

## THE PROGENITOR OF SN 2011ja: CLUES FROM CIRCUMSTELLAR INTERACTION

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### ABSTRACT

Massive stars, possibly red supergiants, which retain extended hydrogen envelopes until core collapse, produce Type II plateau (IIP) supernovae. The ejecta from these explosions shocks the circumstellar matter originating from the mass loss of the progenitor during the final phases of its life. This interaction accelerates particles to relativistic energies which then lose energy via synchrotron radiation in the shock-amplified magnetic fields and inverse Compton scattering against optical photons from the supernova. These processes produce different signatures in the radio and X-ray parts of the electromagnetic spectrum. Observed together, they allow us to break the degeneracy between shock acceleration and magnetic field amplification. In this work, we use X-rays observations from the *Chandra* and radio observations from the Australia Telescope Compact Array to study the relative importance of processes which accelerate particles and those which amplify magnetic fields in producing the non-thermal radiation from SN 2011ja. We use radio observations to constrain the explosion date. Multiple *Chandra* observations allow us to probe the history of variable mass loss from the progenitor. The ejecta expands into a low-density bubble followed by interaction with a higher density wind from a red supergiant consistent with  $M_{\text{ZAMS}} \gtrsim 12 M_{\odot}$ . Our results suggest that a fraction of Type IIP supernovae may interact with circumstellar media set up by non-steady winds.

*Key words:* circumstellar matter – radio continuum: general – shock waves – stars: mass-loss – supernovae: individual (SN 2011ja) – X-rays: general

*Online-only material:* color figures

### 1. INTRODUCTION

Type II plateau (IIP) supernovae display prominent P Cygni features around the time of peak luminosity, produced by hydrogen lines and their optical light curve plateaus for  $\sim 100$  days in the rest frame of the supernova (Doggett & Branch 1985; Arcavi et al. 2012). This characteristic phase in their optical light curves is attributed to their progenitors retaining extended hydrogen envelopes until the time of core collapse. Popov (1993) found that the duration of the plateau phase has a strong dependence on the mass of the hydrogen envelope and weak dependence on the explosion energy and the initial radius. These lines of evidence and direct pre-explosion imaging (Smartt et al. 2009) suggest that these stars exploded as red supergiants. Smartt et al. (2009) found that two-thirds of the core-collapse supernovae in their sample, volume limited to  $d < 30$  Mpc, are Type IIP. Smith et al. (2011) estimate the fraction to be closer to half.

Red supergiants have been found inside the Local Group with masses up to  $25 M_{\odot}$ , but Smartt et al. (2009) did not find any red supergiants with masses greater than  $17 M_{\odot}$  as progenitors of Type IIP supernovae. Many solutions have been suggested for the *red supergiant problem*. O’Connor & Ott (2011) have suggested that the zero-age main-sequence (ZAMS) mass, metallicity, rotation, and mass-loss prescription control

the compactness of the stellar core at bounce, which determines whether a core-collapse supernova will fail and instead form a stellar-mass black hole. Walmswell & Eldridge (2012) have suggested circumstellar dust as a solution to the problem of the missing massive progenitors. In this situation, understanding the nature, amount, and variability of mass loss from the progenitors of Type IIP supernovae is crucial for resolving this puzzle.

The ejecta from these explosions shocks the circumstellar matter set up by the mass loss of the progenitor during the final phases of its life. Since the ejecta ( $\sim 10^4$  km s<sup>-1</sup>) moves about a thousand times faster than the stellar wind ( $\sim 10$  km s<sup>-1</sup>), this expanding ejecta probes a millennium of red supergiant mass-loss history in a year, a timescale which would otherwise be inaccessible in human lifetimes. This interaction accelerates particles to relativistic energies, which then lose energy via synchrotron radiation in the shock-amplified magnetic fields and inverse Compton scattering against optical photons from the supernova. Chevalier et al. (2006) have shown that these processes produce separate signatures in the radio and X-ray parts of the electromagnetic spectrum. Chakraborti et al. (2012) have demonstrated that combining radio and X-ray spectra allows one to break the degeneracy between the efficiencies of shock acceleration and field amplification. In this work, we use X-ray observations from *Chandra* and radio observations from the Australia Telescope Compact Array (ATCA) to study the relative importance of particle acceleration and magnetic field amplification for producing the non-thermal radiation from

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**Table 1**  
Observation of SN 2011ja with *Chandra*

Date	XB Flux (0.3–10 keV) ( $10^{-14}$ erg cm $^{-2}$ s $^{-1}$ )	SN Flux (0.3–10 keV) ( $10^{-14}$ erg cm $^{-2}$ s $^{-1}$ )
2000 Jan 27	$1.01 \pm 0.11$	...
2012 Jan 10	$0.81 \pm 0.10$	$0.98 \pm 0.17$
2012 Apr 3	$1.01 \pm 0.11$	$4.08 \pm 0.42$

**Notes.** Fluxes are model dependent. The X-ray binary is modeled as *tabs(diskbb)* and the supernova is modeled as *tabs(power law)* in XSPEC. See Section 3.1 for details. Fluxes reported in this table are from the full model and not corrected for absorption.

SN 2011ja. Dwarkadas & Gruszko (2012) have indicated that the expansion and density structure of the circumstellar matter must be investigated before assumptions can be made of steady wind expansion. It has been shown that the X-ray observations of SN 2004dj suggest variable mass loss though they do not rule out a constant mass-loss scenario (Chakraborti et al. 2012). In this work, we use multiple *Chandra* observations of SN 2011ja to establish variable mass loss from the progenitor.

