were completed through early 2010 with deployments continuing. Measurements areas included various parts of the Atlantic Ocean, the 5 Northern and Southern Pacific Ocean, the South Indian Ocean, the Southern Ocean, the Arctic Ocean and inland seas. MAN deploys Microtops hand-held sunphotometers and utilizes a calibration procedure and data processing traceable to AERONET. Data collection included areas that previously had no aerosol optical depth (AOD) coverage at all, particularly vast areas of the Southern Ocean. The MAN data archive provides 10 a valuable resource for aerosol studies in maritime environments. In the current paper we present results of AOD measurements over the oceans, and make a comparison with satellite AOD retrievals and model simulations.

## 1 Introduction

Atmospheric aerosol optical studies, involving radiative forcing analysis, aerosol-cloud 15 interactions, remote sensing of the atmosphere, and global aerosol modeling require accurate information on aerosol optical depth (AOD). Sea salt is a major contributor to the columnar AOD over the oceans (Mahowald et al., 2006), and therefore affects the radiation budget directly (e.g., Haywood et al., 1999) and indirectly (O'Dowd et al., 1999). The complexity of aerosol production (Lewis and Schwartz, 2004) and advection 20 from land sources warrant systematic measurements of aerosol optical parameters in maritime environments. Statistical robustness is required to better understand regional aerosol climatology and trends derived from the long-term satellite records.

Generally speaking, not all areas of the World Ocean can be studied from islands; aside from environmental satellites, ships are the only platform whereby measurements 25 can be obtained. Ideally, a long-term comprehensive program is needed to include AOD on the list of routine meteorological and/or scientific measurements carried out

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onboard research vessels. Since network-grade stabilized platforms with automatic instrumentation capable of producing highly accurate AOD are not yet available, hand-held instruments continue to be the only option for shipboard AOD data collection. Therefore, the establishment of the Maritime Aerosol Network (MAN) as a component 5 of the Aerosol Robotic Network (Smirnov et al., 2009) has been a key step towards meeting this data need. MAN exploits the existence of the advanced AERONET calibration facilities and processing schemes, and relies on many logistical and scientific developments from the AERONET Project. The MAN web-based public data archive is a part of the AERONET web site. MAN represents an important strategic sampling 10 initiative and ship-borne data acquisition complements island-based AERONET measurements.

MAN started collecting data over the oceans in November 2006 and since then has made significant progress in data collection and archival. With more than 80 cruises completed and ongoing (and many more planned), the MAN database continues to 15 grow. MAN will enhance our knowledge of spectral AOD variation over the oceans. The ultimate objective is to advance fundamental scientific understanding of aerosol optical properties globally through highly accurate and standardized measurements.

In the current paper we present new results on aerosol optical depth measurements over the oceans and compare ship-borne measurements to satellite retrievals from 20 various sensors and to global chemical transport models.

## 2 Instrumentation, measurement areas and network products

The Maritime Aerosol Network (Smirnov et al., 2006, 2009) deploys hand held Microtops II sunphotometers and utilizes calibration and data processing procedures traceable to AERONET (Holben et al., 1998, 2001; Smirnov et al., 2004). The Microtops 25 II Sunphotometer has five spectral channels and can accommodate several possible filter configurations within the spectral range of 340–1020 nm. Detailed descriptions of the instrument are given by Morys et al. (2001), Porter et al. (2001), and Knobelispiesse

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et al. (2003). The estimated uncertainty of the optical depth in each channel does not exceed  $\pm 0.02$  (Knobelspiesse et al., 2004), primarily due to inter-calibration against AERONET reference CIMEL instruments that are accurate to  $\sim 0.005$  at most wavelengths (Eck et al., 1999). Microtops II instruments have shown good calibration stability over the years. Most of the instruments were manufactured in the late 1990s and have the original filters in place. The variability in calibration coefficients within a few percent over three years relative to AERONET reference CIMELs is quite acceptable. Figure 1 shows the variability in calibration coefficients (extraterrestrial irradiance signal,  $V_0$ ) for one particular Microtops II. Certain changes in the calibration (post-field deployment in particular) are typically associated with aerosol deposition on the optics window that occurs at sea. After window cleaning, the calibration coefficients often approximate their original (pre-deployment) values. However, for some instruments we occasionally observed filter degradation which manifests itself as a rapid changes in the calibration coefficient.

The Maritime Aerosol Network measurement area has included northern and southern parts of the Atlantic Ocean; transects north–south, south–north, and east–west in the Pacific; intensive study areas in the Southern Ocean and off the coast of Antarctica including a number of circumnavigation cruises in high southern latitudes. A cruise area in the South Indian Ocean included the region between Reunion, Crozet, Kerguelen and Amsterdam Islands, as well as in the Mozambique Channel. Atmospheric measurements in the Bay of Bengal, Gulf of Bothnia as well as the Arabian, Mediterranean, Black, Baltic, Norway, Bering, Beaufort Seas, represented important contributions to the database. Previously, some of those oceanic regions (e.g. the Bering Sea, the Beaufort Sea, the South Indian Ocean, coast of Antarctica) had very limited or no surface-based AOD coverage at all.

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The Maritime Aerosol Network data products are:

- (a) Spectral AOD  $\tau_a(\lambda)$ ,
- (b) Angstrom parameter  $\alpha$  (calculated using a least squares method within the 440–870 nm wavelength range),
- 5 (c) Columnar precipitable water, and
- (d) AOD at 500 nm partitioned into fine and coarse components according to the Spectral De-convolution Algorithm (SDA) by O'Neill et al. (2001, 2003).

