

## GENERALIZED HARDY–RELLICH INEQUALITIES IN CRITICAL DIMENSION AND ITS APPLICATIONS

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In this paper, we study the Hardy–Rellich inequalities for polyharmonic operators in the critical dimension and an analogue in the  $p$ -biharmonic case. We also develop some optimal weighted Hardy–Sobolev inequalities in the general case and discuss the related eigenvalue problem. We also prove  $W^{2,q}(\Omega)$  estimates in the biharmonic case.

*Keywords:* Hardy inequalities; biharmonic operator;  $W^{2,q}(\Omega)$  estimates.

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### 1. Introduction

Inequalities involving integrals of a function and its derivatives appear frequently in various branches of mathematics and represent a useful tool, e.g., in the theory and practice of differential equations, in the theory of approximation etc. Let  $\Omega \subset \mathbb{R}^n$  be a smooth bounded domain and  $0 \in \Omega$ . Let us recall that the Hardy–Rellich inequality states that for all  $u \in H_0^2(\Omega)$

$$\int_{\Omega} |\Delta u|^2 - \frac{n^2(n-4)^2}{16} \int_{\Omega} \frac{u^2}{|x|^4} \geq 0, \quad n \geq 5 \quad (1.1)$$

where  $\frac{n^2(n-4)^2}{16}$  is the best constant in (1.1) and it is never achieved in any domain  $\Omega \subset \mathbb{R}^n$ . This inequality was first proved by Rellich [15] for  $u \in H_0^2(\Omega)$  and it was extended to functions in  $H^2(\Omega) \cap H_0^1(\Omega)$  by Dold *et al.* in [9].

The main questions related to this inequality are many folds and are as follows:

- (i) extend the inequality (1.1) in all dimensions,
- (ii) replace “2” by “ $p$ ”,
- (iii) extend this to polyharmonic case.

In this direction, Davis and Hinz [8] generalized (1.1) and showed that for any  $p \in (1, \frac{n}{2})$ , there holds

$$\int_{\Omega} |\Delta u|^p - \left( \frac{n(p-1)(n-2p)}{p^2} \right)^p \int_{\Omega} \frac{|u|^p}{|x|^{2p}} \geq 0, \quad u \in C_0^\infty(\Omega \setminus \{0\}). \tag{1.2}$$

In [12], the inequality (1.2) was proved for all  $u \in W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  for  $1 < p < \frac{n}{2}$ . Also it was extended these inequalities to the polyharmonic case with weights and is as follows. Let  $0 < k < \frac{n}{2}$  be an integer and  $u \in W_0^{k,2}(\Omega)$ . Then if  $k = 2m$

$$\int_{\Omega} (\Delta^m u)^2 dx \geq \left( \prod_{l=1}^{2m} \frac{(n+4m-4l)^2}{4} \right) \int_{\Omega} \frac{u^2}{|x|^{4m}} dx. \tag{1.3}$$

If  $k = 2m + 1$ , then

$$\int_{\Omega} |\nabla \Delta^m u|^2 dx \geq \left( \prod_{l=1}^{2m+1} \frac{(n+4m+2-4l)^2}{4} \right) \int_{\Omega} \frac{u^2}{|x|^{4m+2}} dx. \tag{1.4}$$

Let  $\theta \in \mathbb{R}, p > 1, k \geq 1, n > s > 2(1 + p(k - 1))$  and  $n > \theta + 2$ , define

$$d_{p,\theta} = \frac{(n-2-\theta)[(p-1)(n-2)+\theta]}{p^2} \tag{1.5}$$

$$c_{p,s}^k = \left[ \frac{1}{p^{2k}} \prod_{i=0}^{k-1} (n+2pi-s)(n(p-1)-2p(i+1)+s) \right]^p \tag{1.6}$$

then for all  $u \in C_0^\infty(\Omega)$

$$\int_{\Omega} \frac{|\Delta u|^p}{|x|^{\theta+2-2p}} dx \geq d_{p,\theta}^p \int_{\Omega} \frac{|u|^p}{|x|^{\theta+2}} dx \tag{1.7}$$

$$\int_{\Omega} \frac{|\nabla(\Delta u)|^p}{|x|^{\theta+2-3p}} dx \geq d_{p,\theta}^p \left( \frac{n-(\theta+2-2p)}{p} \right)^p \int_{\Omega} \frac{|u|^p}{|x|^{\theta+2}} dx \tag{1.8}$$

$$\int_{\Omega} \frac{|(\Delta^k u)|^p}{|x|^{s-2pk}} dx \geq c_{p,s}^k \int_{\Omega} \frac{|u|^p}{|x|^s} dx. \tag{1.9}$$

Also, he proved that if  $n > 2kp$ , then

$$\int_{\Omega} |(\Delta^k u)|^p dx \geq e_{p,k} \int_{\Omega} \frac{|u|^p}{|x|^{2pk}} dx \tag{1.10}$$

where

$$e_{p,k} = \left[ \frac{1}{p^{2k}} \prod_{i=0}^{k-1} (n-2p(i+1))(n(p-1)+2pi) \right]^p.$$

It is to be noted that all the constants appearing in the above inequalities are sharp.

Another important Hardy–Rellich type inequality is when the entire boundary is considered as the singularity. Let  $d(x) = d(x, \partial\Omega)$  denotes the distance function to the boundary  $\partial\Omega$  of  $\Omega$ . For  $t \in (0, 1]$ , define for  $i \geq 2$ ,

$$\begin{aligned} X_1(t) &= (1 - \ln t)^{-1} \\ X_i(t) &= X_1(X_{i-1}(t)). \end{aligned}$$

For a convex domain  $\Omega$ , it has been shown in [14] that for all  $u \in C_0^\infty(\Omega)$ ,

$$\int_\Omega |\Delta u|^2 \geq \frac{9}{16} \int_\Omega \frac{u^2}{d(x)^4}, \quad u \in C_0^\infty(\Omega). \tag{1.11}$$

This inequality has been improved in [5]. It has been shown that there exist a  $D_0 > \sup_{x \in \Omega} d(x)$  such that for all  $u \in C_0^\infty(\Omega)$  with  $X_j = X_j(\frac{d(x)}{D})$ , there holds

$$\int_\Omega |\Delta u|^2 \geq \frac{1}{4} \int_\Omega \frac{|\nabla u|^2}{d(x)^2} + \frac{1}{4} \sum_{i=1}^\infty \int_\Omega \frac{|\nabla u|^2}{d(x)^2} X_1^2 X_2^2 \cdots X_i^2, \tag{1.12}$$

$$\int_\Omega |\Delta u|^2 \geq \frac{9}{16} \int_\Omega \frac{|u|^2}{d(x)^4} + \frac{5}{8} \sum_{i=1}^\infty \int_\Omega \frac{|u|^2}{d(x)^4} X_1^2 X_2^2 \cdots X_i^2. \tag{1.13}$$

Now we come to the question (i), that is, what happens to [1] when  $n = 4$ ? Surprisingly, it was shown in [3] that this inequality differs when compared to  $n \geq 5$ . Basically, the idea of the proof relies on the fundamental solution of  $\Delta^2$  which was used earlier in [1] to generalize Hardy–Sobolev inequality on Riemannian manifolds.

Motivated by [3], in this paper we discuss the description of Hardy–Rellich inequalities in the critical dimension. Furthermore, we prove the Vazquez and Zuazua [18] type of inequalities for the biharmonic case.

### 2. Main Theorems

Before stating the main theorems, we introduce the following definitions and notations. Let  $e^0 = 1$ ,  $e^{(1)} = e$ ,  $e^{(k)} = e^{e^{(k-1)}}$  for  $k \geq 1$ . Let  $a > 0$  and define,

$$\begin{aligned} \ln_{(1)} a &= \ln(a), \\ \ln_{(k)} a &= \ln \ln_{(k-1)}(a), \quad \text{for } k \geq 2, \\ \ln^{(k)}(a) &= \prod_{j=1}^k \ln_{(j)}(a), \quad \text{if } a > e^{(k-1)}, \\ W_r^{2m,2}(B) &= \{u \in W^{2m,2}(B) : u \text{ is radial}\}, \\ W_r^{2m+1,2}(B) &= \{u \in W^{2m+1,2}(B) : u \text{ is radial}\}. \end{aligned}$$

Recall that  $W_0^{k,2}(\Omega)$  is equipped with the norm

$$\|u\|_{W_0^{k,2}(\Omega)} = \begin{cases} \int_{\Omega} (\Delta^m u)^2 dx; & k = 2m, \quad m \in \mathbb{N}, \\ \int_{\Omega} |\nabla \Delta^m u|^2 dx; & k = 2m + 1, \quad m \in \mathbb{N} \cup \{0\}. \end{cases}$$

We consider two situations: firstly,  $W^{2,p}(\Omega)$  where  $n = 2p$ ; and secondly,  $W^{k,2}(\Omega)$  where  $n = 2k$ . Let  $B$  be the unit ball in  $\mathbb{R}^n$ .

Then we have the following main results.

**Theorem 2.1.** *Let  $0 \in \Omega$  be a bounded domain in  $\mathbb{R}^n$ ,  $n = 2p$ . Then there exist a constant  $C > 0$  such that for any  $k$ , we can find a  $R = R(k) > 0$  and for all  $u \in W_0^{2,p}(\Omega)$*

$$\begin{aligned} & \int_{\Omega} |\Delta u|^p dx - \frac{2^p(p-1)^{2p}}{p^p} \int_{\Omega} \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p} dx \\ & \geq C \int_{\Omega} \left( \sum_{j=2}^k \frac{1}{\left(\ln^{(j)} \frac{R}{|x|}\right)^2} \right) \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p} dx \quad \text{if } p \geq 2, \end{aligned} \tag{2.1}$$

$$\int_{\Omega} |\Delta u|^p dx - \frac{2^p(p-1)^{2p}}{p^p} \int_{\Omega} \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p} dx \geq 0 \quad \text{if } 1 < p < 2. \tag{2.2}$$

The constant  $-\frac{2^p(p-1)^{2p}}{p^p}$  (the coefficient of  $\int_{\Omega} \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p} dx$ ) is the best constant and is never achieved by any nontrivial function  $u \in W_0^{2,p}(\Omega)$ .

