

# Improper Intersection of Algebraic Curves

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Bezout's theorem gives an upper bound on the degree of the intersection of properly intersecting algebraic varieties. In spaces of dimension higher than two, however, intersections between many algebraic varieties such as curves are improper. Bezout's theorem cannot be directly used to bound the number of points at which these curves intersect. In this paper an algebrogeometric technique is developed for obtaining an upper bound on the number of intersection points of two irreducible algebraic curves in  $k$ -dimensional space. The theorems obtained are applied to the specific case of intersecting algebraic space curves in three-dimensional space, and a number of examples are analyzed in this regard. The implications of the derived results for computer-aided geometric design are discussed.

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## INTRODUCTION

Algebraic curves are widely used in geometric modeling. They include, as special cases, Bezier curves, Hermite interpolants, splines of various kinds, and intersection curves of algebraic surfaces. An important problem in computer-aided geometric design is to determine tight bounds on the number of intersection points between two algebraic space curves and to develop efficient algorithms for finding these points [13, 14, 17, 19]. Similar problems related to the intersection of trajectories in high-dimensional spaces frequently arise in computational geometry [12], dynamical systems, and control theory [11, 18].

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The intersection problem for algebraic plane curves has been elegantly resolved using classical algebrogeometric techniques [5–7, 19, 20]. These successes may be viewed as straightforward consequences of Bezout’s theorem [2, 4, 20] applied to the “proper” intersection of algebraic plane curves. This theorem implies that two algebraic curves of degree  $m$  and  $n$  can intersect in no more than  $mn$  points on a plane. This, in general, provides the least upper bound for plane curves. Such well-defined bounds are hard to derive for curves in higher dimensional space, as Bezout’s theorem does not extend to such “improper” intersections [20, 21].

Looking beyond plane curves, one considers the intersection of algebraic space curves, that is, curves in three-dimensional space. A simple example is the intersection of two nonoverlapping space cubics. Using planar projections (and Bezout’s theorem) it follows that they can intersect in no more than nine points. Goldman [14] and Chandru and Kochar [10] showed that the actual number of points indeed is no greater than five. Exploiting the rational parameterizability of all space cubics, they also gave constructive methods for obtaining the intersection points.

More recently, Abhyankar, Chandrasekar, and Chandru [9] obtained “tight” bounds for the general problem of intersecting algebraic space curves of arbitrary degree. Asymptotically, they showed that two space curves of degree  $m$  and  $n$  intersect in  $O(\min(m^{1/2}n, mn^{1/2}))$  points.

In this paper we consider the general problem of intersecting algebraic curves in  $k$ -dimensional space. “Tight” upper-bound results are obtained using algorithmic, algebrogeometric techniques. The aforementioned results for algebraic space curves are derived as specializations of the general results. The implications for computer-aided geometric design are also discussed.

## 1. DEFINITIONS AND BACKGROUND

We are concerned only with curves and hypersurfaces that are algebraic. Unless otherwise stated, the curves considered are in spaces of dimension higher than two. Consider

$K: f(x, y) = 0$ , where  $f$  is a polynomial;

$S: g(x, y, z) = 0$ , where  $g$  is a polynomial; and

$H: h(x_1, x_2, x_3, \dots, x_k) = 0$ , where  $h$  is a polynomial.

$K$  and  $S$  represent a *plane curve* and a *surface* in  $\mathbb{R}^2$  and  $\mathbb{R}^3$ , respectively.  $H$  represents a hypersurface in  $\mathbb{R}^k$ .  $K$ ,  $S$ , and  $H$  are *irreducible* if  $f$ ,  $g$ , and  $h$ , respectively, are irreducible polynomials. Equivalently,  $K$ ,  $S$ , and  $H$  do not properly contain two or more curves, surfaces, or hypersurfaces, respectively, of which they are the union.

The definition of an algebraic curve in  $k$ -space and its irreducibility is not as straightforward [1–3, 8]. It requires the abstract notion of an algebraic variety. An affine *algebraic variety* in  $\mathbb{C}^k$  is simply defined as the set of all common solutions to a system of polynomial equations in  $k$  variables.