All products have three data quality levels: Level 1.0 (unscreened), Level 1.5 (cloud-screened), and Level 2.0 (cloud-screened and quality assured). After final calibration

10 the values of spectral AOD  $\tau_a(\lambda)$  at Level 1.5 match those at Level 2.0 except for a few possible cloud contaminated outliers that are manually removed. The SDA quality assurance criteria are more complicated in that they involve additional criteria appended onto each of the three criteria defined in the previous two sentences. We would like to point out that the SDA data-QA criteria were empirically determined and were tested on various subsets of different aerosol types. These tests were carried out for various 15 optical conditions across the AERONET database and for the entire MAN dataset. We would like to emphasize that those criteria are in line with the AERONET SDA products however fine and coarse aerosol optical depth partition products for MAN have additional quality checks.

20 All products are available on the MAN web page, which is a part of the AERONET web site. A public domain web-based archive dedicated to the network activity can be found at: [http://aeronet.gsfc.nasa.gov/new\\_web/maritime\\_aerosol\\_network.html](http://aeronet.gsfc.nasa.gov/new_web/maritime_aerosol_network.html).

### 3 Maritime aerosol network global coverage

MAN started regular data acquisition in November 2006 after two pilot projects were 25 conducted (in 2004 and in the winter of 2005–2006). Since then ship cruises continued

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Amsterdam, Crozet and Kerguelen Islands yielded optical depths ranging between 0.02–0.10. This low optical depth phenomenon is quite repeatable and was reported elsewhere (Barteneva et al., 1991) based on the results of a number of cruises conducted in the beginning of the 80s (see also summary in Smirnov et al., 2002). According to Barteneva et al. (1991) at 500 nm AODs ranged within 0.05–0.11 to the north of the Antarctic Convergence zone (up to 40° S) and 0.03–0.04 to the south of it. Recently Vinoj et al. (2007) reported AODs less than 0.10 at 500 nm in the Indian Ocean south of 40° S.

The frequency of occurrences (Fig. 4c) shows that on 55% of all days  $\tau_a(500)$  was below 0.10, whereas for 20% of cases it was over 0.30. The histogram presents evidence of a narrow peak and a wide second peak. The latter peak is attributed to the variety of optical conditions over the Arabian Sea and the Bay of Bengal, related to dust and pollution emission from adjacent continental regions. The Angstrom parameter frequency distribution (Fig. 5c) shows a relatively neutral spectral dependence (typical for clean remote ocean areas and turbid dusty conditions) and a secondary peak around 1.3 which can be attributed mainly to the polluted air in the Bay of Bengal and near coast of Africa (high AOD cases). This secondary peak was not linked in any simple fashion to the secondary peak of the AOD histogram. Similar bimodal structure is evident for the coarse mode fraction of AOD (Fig. 6c). In this case we can identify the first peak at 0.15 as associated with the pollution in the Bay of Bengal whereas the second broad peak (~0.65) is associated with dust over Arabian Sea and clean maritime conditions over other measurement areas.

Measurements in the Southern Ocean yielded the results shown in Fig. 3d. AOD at 500 nm was quite low; over 80% of the data points were less than 0.05 in the frequency histogram (Fig. 4d). Day to day variation was minimal. The broad maximum in the Angstrom parameter frequency distribution is likely due to the higher uncertainty in  $\alpha$  computations when  $\tau_a$  is low. We would like to point out that this area of the Southern Ocean previously had almost no AOD measurement coverage at all; this is another example of how the MAN approach yields geo-statistical benefits which are difficult if

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not impossible to reproduce using other remote sensing techniques. Measurements in the Southern Ocean are comparable with the AERONET-based and other (see Tomasi et al., 2007) coastal measurements in Antarctica [ $\tau_a(500) \sim 0.02\text{--}0.03$ ].

Several cruises conducted in the Mediterranean, Black, Baltic Seas including the 5 Gulf of Bothnia provided a useful but relatively small dataset. Aerosol optical depth was highly variable (Fig. 3e) changing mainly within 0.10–0.40 range, except for the Gulf of Bothnia where  $\tau_a(500)$  was less than 0.10. Data collection in the Beaufort Sea area (Fig. 3f, north of 65° N) enabled the characterization of background conditions during the summer of 2007 ( $\tau_a(500) \sim 0.04$ ) as well as capturing Arctic haze events in 10 the spring of 2008. A variety of optical conditions, such as biomass burning aerosol transported from Alaska were found during the summer of 2009. Data acquired in the Bering Sea are included in Fig. 3f in order to provide a basis of comparison with other MAN data. While limited to only five days of measurements it shows  $\tau_a(500)$  to be ~0.06–0.08 which is comparable with the remote Pacific Ocean data but higher than 15 Beaufort Sea background results by approximately of a factor of 1.5 to 2. Figures 4f and 5f permit a direct comparison of the AOD and Angstrom parameters with other regions. Measurements presented in Figs. 3–6e,f were carried out in different regions and in various seasons when optical conditions were determined by a mixture of maritime and continental aerosols. The scatter of the aerosol optical parameters is evident but 20  $\alpha$  in the majority of cases is higher than 1.0 which is an indication of the significant contribution of fine particles to the attenuation in the atmospheric column (the coarse mode fraction of AOD varies mainly within 0.1–0.5 range). The most frequent AOD is ~0.12 for both subsets (Fig. 4e, f) however AOD frequencies are skewed towards higher AODs in Fig. 4f and towards smaller AODs in the case of the Bering and Beaufort 25 Seas (Fig. 4f).

