

## Generalized parabolic sheaves on an integral projective curve

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**Abstract.** We extend the notion of a parabolic vector bundle on a smooth curve to define generalized parabolic sheaves (GPS) on any integral projective curve  $X$ . We construct the moduli spaces  $M(X)$  of GPS of certain type on  $X$ . If  $X$  is obtained by blowing up finitely many nodes in  $Y$  then we show that there is a surjective birational morphism from  $M(X)$  to  $M(Y)$ . In particular, we get partial desingularisations of the moduli of torsion-free sheaves on a nodal curve  $Y$ .

**Keywords.** Generalized parabolic sheaf; projective curve.

### 1. Introduction

In [1] we defined and studied GPBs (generalized parabolic bundles) on an irreducible nonsingular projective curve. The notion easily generalizes to a GPS (= generalized parabolic sheaf) on an integral projective curve  $X$ . A GPS is a torsion-free sheaf  $E$  together with an additional structure called parabolic structure over disjoint effective Cartier divisors  $\{D_j\}_{j \in J}$ ,  $J$  a finite set (see Definitions 1.3, 1.4). In [1] we constructed moduli spaces for GPBs with parabolic structure of certain type over a single divisor (i.e.  $J = \text{singleton}$ ). Here we consider many divisors. Moreover,  $X$  being singular, the method used in [1] fails. Therefore we generalize the method of Simpson [4] for the construction of moduli spaces.

**Theorem 1.** *There exists a (coarse) moduli space  $M_{X,J}(k, d)$  of semistable GPS  $F$  of rank  $k$ , degree  $d$  with parabolic structure over  $D_j$  given by a flag  $\mathcal{F}^i: H^0(F \otimes \mathcal{O}_{D_j}) \supset F_1^j(F) \supset 0, \forall j \in J$  and weights  $(0, \alpha)$ , where  $a_j = \dim F_1^j(F)$  and rational number  $\alpha$  are fixed with  $0 < \alpha < 1$ .  $M_{X,J} = M_{X,J}(k, d)$  is a projective variety of dimension  $k^2(g-1) + 1 + \sum_j a_j(k - \text{degree } D_j - a_j)$ ,  $g = \text{arithmetic genus of } X$ .*

*If  $X$  is nonsingular, then  $M(k, d)$  is normal. If further  $(k, d) = 1, a_j = \text{multiple of } k$  and  $\alpha$  is close to 1 then  $M(k, d)$  is nonsingular and is a fine moduli space.*

**Theorem 2.** *Let  $X$  be the curve (proper transform) obtained by blowing up nodes  $\{y_j\}_{j \in J}$  of an integral projective curve  $Y, \pi_{XY}: X \rightarrow Y$  surjection. For  $j \in J$ , let  $D_j = \pi_{XY}^{-1}(y_j)$ ,  $a_j = k$ . Then there exists a surjective birational morphism  $f_{XY}: M_{X,JUJ'} \rightarrow M_{Y,J'}$ . In particular, if  $J' = \emptyset, X = \text{desingularization of } Y, (k, d) = 1, \alpha \text{ close to } 1$ , then  $M_{X,J}$  is the desingularization of the moduli space  $M_{Y,\emptyset}$  of semistable torsion-free sheaves on  $Y$ . Further, if  $X'$  is a partial desingularization of  $Y$ , obtained by blowing up  $y_j, j \in J', \pi_{X,X'}:$*

$X \rightarrow X', \pi_{X,Y}: X' \rightarrow Y$ , then (with suitable  $D_j$  and parabolic structure as above)  $f_{XY} = f_{X,Y} \circ f_{XX'}$ . Thus  $M_{X',J}$  is a partial desingularization of  $M_{Y,\phi}$ .

There is a close relationship between torsion-free sheaves on a singular curve  $Y$  and GPS on its desingularization. An analogue of Theorem 2 holds if  $\{y_j\}$  are ordinary cusps, and hopefully also in case each  $y_i$  is an ordinary  $n$ -tuple point with linearly independent tangents.

### 1. Preliminaries

Let  $X$  be an integral projective curve defined over an algebraically closed field  $k$ . Let  $\omega_X$  denote the dualising sheaf on  $X$ , it is a torsion-free sheaf. For a torsion-free sheaf  $E$  on  $X$  we denote by  $r(E)$  and  $d(E)$  respectively the rank and degree of  $E$ . Let  $\{D_j\}_{j \in J}$  be finitely many effective divisors on  $X$  such that supports of  $D_j$  are mutually disjoint.

#### DEFINITION 1.1

A quasi-parabolic structure on  $E$  over  $D_j$  is a flag  $\mathcal{F}^j(E)$  of vector subspaces of  $H^0(E \otimes \mathcal{O}_{D_j})$  viz.

$$\mathcal{F}^j(E): F_0^j(E) \equiv H^0(E \otimes \mathcal{O}_{D_j}) \supset F_1^j(E) \supset \dots \supset F_{r_j}^j(E) = 0.$$

#### DEFINITION 1.2

Let  $\mathcal{F}(E) = \{\mathcal{F}^j(E)\}_{j \in J}$ . A QPS is a pair  $(E, \mathcal{F}(E))$  where  $E$  is a torsion-free sheaf and  $\mathcal{F}(E)$  is a quasiparabolic structure on  $\{D_j\}_{j \in J}$  as above.

