

Detection of marine aerosols with IRS P4-Ocean Colour Monitor

INDRANI DAS*, M MOHAN* and K KRISHNAMOORTHY**

*Marine Science and Coastal Environment Division, Space Applications Centre (ISRO), Ahmedabad 380 015, India

e-mail: dindrani@rediffmail.com mannilmohan@yahoo.com

**Space Physics Laboratory, Vikram Sarabhai Space Centre (ISRO), Trivandrum 695 022, India.

The atmospheric correction bands 7 and 8 (765nm and 865nm respectively) of the Indian Remote Sensing Satellite IRS P4-OCM (Ocean Colour Monitor) can be used for deriving aerosol optical depth (AOD) over the oceans. A retrieval algorithm has been developed which computes the AOD using band 7 data by treating the ocean surface as a dark background after removing the Rayleigh path radiance in the sensor-detected radiances. This algorithm has been used to detect marine aerosol distributions at different coastal and offshore locations around India.

A comparison between OCM derived AOD and the NOAA operational AOD shows a correlation ~ 0.92 while that between OCM derived AOD and the ground-based sun photometer measurements near the coast of Trivandrum shows a correlation of ~ 0.90 .

1. Introduction

Aerosols are responsible for a number of physical effects in the atmosphere, the most important being modifying the atmospheric radiation balance (Coakley *et al* 1983) by reflecting away the incoming solar radiation (albedo effect) and retaining the outgoing terrestrial radiation (greenhouse effect). Aerosols also reduce visibility, cause air pollution and influence the cloud formation microphysics by acting as condensation nuclei.

Aerosols play a major role in the radiative coupling of land, ocean and the atmosphere (Charlson *et al* 1987). In recent years, there have been attempts to assess the impact of aerosols on the earth's climate through numerical prediction experiments. Some of these studies have indicated that on a global scale, the aerosol albedo effect can offset the greenhouse forcing by about 20–40% [WMO 1996; Penner *et al* (IPCC) 2001]. Whereas numerical experiments on regional scales have shown the aerosols to reduce vertical mixing in the tropical atmosphere (through modifica-

tion of the vertical temperature profile), narrow the ITCZ (Inter Tropical Convergence Zone) by slowing down the trade winds and also weaken the monsoon by reducing the land-sea temperature contrast (Galindo 1984; Kaufman *et al* 1997), such numerical experiments though highly instructive, have not so far shown among themselves quantitative agreements in their conclusions due to the lack of realistic synoptic scale information on the aerosol parameters introduced into the models. For instance, there is no global information on the spatial distributions of aerosol optical depths, single scattering albedo, scattering phase function, particle size distribution, vertical profile, sources and sinks, etc. Whatever information is available currently on aerosols is obtained through land-based observations (sun photometers, Anderson impactors, lidars, etc.) and very rarely through balloon borne, ship borne, aircraft borne and rocket borne observations. These observations are of limited spatial and temporal extent and are highly inadequate for introduction into climate prediction models. Absence of aerosol data is particularly

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severe over the oceans which cover nearly $\sim 71\%$ of the earth's surface.

Satellites, with their capability for large area coverage and short term repeatitivity, are the most ideal means for acquiring global information on aerosols (Joseph 1984; Kaufman *et al* 1997). The currently orbiting ocean colour sensors IRS P3-MOS-B, IRS P4-OCM and SeaWiFS, though primarily meant for ocean colour remote sensing, have the additional capability for monitoring the global distribution of marine aerosols.

In order to correct for atmospheric interference in the remote sensing of oceanic constituents, ocean colour sensors are generally equipped with additional channels or bands called atmospheric correction bands that operate in wavelengths above ~ 700 nm. These bands, while fulfilling atmospheric correction can indirectly provide information on atmospheric aerosols. The method of derivation of AOD from the radiance data of atmospheric correction bands is described in section 2. Based on this procedure, an algorithm was developed to derive AOD from IRS P4-OCM band-7 data. In section 3, we examine the sensitivity of this algorithm to various parameters used for characterising the aerosol model used in the algorithm. The images of the AOD distributions at different offshore sites around India derived from OCM data are presented in section 4.

The OCM detected AOD was compared with the NOAA operational AOD over the offshore areas of the Arabian Sea and the Bay of Bengal and also with the ground measurements at the coastal site of Trivandrum. These results are presented in sections 5 and 6 respectively. In section 7, we summarise the major conclusions of this study.

2. Estimation of AOD from atmospheric correction band radiances of ocean colour sensors

The atmospheric correction bands of ocean colour sensors operate in wavelengths above ~ 700 nm. In these wavelengths, water is a strong absorber of radiation and acts as a dark background for a space borne ocean colour remote sensor (Gordon 1997). The sensor radiance detected in these wavelengths is entirely due to the backscatter of solar radiation from the atmosphere. This radiance, called the atmospheric path radiance is just the sum of the Rayleigh and the aerosol path radiances produced by the scattering of light by air molecules and aerosols respectively. One can thus split the sensor detected radiance as

$$L_t = L_a + L_r \quad (1)$$

where

L_t	=	sensor detected radiance.
$L_{r,a}$	=	$F_0/(4\pi \cos \theta_v) \cdot w_{0r,a} \cdot \tau_{r,a} \cdot p_{r,a}$
	=	Rayleigh/aerosol path radiance.
F_0	=	extra terrestrial solar flux.
θ_v	=	satellite viewing angle.
w_{0r}	=	Rayleigh single scattering albedo (~ 1.0).
w_{0a}	=	aerosol single scattering albedo.
$\tau_{r,a}$	=	Rayleigh/aerosol optical depth.
$p_{r,a}$	=	a function related to the Rayleigh/aerosol scattering phase function.

