

---

Existence of Universal Connections

Author(s): M. S. Narasimhan and S. Ramanan

Source: *American Journal of Mathematics*, Vol. 83, No. 3 (Jul., 1961), pp. 563-572

Published by: The Johns Hopkins University Press

Stable URL: <http://www.jstor.org/stable/2372896>

Accessed: 28/03/2010 14:42

---

Your use of the JSTOR archive indicates your acceptance of JSTOR's Terms and Conditions of Use, available at <http://www.jstor.org/page/info/about/policies/terms.jsp>. JSTOR's Terms and Conditions of Use provides, in part, that unless you have obtained prior permission, you may not download an entire issue of a journal or multiple copies of articles, and you may use content in the JSTOR archive only for your personal, non-commercial use.

Please contact the publisher regarding any further use of this work. Publisher contact information may be obtained at <http://www.jstor.org/action/showPublisher?publisherCode=jhup>.

Each copy of any part of a JSTOR transmission must contain the same copyright notice that appears on the screen or printed page of such transmission.

JSTOR is a not-for-profit service that helps scholars, researchers, and students discover, use, and build upon a wide range of content in a trusted digital archive. We use information technology and tools to increase productivity and facilitate new forms of scholarship. For more information about JSTOR, please contact [support@jstor.org](mailto:support@jstor.org).



The Johns Hopkins University Press is collaborating with JSTOR to digitize, preserve and extend access to *American Journal of Mathematics*.

## EXISTENCE OF UNIVERSAL CONNECTIONS.\*

By M. S. NARASIMHAN and S. RAMANAN.

---

**1. Introduction.** The purpose of this paper is to prove the existence of universal connections for principal bundles with a compact Lie group as structure group. We prove (Theorem 2) that given a compact Lie group  $G$  and a positive integer  $n$ , there exist a differentiable principal  $G$ -bundle  $E$  and a connection  $\gamma_0$  on  $E$  such that any connection on a differentiable principal  $G$ -bundle  $P$  with base of dimension  $\leq n$  can be obtained as the inverse image of the connection  $\gamma_0$  by a differentiable bundle homomorphism of  $P$  into  $E$ . As is well-known, the analogous problem for bundles without connections is treated in the topology of fibre bundles [1].

It is also known that the Stiefel bundles play the role of universal bundles for the unitary groups  $U(k)$ . One can define in a natural way a connection on every Stiefel bundle (§ 2). We prove that these connections themselves are universal for connections in  $U(k)$ -bundles. A precise formulation is found in Theorem 1.

In the unitary case the problem is first solved locally by explicit construction, the crucial step being the lemma in § 3. The local solutions are then pieced up with the help of a special type of covering by coordinate cells.

In the general case, the compact Lie group  $G$  is identified with a closed subgroup of a unitary group. Starting from a universal connection for this unitary group, a universal connection for  $G$  is constructed by generalizing the usual method of construction of an invariant connection in the principal bundle associated with a Lie group and a closed subgroup ([3], p. 45).

A theorem of A. Weil ([1], p. 57) asserts that the cohomology classes of the base of a principal  $G$ -bundle obtained by substitution of the curvature form of a connection on  $P$  in the invariant polynomials of  $G$  are independent of the connection. Our result seems to explain this invariance and in fact furnishes an alternate proof in the case of compact Lie groups.

For definitions of the notions related to connections in principal bundles we refer to [1] and [3]. We use connections and connection forms interchangeably. By "differentiable" we always mean "indefinitely differentiable."

---

\* Received February 10, 1961.

All manifolds, bundles, bundle homomorphisms and differential forms are assumed to be differentiable. Also all manifolds that occur are paracompact.

We are thankful to Professor K. Chandrasekharan for his constant encouragement and interest.