#### DEFINITION 1.3

A parabolic structure on  $E$  over  $D_j$  is a quasiparabolic structure  $\mathcal{F}^j(E)$  (See. 1.1) together with an  $r_j$ -tuple of real numbers  $\alpha^j = (\alpha_1^j(E), \dots, \alpha_{r_j}^j(E))$ ,  $0 \leq \alpha_1^j(E) < \dots < \alpha_{r_j}^j(E) < 1$ , called weights associated to  $\mathcal{F}^j(E)$ .

Let  $m_i^j = \dim F_{i-1}^j(E) - \dim F_i^j(E)$ ,  $i = 1, \dots, r_j$ . Define  $wt_j(E) = \sum_{i=1}^{r_j} m_i^j \alpha_i^j(E)$ ,  $wt E = \sum_j wt_j(E)$ . Let  $\text{par } d(E) = d(E) + wt(E)$ ,  $\text{par } \mu(E) = \text{par } d(E)/r(E)$ .

#### DEFINITION 1.4

A GPS (generalized parabolic sheaf) is a triple  $(E, \mathcal{F}(E), \alpha)$  with  $\mathcal{F}, \alpha$  as in 1.1 and 1.3.

### 1.5

Let  $K$  be a subsheaf of  $E$  such that the quotient  $E/K$  is torsion-free in a neighbourhood of  $D$ . Let  $h: K \rightarrow E$  be the inclusion map. Since  $D$  is a divisor and  $E/K$  is torsion-free, one has  $\text{Tor}_1^{\mathcal{O}_D}(E/K, \mathcal{O}_D) = 0$  and therefore  $h|_D: K|_D \rightarrow E|_D$  is an injection. Hence  $H^0(K \otimes \mathcal{O}_D)$  can be identified with a subspace  $F_0^j(K)$  of  $F_0^j(E)$ . Define  $F_i^j(K) = F_i^j(K) \cap F_i^j(E)$ . This gives (after omitting repetitions) a flag  $\mathcal{F}^j(K)$ ,  $j \in J$ . The set  $\{\alpha_i^j(K)\}$  of weights for  $K$  is a subset of  $\{\alpha_i^j(E)\}$  defined as follows. One has  $F_i^j(K) =$

$F_0^i(E) \cap F_0^j(K)$  for some  $i$ , let  $i_0$  be largest such  $i$ . Then  $\alpha_0^j(K) := \alpha_{i_0}^j(E)$ . Thus a subsheaf of a GPS with torsion-free quotient gets a natural structure of a GPS.

#### DEFINITION 1.6

A GPS  $(E, \mathcal{F}(E), \alpha)$  is semistable (respectively stable) if for every (resp. proper) subsheaf  $K$  of  $E$  with torsion-free quotient, one has  $\text{par } \mu(K) \leq (\text{resp. } <) \text{par } \mu(E)$ .

*Remarks 1.7.* (1) If  $E/K$  is not torsion-free, then we may still define  $F_0^i(K)$  = image of  $H^0(K \otimes \mathcal{O}_D)$  under  $H^0(h|_D)$  and define  $\mathcal{F}^j(K)$  by intersecting  $F_0^j(K)$  with the flag  $\mathcal{F}^j(E)$ . Thus we can talk of  $\text{wt}K$ . If  $M$  is the largest subsheaf of  $E$  containing  $K$ , with  $E/M$  torsion-free and  $r(K) = r(M)$  then  $\text{par } \mu(K) \leq \text{par } \mu(M)$ . Then the condition of 1.6 is satisfied for every subsheaf  $K$  of  $E$  if  $(E, \mathcal{F}(E), \alpha)$  is a semistable (resp. stable) GPS. (2) There exists a natural parabolic structure on a quotient sheaf also. Semistability and stability can also be defined equivalently using quotients instead of subsheaves. (See 3.4, 3.5 [1]).

*Assumptions 1.8.* In this paper we want to study moduli spaces of GPS  $(F, \mathcal{F}(F), \alpha)$  of the form  $\mathcal{F}^j(F): F_0^j(F) \supset F_1^j(F) \supset 0, \alpha^j = (0, \alpha), 0 < \alpha < 1$ . We also assume that for all  $j$  support of  $D_j$  is contained in the set of nonsingular points of  $X$ . Henceforth we restrict ourselves to bundles of the above type. We also assume that the base field is that of complex numbers.

#### DEFINITION 1.9

A morphism of GPS is a morphism of torsion-free sheaves  $f: F \rightarrow F'$  such that  $(f|_{D_j})(F_1^j(F)) \subseteq F_1^j(F')$  for all  $j$ .

*Lemma 1.10.* Let  $(F, \mathcal{F}(F), \alpha)$  be a semistable GPS. Then there exists an integer  $n_1$  dependent only on  $g$  (= arithmetic genus of  $X$ ) and degree  $D_j, j \in J$  such that if  $\chi(F) = n > n_1$ , then

- (1)  $H^1(F) = 0, C^n \approx H^0(F)$ ,
- (2)  $F$  is generated by global sections,
- (3)  $H^0(F) \rightarrow H^0(F \otimes \mathcal{O}_{D_j})$  is onto.

