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Performance evaluation of satellite-based approaches for the estimation of daily air temperature and reference evapotranspiration

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ABSTRACT

Different satellite-based radiation (Makkink) and temperature (Hargreaves-Samani, Penman-Monteith temperature, PMT) reference evapotranspiration (ET_o) models were compared with the FAO56-PM method over the Cauvery basin, India. Maximum air temperature (T_{max}) required in the ET_o models was estimated using the temperature–vegetation index (TVX) and an advanced statistical approach (ASA), and evaluated with observed T_{max} obtained from automatic weather stations. Minimum air temperature (T_{min}) was estimated using ASA. Land surface temperature was employed in the ET_o models in place of air temperature (T_a) to check the potency of its applicability. The results suggest that the PMT model with T_a as input performed better than the other ET_o models, with correlation coefficient (r), averaged root mean square error (RMSE) and mean bias error (MBE) of 0.77, 0.80 mm d⁻¹ and -0.69 for all land cover classes. The ASA yielded better T_{max} and T_{min} values (r and RMSE of 0.87 and 2.17°C, and 0.87 and 2.27°C, respectively).

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1 Introduction

It is essential to assess the key components of the hydrological cycle and also to manage water resources efficiently at the basin scale. Thus, it is crucial to have an accurate estimation of reference evapotranspiration (ET_o) over the Cauvery basin, which is one of the important river basins of peninsular India. ET_o is a climatic factor, estimated from climatic variables such as air temperature (T_a), solar radiation (R_s), relative humidity and wind speed. Owing to the difficulty in direct measurement of ET_o , indirect methods have been proposed, classified on the basis of their requirements of climatic variables, such as combination-type, radiation-type, temperature-type and mass-transfer-type methods. The Penman-Monteith (PM) method of the Food and Agriculture Organization (FAO) (FAO56-PM) is a combination method, and has a record of deriving accurate ET_o in most climatic regions, since it considers all the above-mentioned climatic variables. It is also recommended as a standardized method by the FAO. Most of the climatic variables required for the FAO56-PM method are hard to obtain because of the difficulty in maintaining the prescribed hypothetical conditions required for the estimation of ET_o at weather stations. Hence, alternative methods have

been proposed by various researchers (Blaney and Criddle 1962, Hargreaves and Samani 1985, De Bruin 1987), as these require fewer climatic variables and are readily available for most of the climatic conditions and regions. But many of these alternative methods are site-specific and require calibration before application to another region. Consequently, many researchers have compared various methods and validated them with the FAO56-PM method at point scale for their particular study region (Gavilan *et al.* 2006, Douglas *et al.* 2009, Aguilar and Polo 2011, Fotios and Andreas 2011, Valipour 2012, 2014, 2015a, 2015b, 2017, Todorovic and Pereira 2013, Raziei and Pereira 2013a, Kisi 2014, Senatore *et al.* 2015, Almorox *et al.* 2015, Valipour *et al.* 2017). In India, Nandagiri and Koor (2006) evaluated seven models for different ranges of Indian climate at the point scale.

Climatic variables obtained from meteorological stations are at the point scale. Using these, it is difficult to obtain spatial variations of ET_o . Even though spatial interpolation techniques have been developed to obtain spatial variations of climatic variables, these require a dense network of high-quality meteorological stations (Raziei and Pereira 2013b). Such networks are costly to install and maintain in developing countries. In contrast, remote sensing techniques can provide the required data

for estimation of ET_o at high spatial and temporal resolution in a feasible way. Hence, some authors have proposed a simplified methodology for the estimation of ET_o using remotely sensed data, employing available ET_o models. Rivas and Caselles (2004) examined the utility of land surface temperature (LST) over Argentina to estimate spatial ET_o using an equation based on the PM model. Temperature-based semi-empirical ET_o models were examined over Kenya by Maeda *et al.* (2011) using only Moderate Resolution Imaging Spectroradiometer (MODIS) LST. A few researchers have used T_a and geostationary satellite R_s data in radiation-based models to estimate ET_o for different study regions (e.g. Bois *et al.* 2008, De Bruin *et al.* 2010, Cammalleri and Ciraolo 2013, Cruz-Blanco *et al.* 2014a, 2014b). In some studies, numerical weather forecast data were successfully used in temperature- and radiation-based ET_o models (Silva *et al.* 2010, Cruz-Blanco *et al.* 2014a, 2015, 2014b). However, numerical weather forecast data are available at a coarse spatial resolution. In the above-mentioned studies, either satellite-based radiation or temperature-based ET_o models were employed for the particular study region, but none of the studies compared the performance of these models. Thus, there is an imperative need to study ET_o models, because many earlier studies were site-specific and performance varies depending on the prevailing weather variables. Moreover, these studies utilized meteorological station T_a at the point scale, or very coarse resolution forecast T_a data.

The T_a measured at 2 m above the ground surface is one of the important influencing parameters for ET_o . Zaksek and Schroedter-Homscheidt (2009) broadly classified the satellite-based derivation of T_a into three groups: (a) statistical approaches (simple and advanced); (b) temperature–vegetation index (TVX) approaches; and (c) energy balance approaches. Statistical approaches are site-specific and need local parameterization. The TVX approach is applicable during daytime (Prihodko and Goward 1997). Energy balance approaches are complex, time-consuming and require a large amount of data. Several authors have studied these approaches individually for different climatic regions (Mostovoy *et al.* 2006, Stisen *et al.* 2007, Vancutsem *et al.* 2010, Nieto *et al.* 2011, Benali *et al.* 2012, Lin *et al.* 2012, Shah *et al.* 2013, Wenbin *et al.* 2013, Rhee and Jungho 2014, Zeng *et al.* 2015). However, to date, no study has compared the performance of these approaches. Hence, there is a need to examine the usage of satellite-based T_a methods, since T_a is an indispensable parameter for ET_o estimation and is available at fine spatial and temporal resolutions. Generally, LST is used for T_a estimation in the above-

mentioned approaches, due to its regional–global availability from satellites and its close relationship to T_a (Gallo *et al.* 2011).

The main objective of this study is to obtain ET_o at high spatio-temporal resolution using limited weather variables. Therefore, performance evaluation of radiation-based (Makkink and Makkink-Advection), temperature-based (Hargreaves-Samani, HS), simple LST-based equation (SLBE), and Penman-Monteith temperature (PMT) models was carried out with the FAO56-PM method. For these ET_o models, minimum T_a (T_{min}) and maximum T_a (T_{max}) are crucial parameters. The quality of T_a data influences the ET_o values. Hence, comparison of the advanced statistical (ASA) and TVX approaches for estimation of T_{max} and T_{min} was conducted. Furthermore, LST was replaced by T_a in the ET_o models to check its pertinence. Comparison between ET_o values estimated by employing the above-mentioned ET_o models using LST and T_a (obtained from the best approach among TVX and ASA) as inputs was carried out. A schematic representation of these procedures is provided in Figure 1.

2 Study area

The Cauvery River is one of the major rivers of peninsular India and the river basin extends between 10°05′–13°30′N and 75°30′–79°45′E. The River basin covers an area of 81 155 km² and lies in the states of Karnataka, Kerala, Tamil Nadu and Pondicherry. It is one of the largest rivers of southern India and depends heavily on monsoon rains; hence it is prone to droughts when the monsoon fails. There is a serious conflict between Karnataka and Tamil Nadu states on the issue of sharing the river water. Due to this, accurate water management has become essential. In terms of physiography, the basin can be divided into three parts: the Western Ghats area, the Plateau of Mysore and the Delta area (CWC and NRSC (Central Water Commission and National Remote Sensing Centre) 2014). The Delta forms the lower part of the Cauvery basin and is the most fertile tract, while the Western Ghats consists of a mountainous region and runs parallel to the western coast (Fig. 2(a)). The mean T_{max} and T_{min} are 34.31 and 17.15°C, respectively, for the period 1969–2004 (<http://www.india-wris.nrsc.gov.in>). Precipitation varies substantially over the basin; while the western part of the basin receives the southwest monsoon (June–September), the northeast monsoon (October–December) serves the eastern part. The rainfall during other periods is insignificant. The basin receives mean annual precipitation of about 1075 mm/year. Annual rainfall (1970–2004) varies from 1700 to 3800 mm/year in the Western Ghats and 600 to 800 mm/year in the Plateau of

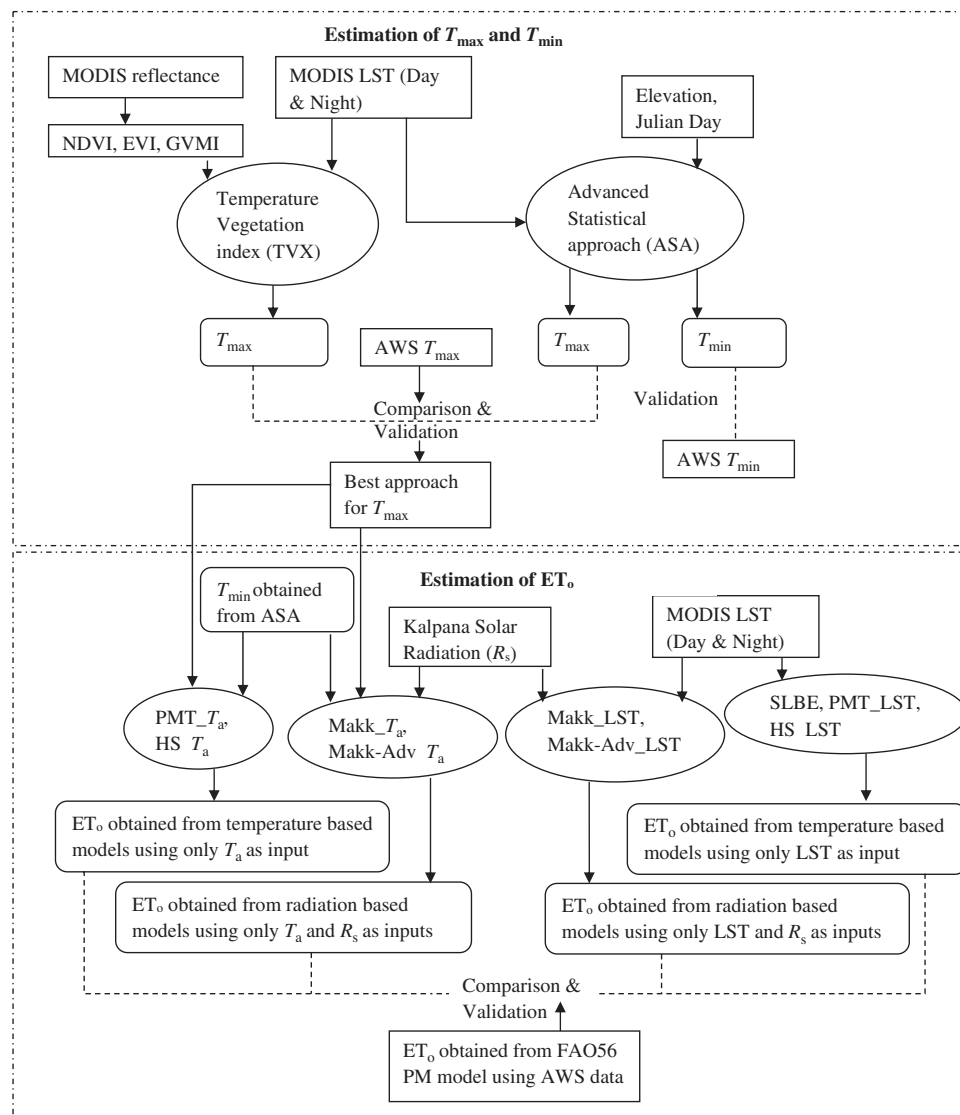


Figure 1. Schematic representation of methodology.

Mysore, while the Delta area receives 500–1000 mm/year (www.india-wris.nrsc.gov.in). Land use/land cover of the basin is broadly classified as agricultural, non-agricultural, forest and habitation land. Data for the year 2012 show more than 60% of the land in the Cauvery basin is cultivable, 1.15% is urban/built-up, 17.91% is forest regions and the remainder is non-cultivable. Finger millet and paddy are the principal crops of the Mysore Plateau and Delta regions, respectively.