**2. Canonical connections in Stiefel bundles.** Let  $\mathbf{C}^N$  be the  $N$ -dimensional complex number space with  $O$  as origin. The Stiefel manifold  $V(N, k)$  (with  $N \geq k$ ) of all unitary  $k$ -frames at  $O$  may then be identified with the left coset space  $U(N)/I_k \times U(N-k)$  where  $I_k$  is the unit  $(k, k)$  matrix. To every frame  $(v_1, \dots, v_k)$  with  $v_i = \sum_{j=1}^N b_{i,j} e_j$  where  $(e_j)$  is the canonical base in  $\mathbf{C}^N$ , we associate the  $(N, k)$ -matrix  $A = (a_{i,j})$  with  $a_{i,j} = b_{j,i}$ . Since  $(v_1, \dots, v_k)$  is orthonormal, we have  $\sum_{\alpha=1}^N b_{j,\alpha} \bar{b}_{i,\alpha} = \delta_{j,i}$ , i. e.  $A$  satisfies the condition  $A^*A = I_k$  where  $A^*$  is the conjugate transpose of  $A$ . Thus  $V(N, k)$  is identified with  $(N, k)$  matrices  $A$  satisfying  $A^*A = I_k$ . The action of  $U(k)$  (resp.  $U(N)$ ) on  $V(N, k)$  to the right (resp. to the left) goes over under the above identification into multiplication of  $(N, k)$  matrices by unitary  $(k, k)$  matrices on the right (resp. by unitary  $(N, N)$  matrices on the left). Under the action of  $U(k)$ ,  $V(N, k)$  becomes a principal  $U(k)$ -bundle (known as the Stiefel bundle) with the Grassman manifold  $G(N, k)$  of  $k$ -subspaces of  $\mathbf{C}^N$  as base.  $G(N, k)$  may again be identified with the left coset space  $U(N)/U(k) \times U(N-k)$ .

Let  $S$  be the  $(N, k)$  matrix-valued function on  $V(N, k)$  which associates to each frame  $(v_1, \dots, v_k)$  the matrix  $A$ . Consider the  $(k, k)$  matrix-valued differential form  $S^*dS$  on  $V(N, k)$ . Since  $S^*S = I_k$  for every frame, on differentiation we obtain  $S^*dS + (dS^*)S = 0$ , or again  $S^*dS + (S^*dS)^* = 0$ . Hence  $S^*dS$  has actually values in the Lie algebra  $\mathfrak{u}(k)$  (which is the vector space of skew-Hermitian matrices) of  $U(k)$ .

**PROPOSITION 1.**  $S^*dS$  is a connection form on the Stiefel bundle  $V(N, k)$  which is invariant under the action of  $U(N)$ .

In fact, if  $X_\xi$  is a tangent vector at  $\xi \in V(N, k)$  and  $s \in U(k)$ , we shall denote by  $X_{\xi s}$  the image of  $X_\xi$  under the differential of the map  $\xi \rightarrow \xi s$  of  $V(N, k)$ . Then we have

$$\begin{aligned} (S^*dS)(X_{\xi s}) &= S^*(\xi s)(X_{\xi s})(S) \\ &= s^*S^*(\xi)(X_{\xi s})s \\ &= s^{-1}(S^*dS)(X_\xi)s. \end{aligned}$$

On the other hand, if  $a \in \mathfrak{u}(k)$ , we identify  $a$  with a tangent vector at  $e$  and denote by  $\xi a$  the image of  $a$  under the differential of the map  $s \rightarrow \xi s$  of  $G$  into  $V(N, k)$ . Then

$$\begin{aligned} (S^*dS)(\xi a) &= S(\xi)^*(\xi a)(S) \\ &= S(\xi)^*S(\xi)a \\ &= a, \end{aligned}$$

since  $S(\xi)^*S(\xi) = I_k$ . Hence  $S^*dS$  is a connection form on the Stiefel bundle. Moreover, if  $t \in U(N)$ , the left translation of the differential form by  $t$  yields  $(tS)^*d(tS) = S^*t^*tdS = S^*dS$ .

*Remark.* This connection will hereafter be referred to as the canonical connection and will be denoted by  $\gamma_0$ .

The horizontal subspace for this connection at the point  $\xi_0 = \begin{pmatrix} I_k \\ 0 \end{pmatrix}$  of  $V(N, k)$  may be described as follows: The tangent space at  $\xi_0$  can be identified with  $(N, N)$  skew Hermitian matrices of the type  $\begin{pmatrix} P & -Q^* \\ Q & 0 \end{pmatrix}$  where  $P$  is a  $(k, k)$  skew Hermitian matrix and  $Q$  is a rectangular  $(N, N - k)$  matrix. The horizontal vectors at  $\xi_0$  for the connection  $\gamma_0$  are then given by matrices of the type  $\begin{pmatrix} 0 & -Q^* \\ Q & 0 \end{pmatrix}$ . This description together with the invariance under the action of  $U(N)$  characterises the connection  $\gamma_0$  completely.

