

VIRTUAL BETTI NUMBERS OF COMPACT LOCALLY SYMMETRIC SPACES

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ABSTRACT. We show that the virtual Betti number of a compact locally symmetric space with arithmetic fundamental group is either 0 or else is infinite.

1. INTRODUCTION

Let G be a connected non-compact linear Lie group with finite centre, such that G is simple modulo its centre. Let Γ be a torsion free cocompact arithmetic (not necessarily congruence) subgroup in G and let $i \geq 0$ be an integer. Consider the direct limit cohomology group

$$\mathcal{H}^i = \lim H^i(\Delta, \mathbb{C})$$

where the direct limit is over all finite index subgroups Δ in Γ ; we emphasize that Γ is only assumed to be an arithmetic subgroup of G and is not assumed to be a congruence subgroup of G . The dimension of the direct limit \mathcal{H}^i as a \mathbb{C} -vector space is called the **virtual** i -th Betti number of Γ .

Theorem 1. *If the direct limit \mathcal{H}^i is finite dimensional, then $\mathcal{H}^i = H^i(G_u/K, \mathbb{C})$ where G_u/K is the compact dual of the symmetric space G/K of G .*

As a special case we recover the following result of Cooper, Long and Reid (see [CLR]).

Corollary 1. *If M is a compact arithmetic hyperbolic 3-manifold with non-vanishing first Betti number, then M has infinite virtual first Betti number.*

Proof. Take $G = SL_2(\mathbb{C})$ in Theorem 1, and observe that the compact dual $G_u/K = S^3$ has vanishing first cohomology. \square

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The present note was motivated by the recent preprint [CLR] of Cooper, Long and Reid, where they prove Corollary 1, by using crucially, the fact that M is a hyperbolic 3-manifold. We show that this is true in greater generality. The point of Theorem 1 is that the group Γ is not assumed to be a congruence subgroup; if Γ is a congruence subgroup, this is a result of A.Borel (see [B]).

2. PROOF OF THEOREM 1

Let $K \subset G$ be a maximal compact subgroup; write \mathfrak{k} and \mathfrak{g} for the complexified Lie algebras of K and G . We have the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. Note that Γ (and hence the finite index subgroup Δ) is torsion-free and cocompact in G . We then get by the Matsushima-Kuga formula (see [BoW]),

$$H^i(\Delta, \mathbb{C}) = \text{Hom}_K(\wedge^i \mathfrak{p}, \mathcal{C}^\infty(\Delta \backslash G)(0)).$$

In this formula, $\mathcal{C}^\infty(\Delta \backslash G)(0)$ denotes the space of complex valued smooth functions on the manifold $\Delta \backslash G$ which are annihilated by the Casimir of \mathfrak{g} (the latter space in the Matsushima-Kuga formula may be identified with the space of **harmonic** differential forms of degree i on $\Delta \backslash G/K$ with respect to the G -invariant metric on the symmetric space G/K).

Taking direct limits in the Matsushima -Kuga formula yields the equality

$$\mathcal{H}^i = \lim H^i(\Delta, \mathbb{C}) = \text{Hom}_K(\wedge^i \mathfrak{p}, \bigcup_{\Delta \subset \Gamma} \mathcal{C}^\infty(\Delta \backslash G)(0)).$$

Here, Δ runs through finite index subgroups of Γ . Consider the space

$$\mathcal{F} = \bigcup_{\Delta \subset \Gamma} \mathcal{C}^\infty(\Delta \backslash G)(0).$$

On the space \mathcal{F} , G acts on the right (since the Casimir commutes with the G -action).

Now, Γ is an arithmetic subgroup of G . That is, there is a semi-simple (simply connected) algebraic group \mathbf{G} defined over \mathbb{Q} and a smooth surjective homomorphism $\pi : \mathbf{G}(\mathbb{R}) \rightarrow G$ with compact kernel such that $\pi(\mathbf{G}(\mathbb{Z}))$ is commensurable to Γ . We define $G(\mathbb{Q})$ simply to mean the image group $\pi(\mathbf{G}(\mathbb{Q}))$. It follows from weak approximation ([PR]) that $G(\mathbb{Q})$ is dense in G .

Now, there is an action on \mathcal{F} by $G(\mathbb{Q})$ on the left (which therefore commutes with the right G action), as follows. Given a function $\phi \in \mathcal{F}$ and given an element $g \in G(\mathbb{Q})$, the function ϕ is left Δ -invariant for some finite index subgroup Δ in Γ . Consider the function $g(\phi) = x \mapsto \phi(g^{-1}x)$. This function is left-invariant under $g\Delta g^{-1}$ and hence under $\Gamma \cap g\Delta g^{-1}$; since $g \in G(\mathbb{Q})$, it follows that g commensurates Γ and hence that the subgroup $\Gamma \cap g\Delta g^{-1}$ is of finite index in Γ . Therefore, $g(\phi)$ lies in \mathcal{F} . This defines an action of $G(\mathbb{Q})$ on the direct limit \mathcal{H}^i . Note that under this action, the action of Δ on the cohomology group $H^i(\Delta, \mathbb{C})$ is trivial.

Suppose that \mathcal{H}^i is finite dimensional. Since \mathcal{H}^i is a direct limit of finite dimensional vector spaces, it follows that it coincides with one of them. Therefore there exists a finite index subgroup Δ of Γ such that

$$\mathcal{H}^i = H^i(\Delta, \mathbb{C}).$$

The last sentence of the foregoing paragraph says that while $G(\mathbb{Q})$ acts on $H^i(\Delta, \mathbb{C})$, the action by Δ is trivial. Hence the action by the normal subgroup N generated by Δ in $G(\mathbb{Q})$ is also trivial. The density of $G(\mathbb{Q})$ in G is easily seen to imply the density of the normal subgroup N in G . Thus the image of $\wedge^i \mathfrak{p}$ under any element of \mathcal{H}^i (viewed via the Matsushima-Kuga formula as a (K -equivariant) homomorphism of $\wedge^i \mathfrak{p}$ into \mathcal{F}), goes into G invariant functions in $\mathcal{C}^\infty(\Delta \backslash G)$, i.e, the constant functions. But $Hom_K(\wedge^i \mathfrak{p}, \mathbb{C})$ is the space of harmonic differential forms on the compact dual G_u/K , and is therefore isomorphic to $H^i(G_u/K, \mathbb{C})$.

This proves Theorem 1.

Remark. If Γ and all the subgroups Δ are **congruence** subgroups, then one sees at once from strong approximation, that the above $G(\mathbb{Q})$ action on the direct limit translates into the action of the ‘‘Hecke Operators’’ $G(\mathbb{A}_f)$ (\mathbb{A}_f are the ring of finite adeles) and amounts to the proof of Borel in [B]. In this sense, the proof of Theorem 1 is an extension of Borel’s proof to the non-congruence case.

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