*Proof.* This follows from  $H^1(F') \approx H^0(X, \text{Hom}(F', \omega_X))^*$  and the latter is zero if  $p\mu(F')$  is sufficiently large (depending on  $\chi(F'), g$ ). For (3) we need to take  $F' = F(-D_j)$ . (For details, see Lemma 3.7 [1]).

*Lemma 1.11.* A morphism  $f$  of semistable GPS of same  $\text{par } \mu$  is of constant rank. If the GPS have the same rank and one of them is stable, then either  $f = 0$  or  $f$  is an isomorphism.

*Proof.* This can be proved similarly as in Lemma 3.8 [1].

#### COROLLARY 1.12.

A stable GPS is simple i.e. its only endomorphisms are homotheties.

## PROPOSITION 1.13.

The category  $S$  of all semistable GPS on  $X$  (of type described in 1.18) with a fixed  $\text{par } \mu = m$  is an abelian category. Its simple objects are the stable GPS.

*Proof.* This follows from 1.11 and 1.12.

## DEFINITION 1.14

In view of the above proposition, a semistable GPS  $(E, \mathcal{F}, \alpha)$  in  $S$  has a filtration with successive quotients stable GPS with  $\text{par } \mu = m$ . We denote by  $\text{gr}(E, \mathcal{F}, \alpha)$  the associated graded object for the filtration. Up to isomorphism this object is independent of the choice of stable filtration. Define an equivalence relation on  $S$  by  $(E, \mathcal{F}, \alpha)$  is equivalent to  $(E', \mathcal{F}', \alpha)$  iff  $\text{gr}(E, \mathcal{F}, \alpha) \approx \text{gr}(E', \mathcal{F}', \alpha)$ .

*Remark 1.5.* We may (for convenience) use the terminology 'a GPS  $E$ ' when there is no confusion about parabolic structure possible.

## 2. Construction of the moduli space

**2.1** Consider semistable GPS  $F$  of type described in 1.8 with rank  $k$ , Euler characteristic  $n > n_1$  fixed. Let  $P(m)$  be the Hilbert polynomial of  $F$ . Let  $Q = Q(\mathcal{O}^n, P(m))$  be the quot scheme of coherent sheaves over  $X$  which are quotients of  $\mathcal{O}^n$  and have Hilbert polynomial equal to  $P$ . Let  $\mathcal{F}$  denote the universal quotient sheaf on  $Q \times X$ . Let  $R$  be the open subscheme of  $Q$  consisting of points  $q \in Q$  such that  $\mathcal{F}_q = \mathcal{F}|_{q \times X}$  is torsion-free and the map  $H^0(\mathcal{O}^n) \rightarrow H^0(\mathcal{F}_q)$  is an isomorphism. It follows that  $H^1(\mathcal{F}_q) = 0$  for  $q \in R$ . For every  $j$ , let  $p_j: R \times D_j \rightarrow R$  be the canonical map and define  $V_j = (p_j^*)(\mathcal{F}|_{D_j})$ . Let  $G(V_j)$  be the flag bundle over  $R$  of the type determined by the parabolic structure over  $D_j$ . It is a relative Grassmannian bundle of quotients of rank  $q_j$ . Let  $\tilde{R}$  denote the fibre product of  $\{G(V_j)\}_j$  over  $R$ . Let  $\tilde{R}^s$  (resp.  $\tilde{R}^{ss}$ ) denote the subset of  $\tilde{R}$  corresponding to stable (resp. semistable) GPS. Similarly we can define  $\tilde{Q}$ ,  $\tilde{Q}^s$  and  $\tilde{Q}^{ss}$ .

The quot scheme  $Q$  has a natural embedding in a Grassmannian. For  $m \geq M_1(n)$ , the natural map  $H^0(\mathcal{O}_X^n(m)) \rightarrow H^0(\mathcal{F}_q(m))$  is surjective for all  $q \in Q$ . Let  $W = H^0(\mathcal{O}_X(m))$ , then  $H^0(\mathcal{F}_q(m))$  is a quotient of  $\mathbb{C}^n \otimes W$  of dimension  $P(m)$  for  $m \geq M_1$ . This gives a closed embedding  $Q \rightarrow \text{Grass}_{P(m)}(\mathbb{C}^n \otimes W)$ . A point  $q$  of  $\tilde{Q}$  gives for each  $j$ , a  $q_j$ -dimensional quotient of  $\mathbb{C}^n$ . Hence we get an embedding  $\tilde{Q} \rightarrow Z = \text{Grass}_{P(m)}(\mathbb{C}^n \otimes W) \times (\times_j \text{Grass}_{q_j}(\mathbb{C}^n))$ . This embedding is equivariant under the action of  $\text{PGL}(n)$ . The  $\text{PGL}(n)$  action on  $\tilde{Q}$  and  $\mathbb{C}^n$  is the natural one, while on  $W$  it acts trivially. On  $Z$  we take the polarization

$$a(n - \alpha \sum_j q_j)/km \times a\alpha \times \dots \times a\alpha,$$

where 1 denotes  $\mathcal{O}(1)$  and  $a$  is a sufficiently big integer to make all the numbers above integers,  $n > \sum_j q_j$ .