Analogous statements are true for the real Stiefel manifold  $W(N, k)$  and the corresponding  $O(k)$ -bundle. In particular  $S'dS$  (where  $S'$  is the transpose of  $S$ ) is a connection form on the Stiefel bundle, the corresponding horizontal subspace at  $\xi_0$  being given by matrices of the type  $\begin{pmatrix} 0 & -Q' \\ Q & 0 \end{pmatrix}$ . This is easily seen to be the orthogonal complement of the vertical subspace at  $\xi_0$  with respect to the killing form  $\text{tr}(adxady)$  on  $\mathfrak{o}(k)$ , the Lie algebra of  $O(k)$ .

### 3. The local problem.

**LEMMA.** *Let  $U$  be an open subset of  $\mathbf{R}^n$  and  $V$  a relatively compact open subset whose closure is contained in  $U$ . For every differential form  $\alpha$  of degree 1 on  $U$  with values in  $\mathfrak{u}(k)$  (the space of skew-Hermitian matrices), there exist differentiable functions  $\phi_1, \dots, \phi_{m'}$  in  $V$  with values in the space  $\mathfrak{M}_k(\mathbf{C})$  of  $(k, k)$  complex matrices such that*

$$i) \quad \sum_{j=1}^{m'} \phi_j^* \phi_j = I_k, \quad \text{and}$$

$$\text{ii) } \sum_{j=1}^{m'} \phi_j^* d\phi_j = \alpha,$$

where  $m' = (2n + 1)k^2$ .

*Proof.* Let  $f_1, \dots, f_{k^2}$  be a set of positive definite matrices which form a base for the complex Hermitian matrices over the reals, such that  $\|f_r\| = 1$  for every  $r$  ( $\|f\|$  being the norm as a linear transformation). Since  $\alpha$  has values in  $u(k)$ , we may write  $\alpha/i$  in  $U$  as  $\sum_{s=1}^n \sum_{r=1}^{k^2} \lambda_{r,s} f_r dx_s$ , where  $\lambda_{r,s}$  are real-valued functions and  $x_s$  the coordinate functions in  $\mathbf{R}^n$ . If  $a_{r,s} = \sup_V |\lambda_{r,s}|$ , we have  $\lambda_{r,s} = \mu_{r,s} - \nu_{r,s}$  where

$$\begin{aligned} \mu_{r,s} &= \frac{1}{2} \{ \lambda_{r,s} + a_{r,s} + 1 \} \quad \text{and} \\ \nu_{r,s} &= \frac{1}{2} \{ a_{r,s} - \lambda_{r,s} + 1 \} \end{aligned}$$

are both strictly positive differentiable functions. Hence we may write  $\mu_{r,s} = p_{r,s}^2$  and  $\nu_{r,s} = q_{r,s}^2$  where  $p_{r,s}$  and  $q_{r,s}$  are positive differentiable functions. Clearly one may assume that  $\sum_{s=1}^n (\mu_{r,s} + \nu_{r,s})$  is bounded by  $1/2k^2$  on  $V$  for every  $r$ , by altering the coordinate functions  $x_s$  by a constant multiple, if necessary. The matrix valued function  $1/k^2 I_k - \{ \sum_{s=1}^n (\mu_{r,s} + \nu_{r,s}) \} f_r$  is then positive. For,

$$\| \sum_{s=1}^n (\mu_{r,s} + \nu_{r,s}) f_r \| \leq 1/2k^2 \| f_r \| < 1/k^2.$$

Let  $g_r$  be the (unique) positive square-root of the positive matrix  $f_r$  and  $h_r$  the differentiable positive matrix-valued function satisfying

$$h_r^2(x) = 1/k^2 I_k - \{ \sum_{s=1}^n (\mu_{r,s}(x) + \nu_{r,s}(x)) \} f_r.$$

We now define  $\mathfrak{M}_k(\mathbf{C})$ -valued functions  $\phi_j (1 \leq j \leq (2n + 1)k^2)$  as follows.

For  $1 \leq j \leq nk^2$ ,  $\phi_j$  shall be the  $nk^2$  functions  $p_{r,s} e^{i\omega_s} \cdot g_r$  arranged in some order.