The function $p_{r,a}$ is related to the Rayleigh/aerosol scattering phase function (Doerffer 1992) as

$$p_{r,a}(\gamma) = P_{r,a}(\gamma^-) + [R(\theta_v) + R(\theta_s)]P_{r,a}(\gamma^+), \quad (2)$$

where R is the Fresnel reflectance of the water surface, θ_s is the solar zenith angle and γ^\pm is the forward/backward scattering angle. The Rayleigh phase function scattering is given by

$$P_r(\gamma^\pm) = (3/4)[1 + \cos^2(\gamma^\pm)]. \quad (3)$$

Following Doerffer (1992), one can approximate the scattering phase function for marine aerosols by a two term Heyney-Greenstein (HG) phase function of the form

$$P_a(\gamma^\pm) = Af(\gamma^\pm, g_1) + (1 - A)f(\gamma^\pm, g_2), \quad (4)$$

where

$$f(\gamma^\pm, g) = (1 - g^2)/[(1 + g^2 - 2g \cos \gamma^\pm)^{3/2}]$$

with $A = 0.985$, $g_1 = 0.8$ and $g_2 = 0.5$ for marine aerosols (Sturm 1980; Doerffer 1992). The forward/backward scattering angles are related to the sensor viewing and solar illumination directions through:

$$\cos \gamma^\pm = \pm \cos \theta_v \cos \theta_s - \sin \theta_v \sin \theta_s \cos \phi \quad (5)$$

where ϕ is the azimuth difference between the sensor viewing and solar illumination directions. Further, in the expression (2), Fresnel reflectance (for unpolarised radiation) is given by

$$R(\theta_i) = 0.5[\sin^2(\theta_i - \theta_j)/\sin^2(\theta_i + \theta_j) + \tan^2(\theta_i - \theta_j)/\tan^2(\theta_i + \theta_j)], \quad (6)$$

where θ_j is determined through the relation (Snell's law)

$$\sin \theta_i / \sin \theta_j = n, \quad (7)$$

where n is the refractive index of water.

Using the relations (1)–(7) and assuming $w_{0a} \approx 1.0$ for marine aerosols (Doerffer 1992), we can determine the aerosol optical depth (AOD) from the sensor detected radiance as

$$\tau_a = (L_t - L_r)/[F_0/(4\pi \cos \theta_v) \cdot p_a]. \quad (8)$$

3. Sensitivity of AOD retrieval to the aerosol characterisation parameters

The sensitivity of the AOD retrieval to the aerosol characterisation parameters g_1 , g_2 and A (of the HG phase function), the single scattering albedo w_{0a} and also to error in the aerosol path radiance $L_a (= L_t - L_r)$ were examined by computing the percentage deviation in AOD produced by small variations in the values of these parameters.

All the variations considered were centred around the values appropriate for marine aerosols mentioned earlier and they ranged from -10% to $+10\%$ in the case of g_1 , g_2 and A , from -10% to 0% in the case of w_{0a} and from -10% to $+10\%$ in the case of L_a . The satellite viewing and sun illumination angles used in these computations were corresponding to a sub satellite point in the Arabian Sea at the equatorial crossing on the day, March 21st, 1999.

The results are shown in figures 1(a)–(e). In figures 1 (a) and (b) one can see that the AOD deviation has a pronounced nonlinear dependence on variations in g_1 and g_2 and the sensitivity to g_1 is more than that to g_2 by a factor ~ 100 . The range of AOD deviation is from -8.78% to 38.21% in the case of g_1 while it is from -6.39×10^{-2} to 3.37×10^{-2} in the case of g_2 .

Figure 1(c) shows the AOD deviation with respect to changes in A to be nearly linear and small, that is, from -1.31% to 1.35% for the range of change considered. In the case of w_{0a} , a 10% decrease brings about an 11.11% increase in AOD as shown in figure 1(d) because of the inverse relationship. As far as L_a is concerned, any error in it produces a proportional change in AOD as shown in figure 1(e).

4. Detection of aerosols with IRS P4-OCM

The sensor specifications of the Indian Remote Sensing Satellite IRS P4-OCM (Ocean Colour Monitor) are given in table 1. One can see that the bands b_7 and b_8 have wavelengths above $\sim 700\text{ nm}$ and are suitable for the detection of aerosols over the oceans.

Based on the procedure described in section 2, an algorithm was developed to estimate the marine AOD from the band-7 radiances of OCM after masking the clouds. Clouds were masked using a predefined threshold corresponding to an albedo of 0.009 in band 8 (865 nm) of OCM. This number was arrived at after analysing a number of images and it agrees with the cloud detection threshold used in SeaWiFS processing (McClain *et al* 1995).