3 Methodology

3.1 Data used and pre-processing

Data on T_{max} , T_{min} , relative humidity, wind speed and sunshine hours required for the estimation of ET_o using the FAO56-PM method were acquired from the

automatic weather stations (AWS) installed by the Indian Space Research Organization (ISRO). A total of 35 AWSs located within the basin were considered in this study (Table 1). According to the Indian Council of Agricultural Research (ICAR), Cauvery basin comprises three agro-climatic zones, namely the southern plateau and hill region, the east coast plains and hill region, and the west coast plain and ghats region (Ghosh 1991). This categorization is based on soil type, climate, temperature and its variations, rainfall and other agro-meteorological characteristics, as well as water demand and supply characteristics, including quality of water and aquifer conditions. Available stations within the Cauvery basin were grouped into three climatic regions according to ICAR, namely semi-arid, semi-arid to humid, and humid. In addition, observed R_s data obtained from a

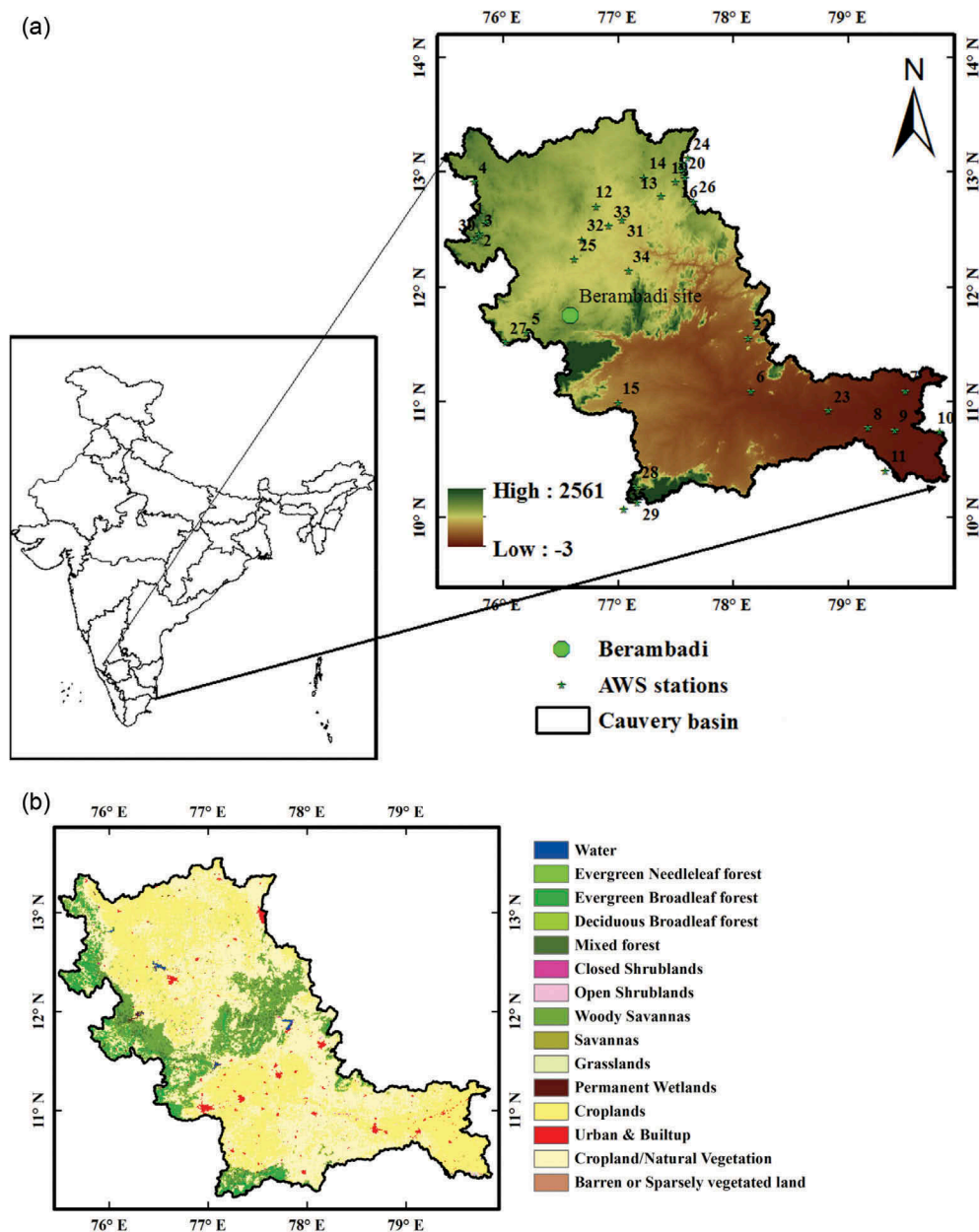


Figure 2. (a) Location of the study area with the automatic weather stations and land cover types indicated by numbers. (Stations 1–5 belong to land cover class F, 6–14 – class C, 15–18 – class U/BP and 19–35 – class C/NV). (b) MODIS land use/land cover map of the study area showing location of the Berambadi site, details of land cover classes, and elevation of the study area. F: forest, C: croplands and U/B: urban/built-up, C/NV: croplands/natural vegetation.

pyranometer for the years 2013 and 2014 were collected for the Berambadi site, which is located in the Gundalpet taluk of Karnataka State (11.76°N; 76.58°E) at an altitude of 870 m a.s.l. (Fig. 2(a)). The LST (MYD11A1) and reflectance (MYD09GA) values of near-infrared (NIR), Red, Blue and SWIR2 data were obtained from the MODIS sensor of the Aqua satellite. Since 2002, MODIS has been carried on the NASA (US National Aeronautics and Space Administration) polar-orbiting Aqua satellite, which passes from south to north at about 01:30/13:30 h local solar time each

day in sun-synchronous orbit. The MODIS sensor, with 36 bands, provides near-daily global coverage with high spatial resolution. Since the passing time of the satellite over the study region is in the afternoon, maximum LST can be seen during this time. Minimum LST occurs in the early morning. The difference between minimum LST and observed LST at around 02:00 h is the least. In this study, night-time LST refers to minimum LST and daytime LST refers to maximum LST; T_{\max} and T_{\min} measurements were selected corresponding to these. The R_s data were taken from the

Table 1. Description of land cover types and climatic characteristics of automatic weather stations in Cauvery basin. Lat.: latitude; Lon.: longitude; LULC: land use/land cover, F: forest, C: croplands, U/B: urban/built-up area, C/NV: croplands/natural vegetation; H: humid, SA: semi-arid, SA-SH: semi-arid/sub-humid.

No.	Station ID (Location)	Lat.	Lon.	LULC	Climate
1	ISRO607_15F25F (Kover Colly Tea Estate, Somwaripet)	12.57	75.85	F	H
2	ISRO608_15F260 (Elk Hill Estates, TBBTCL, Sodapur)	12.48	75.79	F	H
3	ISRO611_15F263 (Kottoli Village, K. Boikeri, Virajpet)	12.46	75.80	F	SA-SH
4	ISRO323_15F143 (ICRI, RS, Donigal, Sakleshpur)	12.92	75.75	F	H
5	ISRO434_15F1B2 (RARS Ambalavayal Wayanad)	11.61	76.21	F	H
6	ISRO169_15F0A9 (VC&RI, Namakkal)	11.10	78.16	C	SA
7	ISRO177_15F0B1 (TNRRI, Aduthurai, Thanjavur Dist.)	11.10	79.5	C	SA-SH
8	ISRO181_15F0B5 (SWMRI, Kattuthottam, Thanjavur)	10.78	79.18	C	SA-SH
9	ISRO182_15F0B6 (KVK, Nidamangalam, Thiruvarur Dist.)	10.76	79.41	C	SA
10	ISRO183_15F0B7 (KVK, Sikkal Nagapattinam Dist.)	10.75	79.80	C	SA-SH
11	ISRO186_15F0BA (ARS, Nadimuthu Nagar, Pattukottai)	10.41	79.33	C	SA-SH
12	ISRO387_15F183 (HLBC Subdivision, Nagamangala)	12.71	76.81	C	SA
13	3 ISRO834_15F342 (Scientist silk farm breeding, KSSRDI Bidadi, Ramanagara)	12.80	77.38	C	SA
14	ISRO844_15F34C (Dy. Director Sericulture, Govt. silk farm (m) Magadi)	12.96	77.23	C	SA
15	ISRO178_15F0B2 (TNAU, Main Campus, Coimbatore)	11.00	77.00	U/B	SA-SH
16	ISRO222_15F0DE (LPSC ISRO, Bangalore)	12.96	77.58	U/B	SA
17	ISRO223_15F0DF (ISRO HQ, Bangalore)	13.03	77.57	U/B	SA
18	ISRO315_15F13B (HQ, TC, IAF, JC Nagar, Hebbal, Bangalore)	13.01	77.57	U/B	SA
19	ISRO123_15F07B (RV College of Engineering, Bangalore)	12.92	77.50	C/NV	SA
20	ISRO124_15F07C (AICRP AGR, UAS GKVK, Bangalore)	13.08	77.57	C/NV	SA
21	ISRO170_15F0AA (HRS, Yercaud, Salem Dist.)	11.70	78.21	C/NV	SA-SH
22	ISRO172_15F0AC (KVK, Sandhiyur, Salem Dist.)	11.56	78.13	C/NV	SA-SH
23	ISRO179_15F0B3 (AEC&RI, Kumulur, Lalgudi, Trichy)	10.93	78.83	C/NV	SA
24	ISRO216_15F0D8 (AF Station, Yelahanka, Bangalore)	13.13	77.61	C/NV	SA
25	ISRO322_15F142 (CSRTI, Sorampura, Mysore)	12.25	76.62	C/NV	SA
26	ISRO325_15F145 (IIHR, Hessaraghatta lake post, Bangalore)	12.75	77.66	C/NV	SA
27	ISRO435_15F1B3 (CVAS Pookot Lakkidi Wayanad)	11.53	76.02	C/NV	H
28	ISRO443_15F1BB (President MGO Marayoor Idukki)	10.27	77.16	C/NV	H
29	ISRO444_15F1BC (KDHPCL Munnar Idukki)	10.14	77.17	C/NV	H
30	ISRO621_15F26D (Codagu Planters Association, GT Road, Madikeri)	12.42	75.75	C/NV	H
31	ISRO837_15F345 (Maddur)	12.59	77.04	C/NV	SA
32	ISRO838_15F346 (Sri Ranga Patnam)	12.42	76.69	C/NV	SA
33	ISRO839_15F347 (DD of Sericulture)	12.54	76.92	C/NV	SA
34	ISRO841_15F349 (Kollegala Mandal Sericulture)	12.15	77.09	C/NV	SA
35	ISRO995_15F3E3 (Anthoniar Colony Munnar)	10.08	77.05	C/NV	H

Kalpana-1 satellite, a geostationary satellite that was launched in 2002. It carries a Very High Resolution Radiometer (VHRR)/2 sensor and receives information from visible, infrared and thermal infrared bands at spatial resolutions of 2 km and 8 km. The MODIS LULC (MCD12Q1) product was used for segregating the LST pixels according to the International Geosphere Biosphere Programme (IGBP) classification (Friedl *et al.* 2010). Digital elevation data were obtained from the Shuttle Radar Topography Mission (SRTM). All the datasets considered are from the period 2012–2014. Details of the datasets used are provided in Table 2.

The MODIS LST (day/night) projections were changed from sinusoidal to geographical projection by employing a nearest-neighbour method using the MODIS reprojection tool developed by NASA (Dwyer and Schmidt 2006). These were aggregated from 1 km to the Kalpana-1/VHRR data at a spatial resolution of 2 km by spatial averaging. Reflectance values of NIR, Red, Blue and SWIR2 spatial resolutions were aggregated from 500 m to 2 km using spatial averaging, and these were used to derive vegetation indices. The MODIS LULC data were upscaled from 500 m to 2 km, and later used to segregate the pixels based on the IGBP classification. The SRTM elevations used in the

Table 2. Details of the datasets used. LST: land surface temperature ($^{\circ}\text{C}$); R_s : solar radiation ($\text{MJ m}^{-2} \text{d}^{-1}$).

