

# Simplicity of stable principal sheaves

Indranil Biswas and Tomás L. Gómez

## ABSTRACT

Let  $M$  be a compact connected Kähler manifold, and let  $G$  be a connected complex reductive linear algebraic group. We prove that a principal  $G$ -sheaf on  $M$  admits an admissible Einstein–Hermitian connection if and only if the principal  $G$ -sheaf is polystable. Using this it is shown that the holomorphic sections of the adjoint vector bundle of a stable principal  $G$ -sheaf on  $M$  are given by the center of the Lie algebra of  $G$ . The Bogomolov inequality is shown to be valid for polystable principal  $G$ -sheaves.

## 1. Introduction

Stable vector bundles on curves were introduced by Mumford in the context of geometric invariant theory [6], and stable vector bundles on higher-dimensional varieties were introduced by Takemoto [12]. On the other hand, the notion of an Einstein–Hermitian connection originated in physics. Hitchin and Kobayashi made a very precise conjecture connecting these two notions, which is known as the Hitchin–Kobayashi correspondence; in the special case of degree zero vector bundles over compact Riemann surfaces, their conjecture is an earlier theorem due to Narasimhan and Seshadri [7]. The Hitchin–Kobayashi correspondence was first proved by Donaldson for complex projective varieties [3] and, subsequently, Uhlenbeck and Yau extended it to compact Kähler manifolds [13]. We will now very briefly recall these results and their generalizations.

A holomorphic vector bundle  $E$  over a compact connected Kähler manifold  $M$  admits an Einstein–Hermitian connection if and only if  $E$  is polystable [3, 13]. More generally, a reflexive sheaf on  $M$  admits an admissible Einstein–Hermitian connection if and only if it is polystable [2]. For any connected reductive linear algebraic group  $G$  defined over  $\mathbb{C}$ , a holomorphic principal  $G$ -bundle  $E_G$  over  $M$  admits an Einstein–Hermitian connection if and only if  $E_G$  is polystable [1, 10].

On the other hand, the principal bundle analog of a torsion-free sheaf, which is called a principal  $G$ -sheaf, was introduced in [4].

In Theorem 3.1, we prove that a principal  $G$ -sheaf admits an admissible Einstein–Hermitian connection if and only if it is polystable.

A stable vector bundle  $E$  over  $M$  is simple; that is, any holomorphic global endomorphism of  $E$  is multiplication by a constant scalar. We prove the following generalization of it (see Proposition 3.3).

The global holomorphic sections of the adjoint vector bundle of a stable principal  $G$ -sheaf coincide with the center of the Lie algebra of  $G$ .

This last result is new even for usual principal bundles.

We also prove a Bogomolov-type inequality for polystable principal  $G$ -sheaves (see Corollary 3.2).

2. Preliminaries

Let  $M$  be a compact connected Kähler manifold equipped with a Kähler form  $\omega$ . The degree of a torsion-free coherent analytic sheaf  $F$  on  $M$  is defined to be

$$\text{degree}(F) := \int_M c_1(F)\omega^{d-1} \in \mathbb{R},$$

where  $d$  is the complex dimension of  $M$ . For any holomorphic vector bundle  $F$  defined over a dense open subset

$$U \xrightarrow{\iota} M$$

with complement  $U^c$  that is a complex analytic subspace of complex codimension at least two, and such that the direct image  $\iota_*F$  is a coherent analytic sheaf, we have

$$\text{degree}(F) := \text{degree}(\iota_*F).$$

The real number  $\text{degree}(F)/\text{rank}(F)$  is denoted by  $\mu(F)$ , and it is called the *slope* of  $F$ .

DEFINITION 2.1. By a *big open subset* of  $M$  we will mean a dense open subset  $U$  of  $M$  such that the complement  $U^c$  is a complex analytic subspace of  $M$  of complex codimension at least two.

We recall that  $F$  is called *stable* if  $\mu(F') < \mu(F)$  for all  $F' \subset F$  with  $0 < \text{rank}(F') < \text{rank}(F)$ , where  $\mu(V) := \text{degree}(V)/\text{rank}(V)$ . Under the same conditions,  $F$  is called *semistable* if  $\mu(F') \leq \mu(F)$ . A semistable sheaf is called *polystable* if it is a direct sum of stable sheaves. Therefore, a polystable sheaf is a direct sum of stable sheaves of same slope.