We denote a point of  $Z$  by  $(P, (P_j)_j)$  where  $P: \mathbb{C}^n \otimes W \rightarrow U$ ,  $P_j: \mathbb{C}^n \rightarrow U_j$  are surjective maps,  $\dim U = P(m)$ ,  $\dim U_j = q_j$  for all  $j$ . Similarly a point of  $\tilde{Q}$  is denoted by  $(p, (p_j)_j)$

where  $p: \mathcal{O}^n \rightarrow F$ ,  $p_j: H^0(F|D_j) \rightarrow Q_j^F$  are surjections,  $\dim Q_j^F = q_j \forall j$ . For a subsheaf  $E$  of  $F$ , we define  $Q_j^E = p_j(H^0(E|D_j))$ . For a quotient  $q: F \rightarrow G$ , define  $Q_j^G = H^0(G|D_j)/q(\text{Ker } p_j)$ . For simplicity of notation, we denote  $\dim(Q_j^E)$  ( $\dim(Q_j^G)$ ) by  $q_j(E)$  (by  $q_j(G)$ ). In particular,  $q_j = q_j(F)$ .

### PROPOSITION 2.2

For a nontrivial proper subspace  $H \subset C^n$  of dimension  $h$  define  $\sigma_H$  by

$$\begin{aligned} \sigma_H = & \left( \left( n - \alpha \sum_j q_j \right) / km \right) (hP(m) - n \dim P(H \otimes W)) \\ & + \alpha \sum_j (q_j h - n \dim P_j(H)). \end{aligned}$$

Then a point  $(P, (P_j))$  of  $Z$  is semistable (resp. stable) for  $PGL(n)$ -action (with the above polarization) if and only if  $\sigma_H \leq 0$  (respectively  $< 0$ ).

*Proof.* See [3, Proposition 5.1.1] and [2, Proposition 4.3].

### DEFINITION 2.3

Let  $F$  be a torsion-free sheaf of rank  $k$  on  $X$ . For every subsheaf  $E$  of  $F$  and  $m \geq 0$  integer define

$$\begin{aligned} \chi_E(m) = & \left( \left( n - \alpha \sum_j q_j \right) / km \right) (\chi(E)P(m) - n\chi(E(m))) \\ & + \alpha \sum_j (q_j \chi(E) - nq_j(E)), \\ \sigma_E(m) = & \left( \left( n - \alpha \sum_j q_j \right) / km \right) (h^0(E)P(m) - n\chi(E(m))) \\ & + \alpha \sum_j (q_j h^0(E) - nq_j(E)). \end{aligned}$$

**Lemma 2.4.** Let  $F$  be a torsion-free sheaf corresponding to a point  $(p, (p_j)_j)$  of  $\tilde{Q}$ . Then  $F$  is semistable (respectively stable) if and only if for every subsheaf  $E$  of  $F$  we have  $\chi_E = \chi_E(m) \leq$  (resp.  $< 0$ ) for any integer  $m$ .

*Proof.* Let  $E$  be a subsheaf of  $F$  with  $F/E$  torsion-free. Substituting  $P(m) = km + n$ ,  $\chi(E(m)) = \chi(E) + mr(E)$  ( $r(E) = \text{rank of } E$ ) in the expression for  $\chi_E$  and simplifying one gets

$$\chi_E(m) = nr(E) \left[ \chi(E)/r(E) - n/k + \alpha \sum_j q_j/k - \alpha \sum_j q_j(E)/r(E) \right].$$

By definition  $F$  is semistable (respectively stable) if and only if the expression in the square bracket is  $\leq 0$  (resp.  $< 0$ ).

Suppose now that  $F/E$  is not torsion-free. Then there exists  $\tilde{E}, E \subset \tilde{E}$  such that  $F/\tilde{E}$  is torsion-free,  $\text{rank } E = \text{rank } \tilde{E}$ . Let  $\tilde{E}/E = \tau = \tilde{\tau} + \sum_j \tau_j$ , where  $\tau_j|_{D_j} = \tau_j|_{D_j}$ . By the above argument,  $\chi_{\tilde{E}}(m) \leq 0$ . We claim that  $\chi_E(m) < \chi_{\tilde{E}}(m)$ . Using  $\chi(\tilde{E}(m)) - \chi(E(m)) = h^0(\tau)$  for  $m \geq 0$ ,  $q_j(\tilde{E}) - q_j(E) \leq h^0(\tau_j)$  we get  $\chi_E(m) - \chi_{\tilde{E}}(m) = n(\alpha \sum_j h^0(\tau_j) - h^0(\tau)) < 0$  since  $\alpha < 1$ .