Let  $V$  be a variety in  $\mathbb{C}^k$ . By a *subvariety* of  $V$  we mean an algebraic variety  $W$  in  $\mathbb{C}^k$  such that  $W$  is contained in  $V$ .  $V$  is said to be *reducible* if  $V$  can be expressed as the union of two subvarieties each of which is nonempty and is

different from  $V$ .  $V$  is said to be *irreducible* if it is nonempty and is not reducible. The *dimension* of  $V$  is the largest integer  $d$  such that there exists a strictly ascending sequence  $V_0, V_1, V_2, \dots, V_d$  of irreducible subvarieties of  $V$ . By strictly ascending we mean that for  $i = 2, 3, \dots, d$  we have that  $V_{i-1}$  is contained in  $V_i$  and is different from  $V_i$ . We note that this definition is consistent with the geometric intuition that a point, curve, and surface are of dimension zero, one, and two, respectively. A *hypersurface* in  $k$ -space is a variety of dimension  $(k - 1)$ . The *codimension* of a variety  $V$  in  $\mathbb{C}^k$  is  $(k - \dim V)$ . A variety is said to be *pure* if all of its irreducible components have the same dimension. For example, a curve is a pure one-dimensional object; and a surface, a pure two-dimensional object. Suppose  $V$  is a pure  $d$ -dimensional variety in  $k$ -space. Consider the intersection of  $V$  with all linear spaces  $L_{k-d}$  of dimension  $(k - d)$ . Then,

$$\text{degree}(V) = \text{maximum} \left\{ \begin{array}{l} \text{number of intersections} \\ \text{of } L_{k-d} \text{ and } V \end{array} \middle| \begin{array}{l} |L_{k-d} \cap V| \text{ is finite} \end{array} \right\}.$$

In  $k$ -space this yields the following definition for the degree of a curve  $C$ :

$$\text{degree}(C) = \text{maximum}_{(\text{Pis a hyperplane})} \left\{ \begin{array}{l} \text{number of intersections} \\ \text{of } P \text{ and } C \end{array} \middle| \begin{array}{l} |P \cap C| \text{ is finite} \end{array} \right\}.$$

We note that “most” hyperplanes will intersect  $C$  in  $\text{degree}(C)$  points. A purely algebraic definition of  $\text{degree}(C)$  can also be given in terms of the so-called Hilbert polynomial  $P_C$  of  $C$ . The interested reader may refer to [1] or [21] for this definition.

Two intersecting pure varieties  $V_1$  and  $V_2$  are said to *intersect properly* provided that

$$\text{co} - \dim(V_1 \cap V_2) = \text{co} - \dim(V_1) + \text{co} - \dim(V_2).$$

Some concrete examples of proper intersections are

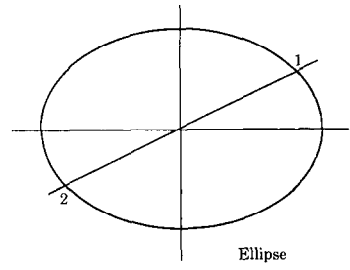
- (1)  $(P_1 \cap P_2)$  in 2-space, where  $P_1$  and  $P_2$  are irreducible plane curves that meet in a finite number of points (see Figure 1);
- (2)  $(P \cap S)$  in 3-space, where  $P$  is an irreducible plane curve,  $S$  is an irreducible surface, and they meet in a finite number of points (see Figure 2);
- (3)  $(C \cap S)$  in 3-space, where  $C$  is an irreducible space curve,  $S$  is an irreducible surface, and they meet in a finite number of points;
- (4)  $(S_1 \cap S_2)$  in 4-space, where  $S_1$  and  $S_2$  are irreducible surfaces of dimension two and they meet in a finite number of points; and
- (5)  $(C \cap H)$  in  $k$ -space, where  $C$  is a curve,  $H$  is a hypersurface, and they meet in a finite number of points.

It is important to note that the intersection of two irreducible curves  $C_1$  and  $C_2$  in  $k$ -space is never proper (for  $k \geq 3$ ).

### 1.1 Bezout's Theorem

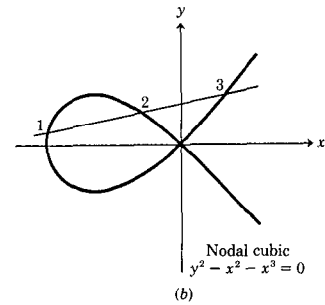
Let  $V_1$  and  $V_2$  be two pure varieties intersecting properly. Then,

$$\text{degree}(V_1 \cap V_2) \leq \text{degree}(V_1) \cdot \text{degree}(V_2),$$



(a)

Fig. 1. Intersections between curves in the plane also illustrating Bezout's theorem. (a) A line (degree-one) intersects a degree-two curve, an ellipse, at two points. (b) A line intersects a degree-three curve, a nodal cubic, at three points. Note that some lines may intersect curves at infinity (e.g., the  $y$ -axis in (b)), in the complex plane, or more than once at a given point.



