

Ketu^{*} and the second invariant of a quadratic space

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Abstract. *Using the Chern classes defined by Grothendieck, we map a K-theoretic invariant of invertible symmetric matrices defined by Giffen to the Clifford invariant.*

Key words. *Chern classes, quadratic forms, Clifford invariant.*

1. Introduction

An invariant for quadratic forms over a commutative ring A with values in a quotient of $K_2(A)$ has been defined by Giffen [6]. He also verified that if A is a field, his invariant maps to the Clifford invariant of the quadratic form under the well-known symbol map to the Brauer group.

Using Grothendieck's theory of equivariant Chern classes [7], Shekhtman [13] and Soulé [14] defined homomorphisms $c_{ji} : K_j(A) \longrightarrow H_{\text{ét}}^{2i-j}(\text{Spec}A, \mu_m^{\otimes i})$ for all possible i, j and m . We show that Giffen's invariant maps under c_{22} to the Clifford invariant in $H_{\text{ét}}^2(\text{Spec}A, \mu_2)$, defined by the connecting map associated to the sequence

$$1 \longrightarrow \mu_2 \longrightarrow \mathbf{Spin} \longrightarrow \mathbf{SO} \longrightarrow 1 .$$

The method of proof involves basically two steps. The first is a general reduction to rank 2 quadratic spaces, via some calculations of étale cohomology groups of affine quadrics. The second is an explicit calculation of the invariants for rank 2 quadratic spaces over smooth affine curves. In this calculation we use Suslin's results on K-cohomology of smooth affine varieties. Since no explicit description of c_{22} is known, except on elements generated by symbols, our results can be interpreted as a computation of c_{22} on that part of K_2 arising from invariants of quadratic forms.

In what follows A will denote a commutative ring in which 2 is invertible.

2. The Giffen invariant

Let A be a commutative ring in which 2 is invertible, $\text{WG}(A)$ the Witt-Grothendieck group of A (see for instance [9]) and $W_0(A)$ its quotient by the subgroup generated by the hyperbolic plane $H(A)$. We denote by $W_1(A)$ the kernel of the forgetful map

$$s_0 : W_0(A) \longrightarrow K_0(A)/2\mathbb{Z} .$$

*Ketu may not be connected with K_2 but, according to Herrmann Graßman's *Wörterbuch zum Rig-veda*, "es bezeichnet das, was sich sichtbar oder kenntlich macht ..."

Every class of $W_1(A)$ is represented by a symmetric matrix of even rank. We define a homomorphism (called discriminant)

$$s_1 : W_1(A) \longrightarrow K_1(A)/\text{Tr}(K_1(A)) ,$$

where $\text{Tr}(\alpha) = \alpha + \alpha^t$. Let S be a symmetric $2n \times 2n$ matrix representing an element of $W_1(A)$. We set $s_1(S) = (-1)^n$ (class of S). Let $W_2(A)$ be the kernel of s_1 . Every class of $W_2(A)$ can be represented by an elementary symmetric matrix whose rank is a multiple of 4. Let $\text{ES}(A)$ be the subset of $E(A)$ consisting of symmetric matrices of rank divisible by 4 and trivial discriminant.

We say that α and β in $\text{ES}(A)$ are *equivalent* if there exists an elementary matrix ϵ and integers m, n , such that

$$\epsilon^t \begin{pmatrix} \alpha & 0 \\ 0 & J_{2m} \end{pmatrix} \epsilon = \begin{pmatrix} \beta & 0 \\ 0 & J_{2n} \end{pmatrix} ,$$

where J_k is the matrix with k diagonal blocks equal to $J = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$.

These equivalence classes of elementary matrices form an abelian group $\text{EW}_2(A)$ in which the class of J_{2m} is the identity and the class of $-\alpha$ is the inverse of the class of α . Clearly, W_2 is a quotient of $\text{EW}_2(A)$. We define a map

$$s_2 : \text{EW}_2(A) \longrightarrow K_2(A)/\text{Tr}(K_2(A)) .$$

This time, Tr is the map $\alpha \mapsto \alpha - \alpha^t$, where $\alpha \mapsto \alpha^t$ is the involution induced on $K_2(A)$ by the unique involution of the Steinberg group $\text{St}(A)$ that maps each generator $x_{ij}(\lambda)$ to $x_{ji}(\lambda)$.

Let $\alpha \in \text{ES}(A) \cap \text{GL}_{4n}(A)$ represent an element of $\text{EW}_2(A)$ and $\tilde{\alpha}$ denote a lift of α in $\text{St}(A)$. We set

$$s_2(\alpha) = n(-1, -1) + (\text{class of } \tilde{\alpha}^{-1}\tilde{\alpha}^t) .$$

Clearly this respects the equivalence in $\text{ES}(A)$ and passes down to a homomorphism s_2 .

Remark. The Steinberg group is written multiplicatively, but K_2 additively.

3. Catch 22 and transposition

We recall a few facts about equivariant Chern classes, from [7], [13] and [14].

Let X be a G -scheme, i.e. a scheme X with a discrete group G operating on it. A sheaf \mathcal{F} over X is a G -sheaf if for every $g \in G$ there is a morphism of sheaves $\varphi_g : g^*\mathcal{F} \rightarrow \mathcal{F}$ such that $\varphi_{gh} = \varphi_h \circ h^*(\varphi_g)$ for all $g, h \in G$ and $\varphi_e = \text{Id}_{\mathcal{F}}$. A global section s of \mathcal{F} is invariant if $\varphi_g(s) = s$ for every g . This condition makes sense because $g^*\mathcal{F}(X) = \mathcal{F}(g^{-1}(X)) = \mathcal{F}(X)$. Associating to every abelian G -sheaf the group of its global invariant sections we get a functor whose n -th derived functor is, by definition, the n -th equivariant cohomology group $H^n(X, G, \mathcal{F})$.