Overall statistics for oceanic areas (we did not include inland seas – Baltic, Black, and Mediterranean) is presented in Fig. 7. Despite the fact that vast areas still have limited or no coverage we can delineate some general characteristic features of aerosol optical properties over the oceans:

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- AOD at a wavelength 500 nm is less than 0.10 over oceanic areas not influenced by continental pollution, smoke or dust outflows – Fig. 7a.
- The Angstrom parameter (a general indicator of aerosol particle size) is generally smaller (<0.50) than values reported over continents (Holben et al., 2001) and in many instances less than values reported over island sites (Smirnov et al., 2002, 2009) – Fig. 7b. Desert dust contributed about 10% to the overall daily statistics if we consider  $AOD > 0.2$  and  $\alpha < 0.6$  as thresholds for a dust subset.
- The coarse mode AOD at 500 nm is less than 0.10 for vast majority of occurrences – Fig. 7c.
- Over 50% of the coarse mode fraction is within the range 0.50–0.80, denoting dominance of coarse aerosol in the total aerosol optical depth – Fig. 7d.

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#### 4 Comparison with satellite retrievals and global transport models

The ship-borne measurements provide an excellent opportunity for comparison with global aerosol transport models and satellite retrievals. AOD differences between satellite retrievals or model simulations and ship-borne AODs are presented in this section. In order to better visualize comparisons we present AOD differences as a function of latitude against MAN ground-truth for each sensor or model. Sunphotometer measurement series (Level 2.0) were spectrally adjusted using log-linear interpolation to the “validation” wavelength of 550 nm.

20 The global model GOCART is driven by the assimilated meteorological fields from the Goddard Earth Observing System Data Assimilation System (GEOS4-DAS) and simulates major aerosol types of dust, sulfate, black carbon, organic matter, and sea salt (details described in Chin et al., 2002, 2009, and references therein). GOCART simulated aerosol optical depth used in this study is archived at  $1^{\circ}$  latitude by  $1.25^{\circ}$  longitude spatial resolution every three hours. For comparisons in this study, the GOCART output was extracted to match the MAN observations at the closest location and time.

GEOS-Chem ([www.geos-chem.org](http://www.geos-chem.org)) is a global chemical transport model driven by assimilated meteorology from the NASA Global Modeling and Assimilation Office (GMAO). Simulations shown here were performed with v8-03-01 of the model with GEOS-5 meteorology at  $2^\circ \times 2.5^\circ$  horizontal resolution (degraded from  $0.5^\circ \times 0.67^\circ$ ) and

5 47 vertical levels. The total AOD shown here includes contributions from sulfate, nitrate, ammonium, black carbon, organic carbon, sea salt and dust. Aerosol optical properties are based on the Global Aerosol Data Set (GADS) (Kopke et al., 1997) with modifications from Drury et al. (2010) and Jaegle et al. (2010). Model output is sampled along the MAN ship tracks and matched temporally within 30 min (the chemistry  
10 time step of the model).

The comparison with the median AeroCom model (Schulz et al., 2006) constructed from the output representing year 2000 simulations by twelve models (GISS, GOCART, KYU, LOA, LSCE, MATCH, MOZGN, MPI\_HAM, PNNL, TM5\_B, UIO\_CTM, UMI; see details in Textor et al., 2006; Kinne et al., 2006) was made in the following way. MAN  
15 data from a given day were averaged per day and the mean latitude/longitude position was calculated. AeroCom median model data were extracted for a corresponding month when ship-based observations were made and at the mean MAN location for any given day with observations available. Each day with a MAN observation thus has one corresponding model value in a  $1^\circ \times 1^\circ$  grid. This “matching” was thus done  
20 differently from other models and satellite sensors but respects seasonal variability.

The number of morning (Terra) and afternoon (Aqua) MODIS retrievals matching ship-based  $\tau_a$  was quite high. The matchup criteria were a modification of Ichoku et al. (2004). We looked for any series of MAN measurements within  $\pm 30$  min of the MODIS overpass time. MODIS was required to retrieve at least 5 out of 25 pixels in the  
25 50 km box around the ship location (details are presented by Kleidman et al., 2010). In the case of multiple matching sunphotometer measurement series we took the one closest in time to the overpass if the AOD variability was small and averaged MAN series measurements if variability was large after eliminating outliers. In over 90% of the cases we selected the closest series.

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The matchup criteria for the MISR (algorithm version 22) product included successful retrievals either in the 17.6 km MISR retrieval region containing selected ship-based measurement (the “central” region), or in one or more of the eight retrieval regions surrounding the central one. The MAN time series for each coincidence include at least one AOD measurement during the hour before the MISR overpass, and at least one during the hour after the overpass (Kahn et al., 2005, 2010). The number of matching cases for MISR is limited (only 61 match-ups) with several outliers. Five out of seven outliers were identified as being cloud contaminated (Kahn et al., 2010). The proximity of a coastline in one case and an ice surface in the other case complicated the retrieval process for the other two outliers.

Zhang and Reid (2006) developed a methodology to minimize cloud contamination and other biases in MODIS aerosol product for implementation in operational aerosol data assimilation (DA). This DA quality level-3 Terra MODIS and Aqua MODIS AODs (Zhang and Reid, 2006; Shi et al., 2010) will be used in this study (marked as DA – data assimilation quality assured). The over ocean collection 5 MODIS level-2 AODs (marked as Standard) are included for comparison. We consider any pairs of MODIS and MAN series data within  $\pm 30$  min of the overpass time and spatially within 30 km. If more than one MAN series data point is available then we pick the closest in time.