**Lemma 2.5.** *There exists an integer  $M_2(n) \geq M_1(n)$  such that if  $(p, (p_j)) \in \tilde{Q}$  is a point satisfying the following two conditions, then the image of the point in  $Z$  is semistable (resp. stable).*

- (1) *The canonical map  $C^n \rightarrow H^0(F)$  is an isomorphism.*
- (2) *For every subsheaf  $E$  of  $F$  generated by global sections,  $\sigma_E(m) \leq 0$  (resp.  $< 0$ ) for  $m \geq M_2(n)$ .*

*Proof.* Let  $H \subset C^n$  be a subspace. Let  $E$  be the subsheaf of  $F$  generated by  $H$  and let  $K$  be the kernel of the surjection  $H \otimes \mathcal{O}_X \rightarrow E$ . As  $H$  varies over subspaces of  $C^n$  and  $F$  varies over  $Q$ , the sheaves  $E$  and hence  $K$  form a bounded family. Hence there exists  $M_2(n)$  such that for  $m \geq M_2(n)$ ,  $h^1(E(-D_j)(m)) = 0$ ,  $h^1(E(m)) = 0$  and  $h^1(K(m)) = 0$  for all such  $E$  and  $K$ . It follows that  $\dim P(H \otimes W) = \chi(E(m))$ . Clearly  $\dim H \leq h^0(E)$ ,  $\dim P_j(H) = q_j(E)$ . Therefore  $\sigma_H \leq \sigma_E(m) \leq 0$  (resp.  $< 0$ ). Thus the image  $(P, (P_j))$  of  $(p, (p_j))$  is semistable (resp. stable).

**Lemma 2.6.** *One can find  $M_3(n) \geq M_2(n)$  such that for  $m \geq M_3(n)$  the following holds. If  $(p, (p_j)) \in \tilde{Q}$  is a point whose image in  $Z$  is semistable then (i)  $C^n \rightarrow H^0(F)$  is injective and (ii) for all torsion-free quotients  $F \rightarrow G \rightarrow 0$ , one has  $\tau_G \leq 0$ . Here  $\tau_G$  is defined by*

$$\tau_G = \left( \frac{n - \alpha \sum_j q_j}{k} \right) \left( -kh^0(G) + nr(G) \right) + \alpha \sum_j (nq_j(G) - q_j h^0(G)).$$

*Proof.* Note that if  $H_0$  is the kernel of the map  $C^n \rightarrow H^0(F)$ , then  $\sigma_{H_0} > 0$  contradicting the semistability of the image point in  $Z$  (Proposition 2.2). Hence (i) follows. For (ii), suppose that there exists a torsion-free quotient  $G$  with  $\tau_G > 0$ . Then  $h^0(G) < n$ , for  $h^0(G) \geq n$  implies  $\tau_G \leq 0$ . Let  $H$  be the kernel of the composite  $C^n \rightarrow H^0(F) \rightarrow H^0(G)$ . Let  $E$  denote the subsheaf of  $F$  generated by  $H$ . Clearly we have  $r(E) + r(G) \leq k$ ,  $h^0(G) \geq n - h$ ,  $q_j(G) \leq q_j - q_j(E)$ ,  $\dim P_j(H) \leq q_j(E)$ . Substituting these in the expression for  $\tau_G$  one gets

$$\left( \left( n - \alpha \sum_j q_j \right) / k \right) (kh - nr(E)) + \alpha \sum_j (q_j h - nq_j(E)) > 0.$$

Since  $H$  and hence  $E$  runs over a bounded family we can find  $M_3(n) \geq M_2(n)$  such that for  $m \geq M_3(n)$ , the term  $kh - nr(E)$  can be replaced by  $(hP(m) - n\chi(E(m)))/m = (hP(m) - n \dim P(H \otimes W))/m$ . Thus we get  $\sigma_H > 0$  contradicting the semistability of the image point in  $Z$ .

**Lemma 2.7.** *There exists  $n_2 \geq n_1$  such that for all semistable GPS  $F$  with Euler characteristic  $n \geq n_2$  the following holds*

(1) If  $E \subset F$  then  $\tau_E \leq 0$  where

$$\tau_E = \left( \left( n - \alpha \sum_j q_j \right) / k \right) (kh^0(E) - nr(E)) + \alpha \sum_j (q_j h^0(E) - nq_j(E)).$$

(2) If  $\tau_E = 0$  for some  $E \subset F$ , then  $\chi_E = 0$ .

(3) If  $\tau_E < 0$ , then  $\sigma_E(m) < 0$  for  $m \geq M_4(n)$ . If  $\tau_E = 0$ , then  $\sigma_E(m) = 0$  for  $m \geq M_4(n)$ .