Suppose now that \mathcal{E} is a G -vector bundle of rank r over X . The projective bundle $\mathbb{P}(\mathcal{E})$ associated to \mathcal{E} is a G -scheme. For every integer m invertible in \mathcal{O}_X let $\boldsymbol{\mu}_m$ be the sheaf of m -th roots of unity on X or $\mathbb{P}(\mathcal{E})$. The sheaf \mathcal{E} determines a canonical element $[\mathcal{O}_{\mathbb{P}(\mathcal{E})}(1)] \in H^1(\mathbb{P}(\mathcal{E}), G, \mathbf{G}_m)$ which maps, under the connecting map ∂ associated to the Kummer sequence

$$1 \longrightarrow \boldsymbol{\mu}_2 \longrightarrow \mathbf{G}_m \xrightarrow{2} \mathbf{G}_m \longrightarrow 1 ,$$

to an element $\xi(\mathcal{E}) = \partial[\mathcal{O}_{\mathbb{P}(\mathcal{E})}(1)] \in H^2(\mathbb{P}(\mathcal{E}), G, \boldsymbol{\mu}_m)$. By [7],

$$H^*(\mathbb{P}(\mathcal{E}), G, \boldsymbol{\mu}_m^{\otimes r}) = \bigoplus_{0 \leq j \leq r-1} H^*(X, G, \boldsymbol{\mu}_m^{\otimes(r-j)}) \xi^j$$

where ξ^j is the j -th cup-product power of $\xi = \xi(\mathcal{E})$. Thus, ξ^r is a linear combination of its lower powers and there is a relation

$$\xi^r + c_1(\mathcal{E})\xi^{r-1} + \cdots + c_r(\mathcal{E}) = 0 ,$$

where $c_i(\mathcal{E}) \in H^{2i}(X, G, \boldsymbol{\mu}_m^{\otimes i})$.

If G operates trivially on X , the Künneth formula gives, for any j , a natural homomorphism

$$H^{2j}(X, G, \boldsymbol{\mu}_m^{\otimes j}) \longrightarrow \bigoplus_{0 \leq i \leq 2j} \text{Hom}(H_i(G, \mathbb{Z}), H^{2j-i}(X, \boldsymbol{\mu}_m^{\otimes j}))$$

which, for $i = j = 2$, maps $c_2(\mathcal{E})$ to a homomorphism

$$\varphi_{22}(\mathcal{E}) : H_2(G, \mathbb{Z}) \longrightarrow H^2(X, \boldsymbol{\mu}_m^{\otimes 2}) .$$

Taking now $X = \text{Spec}A$, $\mathcal{E} = A^r$, $m = 2$ and $G = \mathbf{GL}_r(A)$ with the trivial action on X and the natural action on \mathcal{E} , we get, for any r , homomorphisms

$$\varphi_{22}^{(r)} : H_2(\mathbf{GL}_r(A), \mathbb{Z}) \longrightarrow H^2(\text{Spec}A, \boldsymbol{\mu}_2)$$

which are compatible with the inclusions $\mathbf{GL}_r \subset \mathbf{GL}_{r+1}$. We therefore have a homomorphism

$$c_{22} : K_2(A) = H_2(E(A), \mathbb{Z}) \longrightarrow H^2(\text{Spec}A, \boldsymbol{\mu}_2) .$$

Proposition 3.1. *For any $\alpha \in K_2(A)$, $c_{22}(\alpha) = c_{22}(\alpha^t)$.*

Proof. We denote by $\#$ the inverse of the transpose of matrices and of elements of K_1 , K_2 , etc. On $K_2(A) = H_2(E(A), \mathbb{Z})$ the involution $\#$ is induced by $\#$ on $E(A)$, hence we only have to show that $\varphi_{22}^{(r)}(\alpha^\#) = \varphi_{22}^{(r)}(\alpha)$ for any $\alpha \in H_2(\mathbf{GL}_r(A), \mathbb{Z})$. Let $G = \mathbf{GL}_r(A)$. The isomorphism $\# : G \longrightarrow G$ induces $\# : H^{2i}(X, G, \boldsymbol{\mu}_2) \longrightarrow H^{2i}(X, G, \boldsymbol{\mu}_2)$ with the property that, for any G -bundle \mathcal{E} on X ,

$$(1) \quad \#c_i(\mathcal{E}) = c_i(\mathcal{E}^\#) ,$$

where $\mathcal{E}^\#$ is \mathcal{E} with the action of G twisted through $\#$ (see [7], §2.3).

For $\mathcal{E} = A^r$, $\mathcal{E}^\#$ is the dual $\check{\mathcal{E}}$ of \mathcal{E} , in the category of G -bundles. For any scheme Y with a G -action and any G -bundle \mathcal{F} on Y , $c_i(\mathcal{F}) = (-1)^i c_i(\check{\mathcal{F}}) = c_i(\check{\mathcal{F}})$ in $H^{2i}(Y, G, \mu_2)$. (This can be proved by the splitting principle as in [7], page 247, noting that the statement is clear for line bundles with G -action.) From (1) we get $\#c_i(\mathcal{E}) = c_i(\mathcal{E})$. Since $\#c_i(\mathcal{E})$ induces the map $\varphi_{ij}^{(r)} \circ \#$ from $H_j(\mathbf{GL}_r(A), \mathbb{Z})$ to $H^{2i-j}(\text{Spec} A, \mu_2)$, it follows that $c_{22}(\alpha) = c_{22}(\alpha^\#) = -c_{22}(\alpha^t) = c_{22}(\alpha^t)$ and the proposition is proved.

4. An interlude

Theorem 4.1. *Let X be a noetherian reduced scheme and U an open subscheme containing all the singular points and all generic points of X . Then the restriction map*

$$H^2(X, \mathbf{G}_m) \longrightarrow H^2(U, \mathbf{G}_m)$$

is injective.