Source	Parameter	Product name	Spatial resolution	Purpose
MODIS/Aqua	LST (day/night)	MYD11A1	1 km	Estimation of ET_o and T_a (max/min)
VHRR(2)/Kalpana-1	R_s	-	2 km	Estimation of ET_o
MODIS/Aqua	Reflectance values of NIR, Red, Blue and SWIR2 bands	MYD09GA	500 m	Estimation of vegetation indices
MODIS/Aqua	LULC	MCD12Q1	500 m	Segregation of ET_o
SRTM	Elevation	-	90 m	Prediction of T_a (max/min) and ET_o
AWS	RH (max/min), T_a (max/min), wind speed and sunshine hours	-	Point scale	Validation

estimation of T_a (max/min) were aggregated from 90 m to 2 km.

3.2 Estimation of satellite-based air temperature

In this study ASA and TVX approaches were employed for T_a (max/min) estimation and the results were validated with T_a (max/min) obtained from the AWS.

3.2.1 Statistical approaches

Most of the statistics-based approaches developed are based on relating satellite-based LST (max/min) and auxiliary data as predictors, with T_a (max/min) obtained from meteorological stations as the predictand (Mostovoy *et al.* 2006, Cristobal *et al.* 2008, Fu *et al.* 2011, Benali. *et al.* 2012, Rhee and Jungho 2014, Xu *et al.* 2014, Zeng *et al.* 2015). In this study, different statistical approaches from simple (one predictor variable, LST) to multiple (more than one predictor) were tested. In addition to LST (day/night), other auxiliary variables such as elevation, latitude, longitude and Julian day were selected as predictors for the estimation of T_a (max/min). Out of 14 models developed by Benali. *et al.* (2012), eight were selected for analysis of their performance for the study region (Table 3). Benali. *et al.* (2012) used a mixed bootstrap method with jack-knife resampling; however, in this study, only the bootstrap technique was employed to generate samples, since sufficient observed T_a values obtained from the AWSs were available over the study region (Bai and Wei. 2008). The eight models were selected depending on the availability of data for the study region: 70% of the available data (for 2012 and 2013) was used for calibration and the remaining 30% for validation of the models, and these datasets were employed in the generation of respective calibration

and validation of 1000 bootstrap samples. The Levenberg-Marquardt algorithm was employed to minimize the square of the absolute differences between predictions and observations. The obtained coefficients were later applied to estimate T_{\max} and T_{\min} from the validation datasets. The statistical relationship between estimated T_{\max}/T_{\min} and observed T_{\max}/T_{\min} was quantitatively evaluated by computing statistical error indices. Information about the statistical error indices employed is given in Section 3.4.

3.2.2 Temperature-vegetation index (TVX) approach

Prihodko and Goward (1997) proposed a contextual method by fitting a linear relationship between spectral vegetation index (VI) and LST. Estimation of T_{\max} is based on the assumption that the bulk temperature of an infinitely thick vegetation canopy is close to the ambient air temperature. Several authors have applied the TVX method to check the potential of the method for various climatic regions using data from different sensors (Stisen *et al.* 2007, Vancutsem *et al.* 2010, Nieto *et al.* 2011, Shah *et al.* 2013, Wenbin *et al.* 2013). Nevertheless, the mandatory negative linear relationship between the normalized difference vegetation index (NDVI) and LST is difficult to attain in several conditions due to the influence of seasonality, ecosystem type and soil moisture variability (Benali. *et al.* 2012). Thus, T_{\max} was calculated by applying maximum VI (VI_{\max}) from a simple linear equation, which was obtained by ascertaining the linear relationship between LST and VI. In the past, only NDVI has been considered for T_{\max} estimation (Prihodko and Goward 1997, Stisen *et al.* 2007, Vancutsem *et al.* 2010, Nieto *et al.* 2011). Conventional NDVI is saturated when leaf area index > 3.5 and this can be avoided by employing the enhanced VI (EVI) and

Table 3. Goodness-of-fit indicators computed between T_a values obtained from eight models and from AWSs. r : Pearson correlation coefficient; RMSE: root mean square error; NSE: Nash-Sutcliffe efficiency; a to e : model coefficients (see Appendix 3 for values used in ASA); LST_{day} : daytime land surface temperature, LST_{night} : night-time land surface temperature; peak and phase are coefficients of the cosine function; JD: Julian day; ele: elevation.

Model no.	Model equation	T_{\max}			T_{\min}		
		r	RMSE	NSE	r	RMSE	NSE
1	$aLST_{\text{day}} + b$	0.75	2.71	0.55	0.57	3.44	0.32
2	$aLST_{\text{night}} + b$	0.74	2.75	0.53	0.84	2.29	0.69
3	$aLST_{\text{day}} + bLST_{\text{night}} + c$	0.82	2.32	0.67	0.84	2.25	0.71
4	$aLST_{\text{day}} + \left\{ b \cos \left[\Pi \left(\frac{JD + \text{peak}}{\text{phase}} \right) \right] \right\} + c$	0.74	2.74	0.54	0.58	3.43	0.32
5	$(aLST_{\text{day}} + b) \cos \left[\Pi \left(\frac{JD + \text{peak}}{\text{phase}} \right) \right] + cLST_{\text{night}} + d$	0.74	2.75	0.54	0.84	2.30	0.69
6	$aLST_{\text{day}} + bLST_{\text{night}} + c \left\{ \cos \left[\Pi \left(\frac{JD + \text{peak}}{\text{phase}} \right) \right] \right\}$	0.82	3.22	0.36	0.84	2.33	0.68
7	$aLST_{\text{day}} + \left\{ b \cos \left[\Pi \left(\frac{JD + \text{peak}}{\text{phase}} \right) \right] \right\} + c \times \text{ele}$	0.73	2.79	0.52	0.62	3.31	0.37
8	$aLST_{\text{day}} + bLST_{\text{night}} + c \left\{ \cos \left[\Pi \left(\frac{JD + \text{peak}}{\text{phase}} \right) \right] \right\} + d \times \text{ele} + e$	0.83	2.29	0.68	0.83	2.34	0.68

global vegetation moisture index (GVMI) (Le Naire *et al.* 2006, Guerschman *et al.* 2009). The GVMI is asymptotically linked to equivalent water thickness (Guerschman *et al.* 2009) and the presence of vegetation moisture influences the variations in T_a . Here, an attempt is made to examine the potential of two other vegetation indices, namely EVI and GVMI, in estimating T_{max} . The accuracy of this approach depends on two important points. Firstly, there should be a strict negative relationship between LST and VI and, secondly, the value of VI_{max} , since the assumption of this approach is that LST is of thick vegetation, is close to T_a . Many researchers have considered different values of maximum NDVI depending on their study region, and this varied from 0.65 to 0.90 (Prihodko and Goward 1997, Stisen *et al.* 2007, Vancutsem *et al.* 2010, Wenbin *et al.* 2013). In this study, maximum values of NDVI ($NDVI_{max}$), EVI (EVI_{max}) and GVMI ($GVMI_{max}$) varied between 0.40 and 0.90 for the various land cover classes individually in the estimation of T_{max} for the study region.

$$NDVI = \frac{NIR - RED}{NIR + RED} \quad (1)$$

$$EVI = G \cdot \frac{NIR - RED}{NIR + C_1RED - C_2BLUE + L} \quad (2)$$

$$GVMI = \frac{(NIR + 0.1) - (SWIR2 + 0.02)}{(NIR + 0.1) + (SWIR2 + 0.02)} \quad (3)$$

where NIR, RED, BLUE, SWIR2 are the reflectances of their respective bands. In this study, the values of G , C_1 , C_2 and L in the EVI equation (Equation (2)), which account for aerosol scattering and absorption (Guerschman *et al.* 2009), are 2.5, 6.0, 7.5 and 1.0, respectively.

3.3 Reference evapotranspiration models

Reference evapotranspiration (ET_o) is “the rate of evapotranspiration from a hypothetical reference crop with an assumed crop height of 0.12 m, a fixed surface resistance of 70 sec m^{-1} and albedo of 0.23, closely resembling the evapotranspiration from an extensive surface of green grass of uniform height, actively growing, well watered and completely shading the ground” (Allen *et al.* 1998, McMahan *et al.* 2013, Pereira *et al.* 2015). The standardized reference evapotranspiration equation can be expressed as (McMahan *et al.* 2013):

$$ET_o = \frac{0.408\Delta(R_n - G) + \gamma \frac{900}{T_{mean} + 273} u_2 (e_s - e_a)}{\Delta + \gamma(1 + 0.34u_2)} \quad (4)$$

where ET_o is the daily reference crop evapotranspiration ($mm\ d^{-1}$), T_{mean} is the mean daily T_a ($^{\circ}C$) at 2 m, u_2 is the average daily wind speed ($m\ s^{-1}$) at 2 m, R_n is the net daily radiation ($MJ\ m^{-2}\ d^{-1}$), G is the soil heat flux ($MJ\ m^{-2}\ d^{-1}$), $e_s - e_a$ is the vapour pressure deficit (kPa), γ is the psychrometric constant ($kPa\ ^{\circ}C^{-1}$) and Δ is the slope of the saturation vapour pressure curve ($kPa\ ^{\circ}C^{-1}$). In this study, the FAO56-PM equation was applied to estimate ET_o at the point scale by applying data of AWSs as inputs. These results were then used for validation of ET_o obtained using satellite-based approaches (details are given in the following sections).

3.3.1 Radiation-based models

In 1957, Makkink proposed a radiation-based formula by modifying the Penman equation which requires only R_s and T_a data (Arellano and Irmak 2016). De Bruin (1987) further simplified the Makkink equation to estimate ET_o accurately under non-water stress conditions (Cruz-Blanco *et al.* 2014b), which can be expressed as:

$$ET_o = C_{Mak} \frac{1}{\lambda} \frac{\Delta}{\Delta + \gamma} R_s \quad (5)$$

where C_{Mak} is a simplification parameter, which varies from 0.63 to 0.90 for different climatic conditions (De Bruin 1987, De Bruin *et al.* 2010, Stewart *et al.* 1999, Schuttemeyer *et al.* 2007, Bois *et al.* 2008, Cammalleri and Ciraolo 2013), R_s is solar radiation. and the other parameters are as explained in Section 3.3. De Bruin *et al.* (2012b) introduced the advection-based revised Makkink equation by accounting for advection effects under semi-arid conditions, which can be expressed as:

$$ET_o = \frac{1}{\lambda} [0.38 + 0.015(T_a - 12)] R_s \quad (6)$$

3.3.2 Temperature-based models

In the absence of radiation measurements, the simplified temperature models work better in semi-arid and arid climates, as reported by Verhoef and Feddes (cited from Di Stefano and Ferro 1997). These models require only T_a or LST data for the estimation of ET_o . Many temperature-based models are available and they have been successfully applied worldwide (e.g. Thornthwaite 1945, McCloud 1955, Hamon 1961, Blaney and Criddle 1962, Hargreaves and Samani 1985). In most cases, the Hargreaves-Samani (HS) equation performed better than other models (Di Stefano and Ferro 1997, Gavilan *et al.* 2006, Aguilar and Polo 2011, Maeda *et al.* 2011, Todorovic. and Pereira 2013, Kisi 2014, Almorox *et al.* 2015). Hence, in this study, the HS model was selected among the available empirical temperature-based equations.

3.3.2.1 The Hargreaves-Samani (HS) equation. The HS equation is an empirical equation and requires only T_{mean} , T_{max} , T_{min} and extraterrestrial radiation (R_a) for derivation of ET_o . This equation tends to overestimate in humid climates, since it requires only T_a data and varies with it, therefore requiring regional calibration for better performance. The HS equation can be written as:

$$ET_o = 0.408C_H R_a (T_{\text{mean}} + 17.8) \cdot (T_{\text{max}} - T_{\text{min}}) \quad (7)$$

where R_a is extraterrestrial solar radiation ($\text{MJ m}^{-2} \text{d}^{-1}$) and C_H is the Hargreaves empirical coefficient, set to 0.0023 for arid and semi-arid regions.