For  $nk^2 + 1 \leq j \leq 2nk^2$ ,  $\phi_j$  shall be the  $nk^2$  functions  $q_{r,s} e^{-i\omega_s} \cdot g_r$  arranged in some order.

For  $2nk^2 + 1 \leq j \leq (2n + 1)k^2$ ,  $\phi_j$  shall be the functions  $h_r$  in some order.

We have to verify that the  $\phi_j$  thus defined fulfil the conditions i) and ii) of the lemma. In fact,

$$\begin{aligned} \sum_{j=1}^{m'} \phi_j^* \phi_j &= \sum_{r,s} p_{r,s}^2 g_r^2 + \sum_{r,s} q_{r,s}^2 g_r^2 + \sum_r h_r^2 \\ &= \sum_{r,s} \mu_{r,s} f_r + \sum_{r,s} \nu_{r,s} f_r + I_k - \sum_{r,s} (\mu_{r,s} + \nu_{r,s}) f_r \\ &= I_k. \end{aligned}$$

On the other hand,

$$\begin{aligned} \sum_{j=1}^{m'} \phi_j^* d\phi_j &= \sum_{r,s} p_{r,s} e^{-i\alpha_s} \{ p_{r,s} i e^{i\alpha_s} (dx_s) + (dp_{r,s}) e^{i\alpha_s} \} g_r^2 \\ &\quad + \sum_{r,s} q_{r,s} e^{i\alpha_s} \{ -q_{r,s} \cdot i e^{-i\alpha_s} (dx_s) + (dq_{r,s}) e^{-i\alpha_s} \} g_r^2 \\ &\quad + \sum_r h_r dh_r \\ &= \sum_{r,s} i \cdot p_{r,s}^2 g_r^2 dx_s + \sum_{r,s} p_{r,s} dp_{r,s} g_r^2 \\ &\quad + \sum_{r,s} i (-q_{r,s}^2) g_r^2 dx_s + \sum_{r,s} q_{r,s} dq_{r,s} g_r^2 + \sum_r h_r dh_r \\ &= \sum_{r,s} i (\mu_{r,s} - \nu_{r,s}) f_r dx_s + \frac{1}{2} \sum_{r,s} d(p_{r,s}^2 + q_{r,s}^2) f_r + \sum_r h_r dh_r \\ &= \alpha + \frac{1}{2} \sum_{r,s} d(\mu_{r,s} + \nu_{r,s}) f_r + \sum_r h_r dh_r. \end{aligned}$$

But since for any  $x, y \in V$ ,  $h_r^2(x)$  and  $h_r^2(y)$  commute, their positive square roots  $h_r(x)$  and  $h_r(y)$  also commute. It readily follows that  $h_r dh_r = dh_r \cdot h_r$ . Hence  $\frac{1}{2}d(h_r^2) = h_r dh_r$ . Therefore, finally we have

$$\begin{aligned} \sum_{j=1}^{m'} \phi_j^* d\phi_j &= \alpha + \frac{1}{2} d \left\{ \sum_{r,s} (\mu_{r,s} + \nu_{r,s}) f_r + \sum_r h_r^2 \right\} \\ &= \alpha, \end{aligned}$$

since  $\sum_{r,s} (\mu_{r,s} + \nu_{r,s}) f_r + \sum_r h_r^2 = I_k$ , and the lemma is completely proved.

The problem is solved locally by the following

**PROPOSITION 2.** Let  $P$  be a principal  $U(k)$ -bundle over a manifold  $X$  of dimension  $\leq n$  and  $\gamma$  a connection form on  $P$ . For every relatively compact open subset  $W$  of  $X$  with  $\bar{W}$  contained in a coordinate neighborhood  $U$  of  $X$  over which  $P$  is trivial, there exists a differentiable bundle map  $\Phi$  of  $p^{-1}(W)$  into  $V(m'', k)$  such that the inverse image of the canonical connection  $\gamma_0$  by  $\Phi$  is  $\gamma$ , where  $m'' = (2n + 1)k^3$  and  $p$  is the projection  $P \rightarrow X$ .

*Proof.* Let  $\sigma$  be a section of  $P$  over  $U$  and  $\alpha$  the inverse image of  $\gamma$  by  $\sigma$ .