Proof. Let $i : U \longrightarrow X$ be the inclusion. Since U contains the generic points of X , the sheaf \mathbf{G}_m on X injects into $i_*\mathbf{G}_m$. Let the sheaf D be defined by the exact sequence

$$1 \longrightarrow \mathbf{G}_m \longrightarrow i_*\mathbf{G}_m \longrightarrow D \longrightarrow 0 .$$

Associating to a rational function its order at a codimension 1 regular point induces a map of (étale) sheaves

$$i_*\mathbf{G}_m \longrightarrow \bigoplus_{x \in X^{(1)} \setminus U} i_{x*}\mathbb{Z} ,$$

where $i_x : x \longrightarrow X$ is the inclusion of the point $x = \text{Spec } k(x)$ into X . This map vanishes on \mathbf{G}_m and induces a surjective map

$$d : D \longrightarrow \bigoplus_{x \in X^{(1)} \setminus U} i_{x*}\mathbb{Z} .$$

We show that d is injective; let V be an étale neighborhood of a point $x \in X$. Suppose that $f \in i_*\mathbf{G}_m(V)$ maps to zero in $i_{x*}\mathbb{Z}$ for every $x \in X^{(1)} \setminus U$. By definition f is a unit at every point of $V \times_X U$. Every point $y \in V$ outside $V \times_X U$ is regular and f has order zero at every codimension 1 generization of y belonging to $V \setminus V \times_X U$ because it maps to zero under d . On the other hand, f has order zero at the generizations of y belonging to $V \times_X U$ because f is a unit on $V \times_X U$. Hence f is a unit at y and d is an isomorphism.

By Lemma 1.9 of [8] we have $H^1(X, i_*\mathbb{Z}) = 0$ and hence $H^1(X, D) = 0$. We therefore have an injection

$$H^2(X, \mathbf{G}_m) \longrightarrow H^2(X, i_*\mathbf{G}_m) .$$

There is a spectral sequence

$$\mathrm{H}^p(X, R^q i_* \mathbf{G}_m) \implies \mathrm{H}^n(U, \mathbf{G}_m) .$$

Since the étale sheaves $R^1 i_* \mathbf{G}_m$ and $R^2 i_* \mathbf{G}_m$ are zero we have an isomorphism

$$\mathrm{H}^2(X, i_* \mathbf{G}_m) \xrightarrow{\sim} \mathrm{H}^2(U, \mathbf{G}_m) .$$

Remark. It follows easily from Theorem 4.1 that for any commutative noetherian ring A such that $\mathrm{Spec} A$ has finitely many singularities the Giffen invariant and the Clifford invariant have the same image in the Brauer group of A . To see this, it suffices to replace A by its semilocalization at the singular primes and use the fact that, for a semilocal ring, $\mathrm{K}_2(A)$ is generated by symbols. This was our first approach to the comparison of the two invariants. For the result of the last section Theorem 4.1 is only needed in the well-known special case of a regular scheme, but since it does not seem to appear anywhere in print, we decided to record it here.

5. Quadrics

Let $\alpha = (a_{ij}) \in \mathbf{GL}_n(A)$ be a symmetric matrix, $u \in \mathbf{G}_m(A)$, $q(X_1, \dots, X_n) = \sum_{i \leq j} a_{ij} X_i X_j$,

$$C = \frac{A[X_1, \dots, X_n]}{(q(X_1, \dots, X_n) - u)}$$

and $B = C_{X_1}$. For any A -algebra A' , we write $B_{A'}$, $C_{A'}$ for $B \otimes_A A'$, $C \otimes_A A'$. Let $\pi : \mathrm{Spec} B \rightarrow \mathrm{Spec} A$ be the structure map.

Proposition 5.1. *Let A be reduced and $n \geq 3$. The homomorphisms $\mathbb{Z} \rightarrow \pi_* \mathbf{G}_m$ given by $n \mapsto X_1^n$ and $\mathbf{G}_m \rightarrow \pi_* \mathbf{G}_m$ induce an isomorphism of étale sheaves*

$$\mathbf{G}_m \times \mathbb{Z} \xrightarrow{\sim} \pi_* \mathbf{G}_m .$$

Proof. Since \mathbf{G}_m commutes with direct limits we may assume that A is noetherian. Suppose first that A is a separably closed field. If $n \geq 4$, X_1 is a prime in C and $\mathbf{G}_m(C) = \mathbf{G}_m(A)$, hence $\mathbf{G}_m(B) = \mathbf{G}_m(A) \times \mathbb{Z}$. If $n = 3$, by Proposition 5.3, either X_1 is a prime or $B \simeq (A[X_1, X_2, X_3]/(X_1 X_2 - X_3^2 - 1))_{X_1} \simeq A[X_1, X_1^{-1}, X_3]$, so that $\mathbf{G}_m(B)$ is again as above. If A is any field and \bar{K} its separable closure, since $\bar{K} \cap B = A$, we have again $\mathbf{G}_m(B) = \mathbf{G}_m(A) \times \mathbb{Z}$.

Suppose A is any domain and K its field of fractions. Since B is faithfully flat over A , $\mathbf{G}_m(B) \cap K = \mathbf{G}_m(A)$, hence

$$\mathbf{G}_m(A) \times \mathbb{Z} \subseteq \mathbf{G}_m(B) \subseteq \mathbf{G}_m(B) \cap \mathbf{G}_m(B_K) = \mathbf{G}_m(B) \cap (\mathbf{G}_m(K) \times \mathbb{Z}) = \mathbf{G}_m(A) \times \mathbb{Z} .$$

Suppose now that A is strictly henselian with maximal ideal \mathfrak{m} and minimal prime ideals $\mathfrak{p}_1, \dots, \mathfrak{p}_s$. Any unit u of B can be written, in B_{A/\mathfrak{p}_i} as $v_i X_1^{n_i}$, with $v_i \in \mathbf{G}_m(A/\mathfrak{p}_i)$. If $\bar{u} = w X_1^m$ in $B_{A/\mathfrak{m}}$ with $w \in \mathbf{G}_m(A/\mathfrak{m})$, then $n_i = m$ for all i and thus $u x_1^{-m}$ maps into $\mathbf{G}_m(A/\mathfrak{p}_i)$ for each i . Since A is strictly henselian, there is a retraction $\eta : B \rightarrow A$. The element $u X_1^{-m} - \eta(u X_1^{-m})$ maps to zero in $B_{A/\mathfrak{p}_1} \times \dots \times B_{A/\mathfrak{p}_s}$ and, A being reduced, the map $B \rightarrow B_{A/\mathfrak{p}_1} \times \dots \times B_{A/\mathfrak{p}_s}$ is injective. Thus $u X_1^{-m} \in \mathbf{G}_m(A)$.