**Theorem 2.2.** *Let  $0 \in \Omega$  be a bounded domain in  $\mathbb{R}^n$ ,  $1 \leq q < 2$ . Then there exist  $R_0 > 0$  and  $C_q > 0$  such that  $\forall R \geq R_0$  and  $\forall u \in H_0^2(\Omega)$ ,*

$$\int_{\Omega} |\Delta u|^2 - \frac{n^2(n-4)^2}{16} \int_{\Omega} \frac{u^2}{|x|^4} \geq C_q \|u\|_{W_0^{2,q}(\Omega)}^2 \quad \text{if } n \geq 5 \tag{2.3}$$

and

$$\int_{\Omega} |\Delta u|^2 - \int_{\Omega} \frac{u^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} \geq C_q \|u\|_{W_0^{2,q}(\Omega)}^2 \quad \text{if } n = 4. \tag{2.4}$$

Note that Theorem 2.2 is an extension of the Vazquez and Zuazua’s inequality [18] in the case of a biharmonic operator and  $-1$  (the coefficient of  $\int_{\Omega} \frac{u^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2}$ ) is the

best constant in the inequality (2.4) which follows from [3]. In fact, Theorem 2.2 implies that  $H_0^2(\Omega) \hookrightarrow W_0^{2,q}(\Omega)$  is continuous.

**Theorem 2.3.** (a) *Let  $B$  be a unit ball centered at the origin in  $\mathbb{R}^n$ ,  $n = 4m$ . Then for  $m \geq 2$ , there exist a constant  $C > 0$  such that for any  $k$ , we can find a  $R = R(k) > 0$  and for all  $u \in H_{0,r}^{2m}(B)$*

$$\begin{aligned} & \int_B |\Delta^m u|^2 dx - \frac{n^2}{16} \left[ \frac{1}{2^{2m-2}} \prod_{i=0}^{m-2} (4i + 2)(8m - 4i - 6) \right]^2 \int_B \frac{|u|^2}{|x|^{4m} \left( \ln \frac{R}{|x|} \right)^2} dx \\ & \geq C \int_B \left( \sum_{j=2}^k \frac{1}{\left( \ln^{(j)} \frac{R}{|x|} \right)^2} \right) \frac{|u|^2}{|x|^{4m}} dx. \end{aligned} \tag{2.5}$$

The constant  $-\frac{n^2}{16} \left[ \frac{1}{2^{2m-2}} \prod_{i=0}^{m-2} (4i + 2)(8m - 4i - 6) \right]^2$  (the coefficient of  $\int_B \frac{|u|^2}{|x|^{4m} \left( \ln \frac{R}{|x|} \right)^2} dx$ ) is the best constant and is never achieved by any nontrivial function  $u \in H_{0,r}^{2m}(B)$ .

(b) *Let  $B$  be a unit ball centered at the origin in  $\mathbb{R}^n$ ,  $n = 4m + 2$ . Then there exist a constant  $C > 0$  such that for any  $k$ , we can find a  $R = R(k) > 0$  and for all  $u \in H_{0,r}^{2m+1}(B)$*

$$\begin{aligned} & \int_B |\nabla \Delta^m u|^2 dx - \frac{n^2}{16} \left[ \frac{1}{2^{2m}} \prod_{i=0}^{m-1} (4m - 4i - 2)(4m + 4i + 2) \right]^2 \\ & \quad \times \int_B \frac{|u|^2}{|x|^{4m+2} \left( \ln \frac{R}{|x|} \right)^2} dx \\ & \geq C \int_B \left( \sum_{j=2}^k \frac{1}{\left( \ln^{(j)} \frac{R}{|x|} \right)^2} \right) \frac{|u|^2}{|x|^{4m+2}} dx. \end{aligned} \tag{2.6}$$

The constant  $-\frac{n^2}{16} \left[ \frac{1}{2^{2m}} \prod_{i=0}^{m-1} (4m - 4i - 2)(4m + 4i + 2) \right]^2$  (the coefficient of  $\int_B \frac{|u|^2}{|x|^{4m+2} \left( \ln \frac{R}{|x|} \right)^2} dx$ ) is the best constant and is never achieved by any nontrivial function  $u \in H_{0,r}^{2m+1}(B)$ .

Next, we study the eigenvalue problems associated with the perturbed Hardy–Rellich operator for the case  $n = 4$ , which is highly singular and non-compact.

Let  $R > 0, \lambda > 0, 0 < \mu < 1, X = H_0^2(\Omega)$  or  $H^2(\Omega) \cap H_0^1(\Omega)$  and define

$$\mathcal{F} = \left\{ f \in L_{\text{loc}}^\infty(\Omega \setminus \{0\}) : \lim_{|x| \rightarrow \infty} |x|^4 \left( \ln \frac{R}{|x|} \right)^2 f(x) = 0 \right\}$$

$$F = \left\{ f \in L_{\text{loc}}^\infty(\Omega \setminus \{0\}) : \lim_{|x| \rightarrow \infty} |x|^4 \left( \ln \frac{R}{|x|} \right)^2 \left( \ln \left( \ln \frac{R}{|x|} \right) \right)^2 f(x) < \infty \right\}.$$

For  $f \in \mathcal{F} \cup F$ , we look for a weak solution next of the following eigenvalue problems and study the asymptotic behavior of the first eigenvalue as  $\mu \rightarrow 1$ .

$$\begin{cases} L_\mu u = \lambda f(x)u & \text{in } \Omega \\ u = \frac{\partial u}{\partial \nu} = 0 & \text{on } \partial\Omega \end{cases} \tag{2.7}$$

$$\begin{cases} L_\mu u = \lambda f(x)u & \text{in } \Omega \\ u = \Delta u = 0 & \text{on } \partial\Omega \end{cases} \tag{2.8}$$

where

$$L_\mu u = \Delta^2 u - \mu \frac{u}{|x|^4 \left( \ln \frac{R}{|x|} \right)^2}. \tag{2.9}$$

**Theorem 2.4.** *The problem (2.7) and (2.8) admits a nontrivial weak solution  $u \in X$ , corresponding to the first eigenvalue  $\lambda_\mu^1(f) = \lambda > 0$ . Moreover, as  $\mu \rightarrow 1$ ,  $\lambda_\mu^1(f) \rightarrow \lambda(f) \geq 0$  for all  $f \in \mathcal{F}$  and the limit  $\lambda(f) > 0$  if  $f \in F$ . Moreover, if  $\Omega = B$ , in problem (2.7), then the first eigenfunction is positive and the first eigenvalue is simple. If  $u \in H^2(\Omega) \cap H_0^1(\Omega)$ , the first eigenfunction is positive and the first eigenvalue is positive.*

### 3. Preliminary Lemmas

In this section, we briefly discuss the Hardy–Sobolev inequalities with weights, which will be required to prove the main theorems. First we introduce the following notations. Let  $k \geq 1$  be an integer and  $R > e^{(k-1)} \sup_{\partial\Omega} |x|$ . Let

$$E(x) = \begin{cases} \frac{1}{|x|^{n-2}} & \text{if } n \geq 3 \\ \ln \left( \frac{R}{|x|} \right) & \text{if } n = 2, \end{cases}$$

$$E_1(x) = \begin{cases} \frac{1}{|x|^{n-4}} & \text{if } n \geq 5 \\ \ln \left( \frac{R}{|x|} \right) & \text{if } n = 4. \end{cases}$$

Let  $\alpha \in \mathbb{R}$  and  $m \geq 0$  be a measurable function. Let  $2^* = \frac{2n}{n-2}$  if  $n \geq 3$ ,  $2^\sharp = \frac{2n}{n-4}$  if  $n \geq 5$  and define

$$\begin{aligned}
 L^p(\Omega, m) &= \left\{ u \text{ measurable; } \int_{\Omega} |u|^p m dx < \infty \right\} \\
 \mathcal{D}_{\alpha}^{1,2}(\Omega) &= \{u \in L^{2^*}(\Omega); \nabla u \in L^2(\Omega, E^{1-2\alpha})\} \\
 \mathcal{D}_{\alpha}^{2,2}(\Omega) &= \{u \in L^{2^*}(\Omega); \Delta u \in L^2(\Omega, E_1^{1-2\alpha})\} \\
 \mathcal{D}_{\alpha,0}^{1,2}(\Omega) &= \{u \in \mathcal{D}_{\alpha}^{1,2}(\Omega) : u = 0 \text{ on } \partial\Omega\} \\
 \mathcal{D}_{\alpha,0}^{2,2}(\Omega) &= \left\{ u \in \mathcal{D}_{\alpha}^{2,2}(\Omega) : u = \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega \right\}.
 \end{aligned}$$

Let us first recall the basic result which will be needed in the proof of the main theorems. Let  $p \in (1, \infty)$  and  $M > 1$ . Then there exist constants  $\alpha_1, \alpha_2 > 0$  such that for all  $a, b \in \mathbb{R}^n$ ,  $|a| = 1$  we have

$$|a + b|^p - 1 - p\langle a, b \rangle \geq \alpha_1 |b|^2 + \alpha_2 |b|^p \quad \text{if } p \geq 2 \tag{3.1}$$

$$|a + b|^p - 1 - p\langle a, b \rangle \geq \begin{cases} \alpha_1 |b|^2 & \text{if } |b| \leq M \quad p \in (1, 2] \\ \alpha_2 |b|^p & \text{if } |b| \geq M \quad p \in (1, 2). \end{cases} \tag{3.2}$$

If  $\chi$  denotes the characteristic function, then the above formula can be written as

$$|a + b|^p - 1 - p\langle a, b \rangle \geq \alpha_1 |b|^2 \chi_{\{|b| \leq M\}} + \alpha_2 |b|^p \chi_{\{|b| \geq M\}}.$$

Furthermore if  $p = 2$ , then  $\alpha_1 = \alpha_2 = \frac{1}{2}$  is the best choice in (3.1), (3.2). The following lemma will be used to obtain the remainder terms in Theorems 2.1 and 2.3.