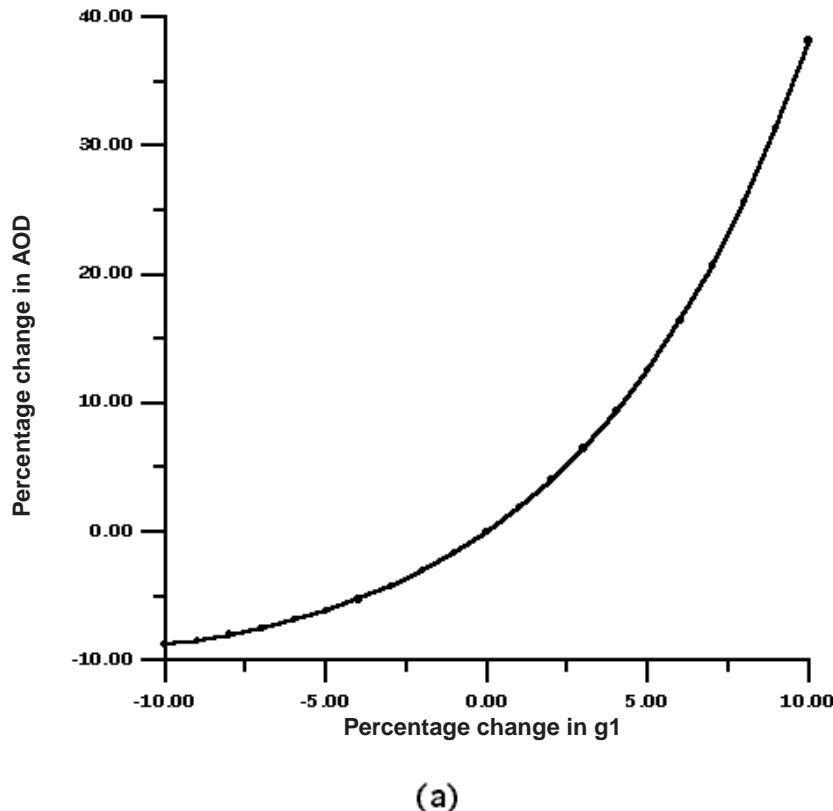


Figure 1(a). Sensitivity of the values of g_1 on the derived AOD.

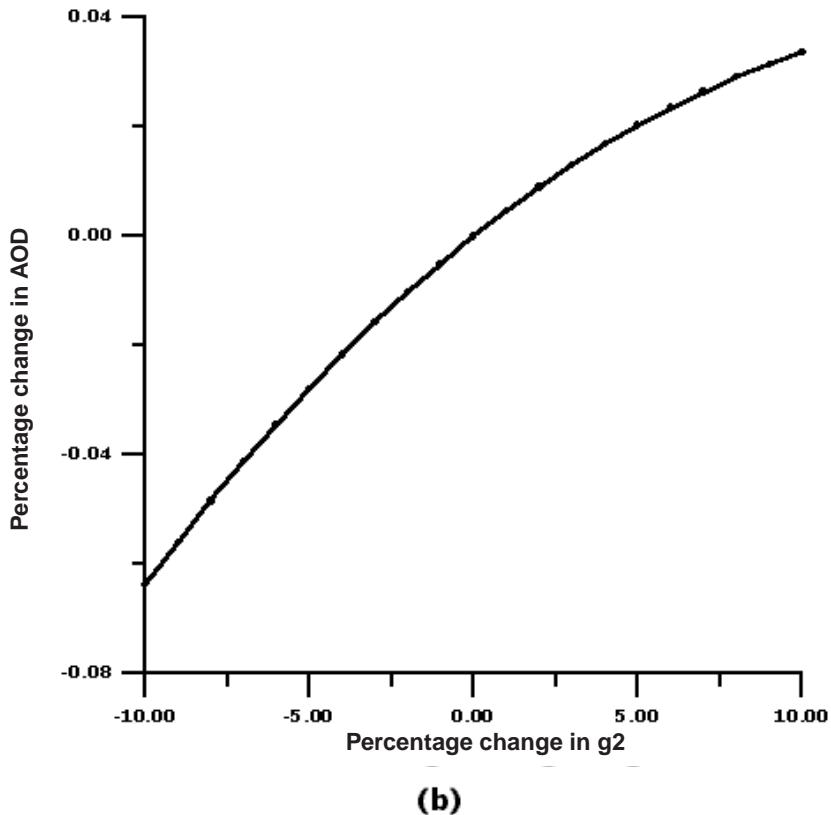


Figure 1(b). Sensitivity of the values of g_2 on the derived AOD.