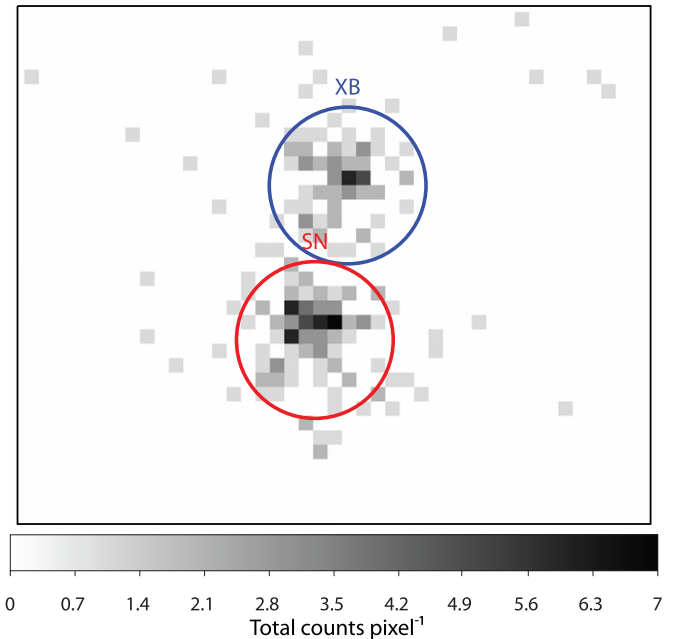
## 2. OBSERVATIONS OF SN 2011JA

SN 2011ja occurred in the nearby galaxy NGC 4945 at a distance of  $3.36 \pm 0.09$  Mpc (Mouhcine et al. 2005). The supernova was first reported in Monard et al. (2011) where it was noted that Monard observed the supernova at 14.0 mag (unfiltered CCD) on December 18.1 UT and Milisavljevic obtained a spectrum on December 19.1 UT that matched the Type IIP SN 2004et about a week after maximum light. For SN 2004et Crockett et al. (2011) used the difference between the pre and post-explosions, ground-based observations to deduce a progenitor mass of  $\sim 8 M_{\odot}$ . Jerkstrand et al. (2012) found a progenitor mass of  $\sim 15 M_{\odot}$  for SN 2004et from late-time spectral modeling, while Sahu et al. (2006) found  $\sim 15 M_{\odot}$  from light curve modeling. We commenced our multi-wavelength campaign following the discovery of SN 2011ja. Our observations in X-ray and radio, reported and used in this work, are described in detail below.

### 2.1. *Chandra* X-Ray Observations

SN 2011ja was observed by a target of opportunity (ToO) proposal (PI: Ray, Cycle: 13, ObsID: 13791) on 2012 January 10 and subsequently using Director’s Discretionary Time (ObsID: 14412) on 2012 April 3 from the *Chandra* X-Ray Observatory. These were used on both occasions, without any grating, for 40 ks each. The supernova was clearly detected in both of these observations. We also analyzed a pre-explosion 50 ks observation of the field (PI: Madejski, Cycle: 1, ObsID: 864) to look for possible contamination. Details of our observations are listed in Table 1.

Before spatial and spectral analyses, we processed the data from different epochs separately but identically. We followed the prescription from the *Chandra* Science Center using CIAO 4.4 with CALDB 4.4.8. We filtered the level 2 events in energy to only select ones between 0.3 keV and 10 keV. The selected events were projected on the sky and the region of interest (a box with  $20''$  sides, centered on the optical supernova position) was identified. We masked the region of interest and generated a light curve from the remaining counts. We used this background light curve to identify flaring and further masked time ranges where the background count rate was greater than three times the rms.



**Figure 1.** Photon counts obtained in the last *Chandra* epoch. The filtered *Chandra* events have been binned into image pixels which have 0.25 ACIS pixel sides. Note the spectral extraction regions for SN 2011ja (lower left in red) and contaminating X-ray binary (upper right in blue). This shows us that *Chandra*’s resolution is adequate for the separation of the source from even the nearest contamination.

(A color version of this figure is available in the online journal.)

This left us with a table of good time intervals which was then used to select the reliable events. The steps followed up to here are the same as followed in Chakraborti et al. (2012). The pre-explosion observation revealed the presence of a contaminating source  $1''.35$  from the supernova.

The spectrum of the contaminating source was extracted and it seems to be an X-ray binary. We shall explore the nature of this source in an upcoming work. However, the contaminant could not be precisely localized in the pre-supernova exposure as it was  $4''.6$  away from *Chandra*’s boresight, where the point spread function (PSF) degradation is substantial. Thus, a detailed analysis of the post-explosion observations was required in order to separate the supernova’s flux from that of the contamination. We fit, using Sherpa, the two-dimensional image created from the event file of each observation by binning over the region of interest in 0.25 ACIS pixel sizes (See Figure 1). We also created a PSF image file to use as a template using the CIAO tool *mkpsf*, which extracts a PSF model image from a given standard PSF library hypercube given an energy, offset, and sky or detector physical coordinates. A source model of two point sources and a fixed background, convolved with the appropriate PSF, was then fitted to the image. This allowed us to determine the relative positions of the supernova and the contaminating source (just  $1''.35$  away), which are found with sub-pixel accuracy in the image plane. The extraction regions were defined as circles with radius  $0''.67$  centered at these positions. Given that these sources are on axis in the ToO observation, this area should contain  $\sim 90\%$  of the flux. However, we have applied an energy-dependent point-source aperture correction, using the CIAO tool *arccorr*, to account for any missing flux. We generated the spectra, response, and background files separately for both sources. We did not bin the data over energy and instead used

**Table 2**  
ATCA Observations SN 2011ja

Date	Frequency (GHz)	Flux Density (mJy)
2011 Dec 19	18.0	$0.53 \pm 0.09$
2011 Dec 20	9.0	$0.85 \pm 0.11$
2011 Dec 20	5.5	$0.54 \pm 0.10$
2012 Apr 11	9.0	$<2.1$

unbinned data for further analysis to fully exploit the spectral resolution of the instrument.