3.3.2.2 The Penman-Monteith temperature (PMT) model. The inputs required in the FAO56-PM equation for ET_o estimation are R_n , $e_s - e_a$ and u_2 , and these may be estimated by employing alternative methods, which use only T_{max} and T_{min} , in the case of non-availability of other climatic variables over the study region. This approach is known as the Penman-Monteith temperature (PMT) model (Todorovic and Pereira 2013, Raziei and Pereira 2013a). In this study, the procedures evolved by Todorovic and Pereira (2013) and Raziei and Pereira (2013a) were followed to estimate the parameters R_n , $e_s - e_a$ and u_2 , and these were then employed in the FAO56-PM equation (Equation (4)) to derive ET_o for the Cauvery basin. The method is elaborated in Appendix 1.

3.3.2.3 The simple LST-based equation (SLBE). Rivas and Caselles (2004) proposed a new simplified equation for estimation of ET_o with LST and local standard meteorological data.

$$ET_o = aLST + b \quad (8)$$

where LST is land surface temperature obtained from satellite data. The parameters a and b depend on the local meteorological data, obtained by segregating the PM equation into a radiation term and an aerodynamic term, and these can be expressed as:

$$a = \left[\frac{\Delta}{\Delta + \gamma \left(1 + \frac{r_c}{r_a} \right)} \right] \left(\frac{-c\epsilon_s \sigma}{\lambda} \right) \quad (9)$$

$$b = \left[\frac{1}{\Delta + \gamma \left(1 + \frac{r_c}{r_a} \right)} \right] \frac{1}{\lambda} \left\{ \Delta \left[(1 - \alpha) R_s + \epsilon_s \sigma (\epsilon_a T_a^4 - d) - G \right] + \rho C_p \left(\frac{e_s - e_a}{r_a} \right) \right\} \quad (10)$$

where ϵ_s is the emissivity of the surface, σ is the Stefan-Boltzmann constant ($4.9 \times 10^{-9} \text{ MJ m}^{-2} \text{K}^{-4} \text{d}^{-1}$), r_c is the crop canopy resistance (s m^{-1}), r_a is the aerodynamic resistance (s m^{-1}), α is the albedo of the surface, and the other parameters are as elaborated previously.

In this study, in place of T_a data, LST values were employed in the HS (HS_LST), PMT (PMT_LST) and Makkink (Makk_LST) models. As mentioned in Section 3.2, the best T_a values obtained between the two approaches were employed in the HS (HS_ T_a), PMT (PMT_ T_a), simple Makkink (Makk_ T_a) and advection-revised Makkink (Makk_adv_ T_a) equations.

3.4 Performance evaluation indices

Estimated T_{max} and T_{min} were validated with observed T_{max} and T_{min} values obtained from the AWSs. Furthermore, ET_o estimated using temperature- and radiation-based methods was evaluated with ET_o calculated using the FAO56-PM model at the AWSs (referred to herein as observed values). The Pearson correlation coefficient (r) was used to measure the correlation between the estimated and observed values. The value of r varies from -1 to $+1$, where 0 indicates no correlation and ± 1 high correlation. The Nash-Sutcliffe efficiency criterion (NSE; Nash and Sutcliffe 1970) varies from $-\infty$ to 1 . An efficiency of 1 represents a perfect match between estimated and observed values and 0 indicates that the estimated values are equal to the mean of the observed values. Negative NSE indicates that the observed mean is better than the estimated values. This is sensitive to means and variances of the differences found between the observed and estimated values. Root mean square error (RMSE) is one of the commonly used error indices. Lower RMSE indicates better performance of the model (Moriassi *et al.* 2007). Mean absolute percentage error (MAPE) is a measure of prediction accuracy. Mean bias error (MBE) is the average difference between the estimated and observed values. The equations for calculating these goodness-of-fit indicators are given in Appendix 2.

4 Results and discussion

4.1 Estimation of satellite-based air temperature

The values of T_{max} and T_{min} were estimated using ASA and TVX approaches (as described in Section 3.2). These estimated T_{max} and T_{min} were validated with the corresponding AWS T_a data. The performance of the selected methodologies was analysed by considering data of all the stations using the statistical error indices r , RMSE and NSE. The better T_{max} results obtained among

the mentioned approaches and T_{\min} were utilized in the estimation of ET_o .

The error indices of both T_{\max} and T_{\min} obtained by the eight models are given in Table 3. The results indicate that, of these, Models 3 and 8 performed best, with high r values of 0.84 and 0.83, NSE of 0.71 and 0.68, and low RMSE of 2.25 and 2.29°C, respectively. Together, LST_{day} and LST_{night} could explain 82 and 84% of variability in T_{\max} and T_{\min} , respectively. This indicates a strong relationship between LST and T_a . The addition of Julian day and elevation into the model increased the accuracy in T_{\max} estimation, but did not improve accuracy in T_{\min} estimation. Coefficients of the considered models (for the estimation of T_{\max} and T_{\min}) are provided in Appendix 3. Scatterplots between predicted T_{\max} , T_{\min} (obtained from the best models) and observed T_{\max} , T_{\min} for the year 2014 are shown in Figure 3. The results in Figure 3 indicate that Model 3 and Model 8 (Table 3) estimated T_{\min} and T_{\max} more accurately (r , RMSE and NSE of 0.87, 2.27°C and 0.76, and 0.87, 2.17°C and 0.75, respectively).

Spatial variations of T_{\max} and T_{\min} for the year 2014 representing different seasons are shown in Figure 4(c) and (d). The Western Ghats have low T_{\max} and T_{\min} compared to other regions. This is due to higher elevation and forest cover. Summer season (DOY 89) has higher T_{\max} and T_{\min} than other seasons. One of the disadvantages of using satellite data is the presence of cloud cover causing gaps in the datasets, represented by white, as may be seen in Figure 4(c) and (d). The accuracy of T_{\max} and T_{\min} estimation depends on the quality of the LST product and the satellite passing time. In this study, the Aqua satellite LST product was used, which improves the T_{\min} estimation compared to

other satellites, as its passing time is closer to the time of T_{\min} .

The T_{\max} was estimated using the TVX approach by varying the maximum values of the three vegetation indices NDVI, EVI and GVMi (Equations (1)–(3)). Statistical analysis was performed between these estimated T_{\max} values and the observed T_{\max} , and the results are depicted in Figure 5. Scatter plots between estimated T_{\max} using the best maximum vegetation index and observed T_{\max} for forest (F), croplands (C) and croplands/natural vegetation (C/NV) land cover classes are shown in Figure 5(1). Maximum vegetation index values for the considered land cover classes were selected individually depending on the accuracy of T_{\max} estimation. Accuracy was adjudicated based on RMSE and r , and these were computed for the F, C and C/NV land cover classes by varying the maximum vegetation index values from 0.9 to 0.4, with an interval of 0.05 (Fig. 5(2)).

As the $NDVI_{\max}$ was reduced for the forest class, RMSE decreased from 3.84 to 3.01°C at $NDVI_{\max} = 0.8$ and, after this, began to increase from 3.12 to 5.10°C. However, r gradually decayed from 0.66 to 0.12 as $NDVI_{\max}$ was reduced (Fig. 5(2,a)). In the case of the croplands class, RMSE showed less variation for different $NDVI_{\max}$ values and RMSE was lowest at $NDVI_{\max} = 0.75$, and r values gradually increased from 0.70 to 0.78 as $NDVI_{\max}$ was decreased (Fig. 5(2,b)). For the C/NV class, RMSE and r gradually increased from 5.25 to 6.84°C and 0.58 to 0.68, respectively, and an optimal value of $NDVI_{\max} = 0.75$ was selected (Fig. 5(2,c)). Thus, $NDVI_{\max}$ values of 0.8, 0.75 and 0.75 were selected for F, C and C/NV classes, respectively. At these $NDVI_{\max}$ values, r and RMSE between estimated T_{\max} and observed T_{\max} were found to be 0.63 and 3.19°C, 0.72 and 8.15°C, and 0.60 and 5.44°C for F, C, C/NV classes, respectively (Fig. 5(1,a–c)).

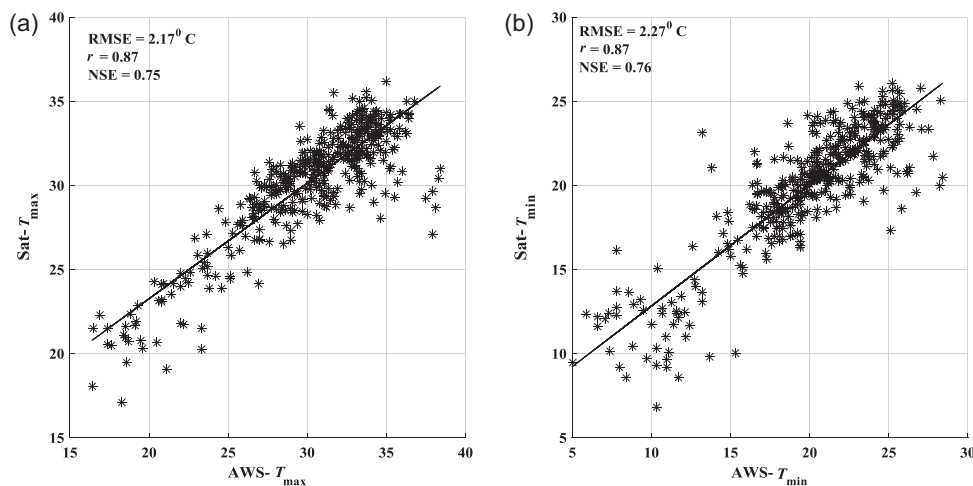


Figure 3. Scatter plots between predicted and observed (a) T_{\max} and (b) T_{\min} .

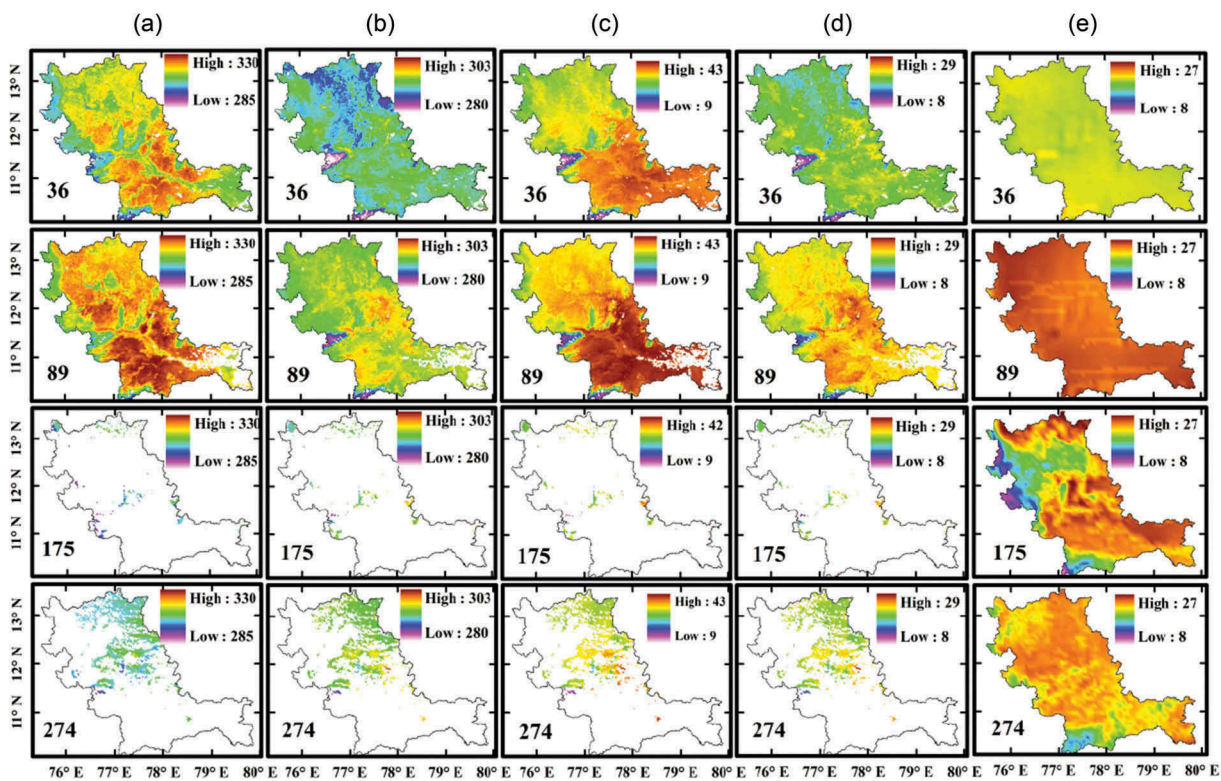


Figure 4. Spatial distributions of (a) LST_{day} (K), (b) LST_{night} (K), (c) T_{max} ($^{\circ}C$), (d) T_{min} ($^{\circ}C$), and (e) R_s ($MJ\ m^{-2}\ d^{-1}$) on days 36, 89, 175 and 274 of the year 2014, representing different seasons.