(b)

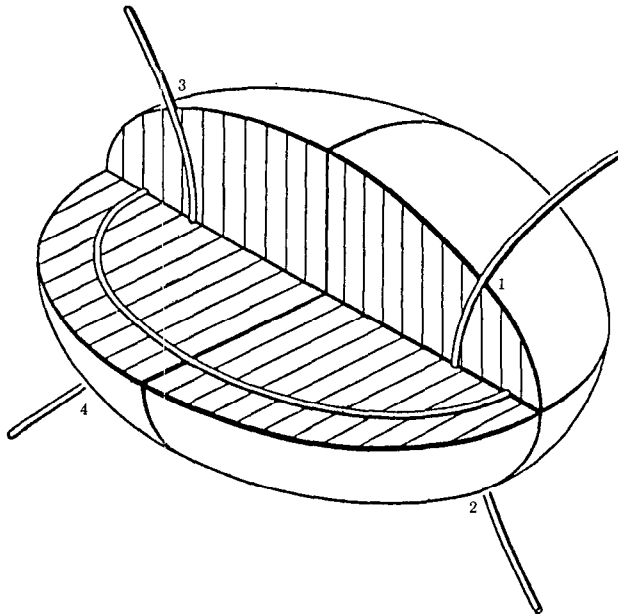


Fig. 2. The proper intersection between a hyperbola (degree two) and an ellipsoid (degree two) in 3-space (after [16]). Note that there are four points of intersection between the curve and the surface, which is equal to the product of their degrees, again illustrating Bezout's theorem.

and = holds in complex projective  $k$ -space,  $P^k(\mathbb{C})$ , if the intersection degree is counted with “appropriate” multiplicity.

When  $V_1$  and  $V_2$  are solids (hypersurfaces) in 4-space, then  $\text{degree}(V_1 \cap V_2)$  is, in general, the degree of the intersection surface of codimension two. If  $V_1$  and  $V_2$  are hypersurfaces in  $k$ -space, then  $\text{degree}(V_1 \cap V_2)$  is the degree of the intersection variety of codimension two.

Bezout’s theorem may be regarded as one of the central results of algebraic geometry. It has recently also been the focus of considerable interest in the area of computer-aided geometric design and robotics [13, 14, 17]. Elimination techniques that played an important role in classical proofs of this theorem have enabled development of algorithmic techniques in these applied areas.

As was noted above, intersections of curves in  $n$ -space do not fall in the class of proper intersections, and Bezout’s theorem therefore has little to say directly about them. In  $k$ -space an indirect approach is to project the two space curves  $C$  and  $D$  onto a common plane and then invoke Bezout’s theorem for the “shadow” plane curves. As projection preserves intersection points, we would obtain a valid upper bound on the number of intersection points of  $C$  and  $D$ . However, we may also expect this bound to be loose, as many spurious intersection points result from projections. Thus, for example, for two space quintics (degree five) in 3-space this technique yields a bound of 25 on the intersection points, whereas the true value can be no greater than 13 [9]. Other such examples are discussed in [9], where “tight” bounds are derived by the authors for the intersection of curves in 3-space. This motivated the generalization to curves in  $k$ -space, which is the focus of this paper.

## 2. EMBEDDING A CURVE IN A HYPERSURFACE

We first examine some implications of the following combinatorial formula:

**PROPOSITION 1.** *The minimum number of points required to define a hypersurface of degree  $d$  in  $k$ -space is*

$$\left[ \binom{d+k}{k} - 1 \right].$$

**PROOF.** See, for example, [15] and [20].  $\square$

In particular, this proposition implies that there always exists a hypersurface  $S_d$  of degree  $d$  in  $P^k(\mathbb{C})$  containing any collection of

$$\left[ \binom{d+k}{k} - 1 \right]$$

points. Consider now a curve  $C_m$  of degree  $m$  also in  $P^k(\mathbb{C})$ . By Bezout’s theorem,  $|C_m \cap S_d|$  is either  $\leq md$ , or  $C_m$  and  $S_d$  have a common component. Furthermore, if  $C_m$  is irreducible and  $|C_m \cap S_d|$  is greater than  $md$ , then  $C_m$  lies on  $S_d$ . These observations lead to a general technique for embedding any curve in a suitably “low”-degree hypersurface.