*Proof.* (1) Let  $0 = E_0 \subset E_1 \subset \dots \subset E_r = E$  be the Harder-Narasimhan filtration of  $E$  considered as a torsion-free sheaf only, ignoring the parabolic structure. Let  $Q_i = E_i/E_{i-1}$ ,  $i = 1, \dots, r$ ,  $\mu_i = \text{degree } Q_i / \text{rank } Q_i$ ,  $v = \inf \mu_i$ . One has  $\mu_i > \mu_{i+1} \forall i < r$  (by definition),  $h^0(E) \leq \sum_j h^0(Q_i)$  (by induction). Using Corollary 2.5 [4], this implies  $h^0(E) \leq \sum^i r(Q_i) (\mu_i + B_1)$ ,  $B_i$  constant. Since  $Q_1$  is a subsheaf of a semistable GPS  $F$  we have  $\mu_i \leq \mu_1 \leq \mu(F) + w \forall i$ ,  $w = (wtF)/k$ . Since  $n \geq n_1$ ,  $\sum_i r(Q_i) = r(E)$ ,  $v = \mu_{i_0}$  we get  $h^0(E) \leq \sum_{i \neq i_0} r(Q_i) (\mu(F) + w + B_1) + (v + B_1) + (r(Q_{i_0}) - 1)(\mu(F) + w + B_1) \leq v + (r(E) - 1) \cdot n/k + B_2$ ,  $B_2$  constant. Hence  $\tau_E \leq n(v + B_2 - n/k)$ . Therefore if  $v \leq n/k - B_2$  (resp.  $<$ ) then  $\tau_E \leq 0$  (resp.  $< 0$ ). We can choose  $n_2$  large enough so that for  $n \geq n_2$ , we have  $h^1(Q(m)) = 0$  for all  $m \geq 0$  and for all stable torsion-free sheaves  $Q$  of rank  $\leq k$  and  $\mu \geq n/k - B_2$ . Hence if  $v \geq n/k - B_2$  for  $E$ , then  $h^1(Q_i(m)) = 0 \forall i$ , therefore  $h^1(E(m)) = 0$  and  $\chi(E(m)) = h^0(E(m))$  for  $m \geq 0$ . Then  $\tau_E = \chi_E$  and  $\chi_E \leq 0$  by Lemma 2.4. Thus  $\tau_E \leq 0$  for all  $E \subset F$ .

(2) If  $\tau_E = 0$ , then by the above argument one must have  $v \geq n/k - B_2$ ,  $\chi(E) = h^0(E)$  and  $\tau_E = \chi_E$ . Thus  $\chi_E = \sigma_E(m) = 0$ .

(3) Note that  $\tau_E = \lim_{m \rightarrow \infty} \sigma_E(m)$ . Hence given  $\varepsilon > 0$ ,  $\exists M_4(n)$  such that for  $m \geq M_4(n)$ ,  $\sigma_E(m) < \tau_E + \varepsilon$ . If  $\tau_E < 0$  then choosing  $\varepsilon$  such that  $\tau_E + \varepsilon < 0$ , we get  $\sigma_E(m) < 0$  for  $m \geq M_4(n)$ .

**Theorem 1.** (I) Let  $X$  be an integral projective curve of arithmetic genus  $g$  over  $\mathbb{C}$ . Let  $\{D_j\}_{j \in J}$  be finitely many effective Cartier divisors in  $X$  such that the support of  $D_j$  does not intersect the set of singular points of  $X$  for all  $j$ , supports of  $D_j$  are mutually disjoint and degree  $D_j = d_j$ ,  $j \in J$ . Let  $S$  denote the set of equivalence classes of semistable GPS  $F$  of rank  $k$  degree  $d$  with parabolic structure over  $D_j$  given by  $F_o^j(F) = H^0(F \otimes \mathcal{O}_{D_j}) \supset F_1^j(F) \supset 0$ , co-dimension of  $F_1^j(F)$  in  $F_o^j(F)$  equal to  $q_j$  (fixed) for  $j \in J$  and weights  $(0, \alpha)$ ,  $0 < \alpha < 1$ . Then  $S$  has the structure of a projective variety  $M(k, d)$  of dimension  $k^2(g-1) + 1 + \sum_j q_j(kd_j - q_j)$ .

(II) If  $X$  is nonsingular, then  $M(k, d)$  is normal. If further  $(k, d) = 1$ ,  $q_j$  is a multiple of  $k$  and  $\alpha$  is sufficiently near 1 then  $M(k, d)$  is nonsingular and it is a fine moduli space.

*Proof.* Let  $w_X$  denote the dualising sheaf of  $X$ , it is a torsion-free sheaf. Fix  $n > \max(n_2, kh^0(w_X) + \alpha \sum_j q_j)$  and  $m \geq M_4(n)$ . We keep the notations of 2.1. We shall show that a geometric invariant theoretic quotient of  $\tilde{R}$  modulo  $\text{PGL}(n)$  exists. Our required moduli space  $M(k, d)$  will be this quotient.  $\tilde{R}$  is an open subset of  $\tilde{Q}$ ,  $\tilde{Q}$  is embedded in  $Z$  (with  $m, n$  as above) by a  $\text{PGL}(n)$  equivariant embedding. We first claim that if  $(p, p_j) \in R^{ss}$  (resp.  $R^s$ ) then its image belongs to  $Z^{ss}$  (resp.  $Z^s$ ). This follows immediately from Lemma 2.7 and Lemma 2.5. Let  $F$  correspond to a point in  $\tilde{R}^{ss} - \tilde{R}^s$ . Then  $F$  has a subsheaf  $E$  which is a torsion-free stable GPS with  $\text{par } \mu(E) = \text{par } \mu(F)$  i.e.  $\chi_E = 0$ . For such an  $E$ ,  $\sigma_{H^0(E)} = \chi_E$  (Lemma 1.10), hence the image in  $Z$  belongs to  $Z^{ss} - Z^s$ .