## 2.2. ATCA Radio Observations

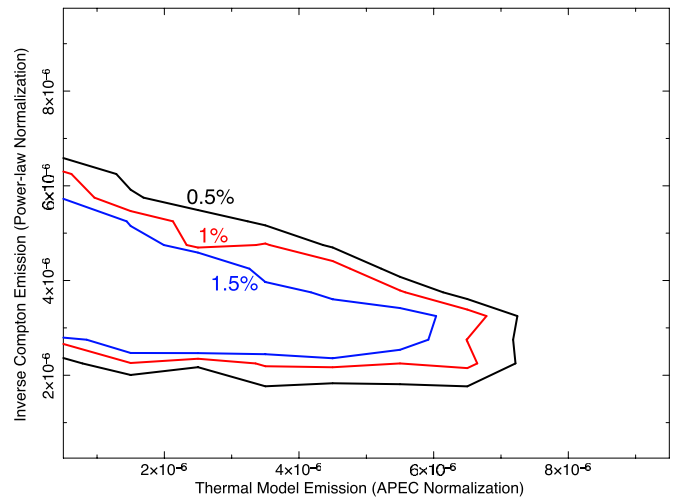
SN 2011ja was observed in the radio soon after discovery with the ATCA (Ryder et al. 2011) and the Giant Metrewave Radio Telescope (GMRT; Yadav & Chakraborti 2012). Table 2 lists the flux densities observed (or upper limit) with the ATCA using the Compact Array Broad-band Backend (Wilson et al. 2011) which provides  $2 \times 2$  GHz IF bands. Total time on-source ranged from 1 to 2 hr, yielding sufficient uv-coverage to comfortably separate SN 2011ja from the side lobes of the radio-bright nucleus of NGC 4945 some  $250''$  to the northeast. The ATCA primary flux calibrator, PKS B1934-638 has been observed once per run at each frequency to set the flux scale at all frequencies. It also defined the bandpass calibration in each band, except for 18 GHz where the brighter source PKS B1253-055 was used instead. Frequent observations of the nearby source PKS B1320-446 allowed us to monitor and correct for variations in gain and phase during each run, and to update the antenna pointing model at 18 GHz. The data were edited and calibrated using standard tasks in the MIRIAD package (Sault et al. 1995), and images made using robust weighting. Fluxes in Table 2 were derived using the *uvfit* task to minimize uncertainties introduced by cleaning, phase stability, etc. while fitting in the image plane, and the uncertainties calculated in the same manner as Weiler et al. (2011). An upper limit of 3 mJy ( $3\sigma$ ) was obtained using GMRT observation on 2012 January 11 UT at an effective frequency of 1264 MHz.

## 3. NON-THERMAL EMISSION

The fast-moving supernova ejecta shocks the slowly moving pre-explosion circumstellar matter set up by the stellar wind of the progenitor (Chevalier 1982). In a Type IIP supernova, Chakraborti et al. (2012) point out that the post-forward shock circumstellar matter is at too high temperature and low density to produce a significant thermal contribution to the *Chandra* flux. However, non-thermal electrons accelerated at the forward shock can produce most of the radio emission seen in Type IIP supernovae (Chevalier et al. 2006). Either thermal (Sutaria et al. 2003) or non-thermal (Björnsson & Fransson 2004) electrons can inverse Compton scatter a fraction of the optical supernova photons into the *Chandra* X-ray band. A non-thermal electron population specified by an index  $p$  produces synchrotron emission in radio with spectral index  $(p - 1)/2$  and inverse Compton scattered X-rays with photon index  $(p + 1)/2$ .

### 3.1. X-Ray Spectral Fitting

The supernova spectra were imported into XSPEC 12.7.1 for spectral analysis. Spectra from both epochs were fitted simultaneously with the sum of a non-thermal inverse Compton component using *power law* and thermal emission from a collisionally ionized diffuse gas using *APEC* models (Smith et al.