**Lemma 3.1.** *Let  $w_1 \in C^1(\overline{\Omega})$  and for  $k \geq 2$ , define the sequence  $\{w_i\}_i$  by*

$$w_2 = \left( \ln \frac{R}{|x|} \right)^{-\frac{1}{2}} w_1, \dots, w_k = \left( \ln_{(k-1)} \frac{R}{|x|} \right)^{-\frac{1}{2}} w_{k-1}, \dots$$

then

$$\begin{aligned}
 \int_{\Omega} \frac{|\nabla w_1|^2}{|x|^{n-2}} &= \frac{1}{4} \int_{\Omega} \left( \sum_{j=1}^k \frac{1}{\left( \ln^{(j)} \frac{R}{|x|} \right)^2} \right) \frac{w_1^2}{|x|^n} - \frac{1}{2} \int_{\partial\Omega} \left( \sum_{j=1}^k \frac{\langle x, \nu \rangle}{\left( \ln^{(j)} \frac{R}{|x|} \right)} \right) \frac{w_1^2}{|x|^n} \\
 &+ \int_{\Omega} \frac{|\nabla w_{k+1}|^2}{|x|^{n-2}} \left( \ln^{(k)} \frac{R}{|x|} \right) \tag{3.3}
 \end{aligned}$$

and

$$\begin{aligned} \int_{\Omega} \frac{|\nabla w_2|^2}{|x|^{n-2}} \left( \ln \frac{R}{|x|} \right) &= \frac{1}{4} \int_{\Omega} \frac{w_2^2}{|x|^n} \left( \sum_{j=2}^k \frac{1}{\left( \ln^{(j)} \frac{R}{|x|} \right)^2} \right) \left( \ln \frac{R}{|x|} \right) \\ &\quad - \frac{1}{2} \int_{\partial\Omega} \frac{w_2^2}{|x|^n} \left( \sum_{j=2}^k \frac{\langle x, \nu \rangle}{\ln^{(j)} \frac{R}{|x|}} \right) \left( \ln \frac{R}{|x|} \right) \\ &\quad + \int_{\Omega} \frac{|\nabla w_{k+1}|^2}{|x|^{n-2}} \left( \ln^{(k)} \frac{R}{|x|} \right). \end{aligned} \tag{3.4}$$

**Proof.** We prove (3.4). The proof of (3.3) follows similarly. From the identity  $w_2 = (\ln_2 \frac{R}{|x|})^{\frac{1}{2}} w_3$  and taking the logarithmic derivative, we have,

$$\frac{\nabla w_2}{w_2} = -\frac{1}{2} \frac{x}{|x|^2 \left( \ln \frac{R}{|x|} \right) \left( \ln \left( \ln \frac{R}{|x|} \right) \right)} + \frac{\nabla w_3}{w_3}.$$

Hence we have

$$\begin{aligned} \frac{|\nabla w_2|^2}{|x|^{n-2}} \left( \ln \frac{R}{|x|} \right) &= \frac{1}{4} \frac{w_2^2}{|x|^n \left( \ln \frac{R}{|x|} \right)^2 \left( \ln \left( \ln \frac{R}{|x|} \right) \right)^2} \left( \ln \frac{R}{|x|} \right) \\ &\quad + \frac{|\nabla w_3|^2}{|w_3|^2} \frac{1}{|x|^{n-2}} \left( \ln \frac{R}{|x|} \right) - \frac{1}{2} \left\langle \frac{x}{|x|^n}, \nabla w_3^2 \right\rangle. \end{aligned} \tag{3.5}$$

Let  $|S^{n-1}|$  denotes the volume of the unit sphere  $S^{n-1}$  in  $\mathbb{R}^n$  and  $\delta_0$  is the Dirac distribution at the origin. Then, by integrating (3.5) and using the fact that  $\operatorname{div}(\frac{x}{|x|^n}) = |S^{n-1}|\delta_0$ , we have

$$\begin{aligned} \int_{\Omega} \frac{|\nabla w_2|^2}{|x|^{n-2}} \left( \ln \frac{R}{|x|} \right) &= \frac{1}{4} \int_{\Omega} \frac{w_2^2}{|x|^n \left( \ln^{(2)} \frac{R}{|x|} \right)^2} \left( \ln \frac{R}{|x|} \right) \\ &\quad + \int_{\Omega} \frac{|\nabla w_3|^2}{|w_3|^2} \frac{w_2^2}{|x|^{n-2}} \left( \ln \frac{R}{|x|} \right) dx - \frac{1}{2} \int_{\partial\Omega} \frac{\langle x, \nu \rangle}{|x|^n} w_3^2 \\ \int_{\Omega} \frac{|\nabla w_2|^2}{|x|^{n-2}} \left( \ln \frac{R}{|x|} \right) &= \frac{1}{4} \int_{\Omega} \frac{w_2^2}{|x|^n \left( \ln^{(2)} \frac{R}{|x|} \right)^2} \left( \ln \frac{R}{|x|} \right) \\ &\quad + \int_{\Omega} \frac{|\nabla w_3|^2}{|x|^{n-2}} \left( \ln^2 \frac{R}{|x|} \right) dx - \frac{1}{2} \int_{\partial\Omega} \frac{\langle x, \nu \rangle}{|x|^n} \frac{w_2^2}{\left( \ln^{(2)} \frac{R}{|x|} \right)} \left( \ln \frac{R}{|x|} \right). \end{aligned}$$



Observing the fact that  $\nabla(\ln_k \frac{R}{|x|}) = -(\frac{1}{\ln^{(k-1)} \frac{R}{|x|}}) \frac{x}{|x|^2}$  and  $w_k = (\ln^{(k)} \frac{R}{|x|})^{\frac{1}{2}} w_{k+1}$  we have,

$$\int_{\Omega} \frac{|\nabla w_k|^2}{|x|^{n-2}} \left( \ln^{(k-1)} \frac{R}{|x|} \right) = \int_{\Omega} \frac{w_k^2}{|x|^n} \frac{1}{\left( \ln^{(k)} \frac{R}{|x|} \right)^2} \left( \ln \frac{R}{|x|} \right) + \int_{\Omega} \frac{|\nabla w_{k+1}|^2}{|x|^{n-2}} \left( \ln^{(k)} \frac{R}{|x|} \right) - \frac{1}{2} \int_{\Omega} \left\langle \nabla w_{k+1}^2, \frac{x}{|x|^n} \right\rangle. \tag{3.6}$$

Hence by induction the inequality (3.4) follows. □

**Lemma 3.2.** *Let  $\Omega$  be a bounded domain with smooth boundary and  $0 \in \Omega$ . If  $R > e^{(k-1)\sup_{\partial\Omega}|x|}$ , then there exist a constant  $\lambda = \lambda(\Omega, R) < 0$  such that  $\forall u \in \mathcal{D}_{\alpha}^{1,2}(\Omega)$  and  $n \geq 3$  we have*

$$\int_{\Omega} E^{1-2\alpha} |\nabla u|^2 dx - \alpha^2 (n-2)^2 \int_{\Omega} \frac{u^2}{|x|^2} E^{1-2\alpha} dx \geq \frac{1}{4} \int_{\Omega} \left( \sum_{i=1}^k \frac{1}{\left( \ln^{(j)} \frac{R}{|x|} \right)^2} \right) \frac{u^2}{|x|^2} E^{1-2\alpha} + \lambda \int_{\partial\Omega} u^2 \tag{3.7}$$

and if  $n = 2$

$$\int_{\Omega} E^{1-2\alpha} |\nabla u|^2 dx - \alpha^2 \int_{\Omega} \frac{u^2}{|x|^2 \left( \ln \frac{R}{|x|} \right)^2} E^{1-2\alpha} dx \geq \frac{1}{4} \int_{\Omega} \left( \sum_{i=2}^k \frac{1}{\left( \ln^{(j)} \frac{R}{|x|} \right)^2} \right) \frac{u^2}{|x|^2} E^{1-2\alpha} + \lambda \int_{\partial\Omega} u^2. \tag{3.8}$$

The constant  $-\alpha^2 (n-2)^2$  (the coefficient of  $\int_{\Omega} \frac{u^2}{|x|^2} E^{1-2\alpha} dx$ ) is the best constant and is never achieved by any nontrivial function  $u \in \mathcal{D}_{\alpha,0}^{1,2}(\Omega)$  in the case  $n \geq 3$ . Moreover, if  $n = 2$ , then  $-\alpha^2$  (the coefficient of  $\int_{\Omega} \frac{u^2}{|x|^2 \left( \ln \frac{R}{|x|} \right)^2} E^{1-2\alpha} dx$ ) is the best constant and is never achieved by any nontrivial function  $u \in \mathcal{D}_{\alpha,0}^{1,2}(\Omega)$ .

**Proof.** Let  $n \geq 3$ . Let  $u = E^{\alpha} v_1$ . Then  $v_1(0) = 0$  and

$$\frac{\nabla u}{u} = \alpha \frac{\nabla E}{E} + \frac{\nabla v_1}{v_1}.$$

This implies that

$$\frac{|\nabla u|^2}{u^2} = \alpha^2 \frac{|\nabla E|^2}{E^2} + \frac{|\nabla v_1|^2}{v_1^2} + 2\alpha \left\langle \frac{\nabla E}{E}, \frac{\nabla v_1}{v_1} \right\rangle.$$

Hence

$$|\nabla u|^2 = \alpha^2(n-2)^2 \frac{u^2}{|x|^2} + |\nabla v_1|^2 E^{2\alpha} + \alpha \langle \nabla E, \nabla v_1^2 \rangle E^{2\alpha-1}.$$

Thus

$$|\nabla u|^2 E^{2\beta} = \alpha^2(n-2)^2 \frac{u^2}{|x|^2} E^{2\beta} + |\nabla v_1|^2 E^{2(\alpha+\beta)} + \alpha \langle \nabla E, \nabla v_1^2 \rangle E^{2(\alpha+\beta)-1}. \tag{3.9}$$

Let  $\alpha + \beta = \frac{1}{2}$ . Since  $v_1(0) = 0$  and  $E$  is a fundamental solution, integrating by parts, (3.9) yields

$$\begin{aligned} \int_{\Omega} |\nabla u|^2 E^{2\beta} dx &= \alpha^2(n-2)^2 \int_{\Omega} \frac{u^2}{|x|^2} E^{2\beta} dx + \int_{\Omega} \frac{|\nabla v_1|^2}{|x|^{n-2}} dx \\ &\quad - \alpha(n-2) \int_{\partial\Omega} \frac{\langle x, \nu \rangle}{|x|^{n-2}} v_1^2. \end{aligned} \tag{3.10}$$

Substituting  $v_1 = w_1$  in (3.3) and estimating the boundary integral to obtain the required inequality. For the optimality of the constant consider the family of functions  $u_{\delta}(x) = E^{\alpha-\delta} \eta(x)$  where  $\eta \in C_0^\infty(\Omega)$  and  $\eta = 1$  in a neighborhood to zero.