Conversely we shall now check that if a point in  $\tilde{Q}$  is such that its image belongs to  $Z^{ss}$ , then the point is in  $\tilde{R}^{ss}$  i.e. if  $F$  is the corresponding quotient, then  $F$  is torsion-free, the map  $C^n \rightarrow H^0(F)$  is an isomorphism and  $F$  is a semistable GPS. Lemma 2.6 implies that  $C^n \rightarrow H^0(F)$  is injective and for every rank 1 torsion-free quotient  $G$  of  $F$ ,  $n \leq kh^0(G) + \alpha \sum_j q_j$  (as  $\tau_G \leq 0$ ). We claim that  $H^1(F) = 0$ . Otherwise there exists a nontrivial homomorphism  $F \rightarrow w_X$ . If  $G$  is the sheaf image of this morphism,  $h^0(w_X) \geq h^0(G)$  and hence  $n \leq kh^0(w_X) + \alpha \sum_j q_j$  contradicting the assumptions on  $n$ . Thus  $h^0(F) = n$  and  $C^n \rightarrow H^0(F)$  is an isomorphism. Let  $\tau$  be the torsion subsheaf of  $F$ ,  $\tau = \tau_o + \sum_j \tau_j$ , support  $\tau_j \subseteq \text{supp } D_j$ ,  $(\text{supp } \tau_o) \cap (\cup \text{supp } D_j) = \emptyset$ . Taking  $H = H^0(\tau_o), H^0(\tau_j), \sigma_H \leq 0$  gives  $H^0(\tau_o) = 0, H^0(\tau_j) = 0$ . Here  $\alpha < 1$  is crucial since  $\sigma_H = n(h - \alpha \dim P_j(H))$ . Thus  $H^0(\tau) = H^0(\tau_o) + \sum_j H^0(\tau_j) = 0$  i.e.  $\tau = 0$ .

Suppose that  $F$  is not semistable. Then there exists a subsheaf  $E$  of  $F$  such that  $E$  is a semistable GPS with  $\text{par } \mu(E) > \text{par } \mu(F)$  i.e.  $\chi_E > 0$ . By Lemma 1.10,  $\sigma_{H^0(E)} = \chi_E > 0$  contradicting the semistability of the image point in  $Z$ .

It follows that the (geometric invariant theoretic) quotient  $M(k, d)$  of  $\tilde{R} \text{ mod } \text{PGL}(n)$  is the same as that of  $\tilde{Q}$  and it exists if and only if the quotient of image of  $\tilde{Q}$  in  $Z$  exists. It is well known that the latter exists. The quotient  $M(k, d)$  is a projective variety as  $\tilde{Q}$  is so. It is easy to check that the points of  $M(k, d)$  correspond to equivalence classes of semistable GPS (3.15, [1]; [4]).

(2) If  $X$  is nonsingular  $\tilde{R}$  is known to be nonsingular and hence  $M(k, d)$  is normal. If  $(k, d) = 1$ ,  $\alpha$  is sufficiently near to 1 and  $q_j$  is an integral multiple of  $k$ , then GPS is semistable if and only if it is stable by Lemma 3.3 (or Lemma 3.17, [1]). The nonsingularity of  $\tilde{R}$  together with corollary 1.12 then imply that  $M(k, d)$  is nonsingular. One can show that  $M(k, d)$  is then a fine moduli space, by proving the universal bundle on  $R$  descends to a universal bundle on  $M(k, d)$  after twisting by a line bundle (see [1], Proposition 3.18).

### 3. Application

3.1. Let  $Y$  be an integral projective curve with only singularities ordinary double points  $\{y_j\}_{j \in J}$ . Let  $J' \subseteq J$  be a subset. Let  $X$  be the curve (proper transform) obtained by blowing up  $\{y_j\}_{j \in J'}$ . Let  $\pi_{XY}: X \rightarrow Y$  be the natural morphism. Let  $D_j$  denote the divisor  $\pi_{XY}^{-1}(y_j), j \in J'$ . All the QPS and GPS that we consider are assumed to be of the type described in 1.8. We also assume that  $\dim F_1^j(F) = r(F)$  for  $j \in J'$ .

#### DEFINITION 3.2

Let  $\alpha$  be a real number in  $[0, 1]$ . A QPS  $(F, \mathcal{F})$  on  $X$  is  $\alpha$ -stable (resp.  $\alpha$ -semistable) if for any proper subsheaf  $K$  of  $F$  with torsion-free quotient, one has

$$(d(K) + \alpha \sum_{j \in J'} \dim F_1^j(K)) / r(K) < (\leq) (d(F) + \alpha \sum_{j \in J'} r(F)) / r(F).$$

*Remark.* For  $0 < \alpha < 1$ , the above condition is same as that for stability (resp. semistability) of the GPS  $(F, \mathcal{F}, \alpha)$  with  $\alpha^j = (0, \alpha), j \in J'$ .



**Lemma 3.3.** (1) Suppose that  $1 - 1/J' r(F)(r(F) - 1) < \alpha < 1$ . Then  $(F, \mathcal{F})$  is  $\alpha$ -semistable implies that it is 1-semistable. If the QPS is 1-stable then it is also  $\alpha$ -stable.