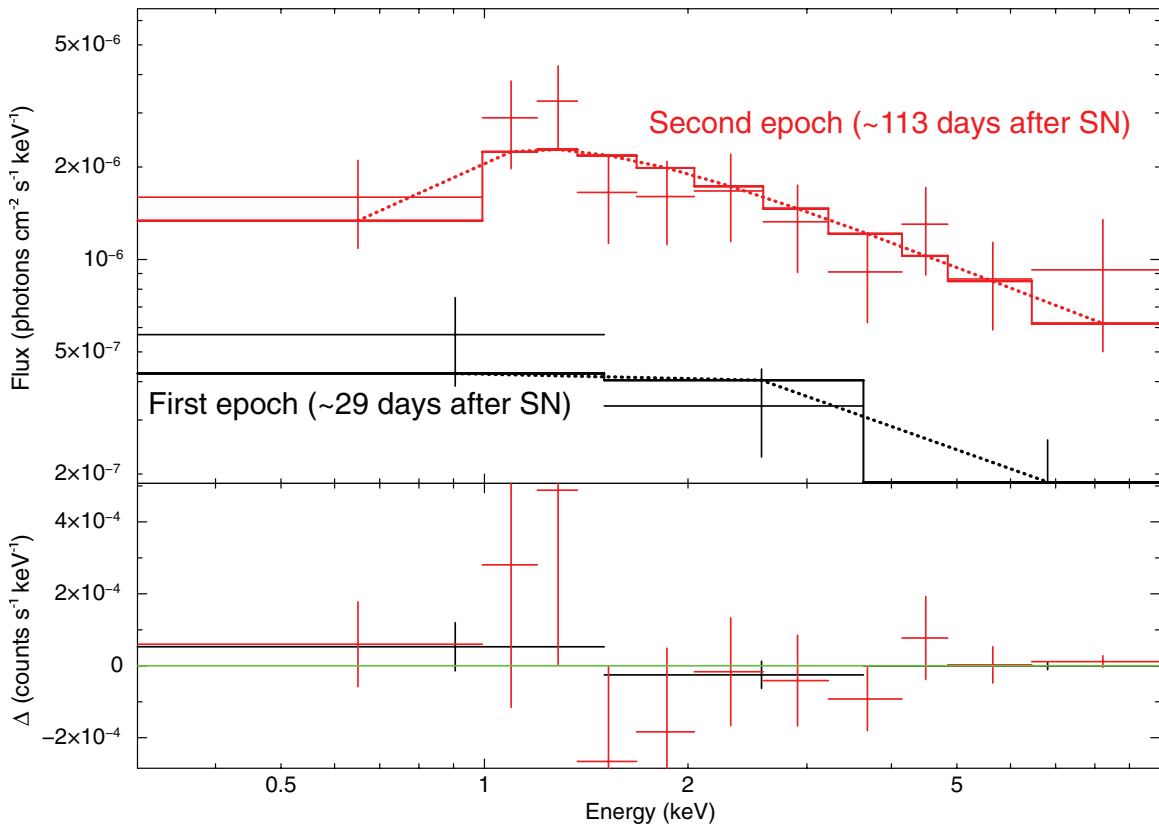


**Figure 2.** Probability density contours for models fitted to the *Chandra* data. Thermal flux (APEC normalization) on the  $x$ -axis and inverse Compton flux (power-law normalization) on the  $y$ -axis. The thermal and inverse Compton fluxes are anti-correlated as their sum has to account for the combined flux observed with *Chandra*. Note however that the data do not rule out a zero thermal flux. This demonstrates that the inverse Compton flux is confidently detected while we can put only an upper limit on the thermal emission.

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2001). The spectra were attenuated by a Tuebingen–Boulder (tbabs in XSPEC) interstellar medium absorption model (Wilms et al. 2000). Moriya (2012) has shown that if the circumstellar medium is dense enough, collisional ionization equilibrium can be established in the early stage of the evolution of the supernova remnant, especially in the reverse-shocked plasma (Chakraborti et al. 2012). The APEC plasma temperature was fixed at 1 keV as suggested by the temperature of the reverse-shocked material calculated by Nymark et al. (2006) and demonstrated to be appropriate for Type IIP supernovae by Chakraborti et al. (2012). The power-law photon index representing the inverse Compton component was fixed at 2, as observed by Chakraborti et al. (2012), corresponding to  $p = 3$ . The column density for absorption was kept free but pegged to be same at both epochs. The APEC emission measure and power-law normalization were solved for both epochs from this joint analysis. Each spectral channel would have too few photons for a useful  $\chi^2$  estimation because the fits were performed on unbinned data. So we used the Cash (1979) statistic to perform our fits.

In order to determine if the free parameters were indeed well constrained by the data, we ran Markov Chain Monte Carlo (MCMC) simulations with 10,000 steps over the multidimensional space of all the free parameters. We show in Figure 2 that while the inverse Compton component is well detected, there is no conclusive evidence for the thermal plasma component. It has already been predicted (Chevalier et al. 2006) and observed (Chakraborti et al. 2012) that in Type IIP supernovae the early X-ray emission is dominated by the inverse Compton component. Therefore for simplicity, we set the thermal flux to zero in the subsequent analysis. We generated 10,000 simulated spectra for the best-fit model to test its goodness of fit;  $\sim 50\%$  of these spectra were found to have *cstat* less than that for the real data. This leads us to conclude that our best-fit model provides a good fit to the data. The absorption column density for the best-fit model is  $N_{\text{H}} = (7.5 \pm 0.9) \times 10^{21} \text{ cm}^{-2}$ . Refer to Table 1 for the X-ray fluxes determined from these models at each epoch and to Figure 3 for the spectra.



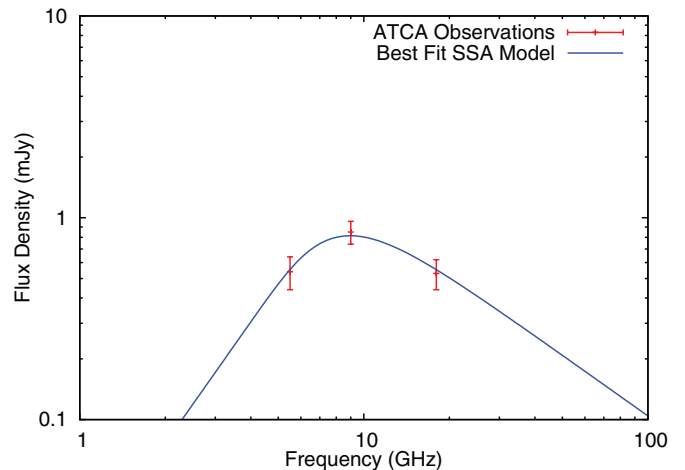
**Figure 3.** X-ray spectra of SN 2011ja. Bars are counts from *Chandra*, binned for display. Dotted line is the power-law model for the inverse Compton flux. Solid line is the full model after absorption and binning. Black is first epoch (January 10) and red is second epoch (April 3). Note the significant increase in flux for the second epoch. This figure shows us that the total flux increased during the second epoch even though the shape of the spectrum is qualitatively similar.

(A color version of this figure is available in the online journal.)

### 3.2. Radio Spectral Fitting

Radio emission from supernovae can be modeled as synchrotron emission from the interaction between supernova ejecta and circumstellar matter (Chevalier 1982). Most radio light curves show a power-law decline at late times and rise due to low-frequency absorption processes (Weiler et al. 2002) at early times. Only three radio detections cannot determine the absorption mechanism responsible for the low-frequency turnover. Hence, we must rely on theoretical arguments for what is plausible. The rising part of the radio light curve has been often modeled as free-free absorption, allowing one to estimate the circumstellar density. On the other hand assuming synchrotron self-absorption yields an approximate radius of the emission region at the time of peak flux both for the Newtonian (Chevalier 1998) and relativistic (Chakraborti & Ray 2011) explosions. If another mechanism such as free-free absorption is dominant, the radius must be even larger. Chevalier et al. (2006) argue that the expansion velocities of  $\sim 10^4$  km s $^{-1}$  implied for the Type IIP supernovae in the synchrotron self-absorption model are similar to those expected for circumstellar interaction and are thus consistent with this absorption mechanism.