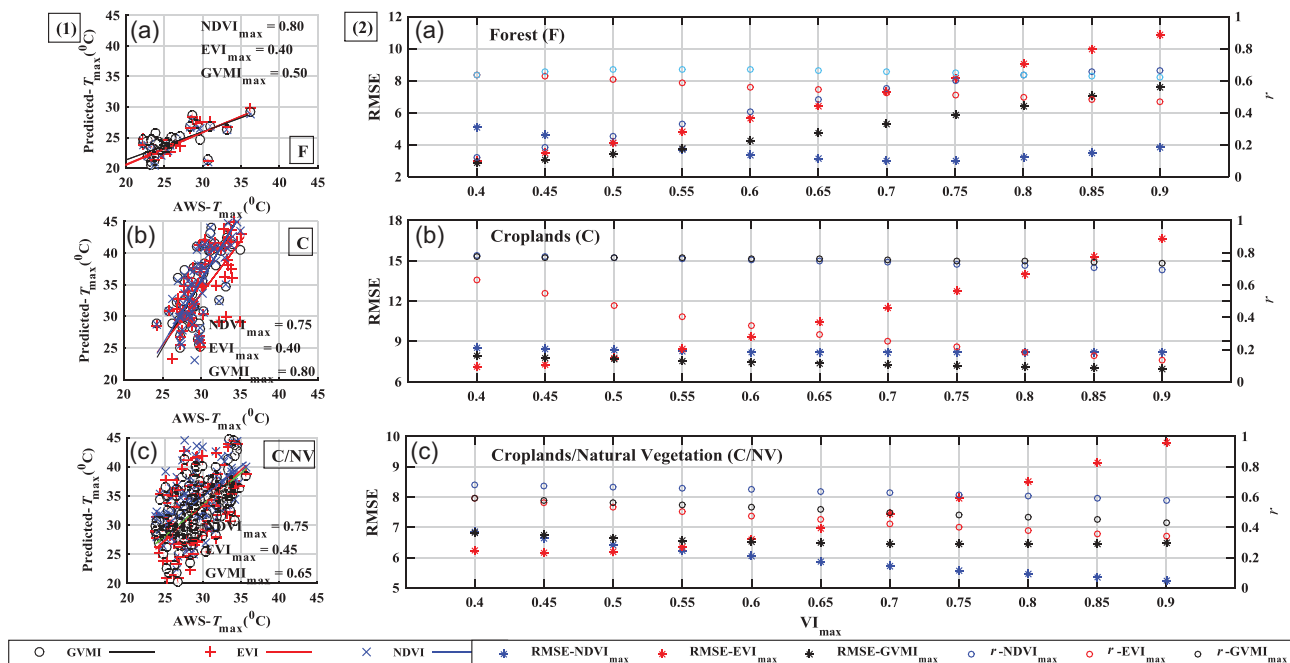


Figure 5. (1) Scatter plots between predicted T_{max} and AWS T_{max} ; and (2) variations of RMSE and r values obtained between predicted T_{max} and AWS T_{max} for varying VI_{max} for (a) forest, (b) croplands, and (c) croplands/natural vegetation land cover classes.

For the EVI, as the EVI_{max} was reduced from 0.9 to 0.4 for the forest class, RMSE decreased from 10.84 to 3.01°C and r increased from 0.47 to 0.64 (Fig. 5(2,a)). However, for the croplands class, RMSE abruptly decreased from 16.63 to 7.13°C and r increased from 0.14 to 0.63 (Fig. 5(2,b)). In the case of croplands/natural vegetation, RMSE reduced from 9.81 to 6.22°C and r increased from 0.34 to 0.60 (Fig. 5(2,c)). Therefore, optimal EVI_{max} values of 0.4, 0.4 and 0.45 were selected for F, C and C/NV classes, respectively. At these values of EVI_{max} , r and RMSE between estimated T_{max} and observed T_{max} were calculated as 0.63 and 3.47°C, 0.55 and 7.30°C, and 0.57 and 6.14°C for F, C and C/NV classes, respectively (Fig. 5(1,a,b,c)).

As the value of $GVMI_{max}$ was reduced from 0.9 to 0.4, for the forest land cover class, RMSE gradually decreased from 7.60 to 2.85°C and r increased from 0.62 to 0.67 to a level of $GVMI_{max} = 0.55$, and eventually decreased to 0.60 (Fig. 5(2,a)). For the croplands class, RMSE and r gradually increased from 6.98 to 8.03°C and from 0.74 to 0.78, respectively (Fig. 5(2,b)). In the case of croplands/natural vegetation, the improvement in RMSE values, even after gradual reduction in $GVMI_{max}$, was small, whereas r showed a gradual increase from 0.43 to 0.61 (Fig. 5(2,c)). Therefore, the optimal values of $GVMI_{max}$ of 0.5, 0.8 and 0.65 were selected for F, C and C/NV classes, respectively. At these values of $GVMI_{max}$, r and RMSE between estimated T_{max} and observed T_{max} were calculated as 0.67 and 3.39°C, 0.77 and 7.67°C, and 0.56 and 6.64°C, for F, C and C/NV classes, respectively (Fig. 5(1,a-c)). Among the vegetation indices considered, $GVMI$ predicted better T_{max} for the F and C land cover classes compared to EVI and

traditional $NDVI$ vegetation indices for the study region, but no notable improvement was seen. This indicates that moisture content of vegetation influences T_{max} more than the density of vegetation. The $GVMI$ represents vegetation moisture content, whereas EVI and $NDVI$ represent density of vegetation; therefore, $GVMI$ performed better than EVI and $NDVI$. However, the TVX method yielded poor r with high RMSE values for the considered optical vegetation indices, compared to the ASA . Hence T_{max} and T_{min} values obtained using the ASA approach were used for the estimation of ET_o .

4.2 Validation of satellite-based solar radiation

Solar radiation (R_s) values obtained from the Kalpana-1 satellite were evaluated with the observed data, to assess the potential of their application for the estimation of ET_o . Observed R_s data were available only for Berambadi station, located in the croplands land cover class, for the years 2013 and 2014. Therefore, analysis was only performed for the croplands class. Linear regression between the AWS and satellite R_s values generated a slope of 0.68 (RMSE = 2.76 MJ m⁻² d⁻¹, $r = 0.86$) (Fig. 6(a)). Temporal patterns of the satellite R_s (Sat- R_s) data for both years were analysed; the statistical analysis indicated that Sat- R_s corresponded well with the observed R_s , with RMSE = 2.87 MJ m⁻² d⁻¹, $r = 0.88$ for 2013 and RMSE = 2.63 MJ m⁻² d⁻¹, $r = 0.79$ for 2014, as shown in Figure 6(b). This shows that Sat- R_s can be applied in radiation-based models over the Cauvery basin, as it is mostly covered by croplands. However, underestimation of Sat- R_s was

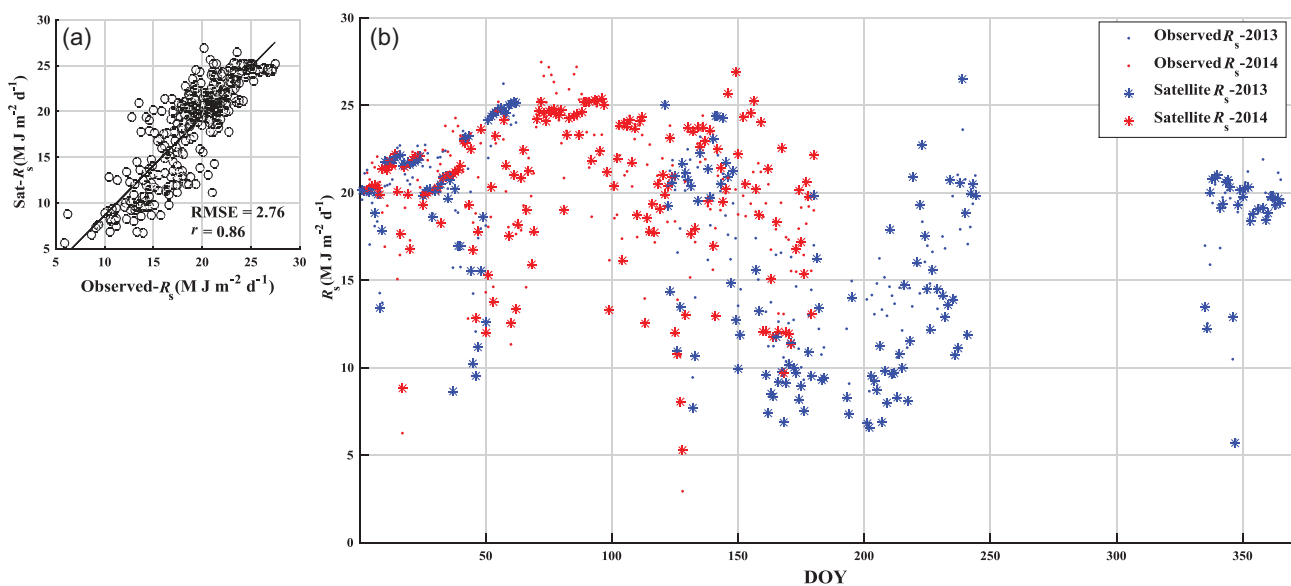


Figure 6. (a) Scatter plot between satellite and observed R_s values and (b) temporal variations of satellite and observed R_s for the years 2013 and 2014.

found for the years 2013 and 2014, with relative RMSE values of 18.66 and 15.84, respectively.

Spatial variation in $Sat-R_s$ for 2014, representing different seasons, is shown in Figure 4(e), illustrating that R_s values are smaller for the winter season (DOY 36), with very small spatial variation, whereas for the summer (DOY 89) they are greater than in other seasons, with less spatial variation for all land cover classes. In the case of the monsoon (DOY 175) and post-monsoon (DOY 274) seasons, R_s values are smaller for the Western Ghats region than for the other regions. During the monsoon season, the upper part of the basin has smaller R_s than the lower part because of the southwest monsoon. In contrast, during the post-monsoon season, the upper part of the basin has higher R_s than the lower part of the basin due to the northeast monsoon (Fig. 4(e)). During the monsoon and post-monsoon seasons, estimation of R_s is difficult because of the presence of dense clouds.

4.3 Evaluation of ET_o models

The considered LST- and T_a -based temperature (SLBE, HS, PMT) and radiation (Makk, Makk_adv) ET_o models were compared with the FAO56-PM ET_o estimated using AWS data at the point scale. Analysis of the estimated ET_o using satellite data was carried out separately by considering different land cover classes of the study region with various climatic conditions.