(2) Assume that  $(r(F), d(F)) = 1$ . Then the QPS is 1-stable if and only if it is 1-semistable. Thus under the assumptions of (1) and (2) 1-stability,  $\alpha$ -stability and  $\alpha$ -semistability are all equivalent.

*Proof.* This is a straightforward generalization of Lemma 3.17 [1].

#### PROPOSITION 3.4.

Let  $Q$  denote the set of isomorphism classes of QPS  $(F, \mathcal{F})$  on  $X$  of given type (3.1). Let  $r(F) = k, d(F) = d$  be fixed. Let  $S$  be the set of isomorphism classes of torsion-free sheaves of rank  $k$  and degree  $d$  on  $Y$ . Let  $S_k$  denote the subset of  $S$  corresponding to sheaves which are locally free at  $y_j$  for  $j \in J'$ . Then (a) there is a surjective map  $f_{XY}: Q \rightarrow S$  such that its restriction to  $f_{XY}^{-1}(S_k)$  is a bijection onto  $S_k$ . (b)  $(F, \mathcal{F})$  is 1-stable (1-semistable) iff its image under  $f_{XY}$  is stable (semistable).

*Proof.* Let  $D_j = x_j + z_j$ . Then  $((\pi_{XY})_* F) \otimes k(y_j) = (k(x_j) \oplus k(z_j))^{r(F)} = H^0(F \otimes \mathcal{O}_{D_j}) \cong F_o^j(F)$ . Thus we have a surjective  $\mathcal{O}_Y$ -linear map  $(\pi_{XY})_* F \rightarrow F_o^j(F)$ . Let  $F'$  be the kernel of the composite of this map with the surjection  $F_o^j(F) \rightarrow F_o^j(F)/F_1^j(F)$ . Since  $d(F) = \chi(F) - r(F)\chi(\mathcal{O}_X), d(F') = \chi(F') - r(F')\chi(\mathcal{O}_Y), \chi(F) = \chi((\pi_{XY})_* F), \chi(\mathcal{O}_Y) = \chi(\mathcal{O}_X) - J'$ , it follows that if  $(F, \mathcal{F}) \in Q$  then  $F' \in S$ . We define  $f_{XY}(F, \mathcal{F}) = F'$ . If  $F' \in S_k$  then  $F = \pi_{XY}^* F'$  and  $F_1^j(F) = F' \otimes k(y_j) \subset F_o^j(F)$  gives the bijection. Surjectivity of  $f$  can be proved as in 4.5 [1] while the last assertion follows exactly as in 4.2 [1].

**Theorem 2.** (I) Let  $M_{X,J'}$  be the moduli space of semistable GPS on  $X$  of type described in 3.1. Assume that  $\alpha$  satisfies the conditions of Lemma 3.3(1). Then there is a surjective birational morphism  $f_{XY}: M_{X,J'} \rightarrow M_{Y,\phi}$  (= moduli space of torsion-free sheaves on  $Y$ ).

(II) Let  $Z$  be the desingularization of  $Y$ . Then the morphism  $f_{ZY}: M_{Z,J} \rightarrow M_{Y,\phi}$  factors as  $f_{XY} \circ f_{ZX}$ . If the conditions of Lemma 3.3 are satisfied then  $M_{Z,J}$  is a desingularization of  $M_{Y,\phi}$  and  $M_{X,J'} (J' \subset J)$  are 'partial desingularizations'.

*Proof.* (I) This follows easily from Lemma 3.3 and Proposition 3.4 since it is easy to globalise the construction (of  $f_{XY}$ ) to families of GPS. (See Theorem 2 [1] for details).

(II) Let  $f_{ZX}(F, \mathcal{F}) = F'$ . Notice that  $\pi_{ZX}$  is an isomorphism outside  $J - J'$ . Hence  $F'$  has a parabolic structure  $\mathcal{F}'$  over  $D_j, j \in J'$  viz.  $F_1^j(F') \approx F_o^j(F')$  for  $i = 0, 1, j \in J'$ . Thus  $f_{ZX}(F, \mathcal{F}) = (F', \mathcal{F}') \in M_{X,J'}$ . Let  $f_{XY}(F', \mathcal{F}') = F''$ . Then we have the exact sequences (defining  $F', \mathcal{F}''$ )

$$0 \rightarrow F' \rightarrow (\pi_{ZX})_* F \rightarrow \bigoplus_{j \in J'} F_o^j(F)/F_1^j(F) \rightarrow 0$$

$$0 \rightarrow F'' \rightarrow (\pi_{XY})_* F' \rightarrow \bigoplus_{j \in J'} F_o^j(F')/F_1^j(F') \rightarrow 0.$$

Using these and  $\pi_{ZY} = \pi_{XY}\pi_{ZX}$ , one gets

$$0 \rightarrow F'' \rightarrow (\pi_{ZY})_* F \rightarrow \bigoplus_{j \in J} F_o^j(F)/F_1^j(F) \rightarrow 0$$

proving  $f_{ZY} = f_{XY} \circ f_{ZX}$ . The last assertion follows from Theorem 1 (II).

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