We therefore fit the radio spectrum of SN 2011ja with a synchrotron self-absorption model (see Figure 4). The spectral indices of the optically thick and thin parts are fixed to  $-1$  and  $5/2$ , respectively. The best fit determines the two free parameters, namely the peak flux density ( $F_p = 0.829 \pm 0.033$  mJy) and the peak frequency ( $\nu = 9.29 \pm 0.39$  GHz) of the spectrum on 2011 December 19.



**Figure 4.** Synchrotron self-absorption model fit to the SN 2011ja flux densities observed with the ATCA. Note the optically thin part to the right ( $\sim \nu^{-1}$ ) and the optically thick part to the left ( $\sim \nu^{5/2}$ ). The radio data are consistent with a synchrotron self-absorbed spectrum as expected from theoretical arguments.

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## 4. BLAST WAVE PARAMETERS

We can now use the results of the multi-wavelength observation and analysis described above to constrain the parameters of the supernova blast wave and its interaction with the circumstellar matter.

#### 4.1. Explosion Date

It is important to determine the explosion date of SN 2011ja so that a meaningful comparison with models for circumstellar interaction is possible. Constraining the explosion dates of nearby core-collapse supernovae is also important from the perspective of multi-messenger astronomy. For example, neutrino detectors have limited direction sensitivity and one would need constraints on the explosion date to discuss the possibility of associating a few (say  $\sim 2$ ) neutrinos with a nearby supernova.

The forward shock accelerates electrons to relativistic energies. Synchrotron losses from these electrons produce the radio emission from supernovae. Chevalier (1982) modeled the radio emission by assuming that a fraction  $\epsilon_e$  or  $\epsilon_B$  of the thermal energy is used to accelerate electrons and amplify magnetic fields, respectively. Using this assumption and a self-similar blast wave solution, Chevalier (1998) derived the radius of the radio-emitting region as

$$R_s = 4.0 \times 10^{14} \alpha^{-1/19} \left(\frac{f}{0.5}\right)^{-1/19} \left(\frac{F_p}{\text{mJy}}\right)^{9/19} \times \left(\frac{D}{\text{Mpc}}\right)^{18/19} \left(\frac{\nu}{5 \text{ GHz}}\right)^{-1} \text{ cm}, \quad (1)$$

where  $F_p$  is the peak flux at peak frequency  $\nu$ ; the equipartition factor is defined as  $\alpha \equiv \epsilon_e/\epsilon_B$ , the ratio of relativistic electron energy density to magnetic energy density; and  $f$  is the fraction of the spherical volume occupied by the radio-emitting region. It can be seen from the equation above that the estimated radius is insensitive to the assumption of equipartition. We therefore use our radio spectrum to estimate a size of  $R_s = (0.621 \pm 0.029) \times 10^{15}$  cm.

We further note that Milisavljevic et al. (2011) reported that the absorption minimum of the H $\alpha$  line is found to be blueshifted by about 11,000 km s $^{-1}$ , which is usual for Type IIP supernovae. Assuming a 10% uncertainty in the expansion velocity, we get an age of  $7 \pm 1$  days at the time of the radio observations. Our best estimate for the explosion date is therefore 2011 December 12 UT ( $\pm 1$  day). This is consistent with the fact that the optical spectra taken on December 19.1 UT best match with the spectrum of Type IIP event SN 2004et taken about a week after maximum light (Milisavljevic et al. 2011).

#### 4.2. Circumstellar Interaction

The forward shock accelerates electrons to relativistic velocities and amplifies magnetic fields, which are responsible for the radio emission from supernovae. The supernova ejecta collides inelastically with the circumstellar matter. The external density is described by a power-law profile  $\rho \propto r^{-s}$ , where  $s = 2$  for a steady wind. We therefore have

$$\rho_w = \frac{A}{r^2} \equiv \frac{\dot{M}}{4\pi r^2 v_w}, \quad (2)$$

where  $\dot{M}$  and  $v_w$  are the mass-loss rate and velocity of the wind, respectively. Chevalier (1982) sets the normalization of the circumstellar density profile as  $A \equiv \dot{M}/(4\pi v_w)$ . Chevalier (1982) assumes that a fraction  $\epsilon_e$  of the thermal energy is used to accelerate electrons while a fraction  $\epsilon_B$  is used to amplify magnetic fields. Hence these microphysical parameters determine the radio brightness of a supernova, which is not a direct measure of the circumstellar density. Chevalier &

Fransson (2006) calculate that radio emission can constrain

$$S_\star \equiv A_\star \epsilon_{B-1} \alpha^{8/19} = 1.0 \left(\frac{f}{0.5}\right)^{-8/19} \left(\frac{F_p}{\text{mJy}}\right)^{-4/19} \times \left(\frac{D}{\text{Mpc}}\right)^{-8/19} \left(\frac{\nu}{5 \text{ GHz}}\right)^2 t_{10}^2, \quad (3)$$

at a time  $10 \times t_{10}$  days after explosion. Here  $\epsilon_{B-1} \equiv \epsilon_B/0.1$  and  $A_\star \equiv A/(5 \times 10^{11} \text{ g cm}^{-1})$  is a non-dimensionalized proxy for  $A$  defined by Chevalier & Fransson (2006). Our radio spectrum determines  $S_\star = 1.06 \pm 0.21$ .