The map $\mathbf{G}_m \times \mathbb{Z} \rightarrow \pi_* \mathbf{G}_m$ is an isomorphism on stalks because the strict henselization of a reduced local ring is reduced. Hence this map is an isomorphism.

Corollary 5.2. *With the same notation as above, $\mu_2 \rightarrow \pi_* \mu_2$ is an isomorphism.*

Proof. Restrict the isomorphism of the above proposition to the 2-torsion subsheaves.

Proposition 5.3. *Let A be a local domain and $C = A[X, Y, Z]/(XY - Z^2 - u)$, u a unit of A . Let $\ell = aX + bY + cZ$ with $Aa + Ab + Ac = A$. If ℓ is not a prime in C , $C_\ell \simeq A[X_1, X_1^{-1}, Z]$.*

Proof. If a is invertible in A , we may assume that $a = 1$ and take $\ell = X + bY + cZ = X_1$ as a variable, so that

$$C = \frac{A[X_1, Y, Z_1]}{(X_1 Y - b_1 Y^2 - Z_1^2 - u)}$$

with $Z_1 = Z + \frac{1}{2}cY$ and $b_1 = b - \frac{1}{2}c$.

Since A is a domain and X_1 is not a prime, $b_1 = 0$ and $B \simeq A[X_1, X_1^{-1}, Z]$.

Suppose a and b are both non units. Then c is a unit and we may assume $c = 1$. Let $Z_1 = Z + aX + bY$. Then

$$\begin{aligned} C/(Z_1) &= A[X, Y, Z_1]/(XY - (aX + bY)^2 - u, Z_1) \\ &= A[X, Y]/(XY - (aX + bY)^2 - u) \end{aligned}$$

is a domain since $4ab \neq 1$, contradicting the assumption that ℓ is not a prime.

Proposition 5.4. *Let $q = (a_{ij})$ be a symmetric stably elementary matrix of size $n \geq 3$. Let $Q = \text{Spec} B$ where $B = C_{X_1}$ and $C = A[X_1, \dots, X_n]/(\sum a_{ij} X_i X_j \pm 1)$. Let $\pi : Q \rightarrow \text{Spec} A$ be the structure map. The induced homomorphism*

$$H^2(A, \mu_2) \rightarrow H^2(B, \mu_2)$$

is injective.

We postpone the proof of this proposition to the end of the section.

Lemma 5.5. *Suppose that A is local and reduced and that q is split. For $n \geq 2$, $\text{Pic} B$ has no 2-torsion.*

Proof. Suppose that A is a normal domain. If $n \geq 4$, X_1 generates a prime ideal in C and $\text{Pic} B$ injects into $\text{Cl}(B)/\text{Cl}(A)$ which in turn injects into $\text{Cl}(B_K) = \text{Cl}(C_K) = \text{Pic} C_K = 0$, K being the field of fractions of A .

If $n = 3$ and X_1 generates a prime ideal, arguing as above we get that $\text{Pic}B$ injects into $\text{Pic}C_K = \mathbb{Z}$. If X_1 is not a prime, by Proposition 5.3, $B \simeq A[X_1, X_1^{-1}, X_2]$, hence $\text{Pic}B = \text{Pic}A = 0$. If $n = 2$, $B \simeq A[X_1, X_1^{-1}, \ell^{-1}]$, where $\ell = aX_1 + b$, with $a, b \in A$ and $Aa + Ab = A$. In particular, B is faithfully flat over A . An invertible B -module is equivalent to the image of a divisorial ideal J of A . Since B is faithfully flat over A , J must be projective over A , hence free. Thus $\text{Pic}B = 0$.

To deal with the general case, we may assume that A is essentially of finite type over \mathbb{Z} and proceed by induction on its dimension. The zero-dimensional case is already proved. Since A is essentially of finite type over \mathbb{Z} , its integral closure \bar{A} is a finite A -module. Let \mathfrak{c} be the conductor of \bar{A} in A . The cartesian square

$$\begin{array}{ccc} B & \longrightarrow & \bar{B} \\ \downarrow & & \downarrow \\ B/\mathfrak{c}B & \longrightarrow & \bar{B}/\mathfrak{c}\bar{B} \end{array}$$

gives an exact sequence ([2], IX, 5.4)

$$\mathbf{G}_m(B/\mathfrak{c}B) \times \mathbf{G}_m(\bar{B}) \longrightarrow \mathbf{G}_m(\bar{B}/\mathfrak{c}\bar{B}) \xrightarrow{\alpha} \text{Pic}B \longrightarrow \text{Pic}(B/\mathfrak{c}B) \times \text{Pic}\bar{B},$$

where $\bar{B} = B \otimes_A \bar{A}$. Since $\dim A/\mathfrak{c} < \dim A$, by induction, $\text{Pic}(B/\mathfrak{c}B)$ has no 2-torsion. Since \bar{A} is a product of normal domains, the argument above shows that $\text{Pic}\bar{B}$ has no 2-torsion. It remains to show that $\text{Coker}\alpha$ has no 2-torsion. Let $A_0 = (A/\mathfrak{c})_{\text{red}}$, $B_0 = B \otimes_A A_0$, $\bar{A}_0 = (\bar{A}/\mathfrak{c})_{\text{red}}$, $\bar{B}_0 = B \otimes_A \bar{A}_0$.

Let u be a unit in $\mathbf{G}_m(\bar{B}/\mathfrak{c}\bar{B})$ which represents a 2-torsion element in $\text{Coker}\alpha$. Its image v in $\mathbf{G}_m(\bar{B}_0)$ can be lifted to an element of $\mathbf{G}_m(B_0) \times \mathbf{G}_m(\bar{B})$. In fact, by 5.1, on each connected component of $\text{Spec}\bar{B}_0$, v is of the form $v_0 X_1^m$, $v_0 \in \mathbf{G}_m(\bar{A}_0)$. The map $\mathbf{G}_m(\bar{A}/\mathfrak{c}) \longrightarrow \mathbf{G}_m(\bar{A}_0)$ is surjective and, A/\mathfrak{c} being local, the map $\mathbf{G}_m(A/\mathfrak{c}) \times \mathbf{G}_m(\bar{A}) \longrightarrow \mathbf{G}_m(\bar{A}/\mathfrak{c})$ is also surjective. On each component, X_1^m lifts to a unit of $B/\mathfrak{c}B$, thus, modifying u by an element coming from $\mathbf{G}_m(B/\mathfrak{c}B) \times \mathbf{G}_m(\bar{B})$, we may assume that u maps to 1 in $\mathbf{G}_m(\bar{B}_0)$, so that $u \in 1 + \text{nil}(\bar{B}/\mathfrak{c}\bar{B})$. Since this group has a finite filtration whose quotients, being isomorphic to $(\text{nil}(\bar{B}/\mathfrak{c}\bar{B}))^i / (\text{nil}(\bar{B}/\mathfrak{c}\bar{B}))^{i+1}$, are uniquely 2-divisible, it follows that the image of u in $\text{Coker}\alpha$ is zero. Thus the lemma is proved.