Let  $G$  be a connected reductive linear algebraic group defined over the field of complex numbers. The Lie algebra of  $G$  will be denoted by  $\mathfrak{g}$ . Let

$$\mathfrak{g}' := [\mathfrak{g}, \mathfrak{g}] \subset \mathfrak{g} \tag{2.1}$$

be the semisimple part of  $\mathfrak{g}$ . Set

$$Z := G/[G, G] \tag{2.2}$$

to be the quotient group, which is a product of copies of  $\mathbb{G}_m = \mathbb{C}^*$ .

A *principal  $G$ -sheaf* on  $M$  is a triple of the form  $(E_G, E, \psi)$ , where

- $E_G$  is a rational principal  $G$ -bundle over  $M$ , which means that  $E_G$  is a holomorphic principal  $G$ -bundle over some big open subset  $U$  of  $M$ ;
- the holomorphic principal  $Z$ -bundle over  $U$

$$E_Z := E_G(Z), \tag{2.3}$$

obtained by extending the structure group of  $E_G$  using the quotient map  $G \rightarrow Z$  in (2.2), extends to a holomorphic principal  $Z$ -bundle over  $M$ ;

- $E$  is a torsion-free coherent analytic sheaf on  $M$ ;
- the isomorphism

$$\psi : E_G(\mathfrak{g}') \longrightarrow E|_U \tag{2.4}$$

is a holomorphic isomorphism of vector bundles over a big open subset  $U$  over which  $E_G$  is a holomorphic principal  $G$ -bundle, where  $E_G(\mathfrak{g}')$  is the vector bundle over  $U$  associated to  $E_G$  for the  $G$ -module  $\mathfrak{g}'$  defined in (2.1).

LEMMA 2.2. Let  $(E_G, E, \psi)$  be a principal  $G$ -sheaf as above. The principal  $G$ -bundle  $E_G$  on  $U$  can be extended, as a principal  $G$ -bundle, to the open subset  $U_E \subset M$  on which  $E$  is locally free.

*Proof.* The integer  $\dim_{\mathbb{C}} \mathfrak{g}'$  will be denoted by  $n$ . Therefore  $\text{rank}(E) = n$ . Let  $F_{\text{GL}(\mathfrak{g}')}$  be the principal  $\text{GL}(\mathfrak{g}')$ -bundle over  $U_E$  defined by  $E|_{U_E}$ . In view of the isomorphism  $\psi$  in (2.4), the subset  $U \subset M$  is contained in  $U_E$ . The restriction of  $F_{\text{GL}(\mathfrak{g}')}$  to  $U$  will be denoted by  $F'_{\text{GL}(\mathfrak{g}')}$ . Let

$$\gamma : G \longrightarrow \text{GL}(\mathfrak{g}') \tag{2.5}$$

be the homomorphism defined by the adjoint action. We note that the isomorphism  $\psi$  in (2.4) gives an identification of  $F'_{\text{GL}(\mathfrak{g}')}$  with the principal  $\text{GL}(\mathfrak{g}')$ -bundle obtained by extending the structure group of  $E_G$  using  $\gamma$ . In particular, we get a reduction of the structure group of  $F'_{\text{GL}(\mathfrak{g}')}$  to the subgroup  $\gamma(G) \subset \text{GL}(\mathfrak{g}')$ .

Let  $\tilde{Z} \subset G$  be the center. Let  $\tilde{Z}_0 \subset \tilde{Z}$  be the connected component containing the identity element. Therefore  $\tilde{Z}_0$  is a product of copies of  $\mathbb{G}_m = \mathbb{C}^*$ . The homomorphism  $\gamma$  in (2.5) factors as

$$G \xrightarrow{\rho_3} G/\tilde{Z}_0 \xrightarrow{\rho'_2} G/\tilde{Z} \xrightarrow{\rho_2} \text{Aut}(\mathfrak{g}') \xrightarrow{\rho_1} \text{GL}(\mathfrak{g}'), \tag{2.6}$$

where  $\text{Aut}(\mathfrak{g}')$  is the group of all Lie algebra automorphisms of  $\mathfrak{g}'$ . We will construct the extension of  $E_G$  to  $U_E$ , step by step, using these homomorphisms.

Giving a reduction of the structure group of the principal  $\text{GL}(\mathfrak{g}')$ -bundle  $F_{\text{GL}(\mathfrak{g}')}$  to the subgroup  $\text{Aut}(\mathfrak{g}')$  in (2.6) is equivalent to constructing a holomorphic homomorphism of vector bundles

$$\beta : E|_{U_E} \otimes E|_{U_E} \longrightarrow E|_{U_E}$$

that satisfies the following two conditions:

- the homomorphism  $\beta$  makes  $E|_{U_E}$  a Lie algebra bundle over  $U_E$ , and
- for each point  $x \in U_E$ , the Lie algebra  $(E_x, \beta(x))$  is isomorphic to  $\mathfrak{g}'$ .