For the second inequality, let  $n = 2$  and  $u = E^\alpha v_1$  we have similarly as above

$$|\nabla u|^2 = \alpha^2 \frac{u^2}{|x|^2 \left( \ln \frac{R}{|x|} \right)^2} + |\nabla v_1|^2 E^{2\alpha} + \alpha \langle \nabla E, \nabla v_1^2 \rangle E^{2\alpha-1}$$

and we get

$$\begin{aligned} \int_{\Omega} |\nabla u|^2 E^{2\beta} dx &= \alpha^2 \int_{\Omega} \frac{u^2}{|x|^2 \left( \ln \frac{R}{|x|} \right)^2} E^{2\beta} dx + \int_{\Omega} |\nabla v_1|^2 \left( \ln \frac{R}{|x|} \right) dx \\ &\quad - \alpha \int_{\partial\Omega} \frac{\langle x, \nu \rangle}{|x|^2} v_1^2. \end{aligned} \tag{3.11}$$

Using an identity (3.4), we have the required inequality. From this, it follows that the best constants are never achieved in  $\mathcal{D}_{\alpha,0}^{1,2}$ . For the optimality of the constant, consider the family of functions  $u_{\delta}(x) = E^{\alpha-\delta} \eta$  where  $\eta \in C_0^\infty(\Omega)$  and  $\eta = 1$  in a neighborhood to zero. □

**Lemma 3.3.** (a) *Let  $n = 4m$  and  $B \subset \mathbb{R}^n$  be the unit ball centered at zero then for all  $u \in H_{0,r}^{2m}(B)(W_{0,r}^{2m,2}(B))$*

$$\int_B |\Delta^m u|^2 dx \geq \frac{n^2}{4} c_{2,4m-2}^{m-1} \int_B \frac{|\nabla u|^2}{|x|^{4m-2}} dx \tag{3.12}$$

where

$$c_{2,4m-2}^{m-1} = \left[ \frac{1}{2^{2m-2}} \prod_{i=0}^{m-2} (4i+2)(8m-4i-6) \right]^2$$

and equality holds iff  $u \equiv 0$ .

- (b) Let  $n = 4m + 2$  and  $B \subset \mathbb{R}^n$  be the unit ball centered at zero then for all  $u \in H_{0,r}^{2m+1}(B)(W_{0,r}^{2m+1,2}(B))$

$$\int_B |\nabla \Delta^m u|^2 dx \geq \frac{n^2}{4} e_{2,4m} \int_B \frac{|\nabla u|^2}{|x|^{4m}} dx \tag{3.13}$$

where

$$e_{2,4m} = \left[ \frac{1}{2^{2m}} \prod_{i=0}^{m-1} (4m-4i-2)(4m+4i+2) \right]^2$$

and equality holds iff  $u \equiv 0$ .

- (c) Let  $1 < p < n$  and  $B \subset \mathbb{R}^n$  be the unit ball centered at zero then for all  $u \in W_{0,r}^{2,p}(B)$  and  $u \in W_r^{2,p}(B) \cap W_{0,r}^{1,p}(B)$ ,

$$\int_B |\Delta u|^p dx \geq \frac{n^p(p-1)^p}{p^p} \int_B \frac{|\nabla u|^p}{|x|^p} dx \tag{3.14}$$

and equality holds iff  $u \equiv 0$ . Hence in particular (3.14) holds for the case  $p = \frac{n}{2}$ .

**Proof.** (a) From [3, Lemma 3.1], we have  $\int_B |\Delta w|^2 \geq \frac{n^2}{4} \int_B \frac{|\nabla w|^2}{|x|^2}$ . Hence we have

$$\begin{aligned} \int_B |\Delta^m u|^2 dx &= \int_B |\Delta(\Delta^{m-1}u)|^2 dx \geq \frac{n^2}{4} \int_B \frac{|\nabla \Delta^{m-1}u|^2}{|x|^2} dx \\ &= \frac{n^2}{4} \int_B \frac{|\Delta^{m-1} \nabla u|^2}{|x|^2} dx. \end{aligned}$$

Thus we have from (1.9)

$$\int_B |\Delta^m u|^2 dx \geq \frac{n^2}{4} c_{2,4m-2}^{m-1} \int_B \frac{|\nabla u|^2}{|x|^{4m-2}} dx$$

where

$$c_{2,4m-2}^{m-1} = \left[ \frac{1}{2^{2m-2}} \prod_{i=0}^{m-2} (4i+2)(8m-4i-6) \right]^2.$$

- (b) When  $n = 4m + 2$ , applying the above inequality for each component of  $\nabla u$ , using (1.10) and summing to obtain

$$\int_B |\nabla \Delta^m u|^2 = \int_B |\Delta^m(\nabla u)|^2 \geq e_{2,4m} \int_B \frac{|\nabla^2 u|^2}{|x|^{4m}} dx$$

where

$$e_{2,4m} = \left[ \frac{1}{2^{2m}} \prod_{i=0}^{m-1} (4m - 4i - 2)(4m + 4i + 2) \right]^2.$$

Let  $2\alpha(n - 2) = (n - 4m)$  and applying the weighted Hardy–Sobolev inequality (3.7) to  $v = \nabla u \in H_{0,r}^{2m}(B)$ , then the above inequality yields

$$\int_B |\nabla(\Delta^m u)|^2 dx \geq \frac{n^2(n - 4m)^2}{16} e_{2,4m} \int_B \frac{|\nabla u|^2}{|x|^{4m}} dx = \frac{n^2}{4} e_{2,4m} \int_B \frac{|\nabla u|^2}{|x|^{4m}} dx.$$

(c) For the case  $p \in (1, n)$ . Let  $u \in W_r^{2,p}(B) \cap W_{0,r}^{1,p}(B)$ . Since  $C_r^2(\overline{B}) \cap C_{0,r}^1(B)$  is dense in  $u \in W_r^{2,p}(B) \cap W_{0,r}^{1,p}(B)$  it is enough to prove the inequality for the case  $u \in C_r^2(\overline{B}) \cap C_{0,r}^1(B)$ . Let  $\Delta u \leq 0$ . Then by Hopf’s lemma  $u_r < 0$ . Let  $v = r^{-\frac{n-p}{p}} u_r$ . Then  $v(0) = 0$ . Then

$$\begin{aligned} u_{rr} &= -\frac{(n-p)}{p} \frac{u_r}{r} + \frac{u_r v_r}{v} \\ \Delta u &= \frac{n(p-1)}{p} \frac{u_r}{r} + r^{-\frac{n-p}{p}} v_r \\ \Delta u &= \frac{n(p-1)}{p} \frac{u_r}{r} \left\{ 1 + \frac{p}{n(p-1)} \frac{r^{-\frac{n-p}{p}} r v_r}{u_r} \right\}. \end{aligned}$$

Using the fact that  $(1 + x)^p \geq 1 + px$  for  $x \geq -1$ , we have,

$$|\Delta u|^p \geq \frac{n^p(p-1)^p}{p^p} \frac{|u_r|^p}{r^p} \left\{ 1 + p \frac{p}{n(p-1)} \frac{v_r}{u_r} r r^{-\frac{n-p}{p}} \right\}.$$

Hence we have,

$$|\Delta u|^p \geq \frac{n^p(p-1)^p}{p^p} \frac{u_r^p}{r^p} + p \left( \frac{p}{n(p-1)} \right)^{p-1} v |v|^{p-2} v_r r^{1-n}.$$

Since  $p \int_0^1 v |v|^{p-2} v_r dr = \int_0^1 (|v|^p)_r dr = |v(1)|^p - |v(0)|^p = |v(1)|^p$ , hence

$$\int_B |\Delta u|^p dx \geq \frac{n^p(p-1)^p}{p^p} \int_B \frac{|\nabla u|^p}{|x|^p} dx.$$

This proves the lemma. □

We also prove an weighted Hardy–Rellich inequality, in order to stress the fact how the fundamental solution plays a key role in deriving this inequality. It should be noted that Lemma 3.4 is not required in the course of proof of the main theorems.

**Lemma 3.4.** *Let  $n \geq 5$  and  $\Omega$  be a bounded domain with smooth boundary and  $0 \in \Omega$ . If  $R > e^{(k-1)\sup_{\partial\Omega}|x|}$ , then there exist constants  $\lambda_1 = \lambda_1(\Omega, R) < 0$  and  $\lambda_2 = \lambda_2(\Omega, R) < 0$  such that  $\forall u \in \mathcal{D}_{\alpha}^{2,2}(\Omega)$ , we have*

$$\begin{aligned} \int_{\Omega} |\Delta u|^2 E_1^{1-2\alpha} dx &\geq \left( \alpha^2(n-4)^2 + \frac{1}{2}\theta(n-\theta-2) \right)^2 \int_{\Omega} \frac{u^2}{|x|^4} E_1^{1-2\alpha} dx \\ &+ \frac{1}{4} (2\alpha^2(n-4)^2 + \theta(n-\theta-2)) \int_{\Omega} \left( \sum_{i=1}^k \frac{1}{\left( \ln^{(j)} \frac{R}{|x|} \right)^2} \right) \\ &\times \frac{u^2}{|x|^4} E_1^{1-2\alpha} + \lambda_1 \int_{\partial\Omega} u^2 + \lambda_2 \int_{\partial\Omega} \left( \frac{\partial u}{\partial \nu} \right)^2 \end{aligned} \tag{3.15}$$

where  $E_1 = \frac{1}{|x|^{n-4}}$  and  $\theta = (n-4)(1-2\alpha) + 2$ . The constant  $(\alpha^2(n-4)^2 + \frac{1}{2}\theta(n-\theta-2))^2$  (the coefficient of  $\int_{\Omega} \frac{u^2}{|x|^4} E_1^{1-2\alpha} dx$ ) is the best constant and is never achieved by any nontrivial function  $u \in \mathcal{D}_{\alpha,0}^{2,2}(\Omega)$ .