By the lemma, we can find differentiable  $\mathfrak{M}_k(\mathbf{C})$ -valued functions  $\phi_1, \dots, \phi_{m'}$  in  $W$  such that

- i)  $\sum_{j=1}^{m'} \phi_j^* \phi_j = I_k$ , and
- ii)  $\sum_{j=1}^{m'} \phi_j^* d\phi_j = \alpha$ , where  $m' = (2n + 1)k^2$ .

Define a map  $\Phi$  of  $P$  over  $W$  into the space of  $(m'', k)$ -matrices by setting for

$$\xi \in P, \quad \Phi(\xi) = \begin{pmatrix} \phi_1(p\xi) \\ \vdots \\ \phi_{m'}(p\xi) \end{pmatrix} \cdot s \quad \text{where } s \in U(k) \text{ is determined by } \xi = \sigma(p\xi)s.$$

$\Phi$  is easily seen to be a bundle homomorphism. We then have

$$\begin{aligned} \Phi^* \Phi(\xi) &= s^* \left( \sum_{j=1}^{m'} (\phi_j^* \phi_j) (p\xi) \right) s \\ &= s^* s, \text{ by (i)} \\ &= I_k, \text{ since } s \text{ is unitary.} \end{aligned}$$

Hence  $\Phi$  maps  $P|_{p^{-1}(W)}$  actually into  $V(m'', k)$ . On the other hand, it is obvious that the inverse image by  $\Phi$  of  $\gamma_0 = S^* dS$  is given by  $\Phi^* d\Phi$ . But the inverse image by  $\sigma$  of  $\Phi^* d\Phi$  is  $(\Phi \circ \sigma)^* d(\Phi \circ \sigma) = \sum_{i=1}^{m'} \phi_i^* d\phi_i = \alpha$  by construction. Now  $\gamma$  and  $\Phi^* d\Phi$  are two connections on  $P|_{p^{-1}(W)}$  such that their inverse image by the section  $\sigma$  are the same. Hence  $\gamma = \Phi^* d\Phi$  on  $p^{-1}(W)$ .

#### 4. Universal connection for the unitary group.

**THEOREM 1.** *Let  $P$  be a principal  $U(k)$ -bundle over a manifold  $X$  of dimension  $\leq n$  and  $\gamma$  any connection form on  $P$ . Then there exists a differentiable bundle homomorphism  $\Phi$  of  $P$  into the Stiefel bundle  $V(m, k)$  such that  $\gamma$  is the inverse image by  $\Phi$  of the canonical connection  $\gamma_0$  on  $V(m, k)$ , where  $m = (n + 1)(2n + 1)k^2$ .*

*Proof.* We can find a covering of  $X$  by relatively compact open sets  $\{V_i\}$  such that i) each  $V_i$  is contained in a coordinate cell, and ii) the  $V_i$ 's can be divided into  $(n + 1)$  classes  $\mathcal{L}_j$  in such a way that no two  $V_i$ 's of the same class intersect ([2], p. 61). Let  $\{W_i\}$  be a shrinking of this covering, i.e., an open covering  $\{W_i\}$  such that  $\bar{W}_i \subset V_i$ . Let  $D_j$  ( $j = 1, \dots, n + 1$ ) be the union of the open sets  $p^{-1}(W_i)$  where  $\bar{W}_i \subset V_i$  with  $V_i$  belonging to  $\mathcal{L}_j$ .

The bundle is trivial over the coordinate cells and hence, by Proposition 2, one can find differentiable bundle homomorphisms  $\Phi_i$  on  $p^{-1}(V_i)$  into

$V((2n + 1)k^3, k)$  inducing the connection  $\gamma$  on  $p^{-1}(V_i)$ . Corresponding to each  $D_j$  there exists a  $\{(2n + 1)k^3, k\}$ -matrix-valued differentiable function  $\Psi$  on  $P$  such that  $\Psi$  coincides with  $\Phi_i$  on  $p^{-1}(W_i)$  for  $V_i$  in  $\mathcal{B}_j$ . Let then  $\Psi_1, \dots, \Psi_{n+1}$  be the  $(n + 1)$  functions thus constructed. Consider a differentiable partition of unity with respect to the covering  $\{D_j\}$  consisting of non-negative differentiable functions  $\zeta_i$  invariant under the action of the group  $U(k)$  such that the support of  $\zeta_i \subset D_i$  and  $\sum \zeta_i^2 = 1$ .