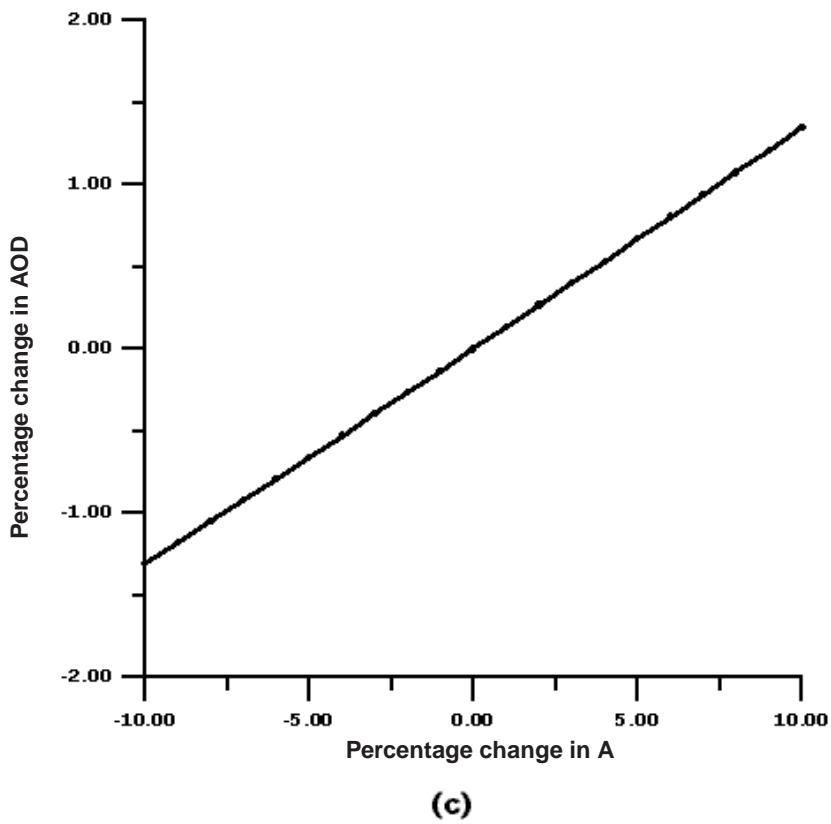
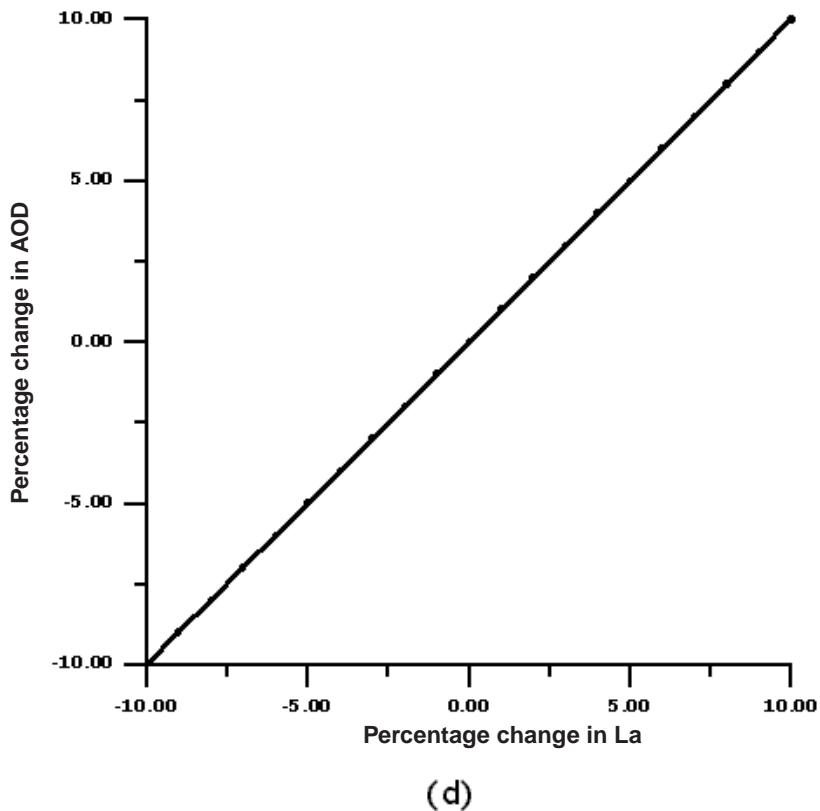
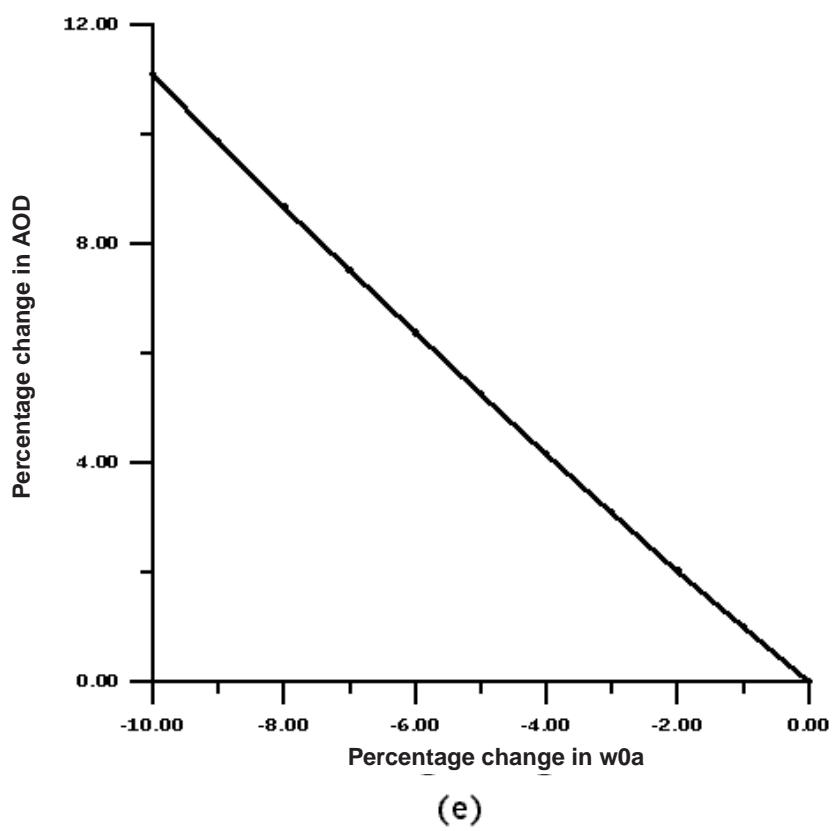


Figure 1(c). Sensitivity of the values of A on the derived AOD.



(d)

Figure 1(d). Sensitivity of the values of L_a on the derived AOD.

(e)

Figure 1(e). Sensitivity of the values of w_{0a} on the derived AOD.

Table 1. *Sensor specifications of IRS P4-OCM.*

Sensor parameters	IRS P4-OCM
GIFOV (km)	0.360
Swath (km)	1420
Repeativity (days)	2
Equatorial crossing	12 noon
Maximum scan plane tilt (deg)	± 20
Spectral bands (nm)	$(b_1)412 \pm 10$ $(b_2)443 \pm 10$ $(b_3)490 \pm 10$ $(b_4)520 \pm 10$ $(b_5)555 \pm 10$ $(b_6)670 \pm 10$ $(b_7)765 \pm 20$ $(b_8)865 \pm 20$
Radiometric quantisation	12 bits
SNR	~ 400

Figures 2 and 3 show the AOD distribution over the Arabian Sea off Goa (November 15th, 1999) and off Trivandrum (January 7th, 2000) respectively. The land surface and clouds have been masked as dark in these images. The small white

scattered patches in figure 3 are cloud edges or optically thin clouds. The increased values of AOD close to the coast is due to the bottom reflection in shallow waters or scattering of radiation by suspended particulates in the turbid waters. At these points, the AOD values may not be realistic since the condition $L_w = 0$ assumed in the retrieval is not strictly valid in shallow/turbid waters. In both these figures the AOD distribution appears as long, continuous light shaded streaks originating from land and extending into the ocean in a south-westward direction depicting the situation of aerosols being blown into the ocean by the prevailing northwesterly winds of the season.