The temporal and spatial scale differences between point measurements from MAN and area-grids from satellite retrievals and model simulations may lead to some differences. The temporal difference is addressed by utilizing the MAN series data within a set period from the satellite or model reporting time. However, the spatial difference can only be addressed with several widely distributed measurements within the domain. As a result, some of the measurements from MAN may capture episodic aerosol plumes, which may not be detected by larger grid scale products that average over a large region. The spatial difference would tend to affect periods when MAN reported higher AOD and difference would be greater for the largest area-grids.

Figure 8 presents AOD differences between global model simulations and ship-borne AODs as a function of latitude. GOCART and GEOS-Chem simulations were available

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only for the year 2007. From Fig. 8a one can observe that GOCART overestimates AOD more often. Positive bias is evident south of  $60^{\circ}$  S and north of  $30^{\circ}$  N. A significant temporal variability in areas of the Atlantic influenced by dust and biomass burning sources produced almost symmetrical AOD differences within the belt  $0^{\circ}$ – $30^{\circ}$  N. While the GEOS-Chem model (Fig. 8b) reproduces many of the NH observations (clustering around zero bias), large negative excursions are also evident in plumes measured in 2007. The complexity of various continental sources, as well as the coarser horizontal resolution of the model simulation might explain the disparity. Unlike GOCART the GEOS-Chem and sunphotometer AOD differences are approximately equally distributed around zero south of  $60^{\circ}$  S. The median AEROCOM model shows more scatter mainly in the Southern Hemisphere (Fig. 8c). AOD differences are mostly negative, however distributed almost equally in the areas north of the equator to  $30^{\circ}$  N.

Satellite retrievals from MODIS and MISR (Fig. 9a–b) indicate a positive bias, very similar to each other, although the MODIS differences are smaller. Separating Terra and Aqua retrievals would show that Terra is more severely biased high than Aqua (Remer et al., 2008). MISR and MODIS retrievals are more likely to be biased high than low over ocean, as the algorithms assume cloud-free scene and dark surfaces, whereas unscreened cloud or whitecaps, and non-zero surface reflectivity due to runoff, pollution, or biological activity, would all increase scene reflectance (Kahn et al., 2007).

The standard and data assimilation quality product for Terra MODIS comparison (Fig. 9c–d) shows a significant improvement in the latitudinal dependence of AOD differences. The noticeable positive bias in the Standard AOD (Fig. 9c) disappeared in the DA product (Fig. 9d). In the Northern Hemisphere differences are almost evenly distributed around zero with the  $0$ – $60^{\circ}$  degrees belt, while changing the sign of the AOD differences further north of  $60^{\circ}$  N. The strong positive bias in the Southern Ocean for the Standard AOD became much smaller for the DA product. Comparison made for the Aqua MODIS (Fig. 9e–f) does show some improvements but no drastic changes. A number of outliers on the negative side might be associated with the unnoticed cloud contamination of the sunphotometer data.

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We would like to emphasize that our analysis is not intended to determine how many retrievals are within the claimed uncertainty boundaries or beyond. Rather we wanted to show where satellite retrieval biases exist and, in what latitudinal belts corrections are needed. For example, in the southern latitudes (south of 40 degrees) the sunphotometer AODs are low compared with satellite retrievals and modeling results. This discrepancy can, at least partly, be explained by uncertainties in aerosol production rates (Lewis and Schwartz, 2004), foam formation and its latitudinal distribution (Anguelova and Webster, 2006), by a process of quality control that excludes some residual cloud contamination (Zhang and Reid, 2010), by the accuracy of radiative transfer models used (Melin et al., 2010), and more accurate accounting for surface reflectance effects (Sayer et al., 2010).

A valid comparison among various models, satellite products and sunphotometer measurements (SP) is presented in Fig. 10. The frequency of occurrences histogram indicates that vast majority of the differences are positive. Only two out of nine differences (DA Terra – SP and AEROCOM – SP) are biased slightly negative. The AE-15 AEROCOM – SP difference has a much wider distribution and as a consequence peaks at only 20%, lower than others. GEOS-Chem is almost symmetrical around zero, although biased slightly high as are the other models and sensors. The MISR – SP distribution shows bi-modality mainly because of the small number of match-ups available.

## 5 Summary

The Maritime Aerosol Network has continued extended spectral AOD data collection to areas that previously had no coverage. A web-based data archive provides the international scientific community with valuable data for satellite retrieval validation, atmospheric correction and other applications. Many areas of the World Ocean still have little or no coverage and our objective in the future is to extend coverage to all of these regions. Our international, multi-institutional collaborative effort will significantly

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enhance our knowledge on the global aerosol distribution over the oceans. We foresee a continuation of this effort on various ships of opportunity.