Consider now the map  $\Phi$  on  $P$  defined by

$$\Phi(\xi) = \begin{pmatrix} \zeta_1(\xi)\Psi_1(\xi) \\ \vdots \\ \zeta_{n+1}(\xi)\Psi_{n+1}(\xi) \end{pmatrix} \text{ for every } \xi \in P.$$

We have to prove that  $\Phi$  is bundle map of  $P$  into  $V(m, k)$  such that  $\Phi^*d\Phi = \alpha$ . But

$$\begin{aligned} \Phi^*\Phi(\xi) &= \sum_{i=1}^{n+1} \zeta_i(\xi)^2 \Psi_i^*(\xi)\Psi_i(\xi) \\ &= \sum \zeta_i(\xi)^2 \Psi_i^*(\xi)\Psi_i(\xi), \end{aligned}$$

the summation being over those  $i$ 's for which  $\xi \in D_i$ . But on  $D_i$ ,  $\Psi_i^*\Psi_i = I$  and we have  $(\Psi_i^*\Psi_i) = I$  for every  $i$  over which the summation extends. Hence  $\Phi^*\Phi(\xi) = \sum \zeta_i(\xi)^2 I = I$ , since  $\sum \zeta_i^2(\xi) = 1$ . Moreover

$$\begin{aligned} \Phi(\xi s) &= \begin{pmatrix} \zeta_1(\xi s)\Psi_1(\xi s) \\ \vdots \\ \zeta_{n+1}(\xi s)\Psi_{n+1}(\xi s) \end{pmatrix} = \begin{pmatrix} \zeta_1(\xi)\Psi_1(\xi)s \\ \vdots \\ \zeta_{n+1}(\xi)\Psi_{n+1}(\xi)s \end{pmatrix} \\ &= \begin{pmatrix} \zeta_1(\xi)\Psi_1(\xi) \\ \vdots \\ \zeta_{n+1}(\xi)\Psi_{n+1}(\xi) \end{pmatrix} s \\ &= \Phi(\xi) \cdot s \end{aligned}$$

for every  $\xi \in P$  and  $s \in U(k)$ .

Finally,

$$\begin{aligned} \Phi^*d\Phi &= \sum_{i=1}^{n+1} \zeta_i \Psi_i^* (d\zeta_i \Psi_i + \zeta_i d\Psi_i) \\ &= \sum_{i=1}^{n+1} \Psi_i^* \Psi_i \zeta_i d\zeta_i + \sum_{i=1}^{n+1} \zeta_i^2 \Psi_i^* d\Psi_i. \end{aligned}$$

As before, for a  $\xi \in P$ , the summation needs to be taken only over those  $i$ 's



for which  $\xi \in D_i$ . In every such  $D_i$ , however,  $\Psi_i^* \Psi_i = I$  and  $\Psi_i^* d\Psi_i = \alpha$ . Hence  $\Phi^* d\Phi = \sum \zeta_i d\zeta_i I + (\sum \zeta_i^2) \alpha = \alpha$  since  $\sum \zeta_i d\zeta_i = \frac{1}{2} d(\sum \zeta_i^2) = 0$ .

**5. Universal connections for compact Lie groups.** Let  $G_2$  be a closed subgroup of a Lie group  $G_1$ , and  $\mathfrak{g}_2$  and  $\mathfrak{g}_1$  their Lie algebras. The group  $G_2$  acts on  $\mathfrak{g}_1$  by the adjoint operations and  $\mathfrak{g}_2$  is invariant under this representation. Suppose  $\mathfrak{m}$  is a subspace of  $\mathfrak{g}_1$  invariant under the action of  $G_2$  which is supplementary to  $\mathfrak{g}_2$ . (Such a space  $\mathfrak{m}$  exists if  $G_2$  is compact or semi-simple.) Let  $P$  be a principal bundle with group  $G_1$  and  $\omega_1$  a connection on  $P$ .  $P$  is fibred by  $G_2$  into a principal bundle with group  $G_2$ .