**Proof.** Let  $u = E_1^{\alpha}v$ . Then  $v(0) = 0$  and we have for  $n \geq 5$

$$\begin{aligned} \frac{|\nabla u|^2}{|x|^2} E_1^{2\beta} &= \alpha^2(n-4)^2 \frac{|u|^2}{|x|^4} E_1^{2\beta} + \frac{|\nabla v|^2}{|x|^2} E_1^{2(\alpha+\beta)} \\ &- \alpha(n-4) \left\langle \frac{x}{|x|^n}, \nabla v^2 \right\rangle E_1^{2(\alpha+\beta)-1}. \end{aligned}$$

Choosing  $\alpha + \beta = \frac{1}{2}$  and integrating we have

$$\int_{\Omega} \frac{|\nabla u|^2}{|x|^2} E_1^{2\beta} = \alpha^2(n-4)^2 \int_{\Omega} \frac{|u|^2}{|x|^4} E_1^{2\beta} + \int_{\Omega} \frac{|\nabla v|^2}{|x|^{n-2}} - \alpha(n-4) \int_{\partial\Omega} \frac{\langle x, \nu \rangle}{|x|^n} v^2. \tag{3.16}$$

Choosing  $\theta = 2(n-4)\beta + 2$ , (3.16) reduces to

$$\int_{\Omega} \frac{|\nabla u|^2}{|x|^{\theta}} = \alpha^2(n-4)^2 \int_{\Omega} \frac{|u|^2}{|x|^{\theta+2}} + \int_{\Omega} \frac{|\nabla v|^2}{|x|^{n-2}} - \alpha(n-4) \int_{\partial\Omega} \frac{\langle x, \nu \rangle}{|x|^n} v^2. \tag{3.17}$$

Now integrating by parts

$$\int_{\Omega} \frac{|\nabla u|^2}{|x|^{\theta}} = - \int_{\Omega} \frac{u\Delta u}{|x|^{\theta}} - \frac{\theta}{2}(n-\theta-2) \int_{\Omega} \frac{|u|^2}{|x|^{\theta+2}} + \int_{\partial\Omega} \frac{u}{|x|^{\theta}} \frac{\partial u}{\partial \nu} + \frac{\theta}{2} \int_{\partial\Omega} \frac{u^2 \langle x \cdot \nu \rangle}{|x|^{\theta+1}}. \tag{3.18}$$

Substituting the value of (3.17) in (3.18) we have

$$\begin{aligned}
 - \int_{\Omega} \frac{u \Delta u}{|x|^{\theta}} &= \left\{ \alpha^2(n-4)^2 + \frac{1}{2}\theta(n-\theta-2) \right\} \int_{\Omega} \frac{u^2}{|x|^{\theta+2}} + \int_{\Omega} \frac{|\nabla v|^2}{|x|^{n-2}} \\
 &\quad - \int_{\partial\Omega} \frac{u}{|x|^{\theta}} \frac{\partial u}{\partial \nu} - \alpha(n-4) \int_{\partial\Omega} \frac{\langle x, \nu \rangle}{|x|^n} v^2 - \frac{\theta}{2} \int_{\partial\Omega} \frac{u^2 \langle x, \nu \rangle}{|x|^{\theta+1}}.
 \end{aligned}$$

Applying Cauchy–Schwarz’s inequality we have

$$\begin{aligned}
 \frac{1}{2\varepsilon} \int_{\Omega} \frac{|\Delta u|^2}{|x|^{\theta-2}} + \frac{\varepsilon}{2} \int_{\Omega} \frac{|u|^2}{|x|^{\theta+2}} &\geq \left\{ \alpha^2(n-4)^2 + \frac{1}{2}\theta(n-\theta-2) \right\} \int_{\Omega} \frac{u^2}{|x|^{\theta+2}} \\
 &\quad + \int_{\Omega} \frac{|\nabla v|^2}{|x|^{n-2}} - \int_{\partial\Omega} \frac{u}{|x|^{\theta}} \frac{\partial u}{\partial \nu} \\
 &\quad - \alpha(n-4) \int_{\partial\Omega} \frac{\langle x, \nu \rangle}{|x|^n} v^2 - \frac{\theta}{2} \int_{\partial\Omega} \frac{u^2 \langle x, \nu \rangle}{|x|^{\theta+1}}. \tag{3.19}
 \end{aligned}$$

Choosing  $\varepsilon = \alpha^2(n-4)^2 + \frac{\theta}{2}(n-\theta-2)$  we have

$$\begin{aligned}
 \int_{\Omega} \frac{|\Delta u|^2}{|x|^{\theta-2}} &\geq \left( \alpha^2(n-4)^2 + \frac{\theta}{2}(n-\theta-2) \right)^2 \int_{\Omega} \frac{u^2}{|x|^{\theta+2}} \\
 &\quad + (2\alpha^2(n-4)^2 + \theta(n-\theta-2)) \int_{\Omega} \frac{|\nabla v|^2}{|x|^{n-2}} \\
 &\quad + \lambda_1 \int_{\partial\Omega} u^2 + \lambda_2 \int_{\partial\Omega} \left( \frac{\partial u}{\partial \nu} \right)^2. \tag{3.20}
 \end{aligned}$$

Using (3.3), we have the required inequality. It follows clearly from this inequality the best constant is never achieved for  $u \in \mathcal{D}_{\alpha,0}^{2,2}(\Omega)$ . For the optimality of the constant consider the family of functions  $u_{\delta}(x) = E_1^{\alpha-\delta} \eta$  where  $\eta \in C_0^{\infty}(\Omega)$  and  $\eta = 1$  in a neighborhood to zero. □

### 4. Proof of the Main Theorems

**Proof of Theorem 2.1.** First we prove for  $u \in W_{0,r}^{2,p}(B)$ . Let  $u = \left(\ln \frac{R}{|x|}\right)^{\frac{n-2}{n}} v$ . Then  $v(0) = 0$ . Then

$$|\nabla u|^p = \left(\frac{n-2}{n}\right)^p \frac{|u|^p}{|x|^p \left(\ln \frac{R}{|x|}\right)^p} \left| \frac{x}{|x|} - \frac{n}{n-2} \frac{\nabla v}{v} |x| \left(\ln \frac{R}{|x|}\right) \right|^p.$$

For the case  $p \geq 2$ , we have from (3.1),

$$\begin{aligned}
 |\nabla u|^p &\geq \left(\frac{n-2}{n}\right)^p \frac{|u|^p}{|x|^p \left(\ln \frac{R}{|x|}\right)^p} \left\{ 1 - p \left(\frac{n}{n-2}\right) \left\langle x, \frac{\nabla v}{v} \right\rangle \left(\ln \frac{R}{|x|}\right) \right. \\
 &\quad \left. + \alpha_1 \left(\frac{n}{n-2}\right)^2 \frac{|x|^2 |\nabla v|^2}{v^2} \left(\ln \frac{R}{|x|}\right)^2 + \alpha_2 \left(\frac{n}{n-2}\right)^p \frac{|x|^p |\nabla v|^p}{v^p} \left(\ln \frac{R}{|x|}\right)^p \right\}.
 \end{aligned}$$

Thus we have

$$\begin{aligned} \frac{|\nabla u|^p}{|x|^p} &\geq \left(\frac{n-2}{n}\right)^p \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x}\right)^p} - p \left(\frac{n-2}{n}\right)^{p-1} \left\langle \frac{x}{|x|^{2p}}, \nabla v \right\rangle |v|^{p-2} v \\ &\quad + \frac{4\alpha_1}{p^2} \left(\frac{n-2}{n}\right)^{p-2} \frac{|\nabla v^{\frac{p}{2}}|^2}{|x|^{n-2}} \left(\ln \frac{R}{|x}\right) + \alpha_2 \frac{|\nabla v|^p}{|x|^p} \left(\ln \frac{R}{|x}\right)^{p-1}. \end{aligned}$$

Hence we have

$$\begin{aligned} \frac{|\nabla u|^p}{|x|^p} &\geq \left(\frac{n-2}{n}\right)^p \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x}\right)^p} - \left(\frac{n-2}{n}\right)^{p-1} \left\langle \frac{x}{|x|^n}, \nabla |v|^p \right\rangle \\ &\quad + \frac{4\alpha_1}{p^2} \left(\frac{n-2}{n}\right)^{p-2} \frac{|\nabla v^{\frac{p}{2}}|^2}{|x|^{n-2}} \left(\ln \frac{R}{|x}\right) + \alpha_2 \frac{|\nabla v|^p}{|x|^p} \left(\ln \frac{R}{|x}\right)^{p-1}. \end{aligned} \tag{4.1}$$

Since  $v(0) = v|_{\partial\Omega} = 0$  and hence integral of the second term vanishes. Therefore integrating (4.1) and choosing  $v^{\frac{p}{2}} = w_1$ , we have

$$\begin{aligned} \int_B \frac{|\nabla u|^p}{|x|^p} &\geq \left(\frac{n-2}{n}\right)^p \int_B \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x}\right)^p} + \frac{4\alpha_1}{p^2} \left(\frac{n-2}{n}\right)^{p-2} \int_B \frac{|\nabla w_1|^2}{|x|^{n-2}} \left(\ln \frac{R}{|x}\right) \\ &\quad + \alpha_2 \int_B \frac{|\nabla v|^p}{|x|^p} \left(\ln \frac{R}{|x}\right)^{p-1} \end{aligned} \tag{4.2}$$

which implies that

$$\int_B \frac{|\nabla u|^p}{|x|^p} \geq \left(\frac{n-2}{n}\right)^p \int_B \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x}\right)^p} + C_1 \int_B \frac{|\nabla w_1|^2}{|x|^{n-2}} \left(\ln \frac{R}{|x}\right).$$

Using (3.4) on the second term in the above inequality, we obtain

$$\begin{aligned} \int_B \frac{|\nabla u|^p}{|x|^p} dx &\geq \left(\frac{n-2}{n}\right)^p \int_B \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x}\right)^p} dx \\ &\quad + C \int_B \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x}\right)^{p-2}} \left( \sum_{j=2}^k \frac{1}{\left(\ln^{(j)} \frac{R}{|x}\right)^2} \right) dx. \end{aligned} \tag{4.3}$$

Hence combining (4.3) and (3.14) and noting the fact that  $(\ln \frac{R}{|x|}) \geq 1$ , we have

$$\int_B |\Delta u|^p \geq \frac{2^p(p-1)^{2p}}{p^p} \int_B \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p} dx + C \int_B \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p} \left( \sum_{j=2}^k \frac{1}{\left(\ln^{(j)} \frac{R}{|x|}\right)^2} \right) dx.$$

Hence from the inequality it is clear that the  $\frac{2^p(p-1)^{2p}}{p^p}$  is not achieved, otherwise the remainder term is zero, which will imply that  $u \equiv 0$ , a contradiction. Later on, we prove that in fact  $\frac{2^p(p-1)^{2p}}{p^p}$  is the best constant. This proves the inequalities (2.1) and (2.2) hold for all  $u \in W_{0,r}^{2,p}(B)$ . Note that we are only using the fact that  $u = 0$  on  $\partial B$  and hence the above inequalities are true for the case  $u \in W_r^{2,p}(B) \cap W_{0,r}^{1,p}(B)$ .