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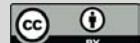
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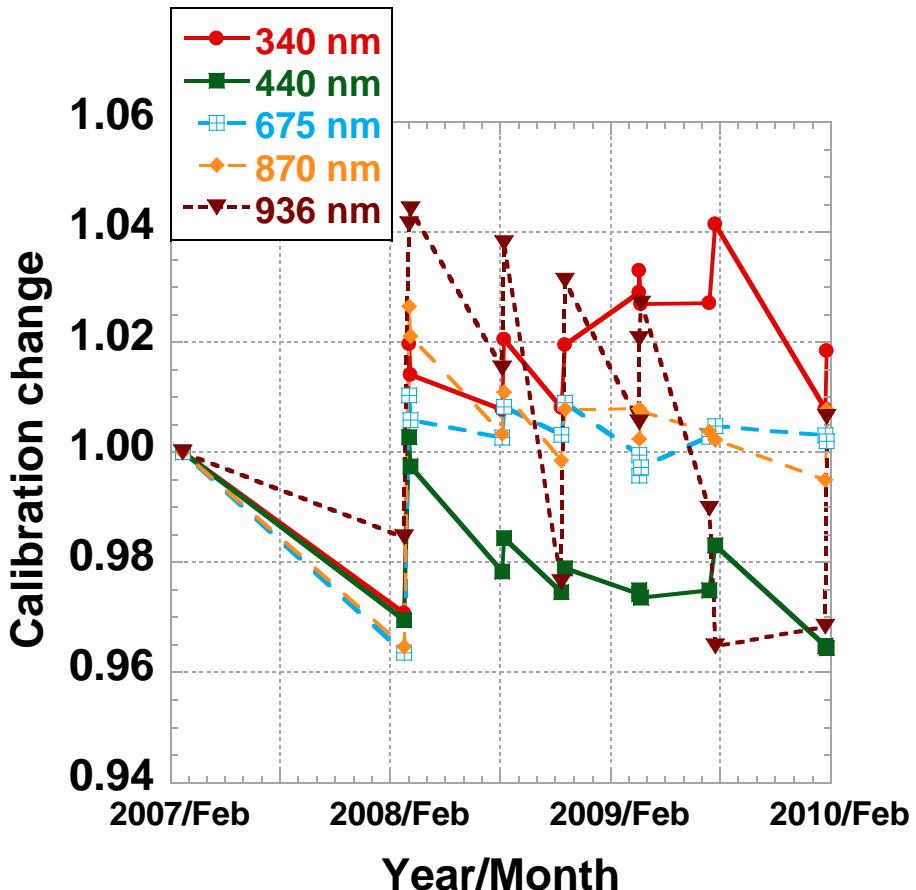
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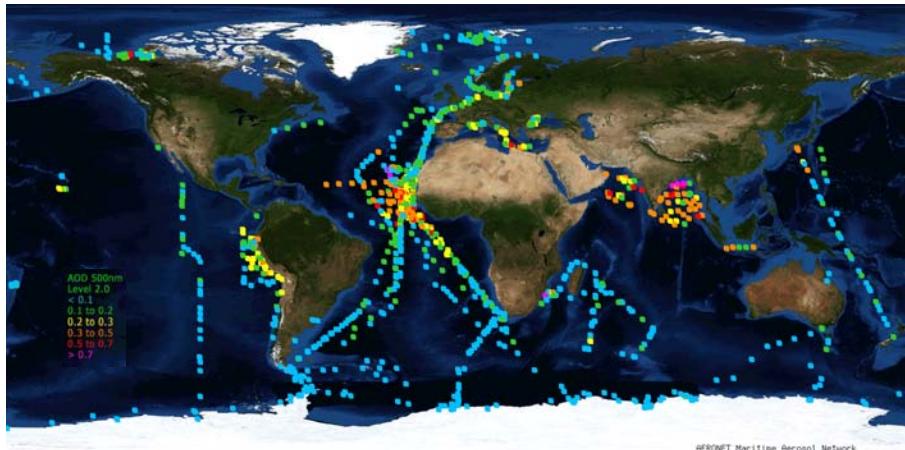




**Fig. 1.** Microtops (S/N 3657) calibration history.

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**Fig. 2.** Maritime Aerosol Network global coverage – cruise tracks and daily averages of aerosol optical depth at 500 nm (squares are colored with respect to AOD values, i.e. blue – AOD < 0.10, green – 0.1 ≤ AOD < 0.2, yellow – 0.2 ≤ AOD < 0.3, orange – 0.3 ≤ AOD < 0.5, red – 0.5 ≤ AOD < 0.7, purple – AOD ≥ 0.7).