The open subset  $U$  of  $U_E$  is big. Hence the Lie algebra bundle structure

$$E_G(\mathfrak{g}') \otimes E_G(\mathfrak{g}') \longrightarrow E_G(\mathfrak{g}')$$

of  $E_G(\mathfrak{g}')$  extends uniquely to a holomorphic homomorphism

$$\beta : E|_{U_E} \otimes E|_{U_E} \longrightarrow E|_{U_E} \tag{2.7}$$

using  $\psi$ . To check that for all  $x \in U_E$  the fiber  $(E_x, \beta(x))$  is a Lie algebra, we note that the homomorphism of sheaves given by  $\beta$  satisfies both the Jacobi identity and the anti-commutativity condition because they are satisfied over the dense open subset  $U$ . This immediately implies that  $(E_x, \beta(x))$  is a Lie algebra.

For any  $x \in U$ , the Lie algebra  $E_G(\mathfrak{g}')_x$  is isomorphic to the semisimple Lie algebra  $\mathfrak{g}'$ , and hence the Killing form of  $E_G(\mathfrak{g}')_x$  is non-degenerate. We note that the condition that the Killing form on a Lie algebra  $\mathfrak{h}$  is non-degenerate is equivalent to the condition that the element in  $(\bigwedge^{\text{top}} \mathfrak{h}) \otimes (\bigwedge^{\text{top}} \mathfrak{h})^*$  given by the Killing form on the Lie algebra  $\mathfrak{h}$  is non-zero. Let

$$s \in H^0 \left( U_E, \left( \bigwedge^{\text{top}} E \right) \otimes \left( \bigwedge^{\text{top}} E \right)^* \right)$$

be the section given by the Killing forms for the Lie algebra bundle  $E|_{U_E}$ . We know that  $s|_U$  is nowhere vanishing. Since  $U$  is a big open subset of  $U_E$ , we conclude that the above section  $s$  does not vanish anywhere. Hence the fibers of  $E|_{U_E}$  are all semisimple. Finally, by the rigidity of semisimple Lie algebras, the fibers of  $E|_{U_E}$  are all isomorphic to  $\mathfrak{g}'$ .

Therefore, we get a reduction of the structure group of  $F_{\text{GL}(\mathfrak{g}')}$  to the subgroup  $\text{Aut}(\mathfrak{g}')$  in (2.6). The principal  $\text{Aut}(\mathfrak{g}')$ -bundle giving the reduction of the structure group will be denoted by  $F_{\text{Aut}(\mathfrak{g}')}$ .

The restriction of the homomorphism  $\beta$  in (2.7) to  $U \subset U_E$  coincides with the Lie algebra bundle  $E_G(\mathfrak{g}')$  using the isomorphism  $\psi$ . Hence the principal  $\text{Aut}(\mathfrak{g}')$ -bundle obtained by

extending the structure group of  $E_G$  using the homomorphism  $\rho_2 \circ \rho'_2 \circ \rho_3$  in (2.6) is identified with  $F_{\text{Aut}(\mathfrak{g}')|U}$ .

The subgroup  $G/\tilde{Z} \subset \text{Aut}(\mathfrak{g}')$  is the connected component containing the identity element, and  $U$  is a dense open subset of  $U_E$ . Hence  $F_{\text{Aut}(\mathfrak{g}'|U)}$  has a natural reduction of the structure group to  $G/\tilde{Z}$ . This reduction of the structure group is uniquely determined by the condition that its restriction to  $U$  coincides with the principal  $G/\tilde{Z}$ -bundle obtained by extending the structure group of  $E_G$  using the homomorphism  $\rho'_2 \circ \rho_3$  in (2.6). The principal  $G/\tilde{Z}$ -bundle over  $U_E$  giving the reduction of the structure group of  $F_{\text{Aut}(\mathfrak{g}'|U)}$  will be denoted by  $F_{G/\tilde{Z}}$ .

The kernel of the homomorphism  $\rho'_2$  is a finite group. Since  $U$  is a big open subset of  $U_E$ , the homomorphism of fundamental groups induced by the inclusion map of  $U$  in  $U_E$  is surjective. Therefore, the principal  $G/\tilde{Z}$ -bundle  $F_{G/\tilde{Z}}$  has a natural lift of the structure group to  $G/\tilde{Z}_0$ . This lift is uniquely determined by the condition that its restriction to  $U$  coincides with the principal  $G/\tilde{Z}_0$ -bundle obtained by extending the structure group of  $E_G$  using the homomorphism  $\rho_3$  in (2.6). Let  $F_{G/\tilde{Z}_0}$  be the principal  $G/\tilde{Z}_0$ -bundle over  $U_E$  giving the above lift of  $F_{G/\tilde{Z}}$ .