The direct sum decomposition  $\mathfrak{g}_2 \oplus \mathfrak{m}$  of  $\mathfrak{g}_1$  gives rise to a projection  $\pi$  of  $\mathfrak{g}_1$  onto  $\mathfrak{g}_2$  which commutes with the action of  $G_2$  (i.e.  $\pi \circ \text{ads} = \text{ads} \circ \pi$  for every  $s \in G_2$ ). We define a differential form  $\omega_2$  on  $P$  by setting  $\omega_2 = \pi \circ \omega_1$ . It is easy to see that  $\omega_2$  is a connection on  $P$  for the fibration by  $G_2$ . In fact, (with the notations of §2),

$$\begin{aligned} \omega_2(X_\xi s) &= (\pi \cdot \omega_1)(X_\xi s) \\ &= \pi \cdot \omega_1(X_\xi s) \\ &= \pi \cdot \text{ads} \omega_1(X_\xi) \\ &= \text{ads} \cdot \pi \omega_1(X_\xi) \\ &= s^{-1} \omega_2(X_\xi) s \end{aligned}$$

for every vector  $X_\xi$  at  $\xi \in P$  and  $s \in G_2$ .

On the other hand, for every  $\xi \in P$  and  $a \in \mathfrak{g}_2$ , we have

$$\begin{aligned} \omega_2(\xi a) &= (\pi \cdot \omega_1)(\xi a) \\ &= \pi \cdot \omega_1(\xi a) \\ &= \pi(a) \\ &= a, \text{ since } \pi \text{ is a projection.} \end{aligned}$$

**THEOREM 2.** *Let  $G$  be a compact Lie group and  $n$  a positive integer. There exist a principal  $G$ -bundle  $B$  and a connection form  $\gamma_1$  on  $B$  such that for every principal  $G$ -bundle  $P$  with base of dimension  $\leq n$  and any connection form  $\gamma$  on  $P$ , one can find a bundle homomorphism  $f$  of  $P$  into  $B$  such that the inverse image of  $\gamma_1$  by  $f$  is  $\gamma$ .*

*Proof.*  $G$  can be identified with a closed subgroup of a unitary group  $U(k)$ . Let  $\gamma_0$  be a universal connection for  $U(k)$  (for the dimension  $n$ ) on a principal  $U(k)$ -bundle  $B$ , whose existence has been proved in Theorem 1.  $G$  acts on  $B$  and makes of it a principal  $G$ -bundle. One can define a

connection  $\gamma_1$  on this bundle by setting  $\gamma_1 = \pi \circ \gamma_0$  where  $\pi$  is a projection of  $u(k)$  onto the Lie algebra  $\mathfrak{g}$  of  $G$ , as explained above. For any principal  $G$ -bundle  $P$ , with base of dimension  $\leq n$ , let  $P'$  be the corresponding principal  $U(k)$ -bundle obtained by enlarging the group  $G$ . Then there is a natural inclusion  $i: P \rightarrow P'$  such that  $i(\xi s) = i(\xi)(s)$  for  $\xi \in P$  and  $s \in G$ .

Moreover, if  $\gamma$  is a connection form on  $P$ , one can define a natural connection  $\gamma'$  on  $P'$  such that the inverse image of  $\gamma'$  by  $i$  is  $\gamma$  ([3], p. 35). Let  $\Phi$  be a bundle map of  $P$  into  $B$  such that the inverse image of  $\gamma_0$  by  $\Phi$  is  $\gamma'$ . We define a bundle map  $f$  of  $P$  into  $B$  fibred by  $G$  by setting  $f = \Phi \cdot i$ . The inverse image of  $\gamma_0$  by  $f = \Phi \cdot i$  is obviously  $\gamma$ . But since  $\gamma$  has values in  $\mathfrak{g}$  and  $\pi$  is identity on  $\mathfrak{g}$ , we have  $\pi \cdot \gamma = \gamma$  and hence the inverse image of  $\gamma_1$  by  $f$  is  $\gamma$ .