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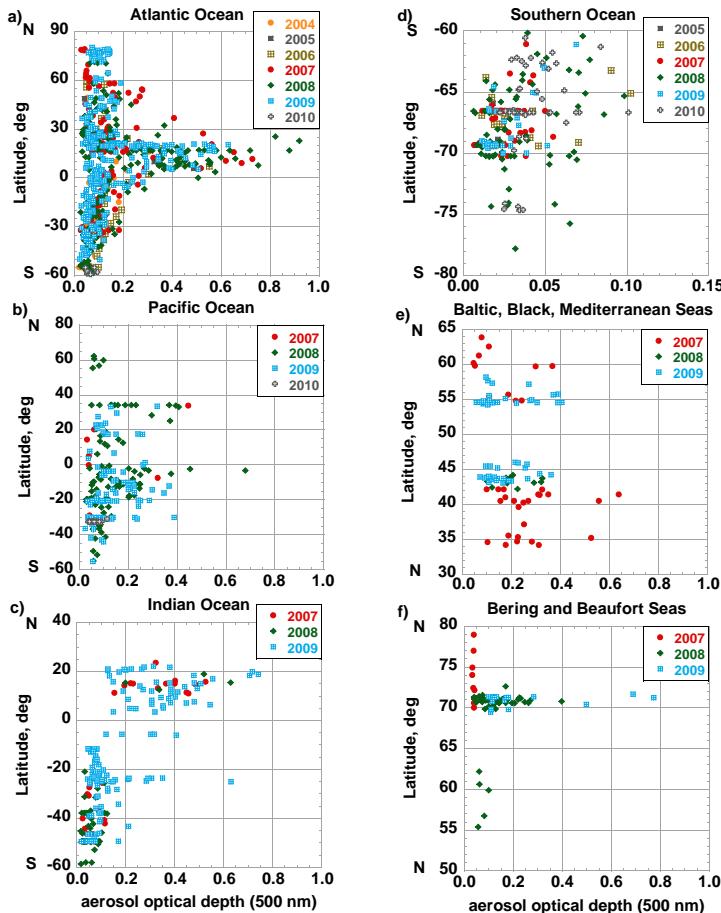
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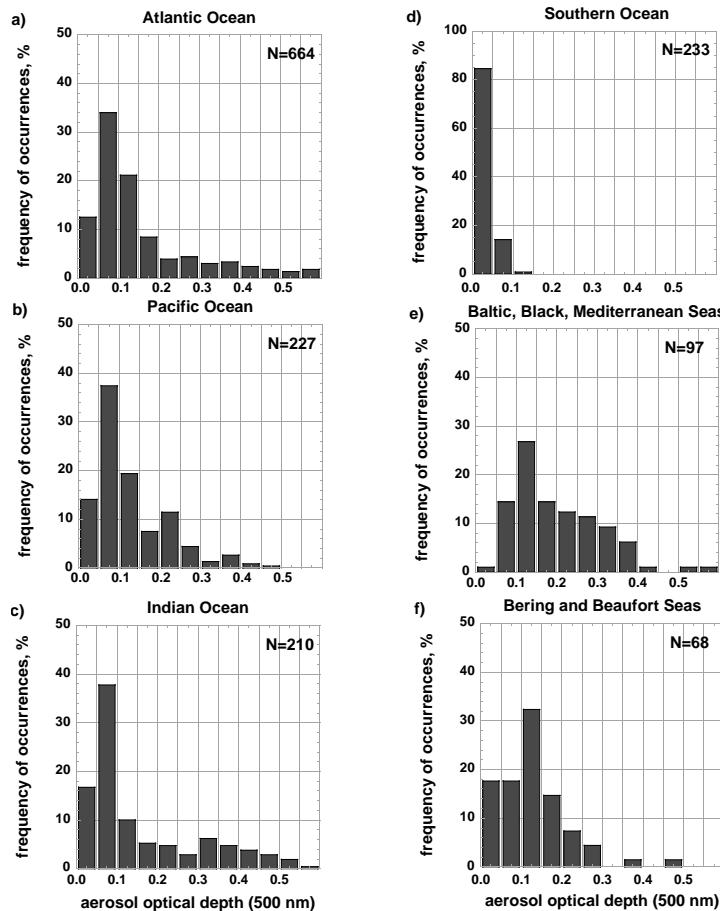
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**Fig. 3.** Latitudinal dependence of daily averaged aerosol optical depth in the Atlantic Ocean (a), Pacific Ocean (b), Indian Ocean (c), Southern Ocean (d), Baltic, Black, and Mediterranean Seas (e), Bering and Beaufort Seas (f).

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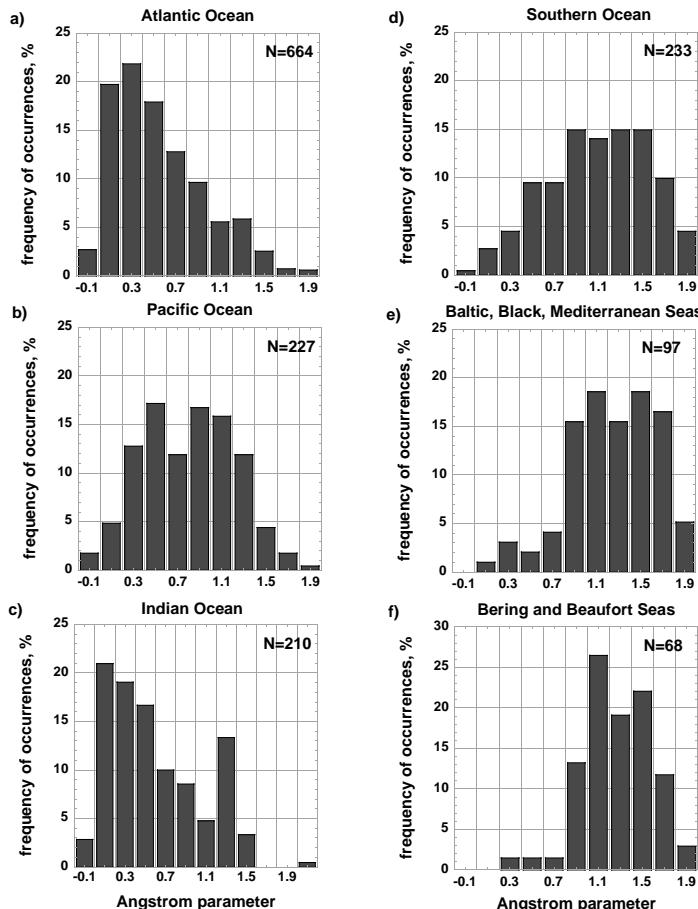
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**Fig. 4.** Frequency of occurrences of daily averaged aerosol optical depth at 500 nm for (a) Atlantic Ocean, (b) Pacific Ocean, (c) Indian Ocean, (d) Southern Ocean, (e) Baltic, Black, and Mediterranean Seas, (f) Bering and Beaufort Seas.