## 2.1 Examples

- (1) In  $P^3(\mathbb{C})$ , an irreducible  $C_3$  can always be embedded in an  $S_2$ . By Proposition 1 there exists an  $S_2$  containing any seven points. Given  $C_3$  we can therefore choose any seven distinct points on it and construct an  $S_2$  containing them. Now  $C_3$  intersects this  $S_2$  in at least seven points. But by Bezout's theorem if  $|C_3 \cap S_2| > 6$  then  $C_3$  lies on  $S_2$ , since  $C_3$  is irreducible. Hence, the constructed  $S_2$  contains  $C_3$ . All irreducible space cubics in 3-space therefore lie on a quadric surface.
- (2) In  $P^4(\mathbb{C})$ , an irreducible  $C_3$  can always be embedded in a hypersurface  $S_1$ . Proposition 1 implies that in 4-space the number of points required to define an  $S_1$  is four. Again, by Bezout's theorem if  $|C_3 \cap S_1| > 3 \cdot 1$  then  $C_3$  lies on  $S_1$ . We can choose all the four points required to construct an  $S_1$  on  $C_3$ . Thus, a cubic curve in 4-space always lies on a hyperplane.

In general, using the reasoning illustrated above, it is always possible to embed a curve  $C_m$  on a hypersurface  $S_d$  in  $P^k(\mathbb{C})$  by choosing  $d$  to be the smallest positive integer satisfying the inequality

$$\binom{d+k}{k} > md + 1. \quad (1)$$

**LEMMA 1.** *In  $k$ -space any irreducible curve of degree less than  $k$  lies on a hyperplane.*

**PROOF.** Consider an irreducible curve  $C_m$  of degree  $m$  in  $k$ -space. If  $m$  satisfies inequality (1) above with  $d$  equal to one, then  $C_m$  lies on a hyperplane. The inequality with  $d$  equal to one is

$$\binom{k+1}{k} > m \cdot 1 + 1;$$

that is,  $k > m$ . So any irreducible curve  $C_m$  in  $P^k(\mathbb{C})$  lies on a hyperplane, if  $m < k$ .  $\square$

Similar proofs appear in basic algebraic geometry texts; see, for example, [15].

Lemma 1 includes the well-known fact that all irreducible degree-two space curves in 3-space are actually conics.

A stronger lemma along the same lines is as follows:

**LEMMA 2.** *Let  $V$  be an irreducible algebraic variety in  $P^k(\mathbb{C})$ . Then the following relation holds:*

$$\text{degree}(V) + \text{dimension}(V) \geq r(V),$$

where  $r(V) = \text{dimension of the least-dimensional linear subspace containing } V$ .

**PROOF.** See [1].  $\square$

### Remarks

- (1) For "most" irreducible curves  $C_m$ , the construction using inequality (1) yields the minimum-degree hypersurface  $S_d$  containing them.

- (2) The hypersurfaces  $S_d$  so constructed may sometimes be reducible. In this case, of course,  $C_m$  lies on a hypersurface of degree smaller than  $d$ .
- (3) Lemma 1 implies that in high-dimensional space “many” curves lie on hyperplanes.

We may now formulate a heuristic for bounding the number of intersections of two curves  $C_m$  and  $D_n$  in  $P^k(\mathbb{C})$ . Using the construction described above, we would first obtain a hypersurface  $S_d$  containing  $C_m$ . Applying Bezout’s theorem we can determine the number of intersection points between  $S_d$  and  $D_n$ . This number will bound from above the number of intersection points between  $C_m$  and  $D_n$ . This heuristic may run into the difficulty that  $S_d$  also contains  $D_n$ , whence we would obtain a trivial upper bound of infinity. In order to get around this difficulty, we need to develop a technique for constructing  $S_d$  containing  $C_m$  such that  $S_d$  intersects  $D_n$  properly. In the given space  $P^k(\mathbb{C})$ , let

$$\alpha_{md} = \binom{d+k}{k} - md - 1.$$

In the discussion above, we have always chosen  $d$ , the degree of  $S_d$ , to be the smallest positive integer such that  $\alpha_{md}$  is positive. The natural number  $\alpha_{md}$  then represents the dimension of the vector space of hypersurfaces of degree  $d$  that contain the given curve  $C_m$  of degree  $m$ . Such an  $S_d$  is said to be a *minimal-degree surface* for  $C_m$ . A curve  $C_m$  is said to be *special* if  $C_m \subset S_{d'}$ , for some  $d' < d$ . Most curves are *nonspecial*. Unless otherwise stated, the rest of this paper will be concerned with nonspecial irreducible curves in  $P^k(\mathbb{C})$ .