Corollary 5.6. *Let $n \geq 3$. The sheaf $R^1\pi_*\mu_2$ is constant, isomorphic to $\mathbb{Z}/2$, generated by the image of X_1 .*

Proof. Let s be a global section of $R^1\pi_*\mu_2$, represented on an étale open set $\text{Spec}A'$ by an element $\zeta \in H^1(B_{A'}, \mu_2)$. In view of 5.5 and 5.1 we may assume, by shrinking $\text{Spec}A'$, that $\zeta = X_1^\varepsilon$.

Corollary 5.7. *Let $n \geq 3$. If A is connected, the group $H^0(A, R^1\pi_*\mu_2)$ is generated by X_1 .*

Proof of Proposition 5.4. Since $\mu_2(R) = \mu_2(R_{red})$ for every ring R in which 2 is invertible, we may assume that A is reduced. The Leray spectral sequence for $\pi : Q \rightarrow \text{Spec}A$ gives an exact sequence

$$H^1(B, \mu_2) \xrightarrow{\alpha} H^0(A, R^1\pi_*\mu_2) \longrightarrow H^2(A, \pi_*\mu_2) \xrightarrow{\beta} H^2(B, \mu_2) .$$

By Corollary 5.7, α is surjective and hence β is injective. Since A is reduced, it follows from Corollary 5.2 that $\mu_2 \rightarrow \pi_*\mu_2$ is an isomorphism and this completes the proof of the proposition.

6. The generalized Rees ring of an invertible module

Let I be an invertible A -module and $L = \bigoplus_{n \in \mathbb{Z}} I^n$ where $I^0 = A$, I^n is the n -fold tensor product $I \otimes_A \dots \otimes_A I$ if n is positive and $(I^{-n})^{-1}$ if n is negative. The canonical isomorphism $I^m \otimes_A I^n \rightarrow I^{m+n}$ defines on L the structure of a graded A -algebra, usually called *the generalized Rees ring of I* .

Proposition 6.1. *Let A be reduced. The A -algebra L is faithfully flat and the kernel of the map $\text{Pic}A \rightarrow \text{Pic}L$ is the cyclic group generated by the class of I .*

Proof. Since locally I is free and L isomorphic to $A[t, t^{-1}]$, L is faithfully flat over A . Clearly, $L \otimes_A I \simeq L$ as L -modules. Let P be an invertible A -module and $\varphi : L \rightarrow L \otimes_A P$ an isomorphism of L -modules. The fact that $\varphi(1)$ belongs to some homogeneous component $I^n \otimes_A P$ and that φ induces an isomorphism $A \xrightarrow{\sim} I^n \otimes_A P$ may be verified locally on A , using the fact that A is reduced (compare with [4], III.3). Thus $P \simeq I^{-n}$ for some n .

Proposition 6.2. *Let A be reduced. The kernel of $H^2(A, \mu_2) \rightarrow H^2(L, \mu_2)$ is generated by $\partial[I]$, where $[I]$ is the class of I in $H^1(A, \mathbf{G}_m)$ and ∂ is the connecting homomorphism of the Kummer exact sequence.*

Proof. Let $\pi : \text{Spec}L \rightarrow \text{Spec}A$ be the structure map. The natural map $\mu_2 \rightarrow \pi_*\mu_2$ is an isomorphism since, for a local ring A , the ring L is just $A[t, t^{-1}]$. Hence the Leray spectral sequence gives an exact sequence

$$H^1(A, \mu_2) \xrightarrow{H^1(\pi)} H^1(L, \mu_2) \xrightarrow{\delta} H^0(A, R^1\pi_*\mu_2) \longrightarrow H^2(A, \mu_2) \longrightarrow H^2(L, \mu_2) .$$

For any open set $\text{Spec}A_f$ over which I is free there is a split exact sequence

$$0 \longrightarrow H^1(A_f, \mu_2) \longrightarrow H^1(L_f, \mu_2) \xrightarrow{\delta_f} H^0(A_f, R^1\pi_*\mu_2) \longrightarrow 0$$

with $L_f \simeq A_f[t, t^{-1}]$. We claim that $H^0(A_f, R^1\pi_*\mu_2) = \mathbb{Z}/2$. Let s be a global section of $R^1\pi_*\mu_2$, $x \in \text{Spec}A_f$ and A_x the strict henselisation of A at x . On $\text{Spec}A_x$, s

is represented by an element $\xi \in H^1(L_{A_x}, \boldsymbol{\mu}_2) \simeq L_{A_x}^*/(L_{A_x}^*)^2 \times {}_2\text{Pic}L_{A_x}$. Since $L_{A_x} \simeq A_x[t, t^{-1}]$ and A_x is reduced, $L_{A_x}^* \simeq A_x^* \times \mathbb{Z}$. Further, by 5.5, ${}_2\text{Pic}L_{A_x} = 0$, so that $\xi = t^\epsilon$, $\epsilon = 0$ or 1 . Thus $H^0(A_f, R^1\pi_*\boldsymbol{\mu}_2) = \mathbb{Z}/2$, generated by t and hence $H^0(A, R^1\pi_*\boldsymbol{\mu}_2)$ is either $\mathbb{Z}/2$ or trivial.

Suppose I is not a square in $\text{Pic}A$. Then, under the connecting map ∂ of the Kummer sequence, $\partial[I]$ is a nontrivial element in $\ker(H^2(A, \boldsymbol{\mu}_2) \rightarrow H^2(L, \boldsymbol{\mu}_2))$ by Proposition 6.1 and hence it generates the whole kernel.