Let

$$q : G \longrightarrow Z \times (G/\tilde{Z}_0) \tag{2.8}$$

be the natural projection, where  $Z$  is the quotient group in (2.2). The homomorphism  $q$  is surjective with a finite kernel. We know that the principal  $Z$ -bundle  $E_Z$  in (2.3) extends to  $M$ . The extension of  $E_Z$  to  $U_E$  will be denoted by  $E'_Z$ . Therefore, the fiber product

$$E'_Z \times_{U_E} F_{G/\tilde{Z}_0} \longrightarrow U_E$$

is a principal  $Z \times (G/\tilde{Z}_0)$ -bundle over  $U_E$ . The principal  $Z \times (G/\tilde{Z}_0)$ -bundle over  $U$  obtained by extending the structure group of  $E_G$  using the homomorphism  $q$  in (2.8) is clearly identified with  $E'_Z \times_{U_E} F_{G/\tilde{Z}_0}$ . We have already noted that the homomorphism of fundamental groups corresponding to the inclusion map  $U \hookrightarrow U_E$  is surjective. Hence arguing as before (see the construction of  $F_{G/\tilde{Z}_0}$  from  $F_{G/\tilde{Z}}$ ) it follows that the principal  $G$ -bundle  $E_G$  extends to  $U_E$ . This completes the proof of the lemma.  $\square$

REMARK 2.3. We put down a few observations regarding the above definition of a principal  $G$ -sheaf.

(1) Consider the special case where  $G = \text{GL}(n, \mathbb{C})$ . Then we have  $Z = \mathbb{C}^*$ . Let  $E$  be a torsion-free coherent analytic sheaf on  $M$ , and let  $U$  be the big open subset of  $M$  over which  $E$  is locally free. The principal  $Z$ -bundle  $E_Z$  corresponds to the holomorphic line bundle  $\bigwedge^n E$  over  $U$ . This line bundle over  $U$  extends to  $M$  as the holomorphic determinant line bundle  $\det E$ . (See [5, Chapter V, §6] for the construction of the determinant line bundle of a torsion-free coherent analytic sheaf on  $M$ .) Therefore, in the special case of  $G = \text{GL}(n, \mathbb{C})$ , the principal  $G$ -sheaves are not restrictive compared to the torsion-free coherent analytic sheaves on  $M$ .

(2) In view of the homomorphism  $\psi$  in (2.4) it follows that the vector bundle  $E_G(\mathfrak{g}')$  over  $U$  extends to  $M$  as a coherent analytic sheaf. Since the adjoint vector bundle  $\text{ad}(E_G)$  over  $U$  is a direct sum of  $E_G(\mathfrak{g}')$  with a trivial vector bundle of rank  $\dim_{\mathbb{C}} Z$ , we conclude that  $\text{ad}(E_G)$  extends to  $M$  as a coherent analytic sheaf. Therefore, the direct image  $\iota_* \text{ad}(E_G)$  is a coherent analytic sheaf on  $M$ , where  $\iota : U \rightarrow M$  is the inclusion map; see [11, p. 364, Théorème 1].

(3) If  $M$  is a complex projective manifold, and the principal  $Z$ -bundle  $E_Z$  is algebraic, then from the given condition that the open subset  $U \subset M$  is big it follows that  $E_Z$  extends to  $M$  as a holomorphic principal  $Z$ -bundle.

(4) The above-mentioned big open subset  $U$  is not a part of the definition of a principal  $G$ -sheaf. In other words, we do not distinguish between the two principal  $G$ -sheaves

given by  $(E, U, E_G, \psi)$  and  $(E, U', E'_G, \psi')$ , respectively, where  $E_G|_{U \cap U'} = E'_G|_{U \cap U'}$  and  $\psi|_{U \cap U'} = \psi'|_{U \cap U'}$ . However, in view of Lemma 2.2, we may take  $U$  to be the unique largest open subset of  $M$  over which the torsion-free coherent analytic sheaf  $E$  is a vector bundle. In this sense, there is a natural choice of the big open subset  $U$ .