**PROPOSITION 2.** *Let  $C_m$  and  $D_n$  be two distinct, irreducible, nonspecial algebraic curves in  $k$ -space with  $m < n$ . Then there exists a hypersurface  $S_d$  of degree  $d$  such that  $S_d$  contains  $C_m$  and  $S_d$  intersects  $D_n$  properly. Consequently,  $|C_m \cap D_n| \leq |S_d \cap D_n| \leq nd$ .*

**PROOF.** Let  $d$  and  $d'$  be the smallest integers for which  $\alpha_{md}$  and  $\alpha_{nd'}$  are positive. The dimensions of the vector spaces of hypersurfaces of degree  $d$  and  $d'$  that contain  $C_m$  and  $D_n$  are, respectively, at least equal to  $\alpha_{md}$  and  $\alpha_{nd'}$ . Consider the following two cases:

*Case 1.  $d$  is not equal to  $d'$ .* There exists a hypersurface  $S_d$  of degree  $d$  that contains  $C_m$ .  $D_n$  does not lie on  $S_d$  because it is nonspecial and the least-degree hypersurface  $S_{d'}$  on which it lies has degree  $d'$ ,  $d' > d$ . Hence, this  $S_d$  intersects  $D_n$  properly.

*Case 2.  $d$  is equal to  $d'$ .*  $\alpha_{md}$  is greater than  $\alpha_{nd}$  because  $m < n$ . That is, the dimension of the vector space of hypersurfaces  $S_d$  of degree  $d$  that contain  $C_m$  is greater than the dimension of the corresponding vector space of degree- $d$  hypersurfaces containing  $D_n$ . Hence, there certainly exists at least one hypersurface  $S_d$  that contains  $C_m$  and intersects  $D_n$  properly. □

*Remarks*

- (1) Since  $C_m$  and  $D_n$  are nonspecial curves, the hypersurfaces  $S_d$  and  $S_{d'}$  considered above are both irreducible.

- (2) The proposition also holds if  $m$  and  $n$  are equal, provided  $D_n$  does not lie in the intersection of all degree- $d$  hypersurfaces containing  $C_m$ . An irreducible curve  $D_n$  that lies in the intersection of all degree- $d$  hypersurfaces containing  $C_m$  is said to be a *sibling* of  $C_m$ . Thus, Proposition 2 holds even if  $m = n$  provided that  $C_m$  and  $D_n$  are not siblings.
- (3) In 3-space, that is, when  $C_m$  is a space curve, the number of siblings of  $C_m$  is finite whenever  $\alpha_{md} \geq 2$ . In particular, the total degree of the siblings can be no larger than  $(d^2 - m)$  [9]. Finding similar bounds on the number of siblings in higher dimensions ( $k \geq 4$ ) appears to be a challenging problem.
- (4) Even when  $C_m$  and  $D_n$  are siblings, it is always possible to find a hypersurface  $S$  containing  $C_m$  and not  $D_n$ . This follows from the ideal theoretical definition of these curves. A purely geometric construction of such a hypersurface can also be given. It is possible to construct a cone  $K$  that contains  $C_m$  and not  $D_n$ , with  $\text{degree}(K)$  a factor of  $m$ . Pick a point  $x$  on  $D_n$ , but not on  $C_m$ . Define  $K'$ , the cone with apex at  $x$  and containing  $C_m$ . Now pick a point  $y$  outside  $K'$ . Construct the cone with apex at  $y$  and containing  $C_m$ . This cone  $K$  cannot contain  $D_n$ , since if it did the line  $xy$  would be a line of both  $K$  and  $K'$ . This contradicts our choice of  $y$  outside  $K'$ . It can be shown that  $\text{degree}(K)$  is a factor of  $m$  [20, 21]. Finding a minimal-degree hypersurface  $S$  containing  $C_m$  but not  $D_n$  is an interesting problem for future research.