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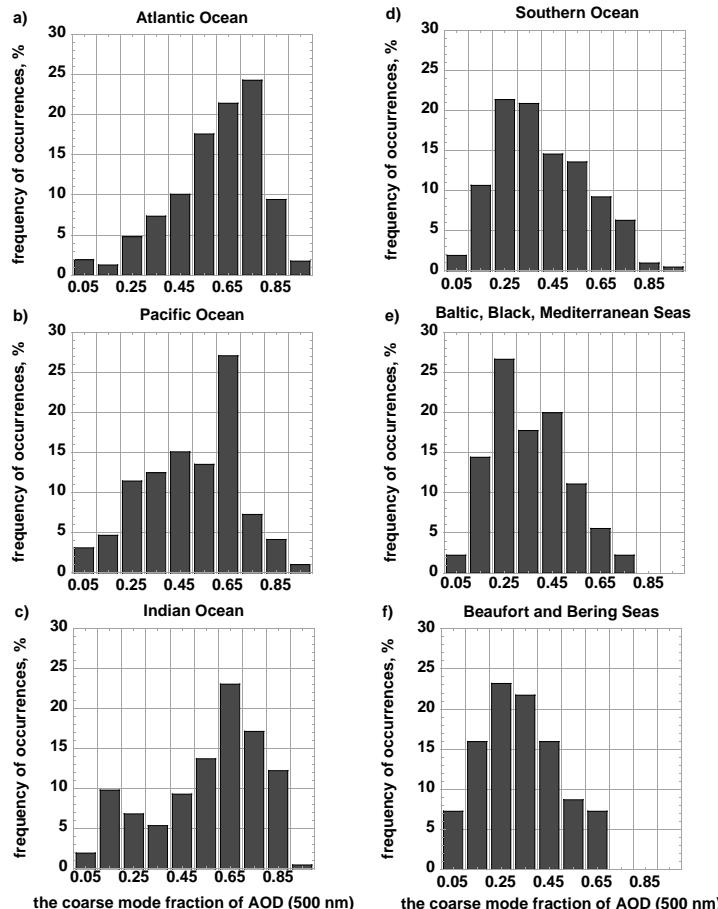
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**Fig. 5.** Frequency of occurrences of daily averaged Angstrom parameter for (a) Atlantic Ocean, (b) Pacific Ocean, (c) Indian Ocean, (d) Southern Ocean, (e) Baltic, Black, and Mediterranean Seas, (f) Bering and Beaufort Seas.

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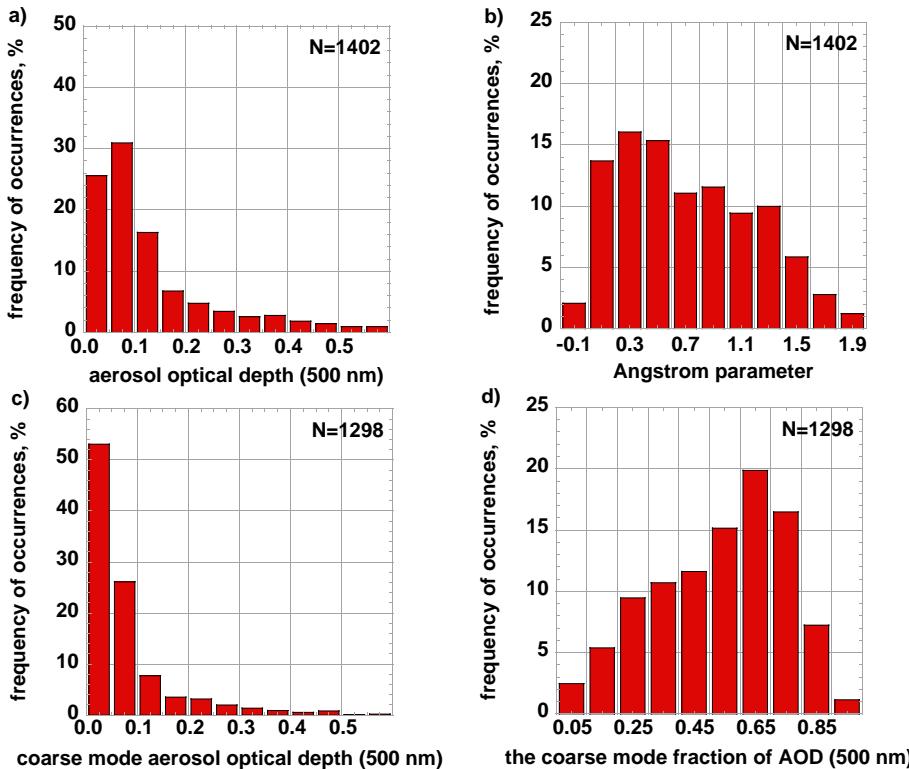
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**Fig. 6.** Frequency of occurrences of the daily averaged coarse mode fraction for **(a)** Atlantic Ocean, **(b)** Pacific Ocean, **(c)** Indian Ocean, **(d)** Southern Ocean, **(e)** Baltic, Black, and Mediterranean Seas, **(f)** Bering and Beaufort Seas.

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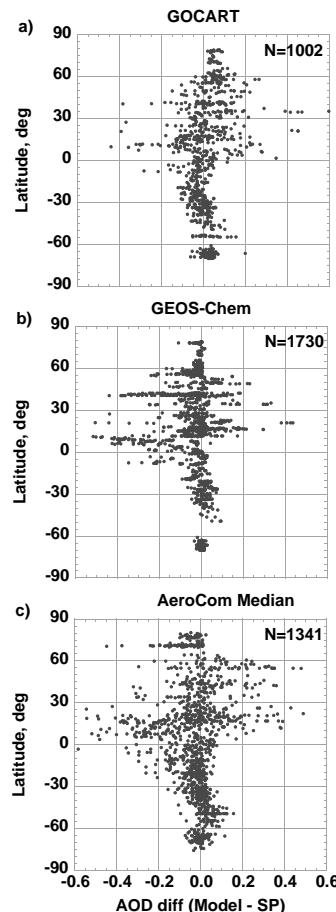
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**Fig. 7.** Frequency of occurrences of daily averaged AOD (a), Angstrom parameter (b), coarse mode AOD (c), coarse mode fraction of AOD (d).