The electron population that emits radio synchrotron, also inverse Compton, scatters optical photons into X-rays. This process dominates the non-thermal part of the X-ray spectrum of Type IIP supernovae during the plateau phase (Chakraborti et al. 2012). Chevalier & Fransson (2006) have shown that the inverse Compton flux at 1 keV produced by accelerated electrons with  $p = 3$  is given by

$$E \frac{dL_{\text{IC}}}{dE} \approx 8.8 \times 10^{36} \gamma_{\text{min}} S_\star \alpha^{11/19} V_4 \times \left(\frac{L_{\text{bol}}(t)}{10^{42} \text{ erg s}^{-1}}\right) t_{10}^{-1} \text{ erg s}^{-1}. \quad (4)$$

Here, the smallest Lorentz factor for an accelerated electron is  $\gamma_{\text{min}}$  and  $V_4 = 1.1$  is the expansion velocity in units of  $10^4 \text{ km s}^{-1}$  at  $10 \times t_{10}$  days.

During the first epoch ( $t_{10} \sim 2.9$ ) of *Chandra* observations, we find the inverse Compton flux density to be  $(7.27 \pm 1.50) \times 10^{36} \text{ erg s}^{-1}$ . This gives us the left-hand side of Equation (4). We use the observed value of  $S_\star = 1.06$  as found using our radio observations and  $V_4$  ( $\sim 1.1$ ) seen in optical spectra. This gives us

$$\gamma_{\text{min}} \alpha^{11/19} \times \left(\frac{L_{\text{bol}}(t)}{10^{42} \text{ erg s}^{-1}}\right) \sim 2.06. \quad (5)$$

If the spectrum of accelerated electrons starts from those at rest we would have  $\gamma_{\text{min}} = 1$ . Following the work by Chevalier & Fransson (2006) in the case of SN 2002ap, we consider relativistic electrons with  $\gamma_{\text{min}} \sim 2.5$  and a bolometric luminosity of  $10^{42} \text{ erg s}^{-1}$  as is usual for the plateau phase of Type IIP supernovae. This gives us  $\alpha \sim 0.72$ . This is a direct test of the equipartition assumption which demonstrates that the electrons and magnetic fields are not far from equilibrium.

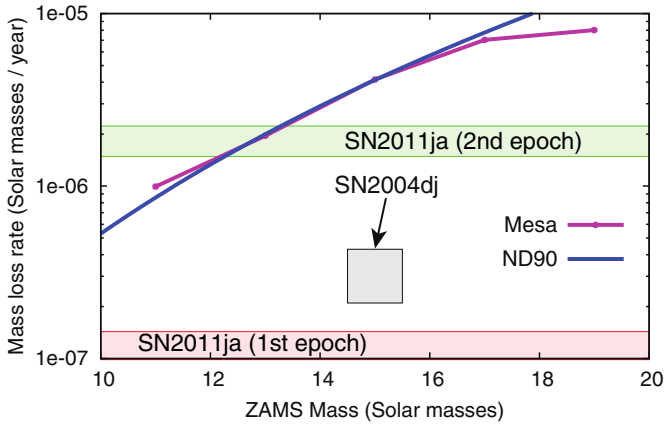
We can now use the above result, Equation (3) defining  $S_\star$ , and a characteristic value of  $\epsilon_B = 0.1$  to constrain the pre-explosion mass-loss rate of the progenitor to be

$$\dot{M} = (1.2 \pm 0.2) \times 10^{-7} \left(\frac{v_w}{10 \text{ km s}^{-1}}\right) M_\odot \text{ yr}^{-1}, \quad (6)$$

during the last century before explosion. This is smaller than what is expected for typical red supergiant progenitors (see Figure 5) but similar to the mass-loss rate seen for the progenitor of SN 2004dj (Chakraborti et al. 2012).

### 5. TEMPORAL VARIATION

The X-ray spectra of Type IIP supernovae, such as SN 2004et (Rho et al. 2007) and SN 2004dj (Chakraborti et al. 2012), soften and fall in luminosity over time. Chakraborti et al. (2012) have shown that initially the Compton flux dominated the



**Figure 5.** Zero-age main-sequence mass (ZAMS) and wind mass-loss rate (during the last 100 years of stellar evolution) for MESA runs (magenta), and theoretical line (blue) from Nieuwenhuijzen & de Jager (1990; with  $R = 10^3 R_{\odot}$ ) plotted for comparison. Shaded boxes are  $1\sigma$  confidence intervals for the mass-loss rate observed in SN 2011ja (corresponding to  $\epsilon_B = 0.1$ ) first epoch (red), second epoch (green), and SN 2004dj from Chakraborti et al. (2012) (gray). There is disagreement between the predicted and observed mass-loss rate of the SN 2011ja progenitor during the first epoch and the subsequent agreement during the second epoch. This indicates that the progenitor of SN 2011ja possibly underwent variable mass loss during the final phases of stellar evolution.

(A color version of this figure is available in the online journal.)

spectrum and produced a harder spectrum. However, the number density of seed photons available for scattering decreases when the optical luminosity from the supernova falls rapidly at the conclusion of the plateau phase. Therefore, at late times, the thermal emission from the reverse-shocked plasma dominates the spectrum and makes it softer. We obtained a second epoch of *Chandra* observations of SN 2011ja to study its temporal variation.