### Examples

- (1) *Space quintic* ( $m = 5$ ). Consider a quintic space curve,  $C_5$ , in 3-space.  $C_5$  can be embedded in a cubic surface  $S_3(d = 3)$ .  $C_5$  will therefore intersect any space curve,  $D_n$ , of degree  $n$  ( $n > 5$ ) in no more than  $3n$  points. This bound is significantly lower than the bound of  $5n$  derivable from projection arguments using Bezout's theorem.
- (2) *Cubic curves* ( $m = 3$ ). It is instructive to look at the intersection of a cubic curve,  $C_3$ , with curves of degree  $n$  ( $n > 3$ ),  $D_n$ , in 2-, 3-, and 4-space. In 2-space, that is, in the plane,  $C_3$  intersects any  $D_n$  also lying in the same plane in  $3n$  points. This follows from Bezout's theorem for the plane. In 3-space  $C_3$  can be embedded in a degree-two surface  $S_2$ , and therefore,  $D_n$  intersects  $C_3$  in no more than  $2n$  points. In 4-space any  $C_3$  lies on a hyperplane (Lemma 1) and therefore intersects  $D_n$  in no more than  $n$  points. This example brings out the fact that, as we go to higher dimensions, two space curves will tend to intersect less and less.

Proposition 2 gives us *sufficient conditions* under which we can obtain a bound of  $(nd)$  on the number of intersection points between  $C_m$  and  $D_n$  in  $k$ -space. We now consider some of the asymptotic effects of this bound.

### 2.2 Asymptotic Analysis

We know that in  $k$ -space any curve  $C_m$  of degree  $m$  can be embedded in a hypersurface  $S_d$  of minimal degree  $d$ . Recall that  $d$  is the smallest positive integer such that  $\alpha_{md} \geq 1$ ; that is,

$$\frac{(d+1)(d+2) \cdots (d+k-1)(d+k)}{k!} - 1 - md \geq 1.$$



A simple asymptotic analysis shows that  $d$  grows as  $(k! m)^{1/(k-1)}$ . All curves  $D_n$  of degree  $n$ , where  $n$  is greater than  $m$ , will intersect this minimal-degree hypersurface,  $S_d$ , properly. In fact, any  $D_m$  that is not a *sibling* of  $C_m$  will also intersect  $S_d$  properly. We have proved the following:

**THEOREM 1.** *Let  $C_m$  be any nonspecial and irreducible curve of degree  $m$  in  $k$ -space. Then all irreducible nonspecial curves  $D_n$  of degree  $n$ , where  $n$  is larger than  $m$ , will intersect  $C_m$  in  $O(m^{1/(k-1)}n)$  points. The result is also true when  $n$  is equal to  $m$ , provided  $D_n$  is not a sibling of  $C_m$ . In the limit, as  $k$  tends to infinity, this bound tends to  $n$ .*

The theorem brings out clearly the intuitive observation that the number of possible intersections must decrease as the dimension of the space increases. A challenging problem is to construct greatest lower bounds on the number of intersections. In [9] we argue that  $0(n)$  is a valid lower bound for curves in 3-space. The argument extends to  $k$ -space for arbitrary  $k$ .

### 3. TIGHTER INTERSECTION BOUNDS

In the previous sections, we showed that two distinct irreducible curves  $C_m$  and  $D_n$  in  $k$ -space (with minor restrictions) can intersect each other in no more than  $nd$  points, where  $d$  is the smallest positive integer satisfying the inequality

$$\binom{d+k}{k} > md + 1.$$

This is really a Bezout-type theorem for algebraic curves and generalizes the results derived in [9] for space curves.

It is possible to obtain tighter bounds on the number of intersection points between curves  $C_m$  and  $D_n$  satisfying the assumptions of Proposition 2, by exploiting further the techniques discussed above. We illustrate the approach used to tighten the intersection bounds with an example. The general theorem is then derived.

*Example. Space quintic ( $m = 5$ ).* In 3-space consider the intersection of an irreducible quintic space curve,  $C_5$ , with an irreducible space curve,  $D_7$ , of degree seven.  $\alpha_{53} = 4$  and  $C_5$  can be embedded in a cubic hypersurface  $S_3$ . Since  $D_7$  is a nonspecial curve, the minimal-degree hypersurface on which it lies is an  $S_4$ . Hence, there exist at least four linearly independent cubic hypersurfaces  $S_3^1, S_3^2, S_3^3$ , and  $S_3^4$  that contain  $C_5$  and that intersect  $D_7$  properly. Proposition 2 implies that  $|C_5 \cap D_7| \leq 21$ . Suppose  $|C_5 \cap D_7| \geq 19$ . Let  $q_1, q_2$ , and  $q_3$  be three points belonging to  $D_7 \setminus C_5$ . Then there exist constants  $a_1, a_2, a_3$ , and  $a_4$  such that  $q_1, q_2$ , and  $q_3$  lie on the cubic hypersurface

$$T_3 = a_1 S_3^1 + a_2 S_3^2 + a_3 S_3^3 + a_4 S_3^4.$$

Now  $|D_7 \cap T_3|$  is at least 22, and therefore, Bezout's theorem implies that  $D_7 \subseteq T_3$ . This yields a contradiction since the minimal-degree hypersurface containing  $D_7$  has degree equal to 4. Therefore, our assumption that  $(D_7 \cap C_5)$  is at least 19 is not possible. Hence,  $|D_7 \cap C_5| \leq 18$ .