Figure 4 (a) and (b) shows plumes of aerosols off Mumbai coast detected on two successive dates of OCM pass; December 13th and 15th, 1999 respectively. These aerosols are probably of industrial origin, which have been rendered observable by the northwesterly winds, which have blown them towards the ocean. In these figures, one can notice a number of finer details in the

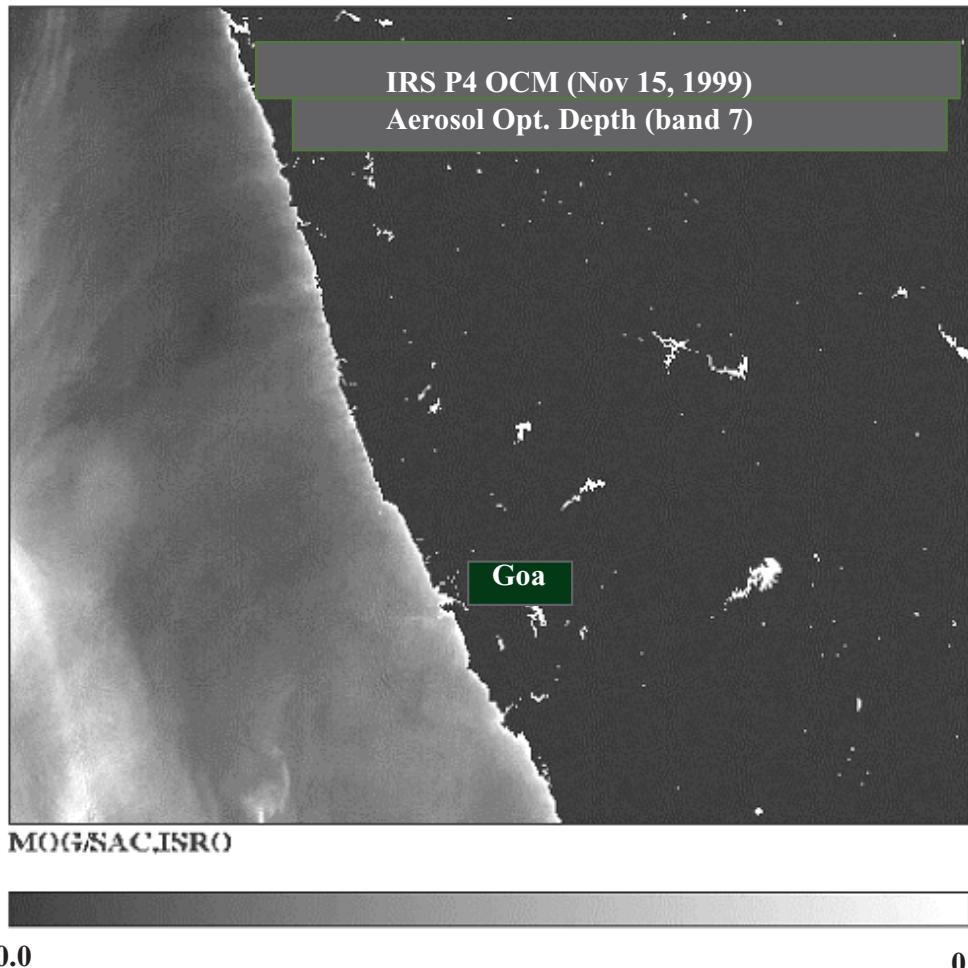


Figure 2. Aerosol optical depth detected by IRS P4-OCM off Goa coast on November 15th, 1999. The highly reflecting land surface is masked as dark.