## 6. Remarks.

i) We show how A. Weil's theorem on connections can be deduced from our results, at least when  $G$  is compact. Let  $\omega_1, \omega_2$  be two connections on a principal  $G$ -bundle  $P$  with base  $X$  of dimension  $\leq n-1$ . Consider the bundle  $P \times I' \rightarrow X \times I'$  where  $I'$  is the open interval  $(-\epsilon, 1 + \epsilon)$ ,  $\epsilon > 0$ . Let  $\alpha_1, \alpha_2$  be inverse images of  $\omega_1, \omega_2$  respectively under the projection  $P \times I' \rightarrow P$ . The differential form  $\alpha = t\alpha_1 + (1-t)\alpha_2$  where  $t$  is the projection  $P \times I' \rightarrow I'$ , is easily seen to be a connection on  $P \times I'$ . Let  $B$  be a principal  $G$ -bundle over a manifold  $M$  and  $\gamma_1$  a universal connection on  $B$  for dimension  $\leq n$ . It follows that there exists a differentiable family  $F_t$  of differentiable bundle maps of  $P$  into  $B$  such that the inverse image of  $\gamma_1$  by  $F_t$  is  $t\omega_1 + (1-t)\omega_2$ . If  $f_t$  are the corresponding maps of  $X$  into  $M$ , then  $f_0$  and  $f_1$  are obviously homotopic. On the other hand, if  $K_1$  and  $K_2$  are the curvature forms of  $\omega_1, \omega_2$  respectively, the 'substitution' of  $K_1, K_2$  in each polynomial over  $\mathfrak{g}$  invariant under the adjoint representation of  $G$  yields closed differential forms  $\beta_1, \beta_2$  on  $X$ . Then  $\beta_1$  and  $\beta_2$  are the inverse images under  $f_0$  and  $f_1$  of the form on  $M$  obtained by substituting the curvature form  $K$  of the universal connection in the same polynomial. Since  $f_0$  and  $f_1$  are homotopic, it follows that  $\beta_1$  and  $\beta_2$  define the same cohomology class on the base, a characteristic class of the bundle.

ii) Our method gives a universal connection for the orthogonal group  $O(k)$  in particular. But the connection was defined in the complex Stiefel manifold fibred by  $O(k)$  instead of the more usual real Stiefel bundle. We have already remarked (§2) that if the points of the real Stiefel manifold  $W(N, k)$  are represented by  $(N, k)$ -matrices  $A$  satisfying  $A'A = I_k$  ( $A'$  being

the transpose of  $A$ ), a connection can be defined in a canonical way on the real Stiefel bundle with the corresponding connection form  $A'dA$ . On the other hand, the complex Stiefel manifold  $V(N, k)$  may be imbedded into the real Stiefel manifold  $W(2N, k)$  by associating to each  $(N, k)$  matrix  $A$ , the  $(2N, k)$  real matrix  $\tilde{A} = \begin{pmatrix} \text{Rl } A \\ \text{Im } A \end{pmatrix}$  where  $\text{Rl } A$ ,  $\text{Im } A$  are the real and imaginary parts of  $A$ . It is easy to see that if  $A^*A = I_k$ , we have  $\tilde{A}'\tilde{A} = I_k$ . Moreover, for every  $s \in O(k) \subset U(k)$

$$(\tilde{A}s) = \begin{pmatrix} \text{Rl } (As) \\ \text{Im } (As) \end{pmatrix} = \begin{pmatrix} (\text{Rl } A)s \\ (\text{Im } A)s \end{pmatrix} = \tilde{A} \cdot s.$$

Hence the map  $A \rightarrow \tilde{A}$  is a bundle map of the complex Stiefel manifold  $V(N, k)$  fibred by  $O(k)$ , into the real Stiefel bundle. The connection form on  $V(N, k)$  induced by this map is  $\tilde{A}'d\tilde{A}$ , but this is the same as the real part of  $A^*dA$ . If we take for the projection  $\pi$  of § 4, the map of  $\mathfrak{u}(k)$  onto the Lie algebra  $\mathfrak{o}(k)$  of  $O(k)$  defined as the assignment of the real part to each skew Hermitian matrix, then the corresponding connection  $\gamma_1$  is the same as the real part of  $A^*dA$ . In other words, the canonical connection in the real Stiefel bundle is universal for  $O(k)$ -bundles.

TATA INSTITUTE OF FUNDAMENTAL RESEARCH, BOMBAY.

---

REFERENCES.

---

- [1] S. S. Chern, "Topics in differential geometry," *Mimeographed Notes, The Institute for Advanced Study, Princeton*, 1951.
- [2] J. Nash, "The imbedding problem for Riemannian manifolds," *Annals of Mathematics*, vol. 63 (1956), pp. 20-63.
- [3] K. Nomizu, "Lie groups and differential geometry," *Publications of the Mathematical Society of Japan*, 1956.