Also note that for the case  $1 < p < 2$ , we cannot obtain the remainder term as in (2.1) but by using (3.2), we can show that the constant  $\frac{2^p(p-1)^{2p}}{p^p}$  is not achieved. Next we prove this for the non-radial case by using the ideas in [16] (see [11]). Let  $|\Omega| = |B|$ . First we may restrict ourselves to  $\Omega = B$  and a radial function  $u$ . Define  $f = -\Delta u$ .

$$\begin{cases} -\Delta w = |f|^* & \text{in } B \\ w = 0 & \text{on } \partial B \end{cases} \tag{4.4}$$

where  $f^*$  denotes the Schwarz symmetrization of  $f$ . Then  $w \in W_r^{2,p}(B) \cap W_{0,r}^{1,p}(B)$ . By [17], we have  $w \geq |u|^* \geq 0$ . Hence

$$\int_B |\Delta w|^p dx = \int_B (|f|^*)^p dx = \int_\Omega |f|^p dx = \int_\Omega |\Delta u|^p dx, \int_B \frac{w^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p} dx \geq \int_B \frac{|u|^*{}^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p} dx \geq \int_\Omega \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p} dx.$$

Similarly we get

$$\int_B \frac{|w|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p} \left( \sum_{j=2}^k \frac{1}{\left(\ln^{(j)} \frac{R}{|x|}\right)^2} \right) dx \geq \int_\Omega \frac{|u|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p} \left( \sum_{j=2}^k \frac{1}{\left(\ln^{(j)} \frac{R}{|x|}\right)^2} \right) dx.$$



Hence the inequalities (2.1), (2.2) holds for all  $u \in W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  and  $u \in W_0^{2,p}(\Omega)$ .

Now we prove the sharpness of the previous inequality, i.e. we show the existence of a family of radial functions  $\psi_\delta$  such that

$$\lim_{\delta \rightarrow 0} \frac{\int_{\Omega} |\Delta \psi_\delta|^p}{\int_{\Omega} \frac{|\psi_\delta|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p}} = \frac{2^p(p-1)^{2p}}{p^p}.$$

Let  $B(1) \subset \Omega$  and  $\varphi \in C_0^\infty(\Omega)$  be radial such that

$$\varphi(x) = \begin{cases} 1 & \text{in } B\left(\frac{1}{2}\right) \\ 0 & \text{on } \Omega \setminus B(1). \end{cases}$$

Define  $\psi_\delta(x) = \left(\ln \frac{R}{|x|}\right)^{\frac{p-1}{p}-\delta} \varphi(x)$

$$\Delta \psi_\delta(x) = \left(\ln \frac{R}{|x|}\right)^{\frac{p-1}{p}-\delta} \Delta \varphi + \Delta \left(\ln \frac{R}{|x|}\right)^{\frac{p-1}{p}-\delta} \varphi + 2 \left\langle \nabla \left(\ln \frac{R}{|x|}\right)^{\frac{p-1}{p}-\delta}, \nabla \varphi \right\rangle.$$

Then we have

$$\frac{\int_{\Omega} |\Delta \psi_\delta|^p}{\int_{\Omega} \frac{|\psi_\delta|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p}} = \frac{\int_{\Omega} \left| \Delta \left(\ln \frac{R}{|x|}\right)^{\frac{p-1}{p}-\delta} \right|^p \varphi^p}{\int_{\Omega} \frac{|\psi_\delta|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p}} + \frac{O(1)}{\int_{\Omega} \frac{|\psi_\delta|^p}{|x|^{2p} \left(\ln \frac{R}{|x|}\right)^p}}.$$

Now we have

$$\begin{aligned} \Delta \left(\ln \frac{R}{|x|}\right)^{\frac{p-1}{p}-\delta} &= -\frac{1}{r^2} \left(\frac{p-1}{p} - \delta\right) \left(\frac{1}{p} + \delta\right) \left(\ln \frac{R}{r}\right)^{-\frac{p+1}{p}-\delta} \\ &\quad - \frac{n-2}{r^2} \left(\frac{p-1}{p} - \delta\right) \left(\ln \frac{R}{r}\right)^{-\frac{1}{p}-\delta}. \end{aligned}$$

Putting  $n = 2p$ , we have

$$\begin{aligned} \Delta \left(\ln \frac{R}{|x|}\right)^{\frac{p-1}{p}-\delta} &= -\frac{1}{r^2} \left(\frac{p-1}{p} - \delta\right) \left(\frac{1}{p} + \delta\right) \left(\ln \frac{R}{r}\right)^{-\frac{p+1}{p}-\delta} \\ &\quad - \frac{2p-2}{r^2} \left(\frac{p-1}{p} - \delta\right) \left(\ln \frac{R}{r}\right)^{-\frac{1}{p}-\delta}. \end{aligned}$$

Hence we have

$$\begin{aligned} \left| \Delta \left( \ln \frac{R}{|x|} \right)^{\frac{p-1}{p}-\delta} \right|^p &= 2^p(p-1)^p \left( \frac{p-1}{p} - \delta \right)^p \left( \ln \frac{R}{r} \right)^{-1-p\delta} \\ &\times \frac{1}{r^{2p}} \left| 1 + \frac{1+p\delta}{2p(p-1)} \frac{1}{\left( \ln \frac{R}{r} \right)} \right|^p. \end{aligned}$$

Also note that

$$|\psi_\delta|^p = \left( \ln \frac{R}{|x|} \right)^{p-1-p\delta} \varphi^p.$$

Hence

$$\frac{\int_\Omega \left| \Delta \left( \ln \frac{R}{|x|} \right)^{\frac{p-1}{p}-\delta} \right|^p \varphi^p}{\int_\Omega \frac{|\psi_\delta|^p}{|x|^{2p} \left( \ln \frac{R}{|x|} \right)^p}} = 2^p(p-1)^p \left( \frac{p-1}{p} - \delta \right)^p + \frac{O(1)}{\int_\Omega \frac{|\psi_\delta|^p}{|x|^{2p} \left( \ln \frac{R}{|x|} \right)^p}} \tag{4.5}$$

Taking limit as  $\delta \rightarrow 0$  in (4.5) and noting that

$$\lim_{\delta \rightarrow 0} \int_\Omega \frac{|\psi_\delta|^p}{|x|^{2p} \left( \ln \frac{R}{|x|} \right)^p} = \infty,$$

we have

$$\lim_{\delta \rightarrow 0} \frac{\int_\Omega |\Delta \psi_\delta|^p}{\int_\Omega \frac{|\psi_\delta|^p}{|x|^{2p} \left( \ln \frac{R}{|x|} \right)^p}} = \frac{2^p(p-1)^{2p}}{p^p}.$$

Hence  $\frac{2^p(p-1)^{2p}}{p^p}$  is the best constant in (2.1) and it is never achieved in any bounded domain. □

**Proof of Theorem 2.2.** As in Theorem 2.1, it is enough to prove it for the radial superharmonic functions when  $\Omega = B$  as in (4.4) we have  $\|u\|_{W_0^{2,q}(\Omega)} = \|u\|_{W_0^{2,q}(B)}$ . Letting  $2\alpha(n-2) = 4-n$  in (3.7) for  $n \geq 5$ , we obtain

$$\frac{n^2}{4} \int_B \frac{|\nabla u|^2}{|x|^2} dx \geq \frac{n^2(n-4)^2}{16} \int_B \frac{u^2}{|x|^4} dx$$

and hence we have

$$\int_B |\Delta u|^2 - \frac{n^2(n-4)^2}{16} \int_B \frac{u^2}{|x|^4} \geq \int_B |\Delta u|^2 - \frac{n^2}{4} \int_B \frac{|\nabla u|^2}{|x|^2} \quad \text{for } n \geq 5.$$

Let  $n = 4$ . Define  $v = \left(\ln \frac{R}{|x|}\right)^{-\frac{1}{2}}u$ , then  $v(0) = 0$

$$\begin{aligned} \int_B \frac{|\nabla u|^2}{|x|^2} &= \frac{1}{4} \int_B \frac{u^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} - \frac{1}{2} \int_B \left\langle \frac{x}{|x|^4}, \nabla v^2 \right\rangle + \int_B \frac{|\nabla v|^2}{|x|^2} \left(\ln \frac{R}{|x|}\right) \\ &\geq \frac{1}{4} \int_B \frac{u^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} \end{aligned}$$

since  $\operatorname{div}\left(\frac{x}{|x|^4}\right) = C\delta_0$  and  $v(0) = 0$ . Hence we have

$$\int_B |\Delta u|^2 - \int_B \frac{u^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} \geq \int_B |\Delta u|^2 - 4 \int_B \frac{|\nabla u|^2}{|x|^2}.$$

We have from [3, Lemma 3.1], and for  $n \geq 4$ , for  $u$  radial

$$\int_B |\Delta u|^2 - \frac{n^2}{4} \int_B \frac{|\nabla u|^2}{|x|^2} \geq \int_B \frac{|\nabla u|^2}{|x|^{n-2}} dx \tag{4.6}$$

where  $u_r = r^{-\frac{n-2}{2}}v$ . Let  $v = \left(\ln \frac{R}{|x|}\right)^{\frac{1}{2}}w$ . Then from (3.3) we have

$$\int_B |\Delta u|^2 - \frac{n^2}{4} \int_B \frac{|\nabla u|^2}{|x|^2} - \frac{1}{4} \int_B \frac{|\nabla u|^2}{|x|^2 \left(\ln \frac{R}{|x|}\right)^2} dx \geq \int_B \frac{|\nabla w|^2}{|x|^{n-2}} \left(\ln \frac{R}{|x|}\right) dx. \tag{4.7}$$

Let  $u_r = \left(\ln \frac{R}{|x|}\right)^{\frac{1}{2}}r^{-\frac{n-2}{2}}w$ , then

$$\begin{aligned} |u_{rr}| &= O\left(\frac{\left(\ln \frac{R}{|x|}\right)^{\frac{1}{2}}}{|x|^{\frac{n-2}{2}}}w_r + \frac{\left(\ln \frac{R}{|x|}\right)^{\frac{1}{2}}}{|x|^{\frac{n}{2}}}w\right) \\ |u_{rr}|^q &= O\left(\frac{\left(\ln \frac{R}{|x|}\right)^{\frac{q}{2}}}{|x|^{\frac{(n-2)q}{2}}}|w_r|^q + \frac{\left(\ln \frac{R}{|x|}\right)^{\frac{q}{2}}}{|x|^{\frac{nq}{2}}}|w|^q\right). \end{aligned}$$