4.3.1 Statistical evaluation of ET_o for different land cover classes

The data for the years 2012, 2013 and 2014 were used to estimate ET_o by the temperature- and radiation-based models at 2 km spatial resolution. The ET_o values estimated using different datasets were segregated based on MODIS LULC data to assess the ET_o for various land cover classes. Based on the availability of satellite and AWS data, the analysis was performed for three land cover classes, namely forest, croplands and croplands/natural vegetation. Scatter plots between LST-based and AWS ET_o and between T_a -based and AWS ET_o for different land cover classes are presented in Figures 7 and 8, respectively. Initially, LST was employed in temperature- and radiation-based ET_o models instead of T_a , to examine the adoptability of LST data. Thereafter, T_{max} and T_{min} estimated using the ASA from LST_{day} and LST_{night} with auxiliary variables were applied in the ET_o models. The performance of these LST- and T_a -based temperature- and radiation-based ET_o models was assessed through the goodness-of-fit indicators RMSE ($mm\ d^{-1}$), r , MAPE (%) and MBE ($mm\ d^{-1}$) for the considered land cover classes. The statistical results imply that the LST-based SLBE model underestimated ET_o for forest, croplands and croplands/natural vegetation classes

(F: RMSE = 1.06, r = 0.53, MAPE = 23.98 and MBE = 0.92; C: RMSE = 0.42, r = 0.77, MAPE = 8.42 and MBE = 0.25; and C/NV: RMSE = 1.06, r = 0.73, MAPE = 18.38 and MBE = 0.64) (Fig. 7(a)). The HS_LST, PMT_LST and Makk_LST models predominantly overestimated ET_o for F, C and C/NV land cover classes (Fig. 7(b)–(d)). Among the considered LST-based ET_o models, SLBE satisfactorily predicted ET_o with smaller RMSE and MAPE for the considered land cover classes because (a) aerodynamic and (b) radiation terms in this equation were obtained using local weather station data, and these were applied for the entire basin. The HS_LST and PMT_LST methods depend solely on LST data; hence the ET_o values were found to be higher than the FAO56-PM ET_o , and this is due to higher LST than T_a over the study region. Nevertheless HS_LST and PMT_LST yielded high r values for the forest class. Statistical error indices showed that the Makk_LST model yielded better ET_o values than the HS_LST and PMT_LST models because R_s data were utilized along with LST.

Figure 8 illustrates the goodness-of-fit indicators computed by evaluating T_a -based radiation- and temperature-based ET_o models with PM ET_o . Compared to LST-based ET_o models, T_a -based models, such as HS- T_a , PMT- T_a , Makk- T_a and Makk-adv- T_a models, gave satisfactory ET_o values for all the land cover classes with smaller RMSE, MAPE and MBE (Fig. 8). High r values were observed for all land cover classes for all T_a -based ET_o models except for the Makk- T_a and Makk-adv- T_a equations for the forest class. This may be due to insufficient weather variables required to estimate ET_o . And also $Sat-R_s$ data used in these two equations needed evaluation for the forest class. T_{max} and T_{min} were estimated using the ASA approach by minimizing errors between LST_{day}/LST_{night} and T_{max}/T_{min} , which resulted in better performance of the T_a -based ET_o models. For the HS- T_a model, RMSE, MAPE and r ranged from, respectively, 0.77, 19.86 and 0.71 for forest to 1.14, 31.83 and 0.86 for croplands, with a mean bias of -0.87 (Fig. 8(a)). The PMT- T_a model yielded RMSE, MAPE and r values ranging, respectively, from 0.61, 14.93 and 0.71 for forest to 0.98, 27.24 and 0.84 for croplands, with a mean bias of -0.69 (Fig. 8(b)). In contrast, the Makk- T_a (Makk-adv- T_a) models gave RMSE values ranging from 0.95 (1.77) for C/NV to 1.08 (2.06) for croplands, with r in the range 0.49 (0.54) for forest to 0.85 (0.87) for croplands, and MAPE in the range 24.92 (47.85) for forest to 30.42 (57.72) for croplands, with a mean bias of -1.15 (-1.78) (Fig. 8(c) and (d)). Overall, the statistical error indices imply that the PMT- T_a model performed slightly better than other ET_o models for all considered land cover classes. However, for the croplands class, the SLBE ranked first and the PMT- T_a model ranked second by more accurately predicting ET_o values than other LST- and T_a -based ET_o models (Table 4).

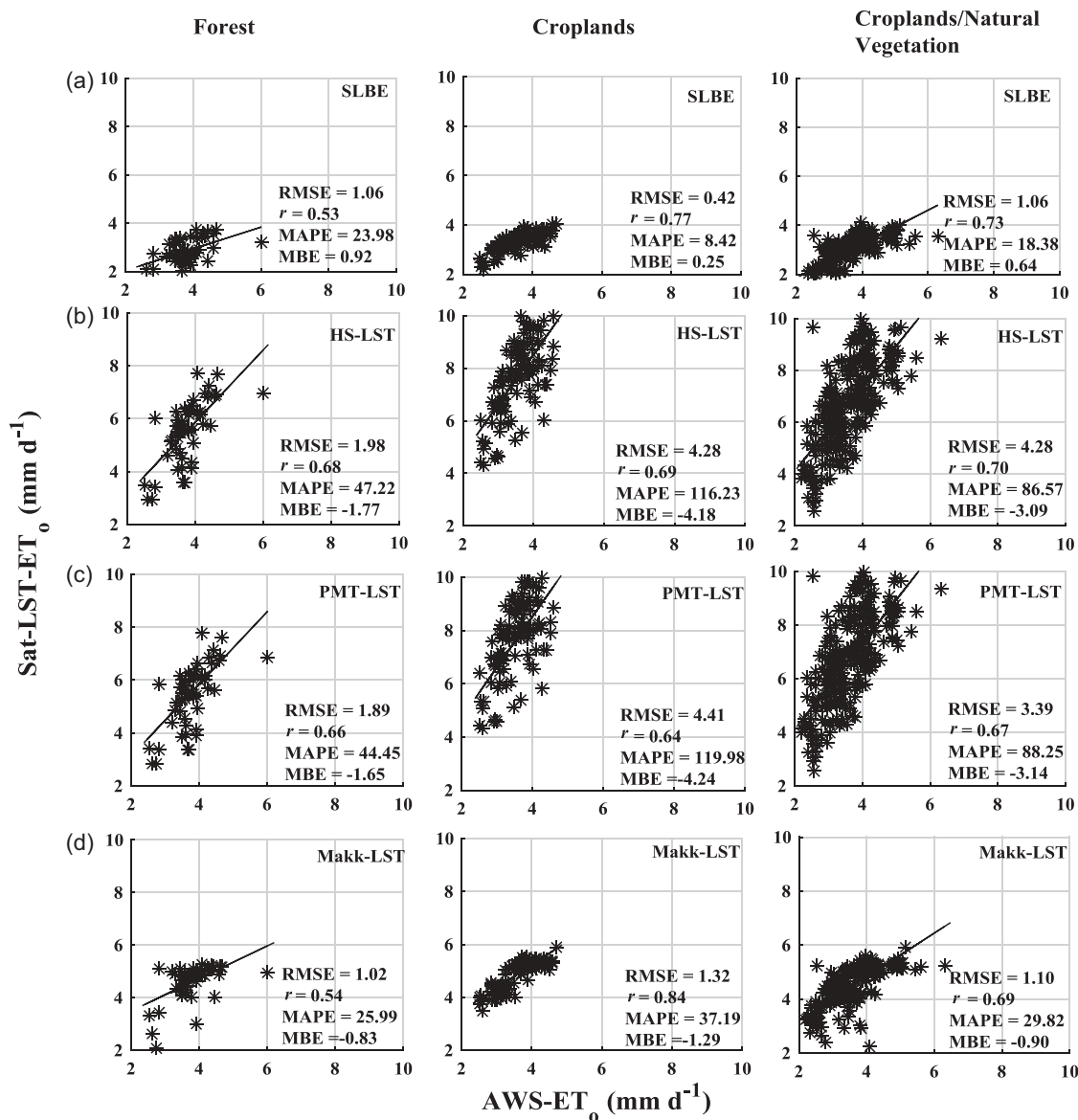


Figure 7. Scatter plots between estimated ET_0 using different LST-based ET_0 models from satellite data (Sat-LST- ET_0) and ET_0 values obtained from the FAO56-PM model using observed AWS data (AWS- ET_0) for forest, croplands and croplands/natural vegetation.

Considering the overall results, the PMT- T_a ET_0 model performed better, followed by the SLBE, HS- T_a and Makk- T_a , in that order.

4.3.2 Statistical evaluation of ET_0 for different climatic regions

In order to examine the performance of LST- and T_a -based ET_0 models, the data for all available stations were grouped into three climatic regions according to ICAR, namely semi-arid, semi-arid to sub-humid, and humid. The climatic characteristic of each station are given in Table 1. According to the statistical analysis, for the semi-arid climate the SLBE performed slightly better than the other ET_0 models (Table 5). This is because more of the meteorological stations considered

for parameterization of aerodynamic and radiation terms have semi-arid climates, hence better ET_0 values were estimated. The value of r was higher for the PMT- T_a model than the SLBE, implying that PMT- T_a can also perform better in semi-arid regions, as indicated in Table 5. Apart from the SLBE model, the other LST-based ET_0 models provided poor estimates of ET_0 , with higher RMSE values. In semi-arid to sub-humid climates, PMT- T_a ranked first, while HS- T_a and Makk- T_a ranked second and third, respectively, and the performance indices indicate satisfactory results of ET_0 models under semi-arid to sub-humid climate (Table 5). Similar results were obtained for humid climates, with smaller RMSE, MAPE and MBE values for the PMT- T_a model, but slightly higher r

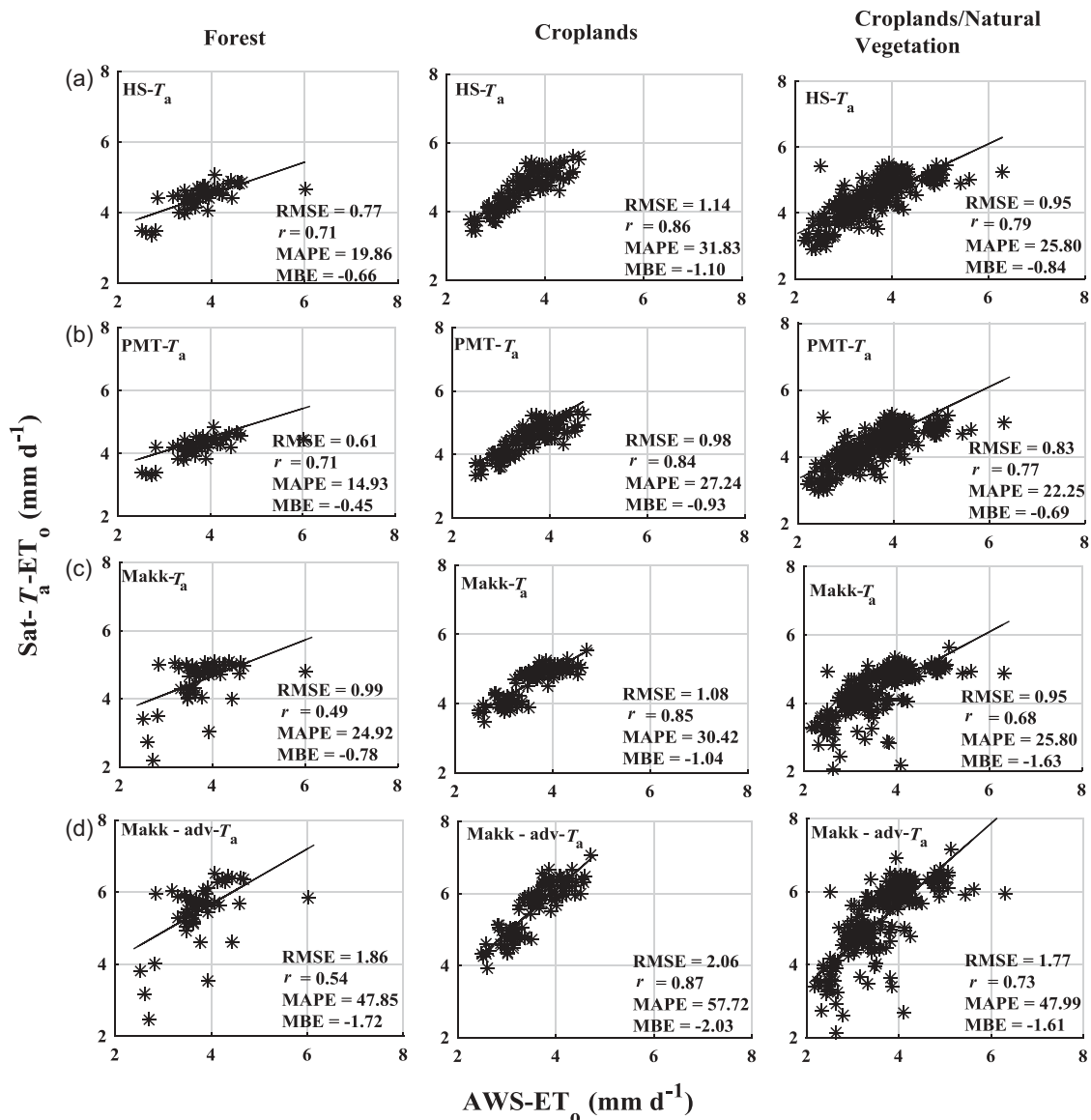


Figure 8. Scatterplots between estimated ET_0 using different T_a -based ET_0 models from satellite data ($Sat-T_a-ET_0$) and ET_0 values obtained from FAO56-PM using observed AWS data ($AWS-ET_0$) for forest, croplands and croplands/natural vegetation.