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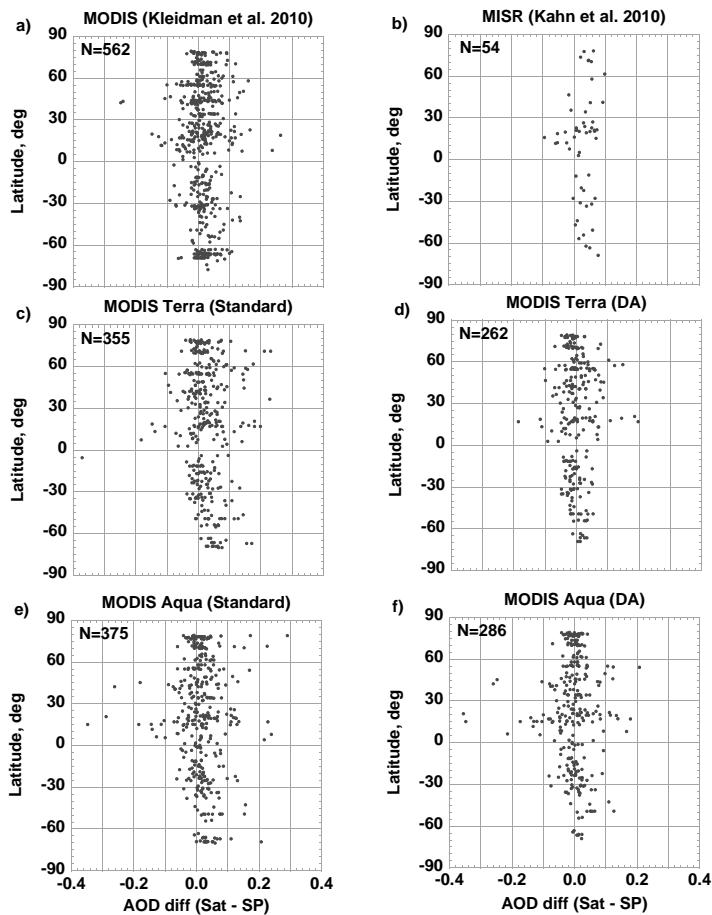
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**Fig. 8.** Latitudinal dependence of aerosol optical depth differences between various global aerosol transport models and sunphotometer.

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**Fig. 9.** Latitudinal dependence of aerosol optical depth differences between various satellite sensors and sunphotometer.

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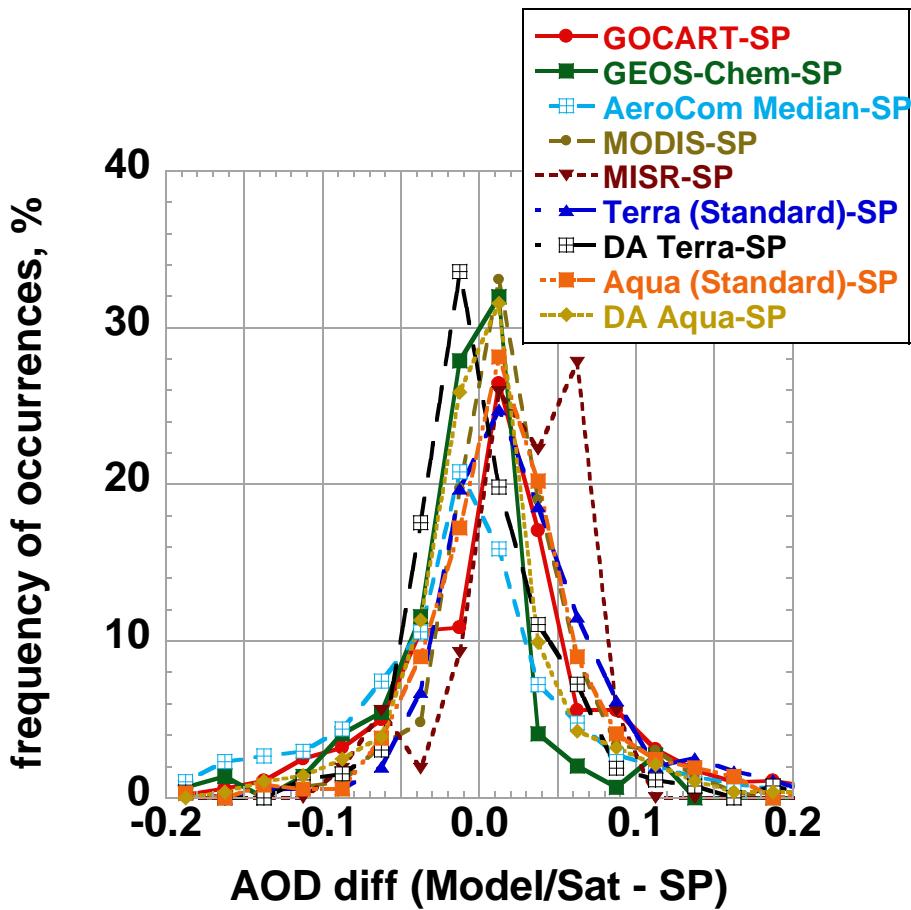
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**Fig. 10.** Frequency of occurrences of aerosol optical depth differences between various models/sensors and sunphotometer.