- A principal  $G$ -sheaf  $(E_G, E, \psi)$  is called *stable* if for every triple of the form  $(U', Q, \sigma)$ , where
- $U' \xrightarrow{\iota} M$  is a big open subset contained in the open subset of  $M$  over which  $E_G$  is a holomorphic principal  $G$ -bundle,
  - $Q \subset G$  is a maximal proper parabolic subgroup, and
  - the reduction

$$\sigma : U' \longrightarrow (E_G|_{U'})/Q \tag{2.9}$$

is a holomorphic reduction of the structure group of  $E_G|_{U'}$  to the subgroup  $Q$  such that the direct image  $\iota_*\sigma^*T_{\text{rel}}$  is a coherent analytic sheaf on  $M$ , where  $T_{\text{rel}}$  is the relative tangent bundle for the natural projection  $(E_G|_{U'})/Q \rightarrow U'$ , and  $\iota$  is the above inclusion map, the inequality

$$\text{degree}(\sigma^*T_{\text{rel}}) > 0 \tag{2.10}$$

holds. Under the same conditions, a principle  $G$ -sheaf  $(E_G, E_m, \psi)$  is called *semistable* if  $\text{degree}(\sigma^*T_{\text{rel}}) \geq 0$ . (See [4, Corollary 5.7; 9].)

REMARK 2.4. We put down two remarks on the above definition.

(1) If  $F$  is a coherent analytic subsheaf of a torsion-free coherent analytic sheaf  $E$  over  $M$ , then there is a big open subset  $U' \xrightarrow{\iota} M$  such that the restriction  $E' := E|_{U'}$  is locally free, and furthermore, the restriction  $F' := F|_{U'}$  is a sub-bundle of  $E'$ . The double dual  $(F^* \otimes (E/F))^{**}$  is a coherent analytic sheaf on  $M$  extending the vector bundle  $(F')^* \otimes (E'/F')$  on  $U'$ . Hence the direct image  $\iota_*((F')^* \otimes (E'/F'))$  is a coherent analytic sheaf on  $M$  [11, p. 364, Théorème 1]. Consequently, in the special case of  $G = \text{GL}(n, \mathbb{C})$ , the above definitions of stability and semistability coincide with the usual definitions of stability and semistability of torsion-free coherent analytic sheaves on  $M$ .

(2) If  $M$  is a complex projective manifold, and  $\sigma^*T_{\text{rel}}$  is algebraic, then the condition in the above definition that  $\iota_*\sigma^*T_{\text{rel}}$  is a coherent analytic sheaf on  $M$  is automatically satisfied.

By a *Levi subgroup* of a parabolic subgroup  $P \subset G$  we will mean a connected reductive subgroup of  $P$  with projection to the quotient  $P/R_u(P)$  that is an isomorphism, where  $R_u(P)$  is the unipotent radical of  $P$ .

A principal  $G$ -sheaf  $(E_G, E, \psi)$  is called *polystable* if either  $(E_G, E, \psi)$  is stable, or there is a pair  $(L(P), E_{L(P)})$  satisfying the following three conditions.

- $L(P) \subset P \subset G$  is a Levi subgroup of some parabolic subgroup  $P$  of  $G$ .
- $E_{L(P)} \subset E_G|_U$  is a holomorphic reduction of the structure group to  $L(P) \subset G$  over the big open subset  $U$  over which  $E_G$  is a holomorphic principal  $G$ -bundle, such that the adjoint vector bundle  $\text{ad}(E_{L(P)})$  extends to  $M$  as a coherent analytic sheaf.
- The principal  $L(P)$ -bundle  $E_{L(P)}$  is stable, and furthermore, for each character  $\chi$  of  $L(P)$  which is trivial on the center of  $G$ , the line bundle  $E_{L(P)}(\chi)$  over  $U$  associated to  $E_{L(P)}$  for the character  $\chi$  is of degree zero.

From the second condition in the above definition of polystability it follows that the direct image  $\iota_*\text{ad}(E_{L(P)})$  is a coherent analytic sheaf on  $M$ , where  $\iota : U \hookrightarrow M$  is the inclusion map [11, p. 364, Théorème 1]. The principal  $L(P)$ -bundle  $E_{L(P)}$  over  $U$  may be considered as a principal  $L(P)$ -sheaf using the torsion-free sheaf  $\iota_*\text{ad}(E_{L(P)})$  on  $M$ . We note that the coherent

analytic sheaf  $\iota_*\text{ad}(E_{L(P)})$  is independent of the choice of  $U$ . Also, the condition that the principal  $L(P)$ -bundle  $E_{L(P)}$  is stable is independent of the choice of the coherent analytic sheaf extending  $\text{ad}(E_{L(P)})$ .

More details on principal  $G$ -sheaves can be found in [4], where they were introduced.