Suppose $I = J^2$ for some $J \in \text{Pic}A$. If $\{\text{Spec}A_f, f \in S\}$ is a covering which trivializes J , we can choose local generators t_f for I such that $t_f = u_{fg}^2 t_g$, $u_{fg} \in A_{fg}^*$ denoting the cocycle associated to J . The set $\{t_f, f \in S\}$ represents a nonzero section of $R^1\pi_*\boldsymbol{\mu}_2$ since it is nonzero even locally on A . This section is the image under δ of the discriminant module $JL \otimes_L JL \simeq J^2L = IL \simeq L$ over L . In this case $H^2(A, \boldsymbol{\mu}_2) \rightarrow H^2(L, \boldsymbol{\mu}_2)$ is injective.

7. Rank 2 forms over an affine curve

Assume that A is a smooth affine algebra of dimension 1 over a field of characteristic not equal to 2 and let $H(I)$ be the hyperbolic plane over an invertible A -module I . We can represent $H(I)$ by a symmetric matrix

$$\alpha = \begin{pmatrix} a & b \\ b & c \end{pmatrix}$$

with $b^2 - ac = 1$. We assume that $\begin{pmatrix} \alpha & 0 \\ 0 & J \end{pmatrix}$ is stably elementary, so that it represents a class in $\text{EW}_2(A)$. In this case, since $\dim A = 1$, this matrix is in fact elementary.

Proposition 7.1. $c_{22} \circ s_2 \begin{pmatrix} \alpha & 0 \\ 0 & J \end{pmatrix} = 0$ if and only if the class of I is a square in $\text{Pic}A$.

Proof. By 4.2.1 of [15] the map $c_{22} : K_2(A) \rightarrow H^2(A, \boldsymbol{\mu}_2)$ factors through $H^0(X, \mathcal{K}_2)$, where \mathcal{K}_2 is the Zariski sheaf associated to the presheaf $U \mapsto K_2(U)$. Since the transposition on K_2 coincides locally with the inverse, this induces a factoring of c_{22} as a composite

$$K_2(A)/\text{Tr}(K_2(A)) \rightarrow H^0(X, \mathcal{K}_2)/2 \rightarrow H^2(A, \boldsymbol{\mu}_2).$$

Since A is smooth, by 4.2.1 and Theorem 4.3 of [15] the second map is injective. Thus, $c_{22} \circ s_2(q) = 0$ if and only if the image of $s_2(q)$ in $H^0(X, \mathcal{K}_2)/2$ is zero, q denoting the class of $\begin{pmatrix} \alpha & 0 \\ 0 & J \end{pmatrix}$ in $\text{EW}_2(A)$. Let $\mathcal{U} = \{U_i, 1 \leq i \leq N\}$ be an affine open covering of $X = \text{Spec}A$ which trivializes I and let $\{u_{ij} \in \mathbf{G}_m(\mathcal{O}_X(U_i \cap U_j)), 1 \leq i, j \leq N\}$ be a cocycle representing I . Let $\tilde{\alpha}$ be a lift $\begin{pmatrix} \alpha & 0 \\ 0 & J \end{pmatrix}$ in $\text{St}(A)$. Then

$$s_2(q) = \text{class of } (-1, -1) + \tilde{\alpha}^{-1}\tilde{\alpha}^t \text{ in } K_2(A)/\text{Tr}(K_2(A)).$$

By shrinking \mathcal{U} , we may assume that

$$\begin{pmatrix} \alpha & 0 \\ 0 & J \end{pmatrix} = \beta_i J_2 \beta_i^t$$

on U_i , with $\beta_i \in E_4(\mathcal{O}_X(U_i))$. Let $\tilde{\beta}_i$ be a lift of β_i in $\text{St}(\mathcal{O}_X(U_i))$. Let

$$\tilde{J} = w_{21}(-1)w_{43}(-1)h_{13}(-1),$$

where $w_{ij}(\lambda) = x_{ij}(\lambda)x_{ji}(-\lambda^{-1})x_{ij}(\lambda)$, $h_{ij}(\lambda) = w_{ij}(\lambda)w_{ij}(-1)$ (cf [10] page 71). Then \tilde{J} is a lift of J_2 and $\tilde{J}^{-1}\tilde{J}^t = (-1, -1)$. On U_i , $\tilde{\alpha} = c_i\tilde{\beta}_i\tilde{J}\tilde{\beta}_i^t$ with $c_i \in K_2(\mathcal{O}_X(U_i))$. By further shrinking \mathcal{U} , we may assume that each c_i is a sum of symbols, so that $-c_i = c_i^t$. Then

$$(-1, -1) + \tilde{\alpha}^{-1}\tilde{\alpha}^t = -2c_i$$

on U_i so that $2c_i = 2c_j$ on $U_i \cap U_j$. The image of $s_2(q)$ in $H^0(X, \mathcal{K}_2)$ is represented by the cocycle $\{-2c_i, 1 \leq i \leq N\}$. Since $2(c_i - c_j) = 0$ on $U_i \cap U_j$, $\{c_i - c_j, 1 \leq i, j \leq N\}$ defines a 1-cocycle on $\text{Spec}A$ with values in ${}_2\mathcal{K}_2$.

Lemma 7.2. *The class of the 1-cocycle $\{c_i - c_j, 1 \leq i, j \leq N\}$ in $H^1(X, {}_2\mathcal{K}_2)$ is equal to the class of the 1-cocycle $\{(-1, u_{ij}), 1 \leq i, j \leq N\}$.*

We grant this lemma. Suppose the image of $s_2(q)$ in $H^0(X, \mathcal{K}_2)/2$ is zero. After possibly shrinking \mathcal{U} , we may assume that there exists a cocycle $\{c'_i, 1 \leq i \leq N\}$ in $H^0(X, \mathcal{K}_2)$ such that $2c_i = 2c'_i$ on U_i . Then $2(c_i - c'_i) = 0$ and $c_i - c_j = (c_i - c'_i) - (c_j - c'_j)$, which shows that the 1-cocycle $\{c_i - c_j\}$ with values in ${}_2\mathcal{K}_2$ is a coboundary. By Lemma 7.2, the cocycle $\{(-1, u_{ij})\}$ is trivial in $H^1(X, {}_2\mathcal{K}_2)$.