Therefore,

$$\omega_n \int_0^1 |u_{rr}|^q r^{n-1} dr = O\left(\int_B \frac{\left(\ln \frac{R}{|x|}\right)^{\frac{q}{2}}}{|x|^{\frac{(n-2)q}{2}}}|w_r|^q + \int_B \frac{\left(\ln \frac{R}{|x|}\right)^{\frac{q}{2}}}{|x|^{\frac{nq}{2}}}|w|^q\right). \tag{4.8}$$

In order to estimate the right-hand side of (4.8), we need some estimates. Let  $w \in C_0^\infty(B)$  and  $k \geq 0, \alpha \geq 0$ . Then

$$\begin{aligned} \int_B \frac{\left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^k} |w|^q &= \frac{1}{n} \int_B \frac{(\operatorname{div} x) \left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^k} |w|^q \\ &= -\frac{q}{n} \int_B \frac{\langle x, \nabla w \rangle \left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^k} |w|^{q-2} w + \frac{k}{n} \int_B \frac{\left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^k} |w|^q \\ &\quad + \frac{\alpha}{n} \int_B \frac{\left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^k \left(\ln \frac{R}{|x|}\right)} |w|^q. \end{aligned}$$

Let  $k < n$  and  $R_0 > 0$  such that

$$\frac{\alpha}{n} \sup_{x \in B} \frac{1}{\left(\ln \frac{R_0}{|x|}\right)} < \frac{1}{2} \left(1 - \frac{k}{n}\right).$$

Then for  $R \geq R_0$ , the above identity gives

$$\begin{aligned} \frac{1}{2} \left(1 - \frac{k}{n}\right) \int_B \frac{\left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^k} |w|^q &\leq \frac{q}{n} \int_B \frac{|\nabla w| \left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^{k-1}} |w|^{q-1} \\ \frac{1}{2} \left(1 - \frac{k}{n}\right) \int_B \frac{\left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^k} |w|^q &\leq \frac{q}{n} \left( \int_B \frac{|w|^q \left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^k} \right)^{\frac{q-1}{q}} \\ &\quad \times \left( \int_B \frac{|\nabla w|^q \left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^{k-q}} \right)^{\frac{1}{q}}. \end{aligned}$$

This implies that there exist a  $C = C(k, n, \alpha) > 0$  such that

$$\int_B \frac{\left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^k} |w|^q \leq C \left( \int_B \frac{|\nabla w|^q \left(\ln \frac{R}{|x|}\right)^\alpha}{|x|^{k-q}} \right). \tag{4.9}$$

Choose  $k = \frac{nq}{2}$  and so  $1 \leq q < 2$  as  $k < n$ . Thus from (4.8) and (4.9) we have for  $\alpha = \frac{q}{2}$ ,  $R \geq R_0$

$$\omega_n \int_0^1 |u_{rr}|^q r^{n-1} dr \leq C \left( \int_B \frac{|\nabla w|^q \left( \ln \frac{R}{|x|} \right)^{\frac{q}{2}}}{|x|^{\frac{(n-2)q}{2}}} dx \right).$$

Hence applying Hölder’s inequality we have

$$\omega_n \int_0^1 |u_{rr}|^q r^{n-1} dr \leq C \left( \int_B \frac{|\nabla w|^2 \left( \ln \frac{R}{|x|} \right)^{\frac{q}{2}}}{|x|^{n-2}} dx \right)^{\frac{q}{2}}.$$

This implies

$$\int_B |\Delta u|^q dx \leq C \left( \int_B \frac{|\nabla w|^2 \left( \ln \frac{R}{|x|} \right)^{\frac{q}{2}}}{|x|^{n-2}} dx \right)^{\frac{q}{2}}.$$

Combining this with (4.7) completes the proof. □

**Remark 4.1.** It seems that the inequalities (2.3) and (2.4) can be improved by adding a series of terms on the left-hand side, that is partially visible in (4.7). We will discuss this in a forthcoming paper.

**Proof of Theorem 2.3.** (a) Let  $u = \left( \ln \frac{R}{|x|} \right)^{\frac{1}{2}} v$ . Then we have  $v(0) = 0$  and for the case  $n = 4m$ ,

$$\int_B \frac{|\nabla u|^2}{|x|^{4m-2}} dx = \frac{1}{4} \int_B \frac{|u|^2}{|x|^{4m} \left( \ln \frac{R}{|x|} \right)^2} dx + \int_B \frac{|\nabla v|^2}{|x|^{4m-2}} \left( \ln \frac{R}{|x|} \right) dx \tag{4.10}$$

and using (3.4) on the second term of the above equality we obtain,

$$\int_B \frac{|\nabla u|^2}{|x|^{4m-2}} dx \geq \frac{1}{4} \int_B \frac{|u|^2}{|x|^{4m} \left( \ln \frac{R}{|x|} \right)^2} dx + C \int_B \left( \sum_{j=2}^k \frac{1}{\left( \ln^{(j)} \frac{R}{|x|} \right)^2} \right) \frac{|u|^2}{|x|^{4m}} dx \tag{4.11}$$

and applying (3.12), we have the required inequality.

(b) For the case  $n = 4m + 2$ , we have

$$\int_B \frac{|\nabla u|^2}{|x|^{4m}} dx = \frac{1}{4} \int_B \frac{|u|^2}{|x|^{4m+2} \left(\ln \frac{R}{|x|}\right)^2} dx + \int_B \frac{|\nabla v|^2}{|x|^{4m}} \left(\ln \frac{R}{|x|}\right) dx \tag{4.12}$$

and using (3.4) on the second term of the above equality we obtain

$$\int_B \frac{|\nabla u|^2}{|x|^{4m-2}} dx \geq \frac{1}{4} \int_B \frac{|u|^2}{|x|^{4m} \left(\ln \frac{R}{|x|}\right)^2} dx + C \int_B \left( \sum_{j=2}^k \frac{1}{\left(\ln^{(j)} \frac{R}{|x|}\right)^2} \right) \frac{|u|^2}{|x|^{4m+2}} dx \tag{4.13}$$

using (3.13), we have the required inequality.

For the sharpness of the inequalities, consider a family of radial functions

$$\psi_\delta(r) = \begin{cases} \left(\ln \frac{R}{r}\right)^{\frac{c_{4m}}{\prod_{i=1}^m (n-2i)} - \delta} \varphi & \text{if } n = 4m, \\ \left(\ln \frac{R}{r}\right)^{\frac{c_{4m+2}}{2m \prod_{i=1}^m (n-2i)} - \delta} \varphi & \text{if } n = 4m + 2 \end{cases}$$

where  $\varphi \in C_0^\infty(B)$  be radial such that

$$\varphi(x) = \begin{cases} 1 & \text{in } B\left(\frac{1}{2}\right) \\ 0 & \text{on } B \setminus B(3/4) \end{cases}$$

$\delta > 0$  and  $c_{4m}^2, c_{4m+2}^2$  denotes the coefficients of

$$\int_B \frac{|u|^2}{|x|^{4m} \left(\ln \frac{R}{|x|}\right)^2} dx$$

and

$$\int_B \frac{|u|^2}{|x|^{4m+2} \left(\ln \frac{R}{|x|}\right)^2} dx$$

in (2.5) and (2.6) respectively. We skip the slightly tedious details. □

Before proving Theorem 2.4, we look into the various difficulties associated with the biharmonic operator.

- Here we deal with the second order Sobolev space  $H^2(\Omega)$ . Unlike in  $H^1(\Omega)$ ,  $H^2(\Omega)$  does not satisfy the property that “ $u \in H^2(\Omega)$  implies  $|u| \in H^2(\Omega)$ ”. This is a serious block to get *a priori* estimates.
- There is no maximum principle.

Let us recall some known results for biharmonic operator:

**Boggio’s Principle.** Consider the biharmonic equation

$$(F) \begin{cases} \Delta^2 u = f & \text{in } B \\ u = 0 & \text{on } \partial B \\ \frac{\partial u}{\partial \nu} = 0 & \text{on } \partial B \end{cases}$$

where  $B = \{x \in \mathbb{R}^n : |x| < 1\}$  and  $\nu$  is the outer normal at the boundary of  $B$ . Then Boggio’s principle [6] states that the Green function associated to the biharmonic problem with zero Dirichlet data in a ball is strictly positive. Hence if  $f > 0$  a.e. then  $u > 0$  in  $B$ . For the weak Boggio’s principle see [4].

Note that when we are in the case  $\Omega$ , a smooth bounded domain

$$(G) \begin{cases} \Delta^2 u = f & \text{in } \Omega \\ u = \Delta u = 0 & \text{on } \partial\Omega \end{cases}$$

there is a natural weak maximum principle.

**Lemma 4.2.** *If  $f \in F$  then there exist  $\lambda(f) > 0$  such that*

$$\int_{\Omega} |\Delta u|^2 dx \geq \int_{\Omega} \frac{u^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} dx + \lambda(f) \int_{\Omega} u^2 f(x) dx \tag{4.14}$$

for all  $u \in H_0^2(\Omega), u \in H^2(\Omega) \cap H_0^1(\Omega)$ .

**Proof.** Let  $f \in F$ , then we have

$$\limsup_{\varepsilon \rightarrow 0} \sup_{x \in B_{\varepsilon}(0)} |x|^4 f(x) \left(\ln \frac{R}{|x|}\right)^2 \left(\ln \ln \frac{R}{|x|}\right)^2 < \infty$$

and hence for sufficiently small  $\varepsilon > 0$ , there exist a  $C > 0$  such that  $f \in B_{\varepsilon}(0)$

$$f(x) < \frac{C}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2 \left(\ln \ln \frac{R}{|x|}\right)^2}$$

and otherwise  $f$  is bounded. Hence the inequality (4.14) holds. □

**Lemma 4.3.** *Consider the problem*

$$\begin{cases} \Delta^2 u - \mu \frac{u}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} = \lambda f(x)u & \text{in } B \\ u \neq 0 & \text{in } B \\ u \in H_0^2(B) \end{cases} \tag{4.15}$$

where  $B$  is the unit ball centered at origin. If (4.15) admits a solution  $u$  for some  $\lambda = \lambda_{\mu}^1(f)$ , then  $u$  does not change sign in  $B$ .