### 3. Einstein–Hermitian connection

Let  $E$  be a torsion-free coherent analytic sheaf on the Kähler manifold  $(M, \omega)$ . Let  $U \subset M$  be the big open subset over which  $E$  is locally free. A smooth Hermitian metric  $h$  on  $E|_U$  is called an *admissible Einstein–Hermitian metric* if the curvature tensor  $\Omega(h)$  of the Chern connection corresponding to  $h$  is locally square integrable on  $M$ , and also

$$\Lambda_\omega \Omega(h) = c \cdot \text{Id}_E \tag{3.1}$$

on  $U$ , where  $c$  is some complex number and  $\Lambda_\omega$  is the adjoint of multiplication of differential forms by the Kähler form  $\omega$ . The main theorem of [2] says that a reflexive sheaf  $E$  on  $M$  admits an admissible Einstein–Hermitian metric if and only if  $E$  is polystable [2, p. 40, Theorem 3].

We will define admissible Einstein–Hermitian connections on principal  $G$ -sheaves.

Fix a maximal compact subgroup

$$K(G) \subset G. \tag{3.2}$$

If  $E'_G$  is a holomorphic principal  $G$ -bundle over a complex manifold, and  $E'_{K(G)} \subset E'_G$  is a  $C^\infty$  reduction of the structure group of  $E'_G$  to the subgroup  $K(G)$ , then the  $G$ -bundle  $E'_G$  has a unique complex connection which is induced by a connection on  $E'_{K(G)}$  (see [1, p. 220; 10, p. 24]). This unique connection will be called the *Chern connection*.

Consider the quotient group  $Z$  of  $G$  defined in (2.2). We note that  $Z$ , which is a product of copies of  $\mathbb{C}^*$ , is a finite quotient of  $\tilde{Z}_0$ , the connected component, containing the identity element, of the center of  $G$ . Let  $E_Z = E_G(Z)$  be the holomorphic principal  $Z$ -bundle over  $U$  constructed in (2.3) from  $E_G$ . By the definition of a principal  $G$ -sheaf, the principal  $Z$ -bundle  $E_Z$  in (2.3) extends to a holomorphic principal  $Z$ -bundle over  $M$ . The holomorphic extension of  $E_Z$  to  $M$  is clearly unique. Since any holomorphic line bundle over  $M$  has a unique Einstein–Hermitian connection, any holomorphic principal  $Z$ -bundle over  $M$  also has a unique Einstein–Hermitian connection.

Let  $(E_G, E, \psi)$  be a principal  $G$ -sheaf on  $M$ . Let  $U \subset M$  be the big open subset over which  $E_G$  is a holomorphic principal  $G$ -bundle (see Remark 2.3(4)). An *Einstein–Hermitian connection* on  $(E_G, E, \psi)$  is a Chern connection  $\nabla$  on the principal  $G$ -bundle  $E_G$  over  $U$  satisfying the following two conditions.

(1) The connection on the principal  $Z$ -bundle  $E_Z := E_G(Z)$  (defined in (2.3)) induced by  $\nabla$  coincides with the unique Einstein–Hermitian connection on the extension of  $E_Z$  to  $M$  (recall that  $E_Z$  extends holomorphically to  $M$ , and the extension has a unique Einstein–Hermitian connection).

(2) The connection on  $E|_U$  induced by  $\nabla$  and  $\psi$  is an admissible Einstein–Hermitian connection on the reflexive sheaf  $E^{\vee\vee}$  (the connection  $\nabla$  induces a connection on the associated vector bundle  $E_G(\mathfrak{g}')$  in (2.4), and using the isomorphism  $\psi$  in (2.4), this induced connection gives a connection on  $E|_U$ ).

**THEOREM 3.1.** *A principal  $G$ -sheaf  $(E_G, E, \psi)$  over a compact connected Kähler manifold  $(M, \omega)$  admits an admissible Einstein–Hermitian connection if and only if  $(E_G, E, \psi)$  is polystable.*

*Proof.* First assume that  $(E_G, E, \psi)$  is polystable. Let  $\text{ad}(E_G) := E_G(\mathfrak{g})$  be the adjoint vector bundle defined over the big open subset  $U \subset M$  over which  $E_G$  is a holomorphic principal  $G$ -bundle. We will prove that  $\text{ad}(E_G)$  is polystable.