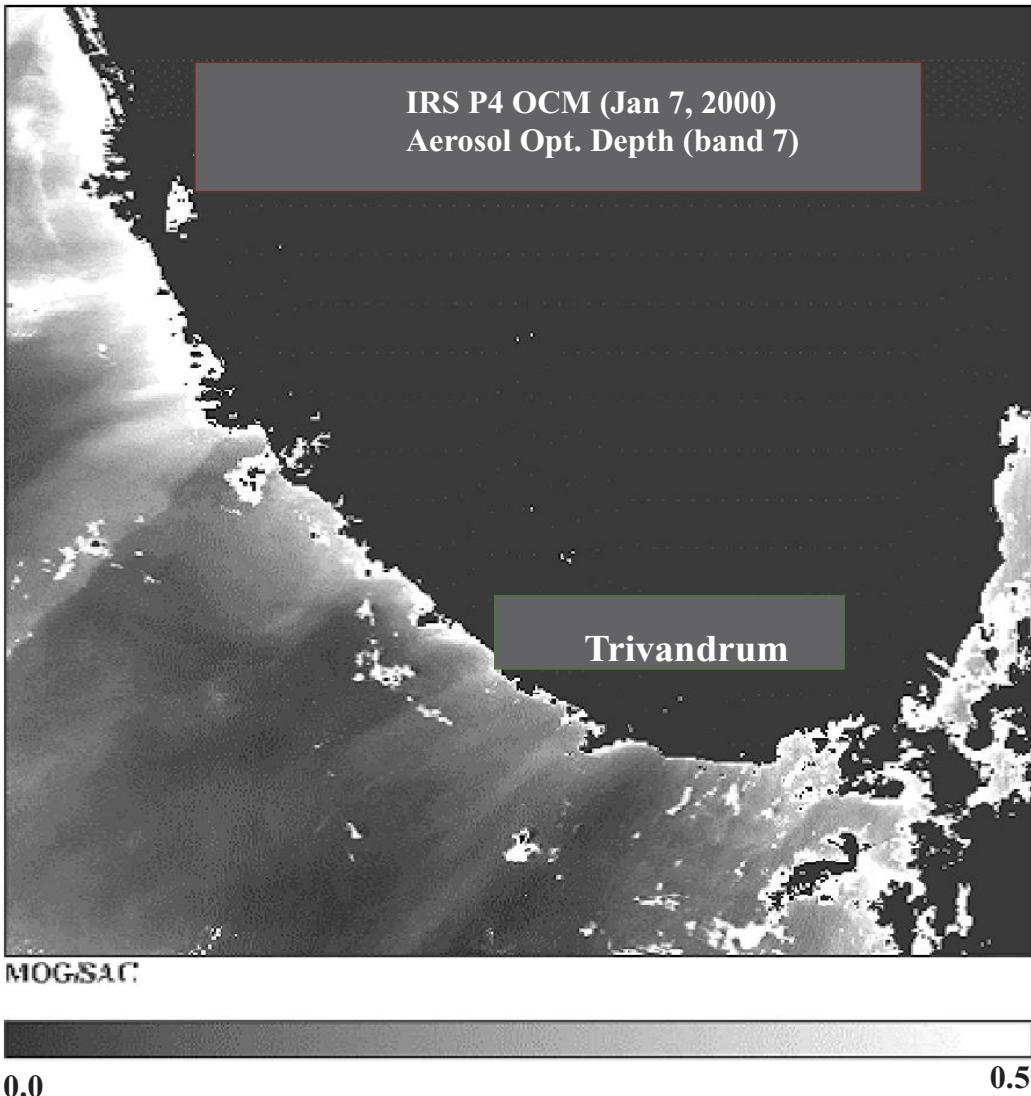


Figure 3. Aerosol optical depth detected by IRS P4-OCM off Trivandrum coast on January 7th, 2000. The highly reflecting land surface and clouds are masked as dark. The irregular white patches are cloud edges or optically thin clouds.

spatial distribution of aerosols brought out by the high-resolution capability of the OCM sensor.

5. Comparison between the OCM and NOAA derived AOD

The National Environmental Satellite Data and Information Service (NESDIS) operationally derives Aerosol Optical Thickness (AOT) from the channels 1 and 2 of NOAA – AVHRR on a routine basis with an accuracy of 10% and archives for the global oceans (Husar *et al* 1997; Stowe *et al* 1997). The aerosol model used in this retrieval is a modified Junge particle size distribution (Junge 1958) given by

$$dN/dr = 0 \quad \text{for } r < r_{\min} \text{ and } r > r_{\max}, \quad (9)$$

$$dN/dr = K \quad \text{for } r_{\min} \leq r \leq r_m, \quad (10)$$

$$dN/dr = K(r/r_m)^{-(\nu+1)} \quad \text{for } r_m \leq r \leq r_{\max}, \quad (11)$$

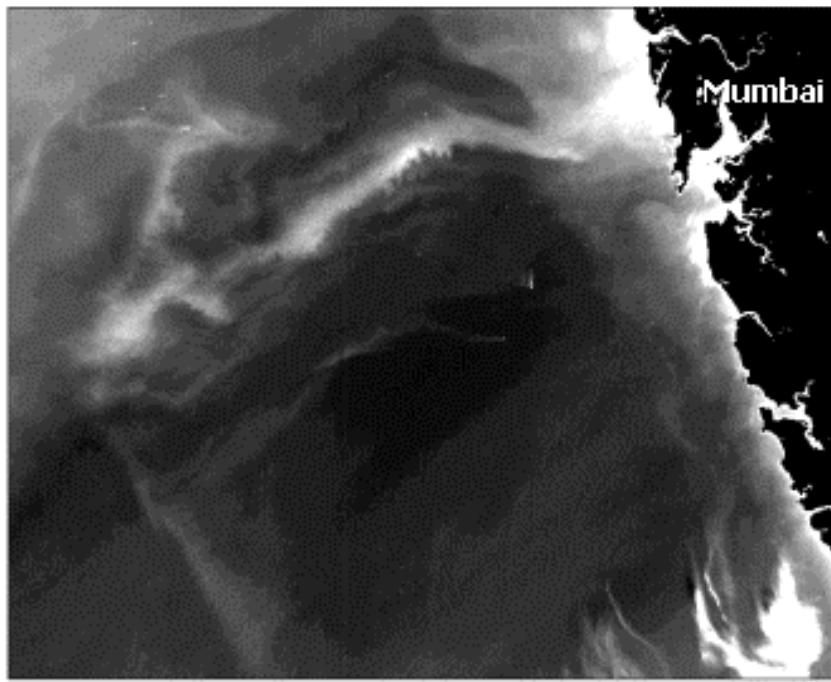
where r_{\min}, r_m, r_{\max} are 0.03, 0.1 and $10\text{ }\mu\text{m}$ respectively, $\nu = 3.5$ and K is a normalisation constant decided by the number of particles per unit volume. The Angstrom exponent is 1.5 and single scattering albedo is 1.0. These data are available as aerosol optical depth at 630 nm averaged over 1 degree \times 1 degree (website: <http://capita.wustl.edu/Databases/Userdomains/Saharadust2000>).