Table 4. Ranking of ET_0 models depending on the selected goodness-of-fit indicators obtained between ET_{0_LST} and $ET_{0_T_a}$ model-based estimates and FAO56 PM ET_0 . SLBE: simple LST-based equation; PMT: Penman-Monteith temperature; HS: Hargreaves-Samani; Makk-adv: Makkink-Advection.

Model	Land use/land cover		
	Forest	Croplands	Croplands/natural vegetation
SLBE	3	1	2
HS_LST	7	7	7
PMT_LST	6	8	8
Makkink_LST	5	5	5
HS- T_a	2	4	2
PMT- T_a	1	2	1
Makkink- T_a	4	3	3
Makk-adv- T_a	8	6	6

values for the $HS-T_a$ model than $PMT-T_a$ and other ET_0 models. In both the semi-arid to sub-humid and humid climates, considering the effect of air humidity, using T_{dew} instead of T_a and considering the effect of wind speed in $PMT-T_a$ yielded better ET_0 values. Overall, statistical analysis considering climatic characteristics suggested that the $PMT-T_a$ model would more often estimate ET_0 better than the other ET_0 models in comparison with the FAO56-PM ET_0 estimates, as indicated consistently with smaller RMSE, MAPE and MBE relative to those of the $HS-T_a$ and $Makk-T_a$ models, which ranked second and third, respectively. It is noteworthy that, in all climates, r values for the

Table 5. Statistical analysis of LST- and T_a -based ET_o models for semi-arid, semi-arid to sub-humid, and humid climates. RMSE ($mm\ d^{-1}$): root mean square error; r : Pearson correlation coefficient; MAPE: mean absolute percentage error; MBE: mean bias error. See Table 4 for model abbreviations. Bold indicates best performing models.

ET_o model	RMSE	r	MAPE	MBE	Rank
<i>Semi-arid</i>					
SLBE_LST	0.64	0.59	12.18	0.42	1
HS_LST	3.81	0.54	99.93	-3.62	5
PMT_LST	3.89	0.50	101.71	-3.68	6
Makk_LST	1.21	0.62	32.25	-1.10	3
HS_ T_a	1.06	0.68	27.85	-0.96	2
PMT_ T_a	0.90	0.67	23.44	-0.78	1
Makk_ T_a	1.02	0.62	26.89	-0.89	2
Makk-adv_ T_a	1.98	0.65	53.40	-1.89	4
<i>Semi-arid to sub-humid</i>					
SLBE_LST	0.84	0.60	17.89	0.70	4
HS_LST	2.86	0.66	64.85	-2.57	8
PMT_LST	2.84	0.64	63.43	-2.50	7
Makk_LST	0.95	0.75	23.28	-0.85	5
HS_ T_a	0.76	0.77	18.47	-0.70	2
PMT_ T_a	0.58	0.75	13.14	-0.48	1
Makk_ T_a	0.84	0.71	20.73	-0.74	3
Makk-adv_ T_a	1.91	0.75	47.45	-1.84	6
<i>Humid</i>					
SLBE_LST	1.14	0.71	33.49	1.04	3
HS_LST	2.12	0.48	62.49	-1.85	7
PMT_LST	2.19	0.40	64.38	-1.88	8
Makk_LST	1.17	0.56	35.74	-0.77	5
HS_ T_a	0.94	0.76	29.84	-0.84	2
PMT_ T_a	0.88	0.74	27.91	-0.76	1
Makk_ T_a	1.12	0.56	34.66	-0.74	4
Makk-adv_ T_a	1.58	0.62	46.95	-1.21	6

PMT_ T_a model were slightly low compared to the HS_ T_a model. Among the LST-based ET_o models, the SLBE performed better than the other models. The LST-based HS and PMT models consistently overestimated ET_o across all climatic regions relative to the other T_a - and LST-based ET_o models.

4.3.3 Spatial and temporal analysis of ET_o models

Figure 9 illustrates the spatial distribution of estimated ET_o using LST- and T_a -based ET_o models for various days of the year (DOY: 36, 89, 175 and 274), representing winter, summer, rainy season and post-monsoon season, respectively. All ET_o models yielded low ET_o over the Western Ghats and high ET_o in the croplands and croplands/natural vegetation land cover classes, as shown in Figure 9. The Western Ghats area is covered with forest, has higher elevation and humid climates and this resulted in lower ET_o estimates from the considered ET_o models. Most of the C and C/NV land classes are at lower elevations with semi-arid climates and therefore higher ET_o was observed for all the ET_o models. The LST-based ET_o models – HS_LST and PMT_LST – predominantly overestimated ET_o for all land cover classes and for all climatic regions, as shown by the statistical analysis. The next two models – Makk_LST and Makk-adv_ T_a – consistently overestimated ET_o ,

over the study region. The SLBE model slightly underestimated ET_o for all land cover classes compared to the other ET_o models.

For the summer season, larger ET_o estimates were obtained and smaller ET_o values were observed for the rainy season for all ET_o models. Due to the presence of clouds, thermal sensors failed to provide LST data and hence T_{max} , T_{min} and ET_o values could not be estimated for cloudy pixels, as indicated by white in Figures 4 and 9. Therefore, for the monsoon and post-monsoon seasons, it was hard to evaluate the spatial distribution of ET_o . The ET_o obtained for the lower part of the Cauvery basin was higher than that for the upper part and this was observed for all ET_o estimates from the considered models (Fig. 9). The ET_o values ranged from 0.5 to 5.0 $mm\ d^{-1}$ for the SLBE model, being a slight underestimation of observed ET_o , whereas, for the T_a -based HS and PMT models, ET_o values ranged from 0.5 to 8.0 $mm\ d^{-1}$, showing slightly better spatial patterns than the other ET_o models. Similarly, estimates by T_a - and LST-based Makkink models ranged from 2.5 to 6.0 $mm\ d^{-1}$, showing less spatial variation across the study region.

Furthermore, ET_o values estimated using LST- and T_a -based ET_o models were temporally evaluated with the FAO56-PM ET_o computed using AWS data. Temporal variations in ET_o obtained from the ET_o models considered are shown in Figure 10 for stations 15F07B and 15F34C, which belong to the C/NV and C land classes, respectively. According to Figure 10, the PMT_LST and HS_LST models overestimated ET_o compared to the Makk_LST and SLBE models for both C and C/NV land cover classes. Among the ET_o models considered, PMT_ T_a , HS_ T_a and Makk_ T_a performed better than the other ET_o models for croplands (croplands/natural vegetation) land cover classes, with r , RMSE ($mm\ d^{-1}$), MAPE and MBE ($mm\ d^{-1}$) of 0.89 (0.91), 0.37 (1.03), 8.01 (29.47) and -0.19 (-1.01), and 0.90 (0.92), 0.46 (1.18), 10.25 (33.97) and -0.35 (-1.17), and 0.71 (0.87), 0.60 (1.06), 12.28 (30.12) and -0.32 (-1.03), respectively, as shown in Figure 10.

The accuracy of ET_o estimation depends on the quality of the input data. Hence, it is necessary to check the quality of input data before application in ET_o models. Satellite-based ET_o models depend on the quality of LST data. In this study, LST data were used for both T_a and ET_o estimation. The use of T_a estimated from satellite data, rather than direct LST data, in the ET_o estimations could increase the accuracy of ET_o calculation and this has been amply proven in this study. Overall, statistical and spatial analysis showed that the PMT_ T_a model gave slightly better accuracy of ET_o estimates relative to the HS_ T_a , Makk_ T_a and

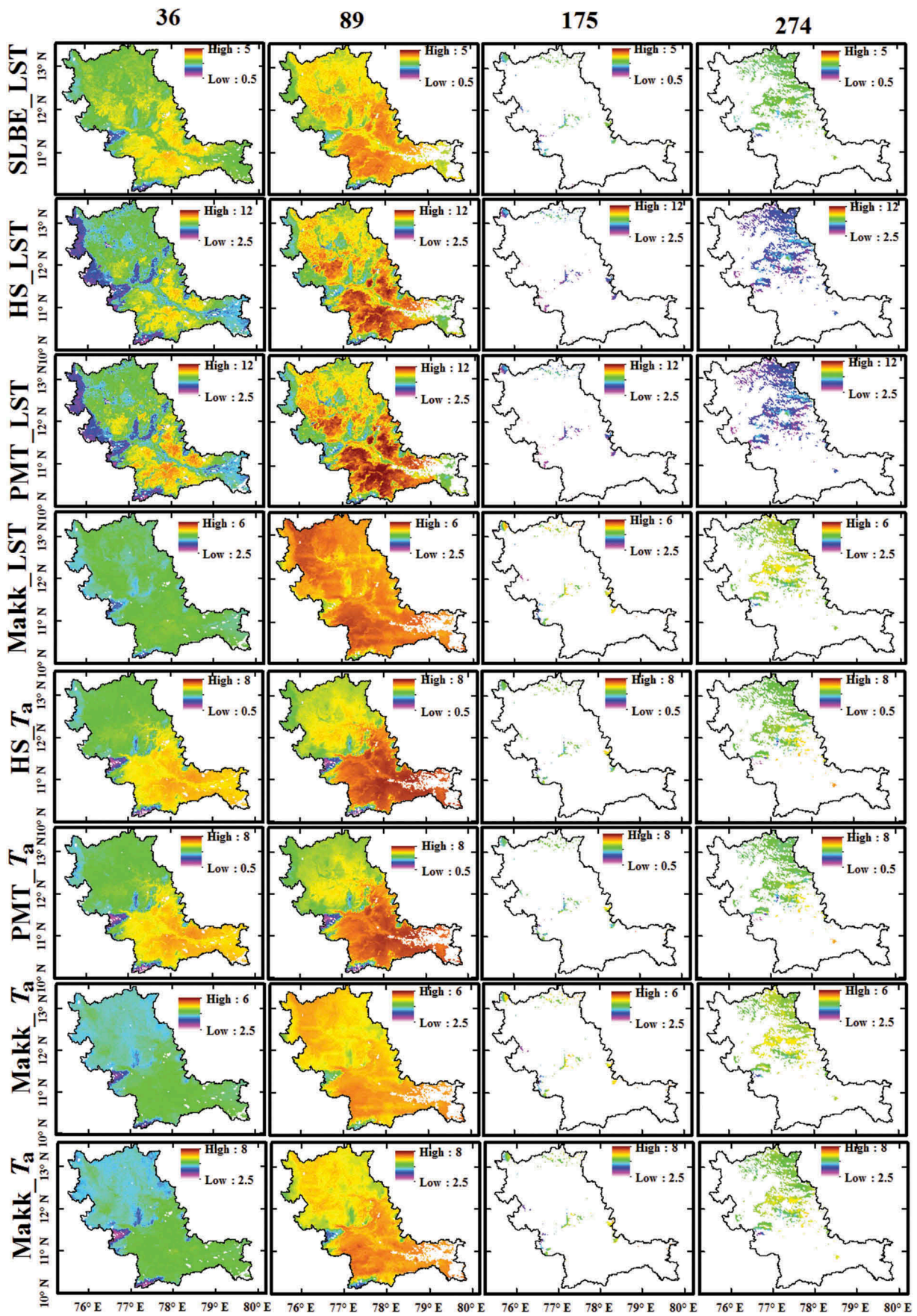


Figure 9. Spatial variation of ET_0 obtained from the LST- and T_a -based models for days 36, 89, 175 and 274 of the year 2014, representing different seasons.