If  $(E_G, E, \psi)$  is stable, then imitating the first part of the proof of [1, Theorem 2.6] we derive that  $\text{ad}(E_G)$  is polystable. If  $(E_G, E, \psi)$  is polystable but not stable, then we recall from the definition of polystability that there is a Levi subgroup  $L(P) \subset G$ , of some parabolic subgroup  $P$  of  $G$ , and a holomorphic reduction of the structure group  $E_{L(P)} \subset E_G$  over  $U$  such that  $E_{L(P)}$  is stable, and furthermore, for any character  $\chi$  of  $L(P)$  trivial on the center of  $G$ , the line bundle over  $U$  associated to  $E_{L(P)}$  for  $\chi$  is of degree zero. Therefore, by the previous reasoning, the adjoint vector bundle  $\text{ad}(E_{L(P)})$  is polystable. Using [2, Theorem 3], the reflexive sheaf  $\iota_*\text{ad}(E_{L(P)})$  on  $M$  admits an admissible Einstein–Hermitian connection, where  $\iota$  is the inclusion map of  $U$  in  $M$ . (We recall that  $\iota_*\text{ad}(E_{L(P)})$  is a coherent analytic sheaf on  $M$ .) Following the argument in the first part of the proof of [1, Theorem 2.6] and using [2, p. 49, Proposition 3] it follows that an admissible Einstein–Hermitian connection on  $\iota_*\text{ad}(E_{L(P)})$  is induced by a Chern connection on the holomorphic principal  $L(P)$ -bundle  $E_{L(P)}$ . Let  $\nabla$  be a Chern connection on  $E_{L(P)}$  inducing an admissible Einstein–Hermitian connection on  $\iota_*\text{ad}(E_{L(P)})$ .

We will show that

- $\nabla$  induces a connection on  $E_G$ , and
- the induced connection on  $E_G$  is Einstein–Hermitian.

The proof of the fact that the induced connection on  $E_G$  is Einstein–Hermitian crucially uses the given condition that for any character  $\chi$  of  $L(P)$ , which is trivial on the center of  $G$ , the degree of the line bundle over  $U$  associated to  $E_{L(P)}$  for  $\chi$  is zero.

The associated vector bundle  $E_G(\mathfrak{g}')$  in (2.4) is identified with the vector bundle associated to  $E_{L(P)}$  for the  $L(P)$ -module  $\mathfrak{g}'$ . Therefore,  $\nabla$  induces a connection on  $E_G(\mathfrak{g}')$ . Consider the connection on  $E|_U$  induced by this connection on  $E_G(\mathfrak{g}')$  using the isomorphism  $\psi$  in (2.4). It can be shown that this connection gives an admissible Einstein–Hermitian connection on  $\iota_*(E|_U)$ . Indeed, this follows from the facts that  $\nabla$  is Einstein–Hermitian, and for any character  $\chi$  of  $L(P)$  trivial on the center of  $G$ , the line bundle over  $U$  associated to  $E_{L(P)}$  for  $\chi$  is of degree zero. Consequently,  $\iota_*(E|_U)$  is polystable. Therefore, the vector bundle  $\text{ad}(E_G)$ , which is a direct sum of  $E|_U$  with a trivial vector bundle, is polystable (note that the degree of  $E|_U$  is zero).

Since  $\text{ad}(E_G)$  is polystable, the reflexive sheaf  $\iota_*\text{ad}(E_G)$  admits an admissible Einstein–Hermitian connection [2, p. 40, Theorem 3]. Now using the argument in the first part of the proof of [1, Theorem 3.7] together with [2, p. 49, Proposition 3] we conclude that  $(E_G, E, \psi)$  admits an admissible Einstein–Hermitian connection.

To prove the converse, assume that  $(E_G, E, \psi)$  admits an admissible Einstein–Hermitian connection. Let  $\nabla$  be an admissible Einstein–Hermitian connection on  $(E_G, E, \psi)$ . The connection on  $\text{ad}(E_G)$  over  $U$  induced by  $\nabla$  gives an admissible Einstein–Hermitian connection on the reflexive sheaf  $\iota_*\text{ad}(E_G)$ . (In Remark 2.3(2) we noted that  $\iota_*\text{ad}(E_G)$  is a coherent analytic sheaf on  $M$ .) Therefore,  $\text{ad}(E_G)$  over  $U$  is polystable. From this it is straight forward to deduce that  $(E_G, E, \psi)$  is polystable; see [10, pp. 28–29]. This completes the proof of the theorem.  $\square$

We have the following corollary.

**COROLLARY 3.2.** *Let  $(E_G, E, \psi)$  be a principal  $G$ -sheaf over a compact connected Kähler manifold  $(M, \omega)$  of (complex) dimension  $d$ . Let  $U \subset M$  be a big open subset over which  $E_G$  is a principal  $G$ -bundle. If  $(E_G, E, \psi)$  is polystable, then*

$$(2 \dim_{\mathbb{C}} \mathfrak{g} \cdot c_2(\iota_*\text{ad}(E_G)) - (\dim_{\mathbb{C}} \mathfrak{g} - 1)c_1(\iota_*\text{ad}(E_G))^2)\omega^{d-2} \geq 0,$$

where  $\mathfrak{g}$  is the Lie algebra of  $G$ , and  $\iota : U \hookrightarrow M$  is the inclusion map.