OCM derived AOD over the oceans (Arabian Sea and Bay of Bengal), averaged over 1 degree \times 1 degree boxes coinciding with the NOAA grids and with $< 10\%$ cloud cover were compared with the NOAA operational AOD. For this comparison, the NOAA – AOD was extrapolated to the OCM band-7 wavelength as

$$\tau_{a\text{OCM}} = (\lambda_{\text{OCM}}/\lambda_{\text{NOAA}})^{\alpha} \tau_{a\text{NOAA}} \quad (12)$$

IRS P4-OCM detected aerosol plume off Mumbai

December 13, 1999

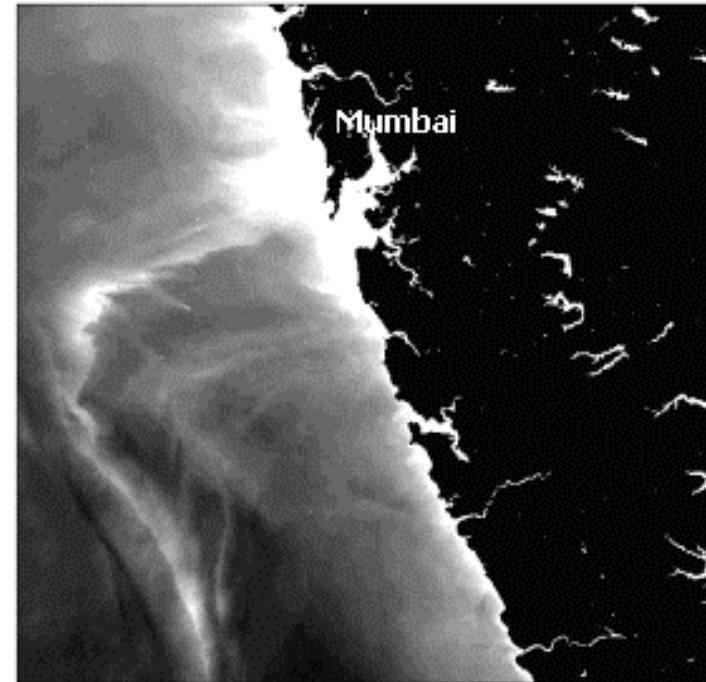


(a)

0.0

0.5

December 15, 1999



(b)

0.0

0.5

Figure 4. Aerosol plumes from the coastal Mumbai blown into the ocean by the northwesterly winds detected by OCM on two successive days (a) December 13th, 1999 and (b) December 15th, 1999.

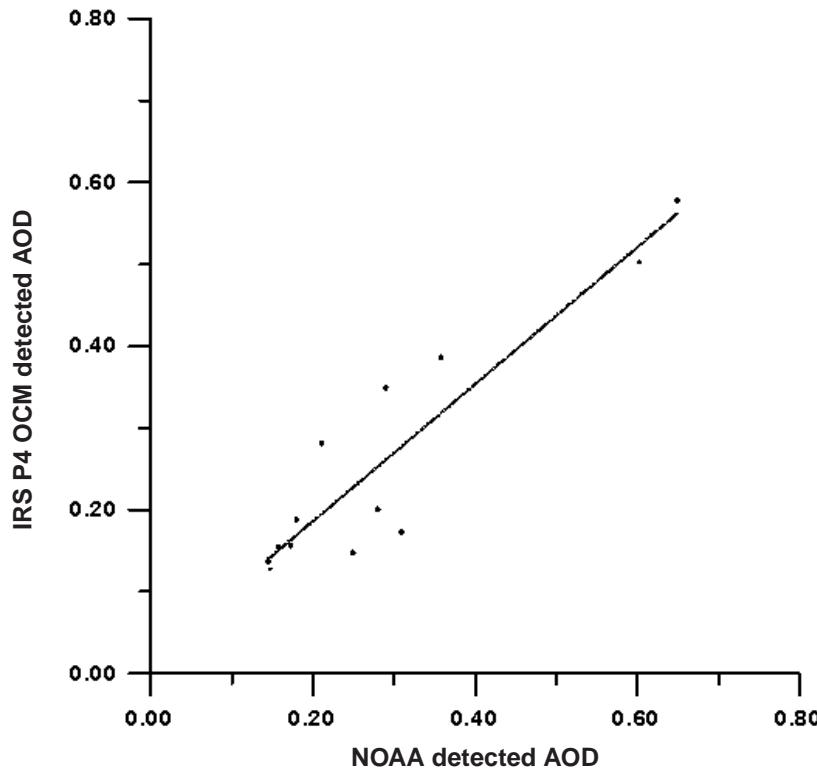


Figure 5. Scatterplot of OCM detected by AOD against the NOAA operational AOD at 630 nm. The OCM-AOD has been degraded to $1^\circ \times 1^\circ$ to suit the NOAA resolution.

where

$$\begin{aligned}
 \tau_{a\text{OCM}} &= \text{AOD at OCM band - 7 wavelength,} \\
 \tau_{a\text{NOAA}} &= \text{AOD at NOAA wavelength,} \\
 \lambda_{\text{OCM}} &= \text{OCM band 7 wavelength (765 nm),} \\
 \lambda_{\text{NOAA}} &= \text{NOAA wavelength (630 nm),} \\
 \alpha &= \text{Angstrom exponent.}
 \end{aligned}$$

Even though the Angstrom exponent used in the NOAA retrieval is 1.5, we have used a value of 1.3 to agree with the marine aerosol model (Doerffer 1992, Satheesh *et al* 1999) used in the OCM retrieval. This discrepancy can incur a maximum error of $\sim 4\%$ in the AOD derived using equation (12).