The above argument can be generalized as follows: Let  $C_m$  and  $D_n$  be distinct irreducible curves of degree  $m$  and  $n$ , respectively, in  $k$ -space, with  $n > m$ .  $C_m$  can be embedded in a minimal-degree hypersurface of degree  $d$  that intersects  $D_n$  properly.  $\alpha_{md}$  is the dimension of the vector space of degree- $d$  hypersurfaces containing  $C_m$ . In a similar manner,  $D_n$  can be embedded in a minimal-degree hypersurface of degree  $d'$ . Two cases need to be considered:

*Case 1.  $d$  is not equal to  $d'$ .* In fact, here,  $d < d'$  for  $m < n$ . Since the dimension of the vector space of hypersurfaces of degree  $d$  in  $P^k(\mathbb{C})$  containing  $C_m$  is  $\alpha_{md}$ , there exist linearly independent hypersurfaces  $S_d^1, S_d^2, S_d^3, \dots, S_d^{\alpha_{md}}$ , such that

$$C_m \subseteq S_d^1 \cap S_d^2 \cap S_d^3 \cap \dots \cap S_d^{\alpha_{md}}.$$

Each of these hypersurfaces intersects with  $D_n$  properly. Suppose  $|C_m \cap D_n| \geq nd - (\alpha_{md} - 2)$ . Consider a set of points  $q_1, q_2, \dots, q_{(\alpha_{md}-1)}$  belonging to  $D_n \setminus C_m$ . There exist constants  $a_1, a_2, \dots, a_{\alpha_{md}}$  such that the above set of points is contained in the following degree- $d$  hypersurface:

$$T_d = \sum_{i=1}^{\alpha_{md}} a_i S_d^i.$$

$C_m$  is certainly contained in  $T_d$ . Therefore,  $|D_n \cap T_d|$  is at least equal to  $(nd - (\alpha_{md} - 2) + (\alpha_{md} - 1))$ , that is,  $(nd + 1)$  points. By Bezout's theorem,  $D_n \subseteq T_d$ . But the minimal-degree hypersurface containing  $D_n$  has degree  $d'$  greater than  $d$ . This yields a contradiction. Therefore,  $|C_m \cap D_n| \leq (nd - (\alpha_{md} - 1))$ .

*Case 2.  $d$  is equal to  $d'$ .* Let  $\alpha_{md}$  and  $\alpha_{nd}$  be the dimension of the vector space of hypersurfaces containing  $C_m$  and  $D_n$ , respectively. Since  $n > m$ ,  $\alpha_{md} > \alpha_{nd}$ . Therefore, there exist at least  $(\alpha_{md} - \alpha_{nd})$  linearly independent hypersurfaces of degree  $d$  that contain  $C_m$  and that intersect  $D_n$  properly. Following through the arguments presented in Case 1, with the number  $(\alpha_{md} - \alpha_{nd})$  playing a role similar to  $\alpha_{md}$  in Case 1, we find that

$$|C_m \cap D_n| \leq nd - (\alpha_{md} - \alpha_{nd} - 1).$$

We have proved the following tighter bound theorem for the intersection of algebraic curves:

**THEOREM 2.** *Let  $C_m$  and  $D_n$  be distinct irreducible algebraic curves in  $P^k(\mathbb{C})$  with  $m < n$ . Let  $d$  and  $d'$  be the degree of the minimal-degree hypersurfaces containing  $C_m$  and  $D_n$ , respectively.*

*Case 1. If  $d$  is not equal to  $d'$ , then  $C_m$  and  $D_n$  can intersect in at most  $(nd - (\alpha_{md} - 1))$  points.*

*Case 2. If  $d$  is equal to  $d'$ , then  $C_m$  and  $D_n$  can intersect in at most  $(nd - (\alpha_{md} - \alpha_{nd} - 1))$  points.*

### 3.1 Space Curves

We prove the following theorem for the intersection of space curves in [9]:

Let  $C_m$  and  $D_n$  be distinct irreducible space curves in  $P^3(\mathbb{C})$  satisfying (\*)

- (a)  $n > d^2 - m$  and
- (b)  $\alpha_{md} \geq 2$ ,

where  $d$  is the minimal degree of a surface  $S_d$  containing  $C_m$ . Then  $C_m$  and  $D_n$  intersect in at most  $(nd - (\alpha_{md} - 2))$  points.