### 5.1. X-Ray Rise

The inverse Compton X-ray flux varies in time due to the expansion of the blast wave and the change in the number density of seed photons. If the blast wave encounters circumstellar matter set up by the uniform wind of the progenitor, Chevalier & Fransson (2006) have demonstrated that the inverse Compton flux varies as

$$E \frac{dL_{IC}}{dE} \propto \frac{L_{bol}(t)}{t}. \quad (7)$$

Therefore during the plateau phase of a Type IIP supernova when there is a nearly constant  $L_{bol}$  the inverse Compton flux should be  $\propto t^{-1}$ . Since the supernova is likely  $\sim 113$  days old during the second epoch of *Chandra* observations, one would expect an X-ray flux reduced by at least a factor of  $\sim 3.9$ . Instead, we find an increase in flux by a factor of  $\sim 4.2$ . This is inconsistent with the predictions assuming a circumstellar density  $\propto r^{-2}$  set up by a steady wind. Unless microphysical parameters such as the efficiency of electron acceleration  $\epsilon_e$  changed between the two epochs, this implies a variable mass-loss rate for the progenitor. A similar rise was reported by Pooley et al. (2002) for SN 1999em at around  $\sim 100$  days after explosion, where the total flux nearly doubled from the previous observation, despite the continued decline of the high-energy X-rays. Therefore, the spectra softened remarkably during the sudden rise in flux. For SN 2011ja, the *Chandra* X-ray source hardness ratio, calculated as  $(H - S)/(H + S)$ , where  $S$  and  $H$  are the counts in the 0.5–2 keV band and the 2–8 keV band, respectively, changes from  $0.08 \pm 0.20$  to  $0.10 \pm 0.10$  which is

consistent with no change between  $\sim 29$  and  $\sim 113$  days after explosion. If the increase in X-ray flux resulted from increased circumstellar interaction, then the inverse Compton component should scale as  $\propto \dot{M}$  while the thermal component should scale as  $\propto \dot{M}^2$ , eventually overtaking the former for a mass-loss rate of  $\sim 10^{-5} M_{\odot} \text{ yr}^{-1}$ . The hardness ratio should have changed if for example thermal emission from the reverse-shocked plasma started to dominate or if the absorption column got reduced. Therefore, the emission continues to be dominated by the non-thermal inverse Compton component. This is confirmed by our exploration of the model parameter space following the MCMC method outlined in Section 3.1.

### 5.2. Density Enhancement

The supernova ejecta moves a thousand times faster than typical red supergiant winds and probes three centuries of mass-loss history during the period spanned by our observations. This potentially offers us a glimpse into the last stages of stellar evolution before core collapse. Inverse Compton flux scales directly with the number of seed photon (roughly constant during the plateau), mass-loss rate, and inversely with time. Therefore, we argue that one needs an enhanced density by a factor of  $\sim 27$  to account for the increased flux in the second epoch. If the mass-loss rate did not change between the times probed by the two *Chandra* observations, microphysical parameters like the acceleration efficiency has to change by an order of magnitude to explain the X-ray rise. We find a mass-loss rate of

$$\dot{M} = (1.9 \pm 0.4) \times 10^{-6} \left( \frac{v_w}{10 \text{ km s}^{-1}} \right) M_{\odot} \text{ yr}^{-1}, \quad (8)$$

for the second epoch of *Chandra* observations. However, this calculation is based on the scaling relation given in Equation (4), which was derived from the self similar solution of Chevalier (1982), assuming a steady wind. In Equation (4) the emission is also a function of the shock velocity, so if the supernova slowed down significantly between the two epochs, then it will increase the density enhancement required. Therefore, while the argument is physically well grounded, the result can be incorrect by a factor of a few. This should motivate investigations into the propagation of supernova blast waves into environments with density jumps. One such situation could be the evolution of supernovae in circumstellar wind-blown bubbles explored by Dwarkadas (2005).

## 6. DISCUSSION

The results presented here tell us about the circumstellar environments of the progenitors of Type IIP supernovae and the equipartition assumption often invoked in supernovae shocks. These are explored in brief below.

### 6.1. Equipartition in Radio Supernovae

Radio emission from non-thermal sources can be explained with various amounts of accelerated electrons and amplified magnetic fields (Pacholczyk 1970). It is however difficult to constrain the relative contribution of each. Burbidge (1956) demonstrated that assuming minimum energy in the emission region implies that these energy densities are approximately in equipartition. Scott & Readhead (1977) observed that for sources having low-frequency spectral turnovers, the total energy is within a factor of a few of the equipartition energy. Kulkarni et al. (1998) used the equipartition argument to estimate the radius expansion

of the GRB-associated SN 1998bw. Chevalier (1998) noted that the inferred radii of synchrotron self-absorbed sources are insensitive to the assumption of equipartition. Therefore, independent size measurements do not tightly constrain the equipartition parameter  $\alpha$ . Chandra et al. (2004) suggested looking for a spectral break so that the magnetic field and the size of the radio-emitting region are determined through unrelated methods.

Using SN 2004dj as a prototype, Chakraborti et al. (2012) demonstrated that if the inverse Compton scattered supernova photons can be detected in the X-rays along with radio synchrotron emission, the parameters of the system can be derived without the help of the equipartition argument. Soderberg et al. (2012) explained the radio and X-ray properties of SN 2011dh using the synchrotron and inverse Compton mechanisms respectively with  $\alpha \sim 30$ , while Maeda (2012) found  $\alpha \lesssim 1$  and Horesh et al. (2012) found  $\alpha \sim 1000$ . In such a confusing situation, it is very important to test the equipartition assumption for radio supernovae with the help of contemporaneous X-ray observations. Barniol Duran et al. (2013) have similarly shown that for sources where the synchrotron self-Compton component can be identified, the equipartition argument is not necessary. In this work, by comparing the radio synchrotron and X-ray inverse Compton flux densities, we have determined the equipartition factor for SN 2011ja. Our result shows that the plasma in SN 2011ja is indeed close to equipartition.