Figure 5 shows the scatterplot of OCM derived AOD against the NOAA derived AOD which follows a linear regression

$$\tau_{a\text{OCM}} = 1.01\tau_{a\text{NOAA}} + 0.026 \quad (13)$$

with a correlation 0.92 at a level of significance 0.10%. Although a reasonably good correlation is achieved, points are found to lie far from the mean line. The spread of points about the straight line may be attributed to the deviations in the derived AODs arising from the difference in the aerosol models used in the two retrievals, difference in the times of pass of the two satellites and NOAA bands (channels 1 and 2) being broad (> 60 nm) and affected by water vapour interference in the derived AOD.

6. Comparison between the OCM derived AOD and ground measurements

The OCM derived AOD were compared with ground based measurements at a coastal location at Trivandrum (8.29°N , 76.59°E). The ground measurements were carried out with an MWR (Multi Wavelength Radiometer) with filters at the wavelengths 380, 400, 450, 500, 600, 650, 750, 850, 935 and 1025 nm (Krishnamoorthy *et al* 1997) synchronous with the satellite pass. From the AOD values of 750 and 850 nm, the Angstrom Exponent (α) was determined for each day as

$$\alpha = [\ln(\tau_{750}) - \ln(\tau_{850})]/[\ln(\lambda_{850}) - \ln(\lambda_{750})] \quad (14)$$

and was used to compute the AOD for OCM wavelength 765 nm using a relation similar to equation (12). The data of only those days in which cloud free measurements were available within 2–3 hrs of satellite pass were considered. On the corresponding days, the OCM derived AODs were taken over cloud free oceanic points closest to the location of the MWR but away from the coast by $\sim 5\text{--}6$ km in order to avoid the bottom reflection and back scattering in the shallow and turbid coastal waters.

In figure 6 is shown the scatterplot of the OCM derived AOD against the ground measurements of

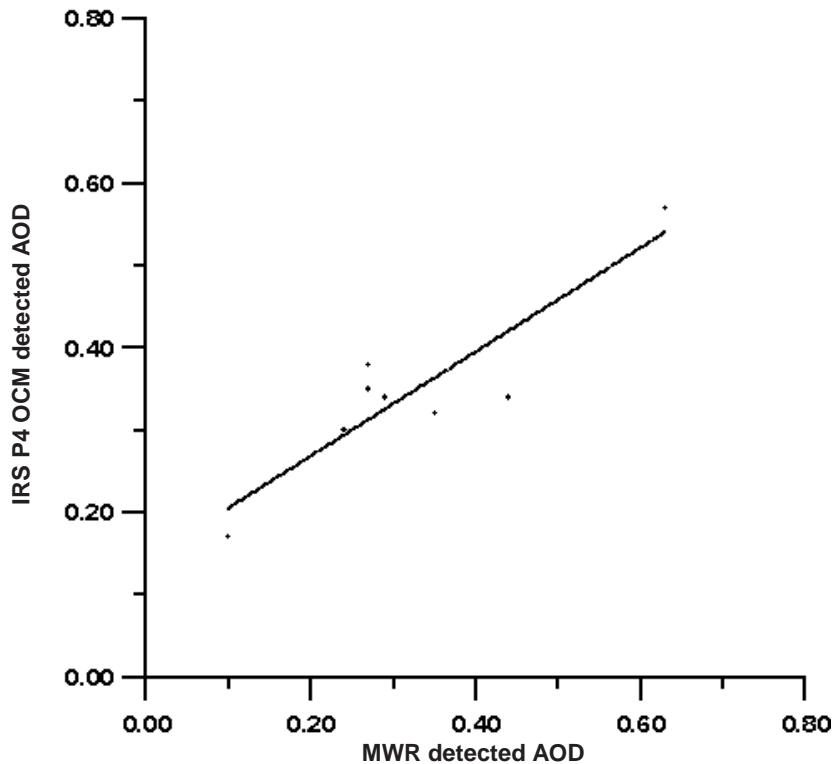


Figure 6. Scatterplot of OCM detected by AOD against the ground measurements by MWR near Trivandrum coast at 750 nm.

MWR. The plot gives a correlation of 0.90 at a level of significance 0.35% and obeys the regression relation

$$\tau_{a\text{OCM}} = 0.63\tau_{a\text{MWR}} + 0.14. \quad (15)$$

7. Conclusions

An algorithm was developed to estimate the AOD of the marine aerosols from the atmospheric correction band 7 data of IRS P4-OCM. A sensitivity study carried out on this algorithm shows that the retrieved AOD is ~ 100 times more sensitive to g_1 than to g_2 of the HG phase function. A change -10 to $+10\%$ in g_1 can bring about -8.78% to 38.21% deviation in the derived AOD while a similar change in A can cause about -1.31% to 1.35% deviation in AOD. In the case of w_{0a} , a 10% decrease brings about an 11.11% increase in AOD and as far as L_a is concerned, any error in it produces a directly proportional deviation in AOD.

The high resolution aerosol images of OCM bring out a number of details in the spatial distribution of aerosols and the effect of prevailing winds on aerosols very clearly. The OCM derived AOD has been found to agree with the NOAA detected AOD with a correlation 0.92 (at a significance level 0.10%) and with the ground measurements at

Trivandrum coast with a correlation 0.90 (at a significance level 0.35%).

Ocean colour sensors can be very effective tools for the study of phenomena like aerosol transport, effects of wind and relative humidity on aerosols, cloud generation by aerosols acting as condensation nuclei, etc. over the oceans where ground observations are difficult. Even though the accuracy of satellite retrieval of AOD is not presently very high in comparison with ground measurements, this will be improved through extensive comparisons of satellite derived AOD with the ground measurements and by modifying aerosol models in the retrieval algorithm to suit different regions, seasons, wind conditions, relative humidity, etc.

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