**Proof.** We prove this lemma for the sake of completeness. A similar version of this lemma can be seen in [3, 10]. Note that proving existence of positive solutions is quite hard in the sense that  $u^+, u^- \notin H_0^2(B)$ , which played a crucial role in second order equations. Suppose  $u \in H_0^2(B)$  solves the above problem with  $\lambda = \lambda_\mu^1(f)$  and  $u$  changes sign. Define

$$K := \{v \in H_0^2(B) : v \geq 0 \text{ a.e.}\}.$$

Let  $a(u, v) = \langle u, v \rangle_{H_0^2(B)} = \int_B \Delta u \Delta v$ .  $\forall u, v \in H_0^2(B)$ . Note that  $K$  is a closed convex cone. Hence, by [13], there exists a projection  $P : H_0^2(B) \rightarrow K$  such that for all  $u \in H_0^2(B), \forall w \in K$

$$a(u - P(u), w - P(u)) \leq 0. \tag{4.16}$$

Since  $K$  is a cone we can replace  $w$  by  $tw$  for  $t > 0$  and letting  $t \rightarrow \infty$  to obtain

$$a(u - P(u), w) \leq \lim_{t \rightarrow \infty} \frac{1}{t} a(u - P(u), P(u))$$

which implies that  $\Delta^2(u - P(u)) \leq 0$  and by weak Boggio's Principle [4]  $u - P(u) \leq 0$ .

Now replacing  $w$  by  $tP(u)$  for  $t > 0$  in (4.17) we have

$$(t - 1)a(u - P(u), P(u)) \leq 0$$

and hence  $a(u - P(u), P(u)) = 0$ .

Hence we can write  $u = u_1 + u_2, u_1 = P(u) \in K, u_2 = u - P(u), u_1 \perp u_2$  and  $u_2 \leq 0$ . Since  $u$  changes sign we have that  $u_1 \not\equiv 0$  and  $u_2 \not\equiv 0$ . Therefore we have,

$$\frac{\int_B |\Delta(u_1 - u_2)|^2 - \mu \int_B \frac{(u_1 - u_2)^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2}}{\int_B f(x)(u_1 - u_2)^2} < \frac{\int_B |\Delta(u_1 + u_2)|^2 - \mu \int_B \frac{(u_1 + u_2)^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2}}{\int_B f(x)(u_1 + u_2)^2}$$

which contradicts the definition of the first eigenvalue. Then  $u$  does not change sign and noting that the Green function is strictly positive we have either  $u > 0$  or  $u < 0$  in  $B$ . □

Similarly as above, we have:

**Lemma 4.4.** Consider the problem

$$\begin{cases} \Delta^2 u - \mu \frac{u}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} = \lambda f(x)u & \text{in } \Omega \\ u \neq 0 & \text{in } \Omega \\ u \in H^2(\Omega) \cap H_0^1(\Omega) \end{cases} \tag{4.17}$$

where  $0 \in \Omega$ . If (4.17) admits a solution  $u$  for some  $\lambda = \lambda_\mu^1(f)$ , then  $u$  does not change sign in  $\Omega$ .



**Lemma 4.5.** For  $f \in \mathcal{F}$ ,  $X$  is compactly embedded in  $L^2(\Omega, f)$ , where  $X = H_0^2(\Omega)$  or  $H^2(\Omega) \cap H_0^1(\Omega)$ .

**Proof.** Let  $\{u_m\}_{m=1}^\infty$  be a bounded sequence in  $X$ . Hence along a subsequence  $u_m \rightharpoonup u$  (say) in  $X$ . Due to the Hardy–Rellich inequality  $u_m \rightharpoonup u$  in  $L^2(\Omega, \frac{1}{|x|^4(\ln \frac{R}{|x|})^2})$  and due to the fact that  $X \hookrightarrow L^2(\Omega)$  is compact  $u_m \rightarrow u$  in  $L^2(\Omega)$ . Since we have  $f \in \mathcal{F}$ , for any  $\varepsilon > 0$ , there exist  $\delta > 0$  such that

$$\sup_{B_\delta} |x|^4 \left( \ln \frac{R}{|x|} \right)^2 f(x) \leq \varepsilon \tag{4.18}$$

and  $f$  is bounded on  $\Omega \setminus B_\delta$ .

$$\int_\Omega |u_m - u|^2 f(x) = \int_{B_\delta} |u_m - u|^2 f(x) + \int_{\Omega \setminus B_\delta} |u_m - u|^2 f(x).$$

Thus, we have from (4.18)

$$\int_\Omega |u_m - u|^2 f(x) \leq \varepsilon \int_{B_\delta} \frac{|u_m - u|^2}{|x|^4 \left( \ln \frac{R}{|x|} \right)^2} + C \int_\Omega |u_m - u|^2.$$

By Hardy–Rellich inequality we have

$$\int_\Omega |u_m - u|^2 f(x) \leq C\varepsilon \int_\Omega |\Delta u_m - \Delta u|^2 + C \int_\Omega |u_m - u|^2. \tag{4.19}$$

Hence from (4.19) we have  $u_m \rightarrow u$  in  $L^2(\Omega, f)$ . □

**Proof of Theorem 2.4.** We look for critical points of the functional

$$J_\mu(u) = \frac{1}{2} \int_\Omega (\Delta u)^2 dx - \frac{\mu}{2} \int_\Omega \frac{|u|^2}{|x|^4 \left( \ln \frac{R}{|x|} \right)^2} dx$$

which is continuous, Gateaux differentiable and coercive on  $X$  due to Hardy–Rellich inequality. We minimize this functional on  $M = \{u \in X : \int_\Omega |u|^2 f dx = 1\}$ . Let  $\lambda_\mu^1 = \inf_{u \in M} J_\mu(u)$ . Then clearly  $\lambda_\mu^1 > 0$ . Choosing a minimizing sequence  $\{u_m\} \subset M$  with  $J_\mu(u_m) \rightarrow \lambda_\mu^1$  and the component of  $DJ_\mu(u_m)$  restricted to  $M$ , tends to 0 strongly in  $X^*$ . Since  $\mu < 1$ ,  $J_\mu$  is coercive which implies  $u_m$  is bounded. Hence there exist a subsequence of  $u_m$  such that

$$\begin{cases} u_m \rightharpoonup u & \text{weakly in } X \\ u_m \rightharpoonup u & \text{weakly in } L^2 \left( \Omega, \frac{1}{|x|^4 \left( \ln \frac{R}{|x|} \right)^2} \right) \\ u_m \rightarrow u & \text{strongly in } L^2(\Omega). \end{cases}$$

Since  $X$  is compactly embedded in  $L^2(\Omega, f(x))$  and  $M$  is weakly closed implies that  $u \in M$ . Hence

$$\begin{aligned} \int_{\Omega} |\Delta u_m|^2 &= \int_{\Omega} |\Delta(u_m - u)|^2 + \int_{\Omega} |\Delta u|^2 + o(1) \\ \int_{\Omega} \frac{|u_m|^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} &= \int_{\Omega} \frac{|u_m - u|^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} + \int_{\Omega} \frac{|u|^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} + o(1). \end{aligned}$$

Hence we have

$$\begin{aligned} \lambda_{\mu}^1 &= \int_{\Omega} |\Delta u_m|^2 - \mu \int_{\Omega} \frac{|u_m|^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} + o(1) \\ &= \int_{\Omega} |\Delta(u_m - u)|^2 - \mu \int_{\Omega} \frac{|u_m - u|^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} + \int_{\Omega} |\Delta u|^2 - \mu \int_{\Omega} \frac{|u|^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} + o(1). \end{aligned}$$

Hence we have

$$\begin{aligned} \lambda_{\mu}^1 &\geq (1 - \mu) \int_{\Omega} |\Delta(u_m - u)|^2 + \int_{\Omega} |\Delta u|^2 - \mu \int_{\Omega} \frac{|u|^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} + o(1) \\ \lambda_{\mu}^1 &\geq (1 - \mu) \int_{\Omega} |\Delta(u_m - u)|^2 + \lambda_{\mu}^1 + o(1). \end{aligned}$$

Since  $\mu < 1$  we have  $u_m \rightarrow u$  strongly in  $X$ . Hence we have  $u$  is a nontrivial solution to the problems (2.7), (2.8) corresponding to  $\lambda = \lambda_{\mu}^1(f)$ .

Moreover, if  $f \in \mathcal{F}$ , then by Lemma 4.2 we have,

$$\lambda_{\mu}^1(f) \rightarrow \lambda(f) = \inf_{u \in X \setminus \{0\}} \frac{\int_{\Omega} (\Delta u)^2 - \int_{\Omega} \frac{|u|^2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2}}{\int_{\Omega} u^2 f(x)} > 0 \quad \text{as } \mu \rightarrow 1.$$

In order to prove that  $\lambda_{\mu}^1(f)$  is simple when  $\Omega = B$ , we proceed in a contrapositive way. Suppose if  $u_1$  and  $u_2$  be two orthogonal eigenfunctions of (2.7) in  $H_0^2(B)$  with respect to  $\lambda_{\mu}^1(f)$ . Multiplying the equation with  $u_1$  by  $u_2$  and integrating by parts; and noting the fact that  $\langle \Delta u_1, \Delta u_2 \rangle_{L^2(B)} = 0$  and  $f > 0$  a.e., we have

$$-\mu \int_B \frac{u_1 u_2}{|x|^4 \left(\ln \frac{R}{|x|}\right)^2} = \lambda_{\mu}^1(f) \int_B f u_1 u_2$$

which implies a contradiction, as  $u_1$  and  $u_2$  do not change sign in  $B$  by Lemma 4.3. Hence  $u_1$  and  $u_2$  are not linearly independent which implies that they are collinear.  $\square$

**Remark 4.6.** As a result of Theorem 2.2, we can study the eigenvalue problem for the case  $n \geq 4$ , which is highly singular and non-compact type of the form

$$Lu = \lambda u \quad \text{in } \Omega$$

with zero Dirichlet or Navier boundary conditions; where

$$L = \begin{cases} \Delta^2 u - \frac{n^2(n-4)^2}{16} \frac{u}{|x|^4} & \text{if } n \geq 5 \\ \Delta^2 u - \frac{u}{|x|^4 \left( \ln \frac{R}{|x|} \right)^2} & \text{if } n = 4. \end{cases} \quad (4.20)$$

One can easily define the eigenvalues  $\{\lambda_k\}$  in the form of Rayleigh quotients and show that  $\lambda_k \rightarrow \infty$  as  $k \rightarrow \infty$ .

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