## 6.2. Progenitors of Type IIP Supernovae

Massive stars ( $M \gtrsim 8 M_{\odot}$ ) evolve from a main-sequence blue giant to a red supergiant and then explode as supernovae. Such a sequence of events is consistent with observations of most Type IIP supernovae, their progenitors, and circumstellar interaction. Here, we compare the observed mass-loss rates of the progenitor of SN 2011ja with those of its expected progenitors. MESA (Paxton et al. 2011) was used to evolve stars with masses between 11 and 19  $M_{\odot}$ , for a metallicity of  $z = 0.5 Z_{\odot}$ . Paxton et al. (2011, Section 6.6) describe the mass-loss prescription used in our simulations as the *Dutch Scheme*. This prescription turns on a red giant branch (RGB) wind at the correct burning stage. Changes in surface temperatures in different evolutionary stages are also taken into account. The supernova ejecta encounters the mass lost during the RGB phase, which follows the prescription from de Jager et al. (1988). We evolved the stars until they reached a central density of  $10^{12} \text{ g cm}^{-3}$ . We averaged the mass loss over the final hundred years to obtain our fiducial values. The supernova ejecta should encounter this circumstellar matter over the first months after explosion.

The results of the above simulation are compared with the observations in Figure 5. For this comparison, recall that with time circumstellar interaction probes deeper into the progenitor's mass-loss history. Note that the circumstellar density observed in the first epoch, corresponding to the final stage of mass loss before core collapse, is far below expected values for red supergiant progenitors. Chugai et al. (2007) also noted a similar disagreement for mass-loss rates obtained from optical spectroscopy of SN 1999em and SN 2004dj. Similar values are derived by Chakraborti et al. (2012) for the mass-loss rate of SN 2004dj from X-ray spectroscopy. Note however that by the second epoch of observations, corresponding to an earlier stage of the progenitor star's life, the mass loss is consistent with that from a red supergiant of  $M \gtrsim 12 M_{\odot}$ . Similarly, Kochanek et al. (2012) used X-ray observations of SN 2012aw to infer a varying mass-loss rate.

The mass-loss rate implied by Equation (6) is very low for a slow-moving red supergiant wind, but not for a fast-moving wind of a blue giant. At the same mass-loss rate, a faster wind sets up a lower circumstellar density. For example, the progenitor of SN 1987A was identified to be a blue giant (Arnett et al. 1989 and references therein). The circumstellar environment of a red supergiant is set up by its slow and dense stellar wind. However, if the star becomes a blue giant again before it undergoes core collapse, it will blow a hot low-density bubble within the red supergiant wind. In such a situation, the supernova remnant will encounter little circumstellar matter at early times but have stronger interaction subsequently. This is indeed consistent with our observation and analysis of SN 2011ja. Such an interaction was predicted by Chevalier & Liang (1989) for SN 1987A, while Luo & McCray (1991) predicted a sharp rise in radio and X-ray luminosities. This rise was subsequently observed by Staveley-Smith et al. (1992).

Bauer et al. (2008) suggested that a similar situation can explain the observations of the exotic Type IIn SN 1996cr. Dwarkadas et al. (2010) studied the increasing X-ray flux from SN 1996cr using a hydrodynamical model, computing non-equilibrium ionization spectra and light curves, then fitting them to observations, to fully understand the circumstellar environment. Circumstellar interaction in this and other (Chandra et al. 2012) Type IIn have received recent attention. Ofek et al. (2013) have observed of a remarkable mass-loss event detected 40 days prior to the explosion of the Type IIn supernova SN 2010mc. Radio and X-ray observations of SN 2003bg (Soderberg et al. 2006), the ordinary Type Ic SN 2007gr (Soderberg et al. 2010), and the broad-lined Type Ic SN 2007bg (Salas et al. 2013) have revealed their complex circumstellar environments. Wellons et al. (2012) explored the unusual circumstellar environments for Type Ibc Supernovae 2004cc, 2004dk, and 2004gq. The peculiar SN 1987A is also interacting with a complex circumstellar environment (Dewey et al. 2012). Compared to Type IIn and Type Ibc supernovae, a few Type IIP supernovae have had their environments studied in detail like supernovae 1999em (Pooley et al. 2002) and 2004et (Misra et al. 2007). Here, we suggest that the regular Type IIP SN 2011ja is undergoing interaction with a complex circumstellar environment set up by a non-steady wind. Multiple epochs of contemporaneous X-ray and radio observations of nearby Type IIP supernovae will be required to explore this paradigm sufficiently.

## 7. CONCLUSIONS

Radio and X-ray observations of SN 2011ja allow us to measure microphysical parameters such as the ratio of energies which goes into accelerating electrons and amplifying magnetic fields. It is deduced that in this case, the plasma is not far from equipartition which is often assumed in the study of supernova circumstellar interaction. Radio observations have allowed us to constrain the date of explosion. Multiple epochs of *Chandra* observations have allowed us to demonstrate that the supernova initially encountered a low-density region, inconsistent with the expected mass-loss rate of a red supergiant progenitor. The fast-moving ejecta subsequently catches up to the slowly moving wind from a possibly  $M_{\text{ZAMS}} \gtrsim 12 M_{\odot}$  red supergiant. This interaction with a lower density region followed by stronger circumstellar interaction is consistent with an SN 1987A-like blue giant progenitor with a fast wind for SN 2011ja and indicates that a fraction of Type IIP supernovae may happen inside circumstellar bubbles blown by hot progenitors or with

complex circumstellar environments set up by variable winds. Radio observations at multiple frequencies and at multiple epochs are required to constrain the absorption mechanism, possibly synchrotron self-absorption or free-free absorption, responsible for the low-frequency turnover. If a rise at  $\sim 100$  days in X-ray fluxes as seen in SN 1999em (Pooley et al. 2002) and SN 2011ja (this work) is more common than expected, multiple *Chandra* observations of young nearby Type IIP supernovae are needed in the first year after explosion. In such a situation, for studies of circumstellar interaction of Type IIP supernovae, optical (Chugai et al. 2007), radio, and X-ray (Chevalier et al. 2006) spectra at multiple epochs are necessary to constrain the environments and progenitors of Type IIP supernovae.

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