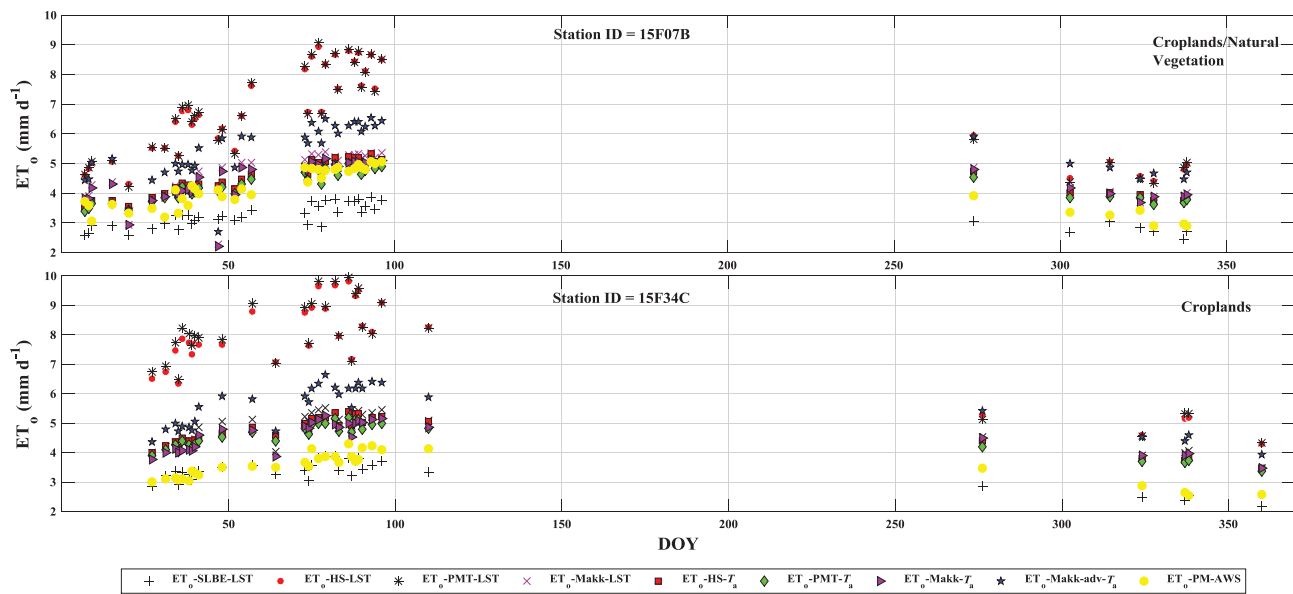


Figure 10. Temporal variation of ET_0 obtained from satellite data and ET_0 obtained from the FAO56-PM method for stations belonging to croplands/natural vegetation and croplands land cover classes. Gaps in the ET_0 values are due to non-availability of LST, R_s and AWS data.

SLBE models, and each of these four ET_0 models performed better than other ET_0 models considered in this study.

5 Summary and conclusions

In this study, air temperature (T_a) was estimated using remote sensing-based temperature-vegetation index (TVX) and the advanced statistical approach (ASA) and the results were validated with automatic weather station (AWS) T_a data. In the TVX approach, the relationship between different vegetation indices (NDVI, EVI and GVMi) and land surface temperature (LST) was examined by varying the maximum vegetation index values to estimate the maximum T_a (T_{max}) for the study region. The GVMi-based TVX approach performed better than the other vegetation index methods for the estimation of T_{max} . The ASA was used to estimate both T_{max} and T_{min} . In this approach, a bootstrap technique was employed to generate calibration and validation samples. The validation samples were used to validate the predicted T_{max} and T_{min} . This approach showed improvement in the estimation of T_{max} compared to the TVX approach, with r , RMSE and NSE values of 0.87, 2.17°C and 0.75, respectively. Further, the ASA estimated T_{min} efficiently, with r , RMSE and NSE of 0.87, 2.27°C and 0.76, respectively. The T_{max} and T_{min} estimates from this approach were then used in the estimation of ET_0 . Temperature-based models, namely HS, PMT and SLBE, and radiation-

based Makkink models were considered for estimation of ET_0 over the Cauvery basin. The T_a and R_s , being the inputs required for these models, were obtained from satellite data. Initially, LST_{day} and LST_{night} were used in the temperature- and radiation-based models. Thereafter, T_{max} and T_{min} estimated from LST_{day} and LST_{night} with auxiliary variables were used in the temperature- and radiation-based models for the estimation of ET_0 . These LST- and T_a -based ET_0 models were evaluated with reference to the FAO56-PM ET_0 obtained using observed climatic variables. Statistical analysis implied that the T_a -based PMT model performed better than the other ET_0 models for various land cover classes and for different climatic conditions with smaller RMSE, MAPE and MBE values. The SLBE and HS- T_a models ranked second and third, respectively. The LST-based HS and PMT models consistently overestimated for all the land cover classes, with higher RMSE, MAPE and MBE values. However, the r values were more or less similar to those of the T_a -based models.

This study has demonstrated the applicability of satellite-based T_a and ET_0 estimation over an Indian river basin that had not been examined previously. Further comparison of various satellite-based T_a and ET_0 models was performed in this study. In the TVX approach only NDVI and EVI had been reported in the literature, whereas here the GVMi has been used in the estimation of T_{max} , in the absence of weather station data. Therefore, this study will be useful for selecting proper satellite-based ET_0 and T_a models for water

resource management, irrigation scheduling and climate change studies. However, it is very difficult to obtain ET_o and T_a values under cloudy conditions, since these depend on LST, because thermal sensors fail to provide LST data under cloudy conditions. This creates gaps in the ET_o and T_a values. Future work will include estimation of ET_o under cloudy conditions, in order to eliminate the gaps in the satellite-based ET_o models.

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Disclosure statement

No potential conflict of interest was reported by the authors.

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Appendix 1

Estimation of ET_o using the Penman-Monteith temperature (PMT) model

The procedure given by Todorovic and Pereira (2013) was followed to estimate ET_o using the PMT model. The variables required in FAO56-PM equation were calculated using only temperature (LST/T_a) as input, as detailed below.

Calculation of net radiation, actual and saturation vapour pressure using satellite data

$$R_n = R_{ns} - R_{nl} \quad (\text{A1.1})$$

where R_{ns} is the net shortwave radiation ($\text{MJ m}^{-2} \text{d}^{-1}$), R_{nl} is the net longwave radiation ($\text{MJ m}^{-2} \text{d}^{-1}$), and R_n is the net radiation ($\text{MJ m}^{-2} \text{d}^{-1}$).

The R_{ns} results from the balance between incoming and reflected solar radiation and is given by:

$$R_{ns} = (1 - \alpha)R_s \quad (\text{A1.2})$$

where α is the albedo or canopy reflection coefficient, assumed as 0.23 for grass canopy cover, and R_s is solar radiation, which can be expressed as:

$$R_s = k_{Rs} \sqrt{(T_{\max} - T_{\min})} R_a \quad (\text{A1.3})$$

where k_{Rs} is the empirical radiation adjustment coefficient ($^{\circ}\text{C}^{-0.5}$), considered as 0.16 for the interior and as 0.19 for coastal regions (Allen et al. 1998); T_{\max} is the maximum air temperature, and T_{\min} is the minimum air temperature.

The R_{nl} results from the balance between the downwelling longwave radiation from the atmosphere and outgoing longwave radiation from the vegetation and the soil, and is given by:

$$R_{nl} = -f \bar{\epsilon} \sigma \frac{T_{\max}^4 + T_{\min}^4}{2} \quad (\text{A1.4})$$

where $\bar{\epsilon}$ is the net emissivity of the surface, and f is a cloudiness factor, which represents the ratio between actual net longwave radiation and the net longwave radiation for a clear sky day, and is expressed as:

$$f = a_c \frac{R_s}{R_{so}} + b_c \quad (\text{A1.5})$$

where R_{so} is the shortwave radiation for a clear sky day ($MJ\ m^{-2}\ d^{-1}$). The coefficients $a_c \approx 1.35$ and $b_c \approx -0.35$ are recommended for average climatic conditions. R_{so} can be expressed as:

$$R_{so} = (0.75 + 2 \times 10^{-5}Z)R_a \tag{A1.6}$$

where Z is the elevation (m a.s.l.), and R_a is extraterrestrial radiation ($MJ\ m^{-2}\ d^{-1}$). The net emissivity of the surface, $\bar{\epsilon}$ (Equation (A4)), is given by:

$$\bar{\epsilon} = 0.34 - 0.14\sqrt{e_a} \tag{A1.7}$$

where e_a is the actual vapour pressure (kPa):

$$e_a = e^\circ(T_{min}) = 0.6108 \exp\left[\frac{17.27T_{min}}{T_{min} + 237.3}\right] \tag{A1.8}$$

$$e_s = \frac{e^\circ(T_{max}) + e^\circ(T_{min})}{2} \tag{A1.9}$$

where e_s is the saturation vapour pressure (kPa); $e^\circ(T_{max})$ is saturation vapour pressure at the mean daily maximum air temperature (T_{max}) or maximum land surface temperature (LST_{max}) (kPa), and $e^\circ(T_{min})$ is saturation vapour pressure at the mean daily minimum air temperature (T_{min}) or minimum land surface temperature (LST_{min}) (kPa).

Appendix 2

The performance indices used to measure goodness-of-fit of the models are calculated as follows:

$$r = \frac{\sum_{i=1}^n (x_i - \bar{x}) \cdot (y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2 \cdot \sum_{i=1}^n (y_i - \bar{y})^2}} \tag{A2.1}$$

$$NSE = 1 - \frac{\sum_{i=1}^n (x_i - y_i)^2}{\sum_{i=1}^n (x_i - \bar{x})^2} \tag{A2.2}$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (x_i - y_i)^2}{n}} \tag{A2.3}$$

$$MBE = \frac{1}{n} \cdot \sum_{i=1}^n (x_i - y_i) \tag{A2.4}$$

$$MAPE = \frac{100}{n} \cdot \sum_{i=1}^n \left| \frac{(x_i - y_i)}{x_i} \right| \tag{A2.5}$$

where x_i is the observed value, \bar{x} is the mean of the observed value, y_i is the estimated value, and n is the number of observations.

Appendix 3

The coefficients of the fitted models (1–8, Table 3) using the advanced statistical approach (ASA) to estimate T_{max} and T_{min} are given in Tables A1 and A2 below.

Table A1. Coefficients of the fitted models to estimate T_{max} .

Model no.	Coefficients				
	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>
1	0.410	14.467			
2	0.693	17.859			
3	0.211	0.499	13.195		
4	0.418	1.015	14.350		
5	0.021	0.056	0.696	17.762	
6	0.481	0.623	1.235		
7	0.367	-1.842	0.016		
8	0.214	0.487	-0.875	-0.005	18.504

Table A2. Coefficients of the fitted models to estimate T_{min} .

Model no.	Coefficients				
	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>
1	0.383	5.279			
2	0.856	4.627			
3	0.062	0.796	3.327		
4	0.364	1.126	5.987		
5	0.065	-2.450	0.861	4.510	
6	0.140	0.810	3.400		
7	0.388	2.700	0.005		
8	0.066	0.785	-0.624	-0.005	8.751