Lemma 7.3. *Let X be a smooth curve over a field k of characteristic different from 2. There is an isomorphism $\text{Pic}X/2 \rightarrow H^1(X, {}_2\mathcal{K}_2)$, which, in terms of Čech cocycles, is given by mapping the class of $\{f_{ij}\}$ to that of $\{(-1, f_{ij})\}$.*

By Lemma 7.3, $[I]$ is trivial in $\text{Pic}A/2$. Conversely, if the class of I in $\text{Pic}A/2$ is trivial, reversing the above arguments, we see that the image of $s_2(q)$ in $H^0(X, \mathcal{K}_2)/2$ is zero. This proves Proposition 7.1, provided we prove the two lemmas.

Proof of Lemma 7.2. We can choose the trivializations β_i such that

$$\beta_j^{-1}\beta_i = \begin{pmatrix} u_{ij}^{-1} & 0 \\ 0 & u_{ij} \end{pmatrix}.$$

This has a lift $h_{12}(u_{ij})$ in $\text{St}(U_i \cap U_j)$. Putting $u_{ij} = u$ we get, using the identities

$$\begin{aligned} w_{ij}(u)^t &= w_{ij}(u) \\ h_{ij}(u)^t &= h_{ij}(-u)h_{ij}(-1)^{-1} \end{aligned}$$

and Corollary 9.4 of [10],

$$\begin{aligned}
c_j - c_i &= \tilde{J}^{-1} h_{12}(u) \tilde{J} h_{12}(u)^t = \\
&= h_{13}(-1)^{-1} w_{43}(-1)^{-1} w_{21}(-1)^{-1} h_{12}(u) w_{21}(-1) w_{43}(-1) h_{13}(-1) h_{12}(-u) h_{13}(-1)^{-1} \\
&= h_{13}(-1)^{-1} h_{21}(-u) h_{21}(-1)^{-1} h_{13}(-1) h_{12}(-u) h_{12}(-1)^{-1} \\
&= h_{21}(u) h_{12}(-u) h_{12}(-1)^{-1} \\
&= h_{12}(u)^{-1} h_{12}(-u) h_{12}(-1)^{-1} \\
&= h_{12}(u) h_{12}(-1)^{-1} h_{12}(u)^{-1} = (-1, u) .
\end{aligned}$$

Proof of Lemma 7.3. We denote by \mathcal{H}^n the Zariski sheaf on X , associated to the presheaf $U \mapsto H^n(U, \boldsymbol{\mu}_2)$. By Theorem 6.1 of [3], $\text{Pic} X/2 \simeq H^1(X, \mathcal{H}^1)$ is the cokernel of the map

$$H^1(k(X), \boldsymbol{\mu}_2) = k(X)/(k(X)^*)^2 \xrightarrow{\partial} \bigoplus_{x \in X^{(1)}} H^0(k(x), \boldsymbol{\mu}_2) = \bigoplus_{x \in X^{(1)}} \mathbb{Z}/2 ,$$

where the map is given, at x , by $\partial(f) = (-1)^{v_x(f)}$. By [11], 8.7.8.(b), $H^1(X, {}_2\mathcal{K}_2)$ is the cokernel of the tame symbol map

$${}_2\mathcal{K}_2(k(X)) \longrightarrow \bigoplus_{x \in X^{(1)}} {}_2\mathcal{K}_1(k(x)) = \bigoplus_{x \in X^{(1)}} \mathbb{Z}/2 .$$

By Theorem 1.8 of [15], mapping $f \in k(X)$ to the symbol $(-1, f)$ yields a surjection

$$k(X)/(k(X)^*)^2 \longrightarrow {}_2\mathcal{K}_2(k(X)) ,$$

such that the diagram

$$\begin{array}{ccc}
k(X)/(k(X)^*)^2 & \longrightarrow & \bigoplus \mathbb{Z}/2 \\
\downarrow & & \downarrow = \\
{}_2\mathcal{K}_2(k(X)) & \longrightarrow & \bigoplus \mathbb{Z}/2
\end{array}$$

is commutative. This yields an isomorphism $\text{Pic} X/2 \longrightarrow H^1(X, {}_2\mathcal{K}_2)$ on the cokernels. Since all the maps in the resolutions are explicit, it is easy to verify that, in terms of cocycles, the isomorphism is as claimed.

Theorem 7.4. *The class of I in $\text{Pic} A/2 \hookrightarrow H^2(A, \boldsymbol{\mu}_2)$ is precisely $c_{22} \circ s_2 \begin{pmatrix} \alpha & 0 \\ 0 & J \end{pmatrix}$. In particular, $c_{22} \circ s_2 \begin{pmatrix} \alpha & 0 \\ 0 & J \end{pmatrix} = e_2 \begin{pmatrix} \alpha & 0 \\ 0 & J \end{pmatrix}$.*

Proof. Let $L = \bigoplus_{n \in \mathbb{Z}} I^n$. Since IL is trivial in $\text{Pic} L$, by Proposition 7.1, $c_{22} \circ s_2(q \otimes L) = 0$. In view of (5.3), $c_{22} \circ s_2(q)$ is either zero or the class of I in $\text{Pic} A/2 \subset H^2/A, \boldsymbol{\mu}_2$. If I is not a square in $\text{Pic} A$, by Proposition 7.1, $c_{22} \circ s_2(q) \neq 0$ so that $c_{22} \circ s_2(q) = [I]$. If I is a square in $\text{Pic} A$, by Proposition 7.1, $c_{22} \circ s_2(q) = [I] = 0$. On the other hand, by [12], Theorem 20, $e_2(q) = [I]$ and this completes the proof of the theorem.