Note that (\*) is strictly subsumed by Theorem 2 with two exceptions: (1)  $C_3 \cap D_3$  and (2)  $C_5 \cap D_5$ . Furthermore, the bound in Theorem 2 is smaller than that of (\*) by 1.

## 4. IMPLICATIONS FOR COMPUTER-AIDED GEOMETRIC DESIGN

The efficient computation of intersections of curves and surfaces in two- and three-dimensional spaces is of fundamental importance in computer-aided geometric design. The constructions presented thus far in this paper were used for proving *upper bounds* on the number of intersection points of two curves. We now discuss the possibility of using these constructive arguments to explicitly compute the intersection set of two algebraic space curves.

The fact that the representation of the given algebraic space curves  $C_m$  and  $D_n$  is not uniformly specified makes it difficult to present a totally unified discussion of the computational issues. At an abstract level, however, it is clear that the main steps of an algorithm would be to

- (a) generate a requisite number of points on  $C_m$ ,
- (b) construct one or more of the surfaces  $S_d^i$  to contain  $C_m$  (and not  $D_n$ ),
- (c) compute the intersection points in  $(D_n \cap S_d^i)$ , and
- (d) parse the candidates from step (c) to obtain the true intersection points in  $(C_m \cap D_n)$ .

The two representation schemes most commonly used for space curves are (1) rational (polynomial) parametric and (2) implicit. Let us first consider the rational parametric case. Assume that  $C_m$  and  $D_n$  are defined by rational parametric forms in parameter  $t$  and  $s$ , respectively. To generate points on  $C_m$  (step (a)), we simply choose specific values of the parameter  $t$ . To construct the surfaces  $S_d^i$  (step (b)), we solve systems of linear equations, whose size depends of course on the degree  $d$ . To compute  $(D_n \cap S_d^i)$  (step (c)), we substitute the parametric form of  $D_n$  in the equation for  $S_d^i$  and solve for the roots of the resulting univariate polynomial in  $s$ . To detect the true intersection points (step (d)), we solve inversion problems on the parametric representation of  $C_m$ . There are well-known techniques for all of these computations (cf. [19]).

In some applications, each of the space curves  $C_m$  and  $D_n$  may be given in implicit form as the intersection of two or more surfaces. In this case there are several possibilities for carrying out the steps of the algorithm. We may decide to avoid steps (a) and (b) altogether by using the defining surfaces in selecting  $S_d^i$ . Alternatively, we can use a parametric plane (surface) along with the defining

equation and apply elimination techniques to generate points on  $C_m$ . The final choice, and perhaps the best one, would be to realize a plane curve parameterization of the space curve (one always exists [3]). This parametric form can be used to generate the requisite points on  $C_m$ . Hoffman [17] discusses an algorithm for realizing the plane curve parameterization of a space curve. This parameterization technique would also be useful in computing  $(D_n \cap S_d^i)$  in step (c) if  $D_n$  is given in implicit form.

The attentive reader may have noticed that we have glossed over certain technical issues in the description above. We have, for example, not discussed how to ensure that the constructed  $S_d^i$  intersect  $D_n$  properly and that  $C_m$  and  $D_n$  are nonspecial and irreducible. In most practical situations, these considerations may be irrelevant. However, we would like to propose that some of these algorithmic issues are worthy of attention in future research. Finally, we would also like to point out that the algorithmic realization of a “low-degree” surface embedding of a given algebraic space curve (steps (a) and (b) above) is of independent interest.

## 5. CONCLUSION

Improper intersections between algebraic varieties are quite common in high-dimensional spaces and therefore necessitate study. In the present study, we have obtained an upper bound on the number of intersection points of irreducible algebraic curves in  $k$ -dimensional space ( $k > 2$ ) where their intersection is always improper. The bound derived is only a function of the degrees of the individual curves. Our earlier results for algebraic space curves [9] have been easily derived from the present results. Many of the ideas used in the proofs are quite algorithmic in nature, and therefore, several of the steps involved are amenable to explicit computation. This is especially useful for computer-aided geometric design. It is our hope that these ideas developed for curves can be made completely algorithmic and can also be extended to the study of other types of improperly intersecting algebraic varieties.

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