*Proof.* It was shown in the proof of Theorem 3.1 that the reflexive sheaf  $\iota_*\text{ad}(E_G)$  is polystable. Hence the corollary follows from [2, p. 40, Corollary 3].  $\square$

PROPOSITION 3.3. *Let  $(E_G, E, \psi)$  be a stable principal  $G$ -sheaf on  $M$ . Let  $U \subset M$  be the open dense set over which  $E_G$  is a holomorphic principal  $G$ -bundle. Then*

$$H^0(U, \text{ad}(E_G)) = \mathfrak{z}(\mathfrak{g}),$$

where  $\mathfrak{z}(\mathfrak{g})$  is the center of the Lie algebra  $\mathfrak{g}$  of  $G$ .

*Proof.* Let  $\nabla$  be an admissible Einstein–Hermitian connection on the stable principal  $G$ -sheaf  $(E_G, E, \psi)$ , which exists by Theorem 3.1. We recall from the proof of Theorem 3.1 that the connection on  $\text{ad}(E_G)$  induced by  $\nabla$ , which we will henceforth denote by  $\nabla'$ , is in fact an admissible Einstein–Hermitian connection on  $\iota_*\text{ad}(E_G)$ , where  $\iota$  is the inclusion map of  $U$  in  $M$ .

Proposition 3 of [2, p. 49] says that any section

$$s \in H^0(U, \text{ad}(E_G)) \tag{3.3}$$

is flat with respect to  $\nabla'$ . Therefore, any section  $s$  as in (3.3) corresponds to an invariant of  $\mathfrak{g}$  for the adjoint action on  $\mathfrak{g}$  of the closure of the monodromy group for the connection  $\nabla$ . (Here monodromy corresponds to parallel translations along all piecewise smooth paths in  $U$ .) Using [8, Proposition 2.1], this implies that if there is a non-zero section  $s$  as in (3.3), then the closure of the monodromy group for  $\nabla$  is contained in some proper parabolic subgroup of  $G$ .

Let  $P$  be a maximal proper parabolic subgroup of  $G$  that contains the monodromy group for the connection  $\nabla$ . Let

$$E_P \subset E_G|_U$$

be the holomorphic reduction of the structure group given by the connection  $\nabla$  (as  $P$  contains the monodromy group for  $\nabla$ , the connection gives a reduction of the structure group of  $E_G|_U$  to  $P$ ). Therefore,  $\nabla$  induces a connection on  $E_P$ . The connection on  $\text{ad}(E_P)$  induced by this connection on  $E_P$  given by  $\nabla$  will be denoted by  $\nabla''$ .

As the connection  $\nabla'$  on  $\text{ad}(E_G)|_U$  is Einstein–Hermitian, and

$$\text{ad}(E_G)|_U = (\text{ad}(E_G)|_U)^*,$$

in particular,  $c_1(\text{ad}(E_G)|_U) = 0$ , and we conclude that the constant in the Einstein–Hermitian equation (the constant ‘ $c$ ’ in (3.1)) vanishes; see [5, p. 103, Proposition 2.1]. Since the connection  $\nabla''$  is Einstein–Hermitian (as  $\nabla'$  is so), and the constant in the Einstein–Hermitian equation vanishes, we have

$$\text{degree}(\text{ad}(E_P)) = 0 \tag{3.4}$$

(see [5, p. 103, Proposition 2.1]). From (3.4) we have

$$\text{degree}(\sigma^*T_{\text{rel}}) = \text{degree}(\text{ad}(E_G)/\text{ad}(E_P)) = 0,$$

where  $\sigma$  is the section as in (2.9) for the reduction  $E_P \subset E_G|_U$ , and  $\sigma^*T_{\text{rel}}$  is the vector bundle as in (2.10). However, this contradicts the stability condition in (2.10). This completes the proof of the proposition.  $\square$

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Indranil Biswas  
 School of Mathematics  
 Tata Institute of Fundamental Research  
 Homi Bhabha Road  
 Mumbai 400005  
 India  
 indranil@math.tifr.res.in

Tomás L. Gómez  
 IMAFF  
 Consejo Superior de Investigaciones  
 Científicas  
 Serrano 113bis  
 28006 Madrid  
 Spain  
 and  
 Facultad de Matemáticas  
 Universidad Complutense de Madrid  
 28040 Madrid  
 Spain  
 tomas.gomez@mat.csic.es