8. The comparison

Lemma 8.1 (Casanova). *Let $\alpha \in \mathbf{GL}_{4n}(A)$ represent an element of $\text{EW}_2(A)$ and $\theta \in \mathbf{GL}_{4n}(A)$ a matrix such that $\theta^t\theta \in \text{E}(A)$. Then*

$$s_2(\theta^t\alpha\theta) = s_2(\alpha) + s_2(\theta^t\theta) + n(-1, -1) .$$

Proof. Let $\tilde{\alpha}$ be a lift of α and $\tilde{\theta^t\theta}$ a lift of $\theta^t\theta$ in $\text{St}(A)$. For any $\varphi \in \mathbf{GL}(A)$, let $x \mapsto x^\varphi$ be the unique isomorphism of $\text{St}(A)$ lifting the conjugation by φ in $\text{E}(A)$. Choosing $\tilde{\theta^t\theta} \tilde{\alpha}^\theta$ as a lift of $\theta^t\alpha\theta$, we get

$$\begin{aligned} s_2(\theta^t\alpha\theta) &= n(-1, -1) + \left(\tilde{\theta^t\theta} \cdot \tilde{\alpha}^\theta\right)^t \left(\tilde{\theta^t\theta} \cdot \tilde{\alpha}^\theta\right)^{-1} \\ &= s_2(\theta^t\theta) + (\tilde{\alpha}^\theta)^t (\tilde{\alpha}^{-1})^{(\theta^t)^{-1}} \\ &= s_2(\theta^t\theta) + (\tilde{\alpha}^t \tilde{\alpha}^{-1})^{(\theta^t)^{-1}} \end{aligned}$$

since, for any $x \in \text{St}(A)$, $(x^\theta)^t = (x^t)^{(\theta^t)^{-1}}$. To show that the second term coincides with $\tilde{\alpha}^t \tilde{\alpha}^{-1}$ and thus complete the proof, it suffices to observe that the action of any $\varphi \in \mathbf{GL}(A)$ on $K_2(A)$ is trivial: indeed, by [1], $K_2(A) = H_2(\text{E}(A), \mathbb{Z})$ is a direct factor of $H_2(\mathbf{GL}(A), \mathbb{Z})$ and an inner homomorphism of any group G induces the identity map on $H_*(G, \mathbb{Z})$.

Lemma 8.2. *Let $\theta \in \text{SL}_2(A)$. Then $\theta^t\theta$ is stably elementary and e_2 coincides with $c_{22} \circ s_2$ on*

$$\begin{pmatrix} 1_2 & 0 \\ 0 & \theta^t\theta \end{pmatrix}$$

They both are $(-1) \smile (-1)$ in $H^2(A, \boldsymbol{\mu}_2)$.

Proof. Since $\theta^t = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \theta^{-1} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}^{-1}$, $\theta^t\theta$ is a commutator and hence stably elementary. To prove the second assertion, it suffices to consider the generic case of the matrix $\theta = \begin{pmatrix} x & y \\ z & t \end{pmatrix}$ over the ring $A = \mathbb{Z}[\frac{1}{2}, x, y, z, t]/(xt - yz - 1)$. Since x is a prime in A and A is regular, $\text{Pic}A \simeq \text{Pic}A_x = 0$, so that $H^2(A, \boldsymbol{\mu}_2) \simeq {}_2\text{Br}(A)$. Since $\text{Br}(A)$ injects (§4!) into $\text{Br}(K)$, K the field of fractions of A , it suffices to compare the two invariants in $\text{Br}(K)$, which has been done in [6]. Clearly

$$e_2 \begin{pmatrix} 1_2 & 0 \\ 0 & \theta^t\theta \end{pmatrix} = e_2(1_4) = (-1) \smile (-1) .$$

Theorem 8.3. *The Giffen invariant maps, under c_{22} , to the Clifford invariant.*

Proof. Let $\alpha \in \mathbf{GL}_{4n}(A)$ represent an element of $\text{EW}_2(A)$ and let q be the corresponding quadratic form.

Replacing A by the A -algebra B of Proposition 5.4, we can make the matrix α elementarily equivalent to $\begin{pmatrix} \pm 1 & 0 \\ 0 & \beta \end{pmatrix}$. The comparison of the invariants in $H^2(A, \boldsymbol{\mu}_2)$ reduces, by Proposition 5.4, to their comparison in $H^2(B, \boldsymbol{\mu}_2)$ for the form $\begin{pmatrix} \pm 1 & 0 \\ 0 & \beta \end{pmatrix}$. Repeating this process $4n - 3$ times we are reduced to compare the invariants of the matrix

$$\beta = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & a & b \\ 0 & 0 & b & c \end{pmatrix}.$$

Since $ac - b^2 = -1$, the quadratic space $(A^2, \begin{pmatrix} a & b \\ b & c \end{pmatrix})$ is isometric to $H(I)$ for some invertible module I . By Theorem 20 of [12], $e_2(H(I))$ is the class of I in $\text{Pic}A/2 \subset H^2(A, \boldsymbol{\mu}_2)$. If we extend the scalars to $L = \bigoplus_{n \in \mathbb{Z}} I^n$, I becomes principal and

$$\begin{pmatrix} a & b \\ b & c \end{pmatrix} = \gamma^t J \gamma$$

for some $\gamma \in \text{SL}_2(L)$. By Lemma 8.1,

$$s_2(\beta) = s_2 \begin{pmatrix} 1 & & \\ & -1 & \\ & & J \end{pmatrix} + s_2 \begin{pmatrix} 1_2 & \\ & \gamma^t \gamma \end{pmatrix} + (-1, -1)$$

and by Lemma 8.2,

$$c_{22} \circ s_2 \begin{pmatrix} 1_2 & \\ & \gamma^t \gamma \end{pmatrix} = (-1) \smile (-1).$$

For a matrix of the form

$$\alpha = \begin{pmatrix} 1 & & & \\ & -a & & \\ & & -b & \\ & & & ab \end{pmatrix},$$

$s_2(\alpha) = (a, b)$ modulo $\text{Tr}(K_2(A))$, and $c_{22} \circ s_2(\alpha) = (a) \smile (b)$ (see [6]). Since

$$\begin{pmatrix} 1 & & \\ & -1 & \\ & & J \end{pmatrix}$$

is elementarily equivalent to

$$\begin{pmatrix} 1 & & & \\ & -1 & & \\ & & -1 & \\ & & & 1 \end{pmatrix},$$

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